Book 1 of 2 Books
Friday, March 21, 1980

Highlights

18884 Crosscutting Research and Demonstration
HEW/HDSO announces availability of grantor cooperative agreement funds; apply by 5–20–80 (Part VIII of this issue)

18880 Juvenile Justice
Justice/LEAA announces program designed to increase capacity of State and local governments and public and private youth serving agencies; comments by 4–21–80 (Part VII of this issue)

18372 Interest Rates
FDIC amends regulations covering payment of interest on certain obligations; effective 3–17–80

18370 Nuclear Material
NRC simplifies licensing requirements for export of minor quantities; effective 4–21–80

18410 1980 Rice Program
USDA/ASCS announces there will be no set aside program or land diversion payments

18876 CETA
Labor/ETA announces list of prime sponsors from whom funds will be reallocated; effective 3–21–80 (Part VI of this issue)

18482 Food Additives
HEW/FDA announces availability of a survey of industry on their use

CONTINUED INSIDE
FEDERAL REGISTER Published daily, Monday through Friday, (not published on Saturdays, Sundays, or on official holidays), by the Office of the Federal Register, National Archives and Records Service, General Services Administration, Washington, D.C. 20402, under the Federal Register Act (49 Stat. 500, as amended; 44 U.S.C. Ch. 15) and the regulations of the Administrative Committee of the Federal Register (1 CFR Ch. I). Distribution is made only by the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402.

The Federal Register provides a uniform system for making available to the public regulations and legal notices issued by Federal agencies. These include Presidential proclamations and Executive Orders and Federal agency documents having general applicability and legal effect, documents required to be published by Act of Congress and other Federal agency documents of public interest. Documents are on file for public inspection in the Office of the Federal Register the day before they are published, unless earlier filing is requested by the issuing agency.

The Federal Register will be furnished by mail to subscribers, free of postage, for $75.00 per year, or $45.00 for six months, payable in advance. The charge for individual copies is $1.00 for each issue, or $1.00 for each group of pages as actually bound. Remit check or money order, made payable to the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402.

There are no restrictions on the republication of material appearing in the Federal Register.

Area Code 202-523-5240

Highlights

18480 Bureau of Foods Research Plan HEW/FDA solicits information about food- and cosmetic-related research needs; comments by 5-20-80

18490 Population HEW/PHS provides state figures to be used in determining amounts of grants for State Agencies

18493 Oil and Gas Information Interior/GS announces the availability of Mid-Atlantic Summary Report and Outer Continental Shelf Directory; effective 3-3-80

18890 Voting Rights Justice proposes to revise procedures for administration of the 1965 Act; comments by 5-20-80 (Part IX of this Issue)

18367 Extra Long Staple Cotton USDA/ASCS increases national marketing quota and national acreage allotment for 1980 crop; effective 3-20-80

18406 National Cemeteries VA proposes to revise regulations concerning disinterment; comments by 5-20-80

18487 Privacy Act HEW/Sec'y publishes a document affecting the systems of records

18485 Privacy Act HEW/ASPE issues a notification of a new system of records

18660 Privacy Act Treasury/Sec'y issues annual publication of systems of records (Part V of this issue)

18416 Antidumping Commerce/ITA issues a determination on portable electric typewriters from Japan; effective 3-21-80

18415 Antidumping Commerce/ITA issues a decision on melamine in crystal form from Italy; effective 3-21-80

18414 Corn Starch Derivatives Commerce/ITA imposes final countervailing duty determination on dextrines and soluble or chemically treated starches from Europe; effective 3-21-80

18551 Sunshine Act Meetings

Separate Parts of This Issue

18604 Part II, USDA/APHIS
18614 Part III, USDA/APHIS
18839 Part IV, Labor/ESA
18869 Part V, Treasury/Sec'y
18876 Part VI, Labor/ETA
18880 Part VII, Justice/LEAA
18884 Part VIII, HEW/HDSO
18890 Part IX, Justice/AG
Contents

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980

Agricultural Marketing Service
RULES
18369 Lemons grown in Ariz. and Calif.
18370 Papayas grown in Hawaii
18378 Filberts grown in Oreg. and Wash; extension of time

Agricultural Stabilization and Conservation Service
RULES
18368 Rice; marketing quotas and acreage allotments
18397 Cotton; marketing quotas and acreage allotments
18410 Rice; 1980 program determinations

Agriculture Department
See Agricultural Marketing Service; Agricultural Stabilization and Conservation Service; Animal and Plant Health Inspection Service; Federal Grain Inspection Service; Food and Nutrition Service; Food Safety and Quality Service; Soil Conservation Service.

Air Force Department
RULES
18374 Air Force bands; deletion of CFR part
PROPOSED RULES
18405 Part time employment for Federal civilian employees

Alcohol, Tobacco and Firearms Bureau
NOTICES
18546 Authority delegations:
Regional Regulatory Administrators; Right to Financial Privacy Act of 1978 functions

Animal and Plant Health Inspection Service
RULES
18367 Overtime services relating to imports and exports:
Committed traveltime allowances
PROPOSED RULES
18394 Livestock and poultry disease control:
Brucellosis; indemnity payment for cattle destroyed

NOTICES
Animal welfare:
18604 Exhibitors, licensed
18614 Exhibitors, registered
18410 Rabies vaccine; brucella abortus vaccine, brucella abortus antigen, and tuberculin-PPD bovis; distribution and use restrictions

Blind and Other Severely Handicapped,
Committee for Purchase From

NOTICES
18421 Procurement list, 1980; additions and deletions [3 documents]
18422

Civil Aeronautics Board
NOTICES
18413 Certificates of public convenience and necessity and foreign air carrier permits

Hearings, etc.:
18412 American Airlines, Inc.
18413 Boston-London service case
18413 Dallas (Love Field)—Oklahoma City/Albuquerque Subpart Q proceeding
18414 Green Mountain Airlines, Ltd. fitness investigation
18551 Meetings; Sunshine Act (6 documents)
18552

Commerce Department
See also International Trade Administration;
Maritime Administration; National Oceanic and Atmospheric Administration.
NOTICES
Meetings:
18421 Standards Policy Interagency Committee

Commodity Credit Corporation
NOTICES
18552 Meetings; Sunshine Act

Defense Department
See also Engineers Corps.
NOTICES
Meetings:
18422 Electron Devices Advisory Group (2 documents)

Economic Regulatory Administration
NOTICES
Consent orders:
18431 Salazar Exxon et al.
18430 Worldwide Energy Corp.
Natural gas; fuel oil displacement certification applications:
18433 Los Angeles Department of Water and Power
18432 Mississippi Power and Light Co.
Powerplant and industrial fuel use; prohibition orders, exemption requests, etc.:
18423 City of Chanute, Kansas et al. (2 documents)
18425

Remedial orders:
18430 Excel Corp.

Education Office
NOTICES
Meetings:
18497 National Demonstrations for the New Concept Special Services and Special Emphasis Upward Bound projects; application preparation; workshops

Employment and Training Administration
NOTICES
Comprehensive Employment and Training Act programs:
18877 Reallocations of funds; prime sponsors
Unemployment compensation; extended benefit periods:
18512 Oregon
Employment Standards Administration
NOTICES

Energy Department
See also Economic Regulatory Administration; Federal Energy Regulatory Commission; Hearings and Appeals Office, Energy Department.
NOTICES
Consent orders:
18473 Standard Oil Co. (Ohio)

Engineers Corps
NOTICES
Environmental statements; availability, etc..
18422 Roseau River flood control project, Minn.
Environmental Protection Agency
RULES
Pesticides; tolerances in animal feeds:
18374 3,5-Dimethyl-4-(methylthio) phenyl methylcarbamate; correction

Toxic substances:
18374 Inventory reporting requirements; record retention period
PROPOSED RULES
Air quality implementation plans; approval and promulgation; various States, etc..

Missouri
NOTICES
Air pollution; ambient air monitoring reference and equivalent methods applications, etc..
18474 Bendix model 8002 Ozone Analyzer

Meetings:
18474 National Air Pollution Control Techniques Advisory Committee
18474 Toxic and hazardous substances control:
18474- Premanufacture notices receipts (3 documents)

Federal Deposit Insurance Corporation
RULES
Interest on deposits:
18372 Nonmember bank's parent, bank holding company; interest rate limitations on certain obligations

Federal Emergency Management Agency
RULES
Flood insurance; special hazard areas:
18376 Illinois et al.

Federal Energy Regulatory Commission
NOTICES
Hearings, etc..
18433 Georgia Power Co.
18434 Public Service Company of Indiana, Inc.
18435 Public Service Company of Oklahoma
18435 Virginia Electric and Power Co.

Federal Grain Inspection Service
NOTICES
Grain standards; inspection points:

Federal Home Loan Bank Board
NOTICES
Applications, etc..
18478 Builders Federal Savings & Loan Association of Rocky Mount
18478 Cecil Federal Saving and Loan Association
18553 Meetings; Sunshine Act

Federal Maritime Commission
NOTICES
Casualty and nonperformance, certificates:
18478 Peninsular and Oriental Steam Navigation Co.
18553 Meetings; Sunshine Act

Federal Reserve System
NOTICES
Applications, etc..
18479 Commerce Southwest, Inc.
18553 Meetings; Sunshine Act

Federal Trade Commission
NOTICES
Meetings; Sunshine Act

Fish and Wildlife Service
RULES
Fishing:
18377 Crab Orchard National Wildlife Refuge, Ill., correction
NOTICES
18492 Endangered and threatened species permits; applications (2 documents)

Food and Drug Administration
PROPOSED RULES
Human drugs:
18403 Over-the-counter drugs; antiperspirant products; reopening of administrative record
18400 Over-the-counter drugs; cold, cough, allergy, bronchodilator, and antiasthmatic products; reopening of administrative record
18401 Over-the-counter drugs; internal analgesic, antipyretic, and antirheumatic products, reopening of administrative record
18402 Over-the-counter drugs; nighttime sleep-aid and stimulant products; reopening of administrative record
18404 Over-the-counter drugs; laxative, antidiarrheal, emetic and antiemetic products; reopening of administrative record
18403 Over-the-counter drugs; skin bleaching products; reopening of administrative record
18402 Over-the-counter drugs; skin protectant products; reopening of administrative record
18403 Over-the-counter drugs; sunscreen products; reopening of administrative record
18400 Over-the-counter drugs; topical antibiotic products; reopening of administrative record
18398 Over-the-counter drugs; topical antimicrobial products; reopening of administrative record
18401 Over-the-counter drugs; topical otic products; reopening of administrative record
18402 Over-the-counter drugs; vitamin and mineral products; reopening of administrative record

NOTICES

Animal drugs, feeds, and related products:
18479 Kymar Aqueous; approval withdrawn
Food additives:
18482 National Technical Information Service; 1977 industry survey on use
Food additives, petitions filed or withdrawn:
18480 Dow Chemical Co.
18480 Takeda Chemical Industries, Ltd.
18480 Foods research plan; draft; availability
GRAS or prior-sanctioned ingredients:
18480 Arthrobacter globiformis; withdrawal
18479 Benzoic acid; withdrawal
18482 Tomato juice; identity standard; temporary permits for market testing

Food and Nutrition Service
NOTICES
Meetings:
18411 Maternal, Infant and Fetal Nutrition Advisory Council

Food Safety and Quality Service
PROPOSED RULES
18378 Canned fruits; grade standards

General Services Administration
NOTICES
Authority delegations:
18479 Defense Department Secretary

Geological Survey
NOTICES
Outer Continental Shelf:
18493 Oil and gas information program; availability of Mid-Atlantic summary report and OCS directory

Health, Education, and Welfare Department
See also Education Office; Food and Drug Administration; Health Resources Administration; Human Development Services Office; Public Health Service.
NOTICES
Organization, functions, and authority delegations:
18483 Assistant Secretary for Planning and Evaluation; national long-term care channeling demonstration program
18488 Public Affairs Office
18485, Privacy Act; systems of records (2 documents)
18487

Health Resources Administration
NOTICES
Health service areas:
18490 Population determinations

Hearings and Appeals Office, Energy Department
NOTICES
Applications for exception:
18463- Cases filed (2 documents)
18465

18466- Decisions and orders (3 documents)
18473

Housing and Urban Development Department
PROPOSED RULES
Low income housing:
18404 Housing assistance payments (Section 7); small cities program; transmittal to Congress

Human Development Service Office
NOTICES
Grant applications and proposals; closing dates:
18884 Crosscutting research and demonstration projects
Meetings:
18463 White House Conference on Aging National Advisory Committee (1981); room number correction

Interior Department
See Fish and Wildlife Service; Geological Survey; Land Management Bureau; National Park Service.

International Trade Administration
NOTICES
Antidumping:
18416 Portable electric typewriters from Japan
Countervailing duty petitions and preliminary determinations:
18414 Dextrines, etc. from European Community
18415 Melamine in crystal form from Italy
18419 Wool top from Australia

Interstate Commerce Commission
NOTICES
18495 Hearing assignments
18498 Motor carrier, broker, water carrier, and freight forwarder transfer applications

Motor carriers:
18495- Finance applications (2 documents)
18497
18499 Middlewest Motor Freight Bureau; general rate increase proceeding

18499 Petitions, applications, finance matters (including temporary authorities), alternate route deviations, Intrastate applications, gateways, and pack and crate

Justice Department
See also Law Enforcement Assistance Administration.
PROPOSED RULES
18890 Voting Rights Act; administration procedures

Labor Department
See also Employment and Training Administration; Employment Standards Administration; Mine Safety and Health Administration; Pension and Welfare Benefit Programs Office.
NOTICES
Adjustment assistance:
18516 Allied Products Corp.
18516 Avtex Fibers, Inc.
18515 Aretta Hall Manufacturing Co. et al.
18517 Eaton Corp. et al.
18513 Garment Makers, Inc., et al.
18520 Success Leather, Inc. et al.
Land Management Bureau
RULES
18375
Oil and gas leases; operations and production; suspension authority
NOTICES
Applications, etc.: Wyoming
18491
Environmental statements; availability, etc.
18491
Outer Continental Shelf; Eastern Gulf of Alaska; oil and gas lease sale
Wilderness areas; characteristics, inventories, etc.
18492
Minnesota

Law Enforcement Assistance Administration
NOTICES
18880
National Priority Program and Discretionary Program; proposed capacity building guideline; inquiry

Maritime Administration
NOTICES
18419
Applications, etc., American Heavy Lift Shipping Co.
Trustees; applicants approved, disapproved, etc.
18420
Alaska National Bank of the North
18420
Bank of Oklahoma

Mine Safety and Health Administration
NOTICES
18513
Petitions for mandatory safety standard modifications:
Ideal Basic Industries, Inc.
18513
Renee Energy, Ltd.

National Aeronautics and Space Administration
NOTICES
18523
Kennedy Space Center
Meetings:
18523
Space and Terrestrial Applications Advisory Committee

National Credit Union Administration
NOTICES
18553
Meetings; Sunshine Act

National Oceanic and Atmospheric Administration
PROPOSED RULES
18409
Fishery conservation and management:
Salmon fisheries, commercial and recreational, off coast of Wash., Oreg., and Calif.; meetings
NOTICES
Marine mammal permit applications, etc.
18420
Alaska Department of Fish and Game
Meetings:
18420
Mid-Atlantic Fishery Management Council
18420
Pacific Fishery Management Council
18421
Western Pacific Fishery Management Council

National Park Service
NOTICES
18449
Concession permits, etc.
18494
Great Smoky Mountains National Park
Meetings:
18494
Boston National Historical Park (2 documents)
18494
Gateway National Recreation Area Advisory Commission
18494
Upper Delaware Citizens Advisory Council

Nuclear Regulatory Commission
RULES
18370
Export and import of nuclear equipment and materials:
Minor-quantities export; licensing requirements
NOTICES
Applications, etc.,
18524
Umon Electric Co.
18553
Meetings; Sunshine Act (2 documents)
18554

Pension and Welfare Benefit Programs Office
NOTICES
18520
Prohibition on transactions; exemption proceedings,
18522
application, hearings, etc. (2 documents)

Personnel Management Office
RULES
18365
Examining system; training; grade and pay retention provisions
NOTICES
18524
Part-time employment; internal rules

Public Health Service
NOTICES
18490
Medicare coverage programs; technology evaluation

Securities and Exchange Commission
NOTICES
18554
Meetings; Sunshine Act
Self-regulatory organizations; proposed rule changes:
18554
A. G. Capital Corp.
18545
Capital Investors & Management Corp.
18545
Divest Ltd.
Disaster areas:
18545
Arizona
18546
Pennsylvania
18546
Washington

Small Business Administration
NOTICES
Applications, etc.,
18545
A. G. Capital Corp.
18545
Capital Investors & Management Corp.
18545
Divest Ltd.
Disaster areas:
18545
Arizona
18546
Pennsylvania
18546
Washington

Soil Conservation Service
NOTICES
Environmental statements; availability, etc.
18412
Elm Creek (1250) Watershed, Tex.
18412
Upper Crooked Creek Watershed, Ark.
18412
Upper Culottes Bay Watershed, Ark.
TRADE REPRESENTATIVE, OFFICE OF UNITED STATES
NOTICES
18547 Copper; international price stabilization measures; hearing
18548 Import quotas: Synthetic fiber; hearings
18547 International trade agreements (Tokyo Round); determinations

TREASURY DEPARTMENT
See also Alcohol, Tobacco and Firearms Bureau.
NOTICES
18660 Privacy Act; systems of records; annual publication

VETERANS ADMINISTRATION
PROPOSED RULES
18406 National cemeteries; disinterments
NOTICES
18549 Environmental statements; availability, etc.: Bath, N.Y.; 260 bed domiciliary
18548 National Memorial Cemetery of the Pacific, Honolulu, Hawaii
Meetings: Medical Research Service Merit Review Boards

WAGE AND PRICE STABILITY COUNCIL
RULES
18365 Price-change information; reporting requirements; dollar threshold reduced (Questions and Answers)

ENVIRONMENTAL PROTECTION AGENCY
18474 National Air Pollution control Techniques Advisory Committee, 4-16 and 4-17-80

HEALTH, EDUCATION, AND WELFARE DEPARTMENT
EDUCATION OFFICE—
18487 National Education Projects, Upward Bound and Special Services for Disadvantaged Students, Workshops, 4-14 and 4-18-80

INTERIOR DEPARTMENT
NATIONAL PARK SERVICE—
18493 Boston National Historic Park, Navy Yard, 4-1 and 4-2-80
18493 Boston National Historical Park, Navy Yard, 4-10-80
18494 Gateway National Recreation Area Advisory Commission, 4-16-80

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION
18523 NASA Advisory Council, Space and Terrestrial Applications Advisory Committee, Ad Hoc Informal Advisory Subcommittee on Agriculture, Land Cover and Hydrology, 4-7 and 4-8-80

UPPER DELAWARE CITIZENS ADVISORY COUNCIL
18494 Meeting, 4-11-80

VETERANS ADMINISTRATION
18549 Medical Research Service Merit Review Boards, Various Committees, April and May

CHANGED MEETINGS

HEALTH, EDUCATION, AND WELFARE DEPARTMENT
HUMAN DEVELOPMENT SERVICES OFFICE—
18483 White House Conference on Aging, National Advisory Committee, 3-24 and 3-25-80 [location change]

HEARINGS

UNITED STATES TRADE REPRESENTATIVE
18548 Synthetic Fiber Quotas, 4-3-80, written briefs by 4-1-80, written requests to present oral testimony 3-28-80
18547 Trade Policy Staff Committee, 4-11-80
**CFR PARTS AFFECTED IN THIS ISSUE**

A cumulative list of the parts affected this month can be found in the Reader Aids section at the end of this issue.

<table>
<thead>
<tr>
<th>CFR</th>
<th>Proposed Rules</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>5 CFR</td>
<td>337, 410</td>
<td>18365</td>
</tr>
<tr>
<td>6 CFR</td>
<td>700</td>
<td>18365</td>
</tr>
<tr>
<td>7 CFR</td>
<td>354, 722, 730, 791, 828</td>
<td>18367</td>
</tr>
<tr>
<td>8 CFR</td>
<td>982, 2852</td>
<td>18369</td>
</tr>
<tr>
<td>9 CFR</td>
<td>51</td>
<td>18370</td>
</tr>
<tr>
<td>10 CFR</td>
<td>110</td>
<td>18370</td>
</tr>
<tr>
<td>12 CFR</td>
<td>329</td>
<td>18372</td>
</tr>
<tr>
<td>21 CFR</td>
<td>193, 651</td>
<td>18374</td>
</tr>
<tr>
<td>24 CFR</td>
<td>570</td>
<td>18404</td>
</tr>
<tr>
<td>28 CFR</td>
<td>51</td>
<td>18405</td>
</tr>
<tr>
<td>32 CFR</td>
<td>820</td>
<td>18374</td>
</tr>
<tr>
<td>Proposed Rules</td>
<td>692</td>
<td>18405</td>
</tr>
<tr>
<td>38 CFR</td>
<td>1</td>
<td>18406</td>
</tr>
<tr>
<td>40 CFR</td>
<td>710</td>
<td>18374</td>
</tr>
<tr>
<td>Proposed Rules</td>
<td>52</td>
<td>18407</td>
</tr>
<tr>
<td>43 CFR</td>
<td>3100</td>
<td>18375</td>
</tr>
<tr>
<td>44 CFR</td>
<td>65</td>
<td>18376</td>
</tr>
<tr>
<td>50 CFR</td>
<td>33</td>
<td>18377</td>
</tr>
<tr>
<td>Proposed Rules</td>
<td>681</td>
<td>18409</td>
</tr>
</tbody>
</table>
This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect, most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510. The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each month.

OFFICE OF PERSONNEL MANAGEMENT

5 CFR Parts 337 and 410

Examining System; Training

AGENCY: Office of Personnel Management.

ACTION: Final rule.

SUMMARY: The Civil Service Reform Act of 1978 contains certain grade and pay retention provisions. The legislation authorized the Office of Personnel Management to prescribe regulations to require agencies to take several remedial actions, including steps to assure that affected employees can acquire qualifications for selection to other positions. This document implements these statutory provisions.

EFFECTIVE DATE: April 21, 1980.


ADDITIONAL INFORMATION: On September 7, 1979, the Office of Personnel Management published proposed regulations to implement this section of the Civil Service Reform Act (44 FR 52217) and invited comments from the public. Comments were received from one labor organization. Those comments suggested clarification of regulations pertaining to crediting experience for employees entitled to retained grade and modification of regulations on training of retained grade employees to allow preparation for positions with higher career ladders than the employees' original positions. The change clarifying regulations pertaining to crediting experience was made as suggested. The suggested modification on training was not adopted because it would have authorized training beyond that intended by the Civil Service Reform Act (Pub. L 95-454).

OPM has determined that this is a significant regulation for the purposes of E.O. 12044.

Office of Personnel Management

Beverly M. Jones,
Issuance System Manager.

Accordingly, the Office of Personnel Management is amending Title 5, Code of Federal Regulations, as follows:

PART 337—EXAMINING SYSTEM

(1) Section 337.102 is added to read as follows:

§ 337.102 Evaluating qualifications for employees who are in a retained grade.

(a) Employees who are in a retained grade must have the experience they gain subsequent to the downgrading action that placed them in a retained grade considered in the following manner. For placements during the period the employee is in a retained grade, agencies must consider the experience subsequent to the downgrading action to be either: (1) At the level of the retained grade and in the series of the position which he or she occupied at the time of the downgrading; or (2) At the grade and in the series of the position to which the employee was downgraded.

(b) Agencies must consider which experience to consider on the basis of which will most likely result in placement. For placements or promotions after the retained grade period, the experience is considered in the following manner. For placements during the period the employee is in a retained grade, agencies must consider the experience subsequent to the downgrading action to be either: (1) At the level of the retained grade and in the series of the position which he or she occupied at the time of the downgrading; or (2) At the grade and in the series of the position to which the employee was downgraded.

PART 410—TRAINING

(2) Part 410, Subpart E is amended by revising § 410.503 to read as follows:

§ 410.503 General prohibitions, training through non-Government facilities.

(a) An agency may not authorize the training of an employee through a non-Government facility for the purpose of making a promotion if another employee within the agency is:

(1) Equal in ability and suitability;

(2) Fully qualified to fill the position; and

(3) Available within a reasonable distance from the position location.

(b) To assure adherence to the constraint of paragraph (a) of this section, the agency head shall establish policies and procedures he or she considers reasonable and necessary relating to areas of consideration and to determinations concerning:

(1) Ability;

(2) Suitability;

(3) Availability;

(4) Qualifications; and

(5) Geographic location.

(c) An exception to the constraint of paragraph (a) is granted for training an employee under the authority of 5 U.S.C. 5364.

(d) An exception to 5 U.S.C. 4107(c)(1) is provided to employees trained under the authority of 5 U.S.C. 5364 if:

(1) The career ladder of the new occupation does not provide a greater potential for promotion than the occupation from which the employee was downgraded; and

(2) The new position is at or below the employee's retained grade.


COUNCIL ON WAGE AND PRICE STABILITY

6 CFR Part 706

Amendments to Procedural Rules, Questions and Answers

AGENCY: Council on Wage and Price Stability.

ACTION: Interim final rule, with request for comments.

SUMMARY: To implement the decision of the President to expand the number of companies that periodically report price-change information, the Council is amending several sections of its procedural rules to lower the dollar threshold for formal reporting from $250 million to $100 million in annual net sales or revenues. The Council is also amending its rules to provide that compliance units that had, or are part of companies that had net sales or revenues of $100 million or more should request approval for exceptions under Section 706.31. The Council is also issuing a Question and Answer regarding self-administration of
exceptions by companies in the $100 million-$250 million range before the effective date of these changes.

DATES: The effective date is March 14, 1980; comments may be submitted by April 21, 1980.

ADDRESS: Written comments should be sent to Office of General Counsel, Council on Wage and Price Stability, 600 17th Street, N.W., Washington, D.C. 20506.

FOR FURTHER INFORMATION CONTACT:
Office of Price Monitoring
Metals, Machinery and Equipment—Eugene Roberts: 456-7764.

Office of General Counsel
George R. Johnson, Jr.: 456-6286.
Charles A. Samuel: 456-6286.

SUPPLEMENTARY INFORMATION: On March 14, 1980, the President announced several wage and price actions as part of his anti-inflation program. One of these actions was to reduce the threshold for advance approval of exceptions from $250 million to $100 million in annual net sales or revenues. These changes to Part 706 implement the President's decision.

Compliance units meeting the new dollar reporting thresholds are asked to submit Form CO-1 (Price) by May 1, 1980, and Form PM-1 (Second Program Year) by May 15, 1980. In addition, the Questions and Answers III-C-13 issued today makes clear that, notwithstanding the provision lowering the threshold for advance approval of exceptions from $250 million to $100 million, the Council is not requesting companies in the $100 million-$250 million range to seek ratification of any exceptions that were self-administered before March 14, 1980. Other Q's & A's are amended to conform with these changes.

The Council is publishing these materials on an interim final basis since compliance units with net sales or revenues of $100 million to $250 million have a need to know what actions to take under the standards and the procedural rules. The Council has provided a 30-day comment period rather than the customary 60-day period, since it is important to receive any public comments as soon as possible so that any changes may be made rapidly. Companies may rely fully on these interim provisions unless and until they are modified. Since companies in the $100 million to $250 million range were already within the purview of the anti-inflation program and all that is now requested is the filing of periodic reports, these changes are not significant regulations which are expected to have major economic consequences for the general economy within the meaning of Executive Order 12044.

The Council is also issuing the following

706.31  Who should request approval.

(a) Any compliance unit or employee unit that intends to apply one or more of the exceptions specified in § 706.32 other than the tandem exception under Section 705B-9 should request a determination from the Council that the exception is warranted, if:

(1) The request relates to the price standard and the compliance unit had, or is part of a company that had net sales or revenues of $100 million or more in its last complete fiscal year prior to October 2, 1979; or

(2) The request relates to the pay standard, and (i) the affected employee unit consists of 100 or more employees in a compliance unit with (or that is part of a company with) 1,000 or more employees, or (ii) the affected collective-bargaining agreement covers 1,000 or more employees, regardless of the number of employees in an individual company's employee units.

The Council is also issuing the following Question and Answer III-C-13 to read as follows:

Q13. Notwithstanding Q & A III-C-7, if a company self-administered a hardship exception when it was not required to obtain advance approval, and it is now requested to obtain approval under amended § 706.31(a), should it seek ratification of the exception?

A. No. However, the company should notify the Council that it has self-administered an exception and maintain supporting documentation to be made available to the Council on request.

The Council is also amending the following Q's & A's (III-B-7; III-B-8; III-B-11; III-B-13; III-B-16; and III-C-1) which were published on November 21, 1979 (44 FR 67060) changing $250 million to $100 million in each case.

Finally, the Council is amending the Second-Year Price Standards Implementation Guide published January 16, 1980, (45 FR 3217) by changing $250 million to $100 million at 45 FR 3232, column 1.

[FR Doc. 80-6433 Filed 3-19-80; 12:58 pm]
BILLING CODE 3175-01-M
Further, this final rule has not been designated as "significant," and is being published in accordance with the emergency procedures in Executive Order 12044 and Secretary's Memorandum 1955. It has been determined by Harvey L. Ford, Deputy Administrator, Plant Protection and Quarantine Programs, Animal and Plant Health Inspection Service, that the emergency nature of this final rule warrants publication without opportunity for public comment or preparation of an impact analysis statement at this time.

This final rule implements the regulations in Part 354. It will be scheduled for review in conjunction with the periodic review of the regulations in that Part required under the provisions of Executive Order 12044 and Secretary's Memorandum 1955.

Done at Washington, D.C., this 14th day of March 1980.

Harvey L. Ford,
Deputy Administrator, Plant Protection and Quarantine Programs Animal and Plant Health Inspection Service.

[FR Doc. 80-3957 Filed 3-25-80; 8:45 am]
BILLING CODE 3410-34-M

Agricultural Stabilization and Conservation Service

7 CFR Part 722

Cotton, 1980 Crop of Extra Long Staple Cotton; Acreage Allotments and Marketing Quotas

AGENCY: Agricultural Stabilization and Conservation Service, Department of Agriculture.

ACTION: Final rule.

SUMMARY: The purpose of this rule is to (1) determine and proclaim an increase in the national marketing quota and the national acreage allotment for the 1980-crop of extra long staple cotton (hereafter referred to as "ELS" cotton) and (2) apportion the revised national acreage allotment to the States. An investigation conducted pursuant to section 371(b) of the Agricultural Adjustment Act of 1938, as amended (hereafter referred to as the "Act") established that an increase in the national marketing quota and national acreage allotment is needed because of a material increase in export demand for ELS cotton.

EFFECTIVE DATE: March 20, 1980.

ADDRESS: Production Adjustment Division, ASCS, USDA, 3630 South Building, P.O. Box 2415, Washington, D.C. 20033.
FOR FURTHER INFORMATION CONTACT:
Charles V. Cunningham (ASCS) 202-447-7673.

SUPPLEMENTARY INFORMATION: On October 15, 1979, the Department announced a national marketing quota of 137,000 bales and a national acreage allotment of 122,077 acres for the 1980 crop of ELS cotton (44 FR 60255). On February 22, 1980, the Department announced that an investigation was being conducted pursuant to section 371(b) of the Act to determine whether, because of a material increase in export demand, an increase in the announced national marketing quota and the national acreage allotment is needed and, if so, the amount of the increase (45 FR 11858).

Exports of ELS cotton have materially increased in recent years. Exports averaged only 9,000 bales per year during the 5 marketing years 1972-73 through 1976-77. Exports increased to 25,000 bales during 1977-78, to 30,000 bales in 1978-79 and are projected to increase to 40,000 bales in 1979-80.

The investigation established that supplies, even under normal growing conditions, would not meet material increases in export demand and, in fact, the level of exports would not even be maintained if the projected 1979-80 level during the 1980-81 season unless the marketing quota and acreage allotment are increased by 24,000 bales and 20,000 acres, respectively.

The carryover of ELS cotton on August 1, 1980, is projected at 41,000 bales—a level below that generally considered desirable. (Given the higher export volume of recent years, the desirable carryover level is 60,000 to 60,000 bales). If the yield per acre is about average for 1980, and the national allotment is not increased, both exports and domestic consumption would likely decline by around 5,000 bales each and imports would increase by about 5,000 bales, leaving an ending stock on July 31, 1981, of 70,000 bales. Below normal yields would result in even further reduction in exports and domestic use and larger imports.

A marketing quota of 161,000 bales (converted to an acreage allotment of 131,652 acres based on the average yield per planted acre during the 4 years 1975 through 1978) is needed to sustain exports at 40,000 bales and still provide minimum stock levels of about 40,000 bales.

Two comments were received as a result of the investigation. Both respondents recommended that the national acreage allotment be increased 20,000 acres.

After considering the comments and the results of the investigation, it is determined that pursuant to Section 371(b) of the Act, the national marketing quota for the 1980 crop of ELS cotton should be increased to 161,000 bales and that the national acreage allotment should be increased to 131,652 acres, because of a material increase in export demand. Accordingly, as provided for in Section 371(c) of the Act, each farm marketing quota or acreage allotment for ELS cotton shall be increased in the same ratio.

It is essential that these provisions be made effective as soon as possible since ELS producers are now making their production and marketing plans for the 1980 season. Accordingly, it is hereby found and determined that compliance with the 30-day effective date requirement of 5 U.S.C. 553 and Executive Order 12044 is impracticable and contrary to the public interest.

Therefore, this revision to the regulations at 7 CFR 722.558 and 722.560 shall become effective upon the filing of this document with the Director, Office of the Federal Register, with respect to the 1980 crop of ELS cotton.

Final Rule
PART 722—COTTON

Accordingly, the provisions of 7 CFR 722.558 through 722.560 are revised to read as follows:

§ 722.558 National marketing quota for the 1980 crop of ELS cotton.

The marketing quota for the 1980 crop of ELS cotton is hereby proclaimed to be an amount of 161,000 standard bales determined in accordance with Section 347(b) of the Agricultural Adjustment Act of 1938, as amended (hereafter referred to as the "Act"). The quota is based on the following data:

(a) Estimated domestic consumption, 1980-81

(b) Plus estimated imports, 1980-81

(c) Plus estimated adjusted stocks

(d) Less estimated exports, 1980-81

Total

= 161,000

§ 722.559 National acreage allotment for the 1980 crop of ELS cotton.

It is hereby determined and proclaimed that a national acreage allotment shall be in effect for the 1980 crop of ELS cotton. The amount of such national allotment is estimated, the national marketing quota in bales by 480 pounds (net weight of a standard bale) and dividing the result by the national average yield of 587 pounds per planted acre of ELS cotton for the four calendar years 1975, 1976, 1977 and 1978.

The national acreage allotment of 131,652 acres is apportioned to the States in accordance with Section 344(b) of the Act as follows:

<table>
<thead>
<tr>
<th>State</th>
<th>State Allotment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arizona</td>
<td>57,003</td>
</tr>
<tr>
<td>California</td>
<td>574</td>
</tr>
<tr>
<td>Florida</td>
<td>159</td>
</tr>
<tr>
<td>Georgia</td>
<td>172</td>
</tr>
<tr>
<td>New Mexico</td>
<td>20,057</td>
</tr>
<tr>
<td>Texas</td>
<td>47,027</td>
</tr>
</tbody>
</table>

(See 301, 347, 347, 347, 375; 52 Stat. 38, as amended; 63 Stat. 760, as amended; 63 Stat. 675, as amended; 63 Stat. 68, as amended; (7 U.S.C. 1301, 1343, 1344, 1347, 1376)

Note—This final rule has been reviewed under the USDA criteria established to implement Executive Order 12044, "Improving Government Regulations." A determination has been made that this action should not be classified "significant" under those criteria. A Final Impact Statement has been prepared and is available from Charles V. Cunningham, ASCS, 202-447-7673.

Signed at Washington, D.C., on March 14, 1980.

Bob Bergland
Secretary of Agriculture.

(F) Doc. 80-981 Filed 3-20-80 0.44 am
BILLING CODE 3410-05-M
7 CFR Part 730
1980 Crop Rice Marketing Quotas and Acreage Allotments

AGENCY: Agricultural Stabilization and Conservation Service, Department of Agriculture.

ACTION: Final rule.

SUMMARY: This action is being taken in accordance with the provisions of Section 352 of the Agricultural Adjustment Act of 1938, as amended, which requires the Secretary of Agriculture to do the following: (1) Determine and proclaim a national rice acreage allotment (2) apportion the national rice acreage allotment to administrative areas for farms and producers; and (3) establish the rice allotment for the farm (or in producer administrative areas, the producer allotments allocated to the farm) which will be used to determine loan eligibility and to compute deficiency payments if necessary.

DATES: This regulation shall be effective for the 1980 crop of rice on March 20, 1980.

FOR FURTHER INFORMATION CONTACT: George H. Schaefer, (ASCS) (202) 447-8480, P.O. Box 2415, Washington, D.C. 20013.
§730.1502 Apportionment of the 1980 national acreage allotment of rice to farms and producers.

The national acreage allotment of 1,600,000 acres for the 1980 crop of rice was apportioned to farms and producers on the basis of the rice allotments established for the 1975 crop of rice, with any adjustments made pursuant to Section 352(c) of the Agricultural Act of 1938, as amended (referred to as the "Act"). The allotment so apportioned within each of the several rice producing States is as follows:

<table>
<thead>
<tr>
<th>State</th>
<th>Acres</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arkansas</td>
<td>435,391.2</td>
</tr>
<tr>
<td>California</td>
<td>326,912.7</td>
</tr>
<tr>
<td>Florida</td>
<td>900.8</td>
</tr>
<tr>
<td>Louisiana</td>
<td></td>
</tr>
<tr>
<td>Farm Administrative Area</td>
<td>499,337.1</td>
</tr>
<tr>
<td>Producer Administrative Area</td>
<td>19,847.2</td>
</tr>
<tr>
<td>State Total</td>
<td>517,584.3</td>
</tr>
<tr>
<td>Mississippi</td>
<td>52,812.2</td>
</tr>
<tr>
<td>Missouri</td>
<td>1,467.2</td>
</tr>
<tr>
<td>North Carolina</td>
<td>410.0</td>
</tr>
<tr>
<td>Oklahoma</td>
<td>183.2</td>
</tr>
<tr>
<td>South Carolina</td>
<td>2,722.9</td>
</tr>
<tr>
<td>Tennessee</td>
<td>208.2</td>
</tr>
<tr>
<td>Texas</td>
<td>480,258.5</td>
</tr>
<tr>
<td>U.S. Total</td>
<td>1,500,000.0</td>
</tr>
</tbody>
</table>

§730.1504 State reserve acreages.

The state reserve acreages set forth in the table in this section were established by the State committees in accordance with section 352 of the Act.

<table>
<thead>
<tr>
<th>State</th>
<th>Reserve</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arkansas</td>
<td>0</td>
</tr>
<tr>
<td>California</td>
<td>0</td>
</tr>
<tr>
<td>Florida</td>
<td>9.5</td>
</tr>
<tr>
<td>Louisiana</td>
<td>0</td>
</tr>
<tr>
<td>Farm Administrative Area</td>
<td>0</td>
</tr>
<tr>
<td>Producer Administrative Area</td>
<td>0</td>
</tr>
<tr>
<td>Mississippi</td>
<td>0</td>
</tr>
<tr>
<td>Missouri</td>
<td>0</td>
</tr>
<tr>
<td>North Carolina</td>
<td>0</td>
</tr>
<tr>
<td>Oklahoma</td>
<td>0</td>
</tr>
<tr>
<td>South Carolina</td>
<td>0</td>
</tr>
<tr>
<td>Tennessee</td>
<td>0</td>
</tr>
<tr>
<td>Texas</td>
<td>50.0</td>
</tr>
</tbody>
</table>

Note.-This regulation is issued under the USDA criteria established to implement Executive Order 12044 "Improving Government Regulations" and has been classified "significant". An Approved Final Impact Statement is available from George H. Schaefer (ASCS), (202) 447-8699.

Agricultural Marketing Service
7 CFR Part 910
[244]

Lemons Grown in California and Arizona; Limitation of Handling
AGENCY: Agricultural Marketing Service, USDA.
ACTION: Final rule.
SUMMARY: This regulation establishes the quantity of fresh California-Arizona lemons which may be shipped to market during the period March 23-29, 1980. Such action is needed to provide for orderly marketing of fresh lemons for this period due to the marketing situation confronting the lemon industry.


FOR FURTHER INFORMATION CONTACT: Malvin E. McGaha, 202-447-5975.

SUPPLEMENTARY INFORMATION: Findings. This regulation is issued under the marketing agreement, as amended, and Order No. 910, as amended (7 CFR Part 910), regulating the handling of lemons grown in California and Arizona. The agreement and order are effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601-674). The action is based upon the recommendations and information submitted by the Lemon Administrative Committee, and upon other information. It is hereby found that this action will tend to effectuate the declared policy of the act.

This action is consistent with the marketing policy for 1979-80 which was designated significant under the procedures of Executive Order 12044. The marketing policy was recommended by the committee following discussion at a public meeting on July 61, 1979. A final impact analysis on the marketing policy is available from Malvin E. McGaha, Chief, Fruit Branch, F&V, AMS, USDA, Washington, D.C. 20250, telephone 202-447-5975.

The committee met again publicly on March 18, 1980, at Los Angeles, California, to consider the current and prospective conditions of supply and demand and recommended a quantity of lemons deemed advisable to be handled during the specified week. The committee reports the demand for lemons is easier.

It is further found that there is insufficient time between the date when information became available upon which this regulation is based and when the action must be taken to warrant a 60 day notice.

It is hereby found that the action will tend to effectuate the declared policy of the act.

It is hereby found that there is insufficient time between the date when information became available upon which this regulation is based and when the action must be taken to warrant a 60 day notice.

§730.1502 National acreage allotment for the 1980 crop of rice.

It is hereby determined and proclaimed that a national acreage allotment of 1,600,000 acres shall be in effect for the 1980 crop of rice.

§730.1504 State reserve acreages.

The state reserve acreages set forth in the table in this section were established by the State committees in accordance with section 352 of the Act.

<table>
<thead>
<tr>
<th>State</th>
<th>Reserve</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arkansas</td>
<td>0</td>
</tr>
<tr>
<td>California</td>
<td>0</td>
</tr>
<tr>
<td>Florida</td>
<td>9.5</td>
</tr>
<tr>
<td>Louisiana</td>
<td>0</td>
</tr>
<tr>
<td>Farm Administrative Area</td>
<td>0</td>
</tr>
<tr>
<td>Producer Administrative Area</td>
<td>0</td>
</tr>
<tr>
<td>Mississippi</td>
<td>0</td>
</tr>
<tr>
<td>Missouri</td>
<td>0</td>
</tr>
<tr>
<td>North Carolina</td>
<td>0</td>
</tr>
<tr>
<td>Oklahoma</td>
<td>0</td>
</tr>
<tr>
<td>South Carolina</td>
<td>0</td>
</tr>
<tr>
<td>Tennessee</td>
<td>0</td>
</tr>
<tr>
<td>Texas</td>
<td>50.0</td>
</tr>
</tbody>
</table>

Note.-This regulation has been reviewed for the need to satisfy the statutory requirements as provided for in Section 352 of the Agricultural Adjustment Act of 1938, as amended (referred to as the "Act").

Section 352 of the Act requires that the Secretary establish for each of the 1978 through 1981 crops of rice a national acreage allotment in the amount of 1,600,000 acres for each year.

Section 352 also provides that the Secretary shall apportion the 1,600,000 acres to farmers and producers on the basis of allotments established for the 1975 crop of rice. State committees may reserve up to one percent of the State allotment for new farmers and for adjustments and corrections.

Since farmers make plans for their rice crops well in advance of actual plantings, and since these determinations and proclamations are prescribed in the statute, it is of the utmost importance that farmers be notified of their 1980 producer and farm rice acreage allotments as soon as possible.

Therefore, it is determined that compliance with the public ruling requirements of 5 U.S.C. 553 and Executive Order 12044 is impracticable and contrary to the public interest.

Final Rule

Accordingly, the regulations at 7 CFR 730.1502 through 730.1504, the table of contents, and the title of the subpart, are amended to read as follows: (1) The table of contents is revised to read as follows:

Subpart—1980-81 Marketing Year

Proclamations and Determinations with respect to Marketing Quota and National Acreage Allotment for 1980 Crop Rice, and Apportionment of 1980 National Acreage Allotment of Rice Among the Several States

Sec. 730.1503 National acreage allotment for the 1980 crop of rice.

It is hereby determined and proclaimed that a national acreage allotment of 1,600,000 acres shall be in effect for the 1980 crop of rice.
day comment period as recommended in E.O. 12044, and that it is impracticable and contrary to the public interest to give preliminary notice, engage in public rulemaking, and postpone the effective date until 30 days after publication in the Federal Register (5 U.S.C. 553). It is necessary to effectuate the declared purposes of the act to make these regulatory provisions effective as specified, and handlers have been apprised of such provisions and the effective time.

§ 910.544 Lemon Regulation 244.

Order. (a) The quantity of lemons grown in California and Arizona which may be handled during the period March 23, 1980, through March 29, 1980, is established at 250,000 cartons.

(b) As used in this section, "handled" and "carton(s)" mean the same as defined in the marketing order.


Dated: March 20, 1980.

D. S. Kuryloski,
Deputy Director, Fruit and Vegetable Division.

[FR Doc. 80-6603 Filed 3-30-80; 11:45 am]

BILLING CODE 3410-02-M

7 CFR Part 928

Papayas Grown in Hawaii: Suspension of Grade and Size Requirements

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Final rule.

SUMMARY: This amendment suspends grade and size requirements for papayas handled to destinations within the production area during the period March 17 through April 1, 1980. This action is necessary to effectuate the declared policy of the act.

EFFECTIVE DATE: March 17, 1980

FOR FURTHER INFORMATION CONTACT: Malvin E. McGaha, 202-447-5075.

SUPPLEMENTARY INFORMATION: Findings.

This amendment is issued under the marketing agreement and Order No. 928 (7 CFR Part 928), regulating the handling of papayas grown in Hawaii. The agreement and order are effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601-674). The action is based upon the recommendation and information submitted by the Papaya Administrative Committee, and upon other information. This action would tend to effectuate the declared policy of the act.

The committee reports recent heavy rains and gale force winds in the production area have reduced available supplies of papayas. Therefore, the committee has recommended suspension of grade and size requirements of papayas handled to destinations within the production area during the period March 17 through April 1, 1980. This action would increase supplies available to meet strong demand and would permit growers to market a larger proportion of the crop. Increased supplies of papayas are anticipated at the end of the period of suspension. Quality requirements currently in effect April 2 through December 31, 1980, would remain unchanged.

It is further found that it is impracticable and contrary to the public interest to give preliminary notice, engage in public rulemaking, and postpone the effective date of this amendment until 30 days after publication in the Federal Register (5 U.S.C. 553) in that the time intervening between the date when information upon which this amendment is based became available and the time when this amendment must become effective in order to effectuate the declared policy of the act is insufficient; and this amendment relieves restrictions on the handling of papayas grown in Hawaii.

Further, in accordance with procedures in Executive Order 12044, the emergency nature of this amendment warrants publication without opportunity for further public comment. The amendment has not been classified significant under USDA criteria for implementing the Executive Order. An Impact Analysis is available from Malvin E. McGaha, Chief, Fruit Branch, F&V, AMS, USDA, Washington, D.C. 20250, telephone (202) 447-5975.

§ 928.310 [Amended]

In § 928.310 (Papaya-Regulation 10; 44 FR 77134) subparagraph (1) of paragraph (a) is amended by changing "January 1" to "April 2".


D. S. Kuryloski,
Deputy Director, Fruit and Vegetable Division, Agricultural Marketing Service.

[FR Doc. 80-6603 Filed 3-30-80; 11:45 am]

BILLING CODE 3410-02-M

NUCLEAR REGULATORY COMMISSION

10 CFR Part 110

Export and Import of Nuclear Equipment and Material; Export of Certain Minor Quantities of Nuclear Material

AGENCY: U.S. Nuclear Regulatory Commission.

ACTION: Final rule.

SUMMARY: The Nuclear Regulatory Commission is amending its regulations by simplifying its licensing requirements for the export of certain quantities of nuclear material which do not have significance from a nuclear proliferation perspective. The amendments establish simplified general licensing provisions for gram quantities of special nuclear material (SNM) and certain kinds of source and byproduct material. The amendments, which reflect public comments, are the result of an extensive review by the Commission and the Executive Branch aimed at updating and streamlining NRC regulations to permit the expedited export of nuclear material where no significant nuclear proliferation risks are involved. This final rule leaves unchanged the general license for the export of americium-241. Revisions to that general license will be proposed in a separate rulemaking proceeding.

EFFECTIVE DATE: April 21, 1980.


SUPPLEMENTARY INFORMATION: On May 9, 1978, NRC published in the Federal Register (43 FR 19801) a proposal to amend 10 CFR Part 110. The proposed amendments would simplify licensing requirements for the export of certain minor quantities of nuclear material. The proposed amendments would establish a new general license for the export of up to 3 grams of SNM when contained in sensing components installed in instruments, and for the export of plutonium-238 when contained in cardiac pacemakers.

In addition, it was proposed that authority be provided for the issuance of specific licenses to export plutonium-238, up to 3 grams of any other SNM and 100 grams or less of SNM diluted so as to be unusable for any nuclear activity relevant from the point of view of
safeguards and practically irrecoverable, other than under the terms of an agreement for cooperation with another nation.

The existing general licenses for source material would be revised to eliminate, where possible, the more restrictive application of general licenses with respect to exports to communist countries.

Because of the national security concern over the possible use of americium-241 and polonium-210 as neutron sources, the existing general licenses for these materials would be revoked.

The existing general license for byproduct material having an atomic number between 3 and 63 would be broadened to encompass exports to most communist countries. The existing licensing exemptions for commodities containing byproduct material would be eliminated, since these exemptions would no longer be needed to permit exports to those communist countries not allowed to receive exports under the existing general license. Elimination of the byproduct material exemption would also preclude the export of any material to countries against which the United States then had a comprehensive trade embargo (Cambodia, Cuba, North Korea, Southern Rhodesia and Vietnam).

Finally, the cumulative limits on the export under general license of certain forms of tritium and polonium-210 would be eliminated.

Twenty letters of comments from the public were received in response to the proposed rule. Copies of these comments have been placed in the Commission’s Public Document Room at 7171 H Street NW., Washington, D.C.

Except for the proposed deletion of the general license for americium-241, the comments were generally in support of the proposed amendments. Of the 20 commenters, 14 objected to the proposed deletion of the americium-241 general license and requested retention of at least a portion of that license. Several recommendations were also made to revise NRC’s export regulations to provide general licenses for additional items.

In addition to the public comments, the Executive Branch requested that the proposed general license for SNM contained in instruments be revised to restrict the amount of plutonium and uranium-233 in each instrument to .1 gram because of the possible national security concerns over the use of these materials.

In view of these comments, the Commission has decided on a two-stage approach. First, it has adopted those portions of the proposed amendments which were not the subject of significant criticism. Second, it will address the issue of the americium-241 general license in a revised proposed amendment to be published at a later date. The requests for additional general licenses will also be considered in this separate rule making proceeding.

With respect to the amendments which follow, the resolution of the comments received is as follows:

1. Section 110.22 Export of special nuclear material. The quantity of plutonium and uranium-233 permitted to be exported has been limited to .1 gram per instrument. This general license has also been clarified to specify that (a) the 3 gram and .1 gram limitations apply to the quantity of SNM contained in each instrument, and not to individual shipments; and (b) that there is no quantity limitation for the americium-241 contained in cardiac pacemakers.

2. Section 110.24 Export of byproduct material (formerly numbered § 110.21). As noted above, the general license for americium-241 has not been revoked (§ 110.24(e)).

3. The general license for tritium in luminous safety devices installed in aircraft has been retained. The proposed amendments inadvertently would have required a specific license for 100 curies or more of tritium in such devices on a single aircraft.

4. One commenter requested clarification as to whether an export license could be issued authorizing several shipments over a period of a year or more. Section 110.30(d) of Part 110 speaks clearly to this matter.

5. Pursuant to Executive Order 12183 of December 19, 1979, the embargo of exports to Zimbabwe-Rhodesia has been deleted.

6. In addition, editorial amendments or corrections have been made in §§ 110.22 through 110.23 and § 110.44. It should also be noted that § 110.33 is deleted. This deletion was discussed in the statement of considerations accompanying the proposed rule but was inadvertently not included in the text of the proposed amendments. Also, in § 110.23, the general license to export tritium contained in labeled organic compounds has been changed to include tritium in inorganic compounds as well. The paragraph concerning reporting requirements (included in the proposed rule as § 110.23) has also been deleted. These requirements were necessary because of international export-control procedures subscribed to by the United States. However, due to a recent change in these procedures, reporting is no longer required.

The Commission has concluded that the amendments, including the exemption in § 110.44(a)(1), are not Inimical to the common defense and security, do not constitute an unreasonable risk to the public health and safety, and will not result in any activity that adversely affects the environment. Furthermore, the amendments are consistent with the provisions of the Atomic Energy Act of 1954, as amended by the Nuclear Nonproliferation Act of 1978, and do not conflict with the safeguards criteria of the International Atomic Energy Agency.

The amendments are not inconsistent with the obligations of the United States under any treaty or international arrangement, including the Treaty on the Non-Proliferation of Nuclear Weapons.

Commissioner Bradford non-concurred in the proposed amendments exempting minor quantities from the Atomic Energy Act’s Agreement for Cooperation requirement. He believes that the amendments are probably illegal under the Atomic Energy Act and would not approve them without Congressional action. Commissioner Bradford also non-concurred in the staff conclusion that general licenses could be exempted from the requirement of Section 128.

Since the amendments will not result in any increased requirements or expense to the public, the Commission has not prepared a separate value-impact analysis. Based on past licensing actions, the amendments should reduce the number of specific export licenses issued by the Commission by approximately 5 to 10 percent.

Accordingly, the following amendments to Title 10, Chapter 1, Code of Federal Regulations, Part 110, are published as a document subject to codification.

PART 110—IMPORT AND EXPORT OF NUCLEAR EQUIPMENT AND MATERIAL

§ 110.13 [Deleted and reserved]

1. Section 110.13 is deleted and reserved.

[Secs. 81, 82, Pub. L. 83-703, 66 Stat. 635 (42 U.S.C. 2111, 2112)]

2. A new § 110.22 is added to read as follows:

§ 110.22 Export of special nuclear material.

General licenses are hereby issued authorizing any person to export to any country, except those listed in § 110.23, the following:

(a) Special nuclear material contained in sensing components installed in instruments, if no more than 3 grams of enriched uranium or .1 gram of
plutonium or uranium-233 are contained in each instrument. 

(b) Plutonium containing 60 percent or more by weight of plutonium-238 in cardiac pacemakers. 


3. Section 110.23 is revised to read as follows:

§110.23 Export of source material. 
(a) General licenses are hereby issued authorizing any person to export to any country, except those listed in §110.28, the following:

1. 1 kilogram or less per shipment of unirradiated thorium or uranium other than special nuclear material, except that no person may export more than 100 kilograms per year to any one country;

2. Thorium in incandescent gas mantles; and

3. Depleted uranium in the form of shielding contained in radiographic exposure or teletherapy devices, or radioactive thermoelectric generators, if individual shipments do not exceed 1,000 kilograms.

(b) General licenses are hereby issued authorizing any person to export to any country, except those listed in §§110.27 and 110.28, the following:

1. Depleted uranium in the form of counterweights installed in aircraft, rockets, projectiles, or missiles, if the counterweights have been manufactured in accordance with a specific license issued under Part 40 of this chapter;

2. Thorium in finished aircraft engine parts, if the thorium is dispersed, in concentrations of 4 percent or less by weight, in nickel-thoria alloy and is in the form of finely divided thorium (thorium dioxide); and

3. Depleted uranium in the form of shielding contained in X-ray units or in packaging for the transportation of radioactive materials.


4. Section 110.24 is revised to read as follows:

§110.24 Export of byproduct material.

General licenses are hereby issued authorizing any person to export to any country, except those listed in §110.28, the following:

(a) Byproduct material having an atomic number from 3 to 83.

(b) Tritium contained in luminous safety devices installed in aircraft as generally licensed items under §31.7 of this chapter.

(c) Tritium and polonium-210 in individual shipments of 100 curies or less, contained in:

1. Tritium activated luminous paint;

2. Tritium labeled compounds;

3. Tritium labeled accelerator targets;

4. Polonium-210 static eliminators;

5. Calibration standards;

6. Luminescent light sources;

7. Tritium sources for chromatography instruments;

8. Electron tubes;

9. Gas and aerosol detectors; or

10. Tritium in helium-3 in a concentration of 2.5 micromoles or less per liter of helium-3.

(d) Americium-241.

(Secs. 81, 82, Pub. L. 88-703, 88 Stat. 935 (42 U.S.C. 2111, 2112)).

5. Section 110.25 is revised to read as follows:

§110.25 Export of deuterium.

A general license is hereby issued authorizing any person to export 100 liters or less per shipment of deuterium at standard temperature and pressure (90 grams of heavy water) to any country except those listed in §110.28.

6. Section 110.26 is revised to read as follows:

§110.26 Export of nuclear grade graphite.

A general license is hereby issued authorizing any person to export 100 kilograms or less per shipment of nuclear grade graphite to any country except those listed in §110.28:

Provided, that no person shall, pursuant to this general license, export more than 2,000 kilograms per year to any one country.

(Sec. 309, Pub. L. 85-242, 92 Stat. 141 (42 U.S.C. 2139))

7. Section 110.27 is revised and new §110.27 is added to read as follows:

§110.27 Restricted destinations.

(a) Albania, (b) Bulgaria, (c) Czechoslovakia, (d) German Democratic Republic (including East Berlin), (e) Hungary, (f) Mongolia, (g) Poland, (h) Romania, (i) People's Republic of China, and (j) Union of Soviet Socialist Republics.

§110.28 Embargoed destinations.

(a) Cuba, (b) Kampuchea, (c) North Korea, (d) Vietnam.

(Sec. 1911, Pub. L. 83-703, 88 Stat. 948 (42 U.S.C. 2201)).

8. In §110.44, paragraph (a)(1)(ii) is revised to read as follows:

§110.44 Issuance or denial of licenses.

(a) * * *

(1) * * *

(iii) The proposed export of a production or utilization facility or of more than 3 grams of special nuclear material would be under the terms of an agreement for cooperation, except for proposed exports of plutonium containing 80 percent or more by weight of plutonium-238, or 100 grams or less of special nuclear material which is diluted so that it is no longer usable for any nuclear activity relevant from the point of view of safeguards and is practicably irreproducible.

(Secs. 2, 3, Pub. L. 93-377, 88 Stat. 473, 475 (42 U.S.C. 2074(c), 2077(d)).


Dated at Washington, D.C., this 14th day of March 1980.

For the U.S. Nuclear Regulatory Commission.

Samuel J. Chilko, Secretary of the Commission.

FOR FURTHER INFORMATION CONTACT:

F. Douglas Birdzell, Senior Attorney, Federal Deposit Insurance Corporation.

12 CFR Part 329

Interest on Deposits; Rate Limitations

AGENCY: Federal Deposit Insurance Corporation.

ACTION: Final rule.

SUMMARY: The Board of Directors of the Federal Deposit Insurance Corporation ("Board", "FDIC") has amended its regulations covering payment of interest on deposits to impose interest rate limitations on certain obligations issued by a nonmember bank's parent bank holding company. The amendment does not apply to commercial paper issued by a bank's parent bank holding company. This action is being taken in order to facilitate the orderly administration of currently prescribed interest rate limitations.

EFFECTIVE DATE: March 17, 1980.

FEDERAL DEPOSIT INSURANCE CORPORATION

12 CFR Part 329

Interest on Deposits; Rate Limitations

AGENCY: Federal Deposit Insurance Corporation.

ACTION: Final rule.

SUMMARY: The Board of Directors of the Federal Deposit Insurance Corporation ("Board", "FDIC") has amended its regulations covering payment of interest on deposits to impose interest rate limitations on certain obligations issued by a nonmember bank's parent bank holding company. The amendment does not apply to commercial paper issued by a bank's parent bank holding company. This action is being taken in order to facilitate the orderly administration of currently prescribed interest rate limitations.

EFFECTIVE DATE: March 17, 1980.

FOR FURTHER INFORMATION CONTACT:


SUPPLEMENTARY INFORMATION: The Board has amended Part 329 (12 CFR Part 329) to apply Part 329 interest rate ceilings to certain obligations issued or guaranteed, in whole or in part, as to principal or interest by a nonmember bank's parent bank holding company. The amendment applies to any obligation, regardless of the use of the proceeds, issued in a denomination of less than $100,000 that has an original
maturity of 4 years or less or that is redeemable by the holder in periods of 4 years or less. Obligations with original maturities of 2½ years to 4 years or redeemable between 2½ years and 4 years will be subject to the ceiling rate of interest payable on the 2½ year variable ceiling time deposit.

Obligations issued in denominations of $10,000 or more with original maturities of 26 weeks to 2½ years, or redeemable in periods of 26 weeks to 2½ years, will be subject to the ceiling rate of interest payable by nonmember banks on 26-week money market time deposits of less than $100,000. In addition, obligations issued in denominations of less than $10,000 with original maturities of less than 2½ years, or redeemable in periods of less than 2½ years, will be subject to the interest rate limitations applicable to comparable obligations of nonmember banks.

With respect to obligations redeemable at specified intervals at the holder's option, the rate of interest payable on such obligations must be adjusted at the beginning of each such interval. The maximum rate of interest that may be paid for the period during the specified redemption intervals will be determined by applying the Part 329 rules in effect at the time the obligation was issued. For example, on March 17, 1980, a parent bank holding company subject to this action issues an obligation with redemption intervals between 2½ to 4 years.

The maximum rate of interest that may be paid during each redemption interval will be determined by the rule in effect as of March 17 for determining the ceiling rate of interest payable on the 2½ year variable ceiling time deposit. This rule, in the case of commercial banks, provides that a nonmember bank may pay interest at a rate of 11¾ percent or 75 basis points below the yield on 2¼ year Treasury securities, whichever is less. Consequently, the maximum rate that may be paid on the obligation during the first redemption interval is 11¾ percent. The maximum rate that may be paid during subsequent redemption intervals will be 11¾ percent or 75 basis points below the yield on 2¼ year Treasury securities, whichever is less.

This procedure for determining the maximum rate payable during each redemption interval will apply even if the rule relating to the determination of the ceiling rate of interest payable on the 2½ year variable ceiling time deposit is modified, the new rule would apply to bank holding company obligations issued on or after the effective date of the new rule.

The amendment applies only to obligations required to be registered with the Securities and Exchange Commission under the Securities Act of 1933. The amendment applies to covered obligations regardless of the use of the proceeds—i.e., even if the proceeds are not being supplied to the parent bank holding company's nonmember bank subsidiary or subsidiaries. However, if the obligation issued is subject to interest rate limitations imposed by the Federal Deposit Insurance Corporation or the Federal Home Loan Bank Board, pursuant to Pub. L. 89-597, such obligations will not be subject to the interest rate limitations imposed by this action.

The Board has concluded that regulations pertaining to the rates that may be paid on obligations issued by bank holding companies in denominations of less than $100,000 with original maturities of 4 years or less are necessary at this time in view of the impact the issuance of such obligations is likely to have on deposit flows among depository institutions. Such obligations typically are issued at rates substantially above the Part 329 ceiling rates of interest payable by nonmember banks on time deposits of comparable maturities and are competitive with consumer deposits issued by depository institutions. The Board believes that such obligations generally should be subject to the interest rate limitations imposed upon nonmember banks.

The Board's action was taken after consultation with the other Federal financial institution regulatory agencies and parallels similar action taken on March 14, 1980 by the Board of Governors of the Federal Reserve System. In order to facilitate the administration of currently prescribed deposit interest rate limitations, the Board finds that application of the notice and public participation provisions of 5 U.S.C. 553 to this action would be contrary to the public interest and that good cause exists for making the amendment effective immediately.

PART 329—INTEREST ON DEPOSITS

1. Section 329.1 of Part 329 is amended by adding a new paragraph (g) thereto as follows:

§ 329.1 Definitions.

(g) Obligations issued by the parent bank holding company of a nonmember bank. For the purposes of this Part, the "deposits" of a nonmember bank other than a mutual savings banks also include an obligation that is (1) issued in a denomination of less than $100,000; (2) required to be registered with the Securities and Exchange Commission under the Securities Act of 1933; (3) issued by a nonmember bank of a nonmember bank. The term "deposits" does not include those obligations of a bank holding company that are subject to interest rate limitations imposed pursuant to Pub. L. 89-597.

2. Section 329.6 of Part 329 is amended by adding a new subparagraph (7) to paragraph (b) thereof.

§ 329.6 Maximum rates of interest payable on time and savings deposits of insured nonmember banks that are subject to interest rate limitations imposed by the Federal Home Loan Bank Board pursuant to Pub. L. 89-597.

(7) Obligations of the parent bank holding company of a nonmember bank.

Notwithstanding the above, interest may be paid on a deposit as defined in § 323.1(g) at a rate not to exceed the following schedule:

<table>
<thead>
<tr>
<th>Original Maturity or Redemption Period and Maximum Percent</th>
</tr>
</thead>
</table>
| 2½ to 4 years—For an obligation that is not redeemable prior to maturity, interest may be paid at the rate established for 2½ year variable ceiling deposits pursuant to the provisions of § 329.6(b)(6) in effect at the time the obligation is issued.

For an obligation that is subject to interest rate limitations imposed by the Federal Home Loan Bank Board pursuant to Pub. L. 89-597, the maximum rate of interest that may be paid from the date of issuance until the first redemption date shall not exceed the rate established for 2½ year variable ceiling deposits pursuant to the provisions of § 329.6(b)(6) in effect at the time the obligation is issued. Interest may be paid during successive redemption periods at a rate not to exceed the rate that would be in effect on the first day of each such redemption period for 2½ year variable ceiling time deposits established pursuant to the provisions of § 329.6(b)(6) in effect at the time the obligation was issued.

25 weeks or more but less than 2½ years ($10,000 minimum denomination required)—For an obligation that is not redeemable prior to maturity, interest may be paid at the rate established for 25-week money market time deposits pursuant to...
the provisions of § 329.6(b)(5) in effect at the time the obligation is issued. For an obligation that is redeemable prior to maturity, the maximum rate of interest that may be paid from the date of issuance until the first redemption date shall not exceed the rate established for 26-week money market time deposits pursuant to the provisions of § 329.6(b)(5) in effect at the time the obligation is issued. Interest may be paid during successive redemption periods at a rate not to exceed the rate that would be in effect on the first day of each such redemption period for 26-week money market time deposits established pursuant to the provisions of § 329.6(b)(5) in effect at the time the obligation was issued. 30 days or more but less than 2½ years—Interest may be paid at the ceilings established pursuant to the provisions of § 329.6(b)(1) in effect at the time the obligation is issued. Less than 30 days—No interest may be paid. (Secs. 8-9 and 16, Pub. L. 81-797, 64 Stat. 681, 691, as amended (12 U.S.C. 1819 and 1828))

By Order of the Board of Directors, March 17, 1977.

Hoyle L. Robinson, Executive Secretary.

[FR Doc. 80-6766 Filed 3-20-80; 8:45 am]
BILLING CODE 6714-01-M

ENVIRONMENTAL PROTECTION AGENCY

21 CFR Parts 193 and 561
[80P–114; FRL 1440–4]

Tolerances for Pesticides, 3,5-Dimethyl-4-(Methylthio)Phenyl Methylcarbamate; Correction

AGENCY: Environmental Protection Agency (EPA).

ACTION: Final rule; correction.


SUPPLEMENTARY INFORMATION: In FR Doc. 79–20564 appearing at page 38841, in the issue of Tuesday, July 3, 1979, the expiration date of "June 30, 1980" at the end of § 1838.15 [Amended] is corrected to read "December 31, 1980." Dated: March 12, 1980.

Douglas D. Camp, Director, Registration Division, Office of Pesticide Programs.

[FR Doc. 80–6766 Filed 3–20–80; 8:45 am]
BILLING CODE 6560–51–M

DEPARTMENT OF DEFENSE

Department of the Air Force

32 CFR Part 820

Air Force Bands; Deletion of Regulations

AGENCY: Department of the Air Force, Department of Defense.

ACTION: Final rule.

SUMMARY: The Department of the Air Force is amending Title 32, Chapter VII of the CFR by deleting Part 820, Air Force Bands. This rule is deleted because of limited applicability to the general public. The intended effect is to assure that only regulations which substantially affect the public be maintained in the Air Force portion of the Code of Federal Regulations.

EFFECTIVE DATE: March 17, 1980.

FOR FURTHER INFORMATION CONTACT: Mrs. Carol M. Rose, phone (202) 697–1851.

SUPPLEMENTARY INFORMATION: Accordingly, 32 CFR, Chapter VII, is amended by deleting Part 820.

PART 820—AIR FORCE BANDS
[DELETED]

Carol M. Rose, Air Force Federal Register Liaison Officer.

[FR Doc. 80–6660 Filed 3–20–80; 8:45 am]
BILLING CODE 5010–01–M

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 710

[FRL 1430–6; OTS–60005]

Toxic Substances Control Act; Amendment to Inventory Reporting Requirements

AGENCY: Environmental Protection Agency.

ACTION: Final rule.

SUMMARY: This rule amends the inventory reporting requirements under the Toxic Substances Control Act to specify January 1, 1983, as the date after which records required to be kept to document information reported for the inventory need not be retained. Those manufacturers of chemical substances who reported for the initial inventory will be affected by this rule. The original regulation failed to specify a retention date. This amendment corrects this omission in the original regulation.

DATES: This rule will be effective on April 21, 1980.


SUPPLEMENTARY INFORMATION:

Authority and General Purpose

On December 23, 1977, EPA published the Inventory Reporting Requirements (40 CFR Part 710, 42 FR 64572), under section 6(a) of the Toxic Substances Control Act, (15 U.S.C. Section 2601 et seq.). Persons who report under these regulations must maintain records that document information reported for the inventory. However, the regulations fail to specify the length of time for which the required records must be kept. This omission has been the subject of some concern in the regulated community. This amendment clarifies this issue by specifying January 1, 1983, as the final date after which these records need not be kept.

The purpose of the recordkeeping requirement is to aid the Agency in monitoring compliance with the Inventory reporting requirements. The effect of the January 1, 1983, deadline is to provide a five-year retention period for those persons who reported for the initial inventory beginning on January 1, 1978, and a shorter retention period for those processors and importers who report additional substances for the revised inventory. The January 1, 1983, date was selected because the resulting retention periods were found by the Office of Enforcement to represent an adequate time for pursuing both affirmative and responsive enforcement of the Inventory Reporting Requirements. The date is also consistent with the intent expressed in the March 6, 1978, Federal Register (43 FR 9254) to provide for such a retention period.

On October 22, 1979, EPA published the proposed amendment to the Inventory Reporting Requirements. The public comment period ended on December 21, 1979, and no comments were received.
DEPARTMENT OF THE INTERIOR
Bureau of Land Management
43 CFR Part 3100
Oil and Gas Leasing; Suspensions of Operations and Production
ACTION: Final rule.

SUMMARY: This amended rule authorizes the Director, Geological Survey, to grant suspensions of operations and production for oil and gas leases when there is no well capable of production on a leasehold. By eliminating the requirement for transmitting such applications to the Secretary of the Interior for action, it simplifies the procedure for granting suspensions of operations and production in such situations.

EFFECTIVE DATE: March 21, 1980.
FOR FURTHER INFORMATION CONTACT: Andrew F. DeVito, Conservation Division, U.S. Geological Survey, National Center (Mail Stop 650), Reston, Virginia 22092 (703/860-7521).

SUPPLEMENTARY INFORMATION: In accordance with the provisions of the Administrative Procedure Act, 5 U.S.C. 553(b)(A), it has been determined that it is in the public interest for these regulations to become final on publication. This determination is based on the fact that the revision simplifies the procedures for granting a suspension of operations and production on leases where there is no oil or gas well capable of production. The revision authorizes the Director, Geological Survey, to grant suspensions in such situations.

Previously, these suspensions required the direction or assent of the Secretary of the Interior. In addition, the revision deletes reference to the Area Oil and Gas Supervisor since rules governing suspensions requiring action by the Area Mining Supervisor are contained in 43 CFR 3503.3-2(e).

The Department of the Interior has determined that the publication of this document is not a major Federal action significantly affecting the quality of the human environment and that no detailed statement pursuant to section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)) is required. The Department of the Interior has also determined that this document is not a significant rule and does not require a regulatory analysis under Executive Order 12044 and 43 CFR Part 14.

The principal author of this document is Andrew DeVito, Conservation Division, U.S. Geological Survey, Reston, Virginia 22092 (703-860-7521).

Dated: March 11, 1980.
James A. Joseph,
Acting Secretary of the Interior.

43 CFR 3103.3-8 is revised to read:

§ 3103.3-8 Suspension of operations and production.
(a) Applications by lessees for relief from the producing requirements or from all operating and producing requirements of oil and gas leases shall be filed in triplicate in the office of the Area Oil and Gas Supervisor. The Area Oil and Gas Supervisors are authorized to act on applications for suspension of operations or production or both filed pursuant to this section and to terminate suspensions of such kind which have been or may be granted. However, no suspension of operations and production will be granted in the absence of a well capable of production on the leasehold, except where the Director, Geological Survey, directs or assents to a suspension in the interest of conservation. Complete information must be furnished showing the necessity of such relief.
(b) The term of any lease will be extended by adding thereto any period of suspension of all operations and production during such term pursuant to the direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey.
(c) A suspension shall take effect on the date specified in the direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey. Rental and minimum royalty payments will be suspended during any period of suspension of all operations and production directed or assented to by the Area Oil and Gas Supervisor or the Director, Geological Survey, beginning with the first day of the lease month on which the suspension of operations and production becomes effective. If the suspension of operations and production becomes effective on any date other than the first day of a lease month, then rental and minimum royalty payments will be suspended beginning with the first day of the lease month next following such effective date. The suspension of rental and minimum royalty payments shall end on the first day of the lease month in which operations or production is resumed.
Where rentals are creditable against royalties and have been paid in advance, proper credit will be allowed on the next rental or royalty due under the lease.
(d) No lease shall be deemed to expire by reason of a suspension of either...
operations or production only, pursuant to any direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey.

(e) If there is a well capable of producing on the leased premises and all operations and production are suspended pursuant to any direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey, the commencement of drilling operations only will be regarded as terminating the suspension as to operations but not as to production, and as terminating the period of suspension to be added to the term of the lease as provided in paragraph (b) of this section and the period of suspension of rental and minimum royalty payments as provided in paragraph (c) of this section. However, as provided in paragraph (d) of this section, the term of the lease will not be deemed to expire for so long as the suspension of operations or production remains in effect.

(f) The relief authorized under this section may also be obtained for any oil and gas leases included within an approved unit or cooperative plan of development and operation. [FR Doc. 80-5612 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-31-M

FEDERAL EMERGENCY MANAGEMENT AGENCY

44 CFR Part 65
[Docket No. FEMA 5784]

Changes in Special Flood Hazard Areas Under the National Flood Insurance Program

AGENCY: Federal Insurance Administration, FEMA.

ACTION: Interim rule.

SUMMARY: This rule lists those communities where modification of the base (100-year) flood elevations is appropriate because of new scientific or technical data. New flood insurance premium rates will be calculated from the modified base (100-year) elevations for new buildings and their contents and for second layer insurance on existing buildings and their contents.

DATES: These modified elevations are currently in effect and amend the Flood Insurance Rate Map (FIRM) in effect prior to this determination.

From the date of the second publication of notice of these changes in a prominent local newspaper, any person has ninety (90) days in which he can request through the community that the Federal Insurance Administrator reconsider the changes. These modified elevations may be changed during the 90-day period.

ADDRESSES: The modified base (100-year) flood elevation determinations are available for inspection at the office of the Chief Executive Officer of the community, listed in the fifth column of the table. Send comments to that address also.


SUPPLEMENTARY INFORMATION: The numerous changes made in the base (100-year) flood elevations of the Flood Insurance Rate Map(s) make it administratively infeasible to publish in this notice all of the modified base (100-year) flood elevations contained on the map. However, this rule includes the address of the Chief Executive Officer of the community where the modified base (100-year) flood elevation determinations are available for inspection. Any request for reconsideration must be based on knowledge of changed conditions, or new scientific or technical data.

These modifications are made pursuant to Section 206 of the Flood Disaster Protection Act of 1973 (Pub. L. 93–234) and are in accordance with the National Flood Insurance Act of 1968, as amended, (Title XIII of the Housing and Urban Development Act of 1980 (Pub. L. 99–448), 42 U.S.C. 4001–4128, and 44 CFR 65.4 (Presently appearing at its former Section 24 CFR Part 1915)).

For rating purposes, the revised community number is listed and must be used for all new policies and renewals. These base (100-year) flood elevations are the basis for the flood plain management measures that the community is required to adopt or show evidence of being already in effect in order to qualify or remain qualified for participation in the National Flood Insurance Program (NFIP).

These elevations, together with the flood plain management measures required by § 60.3 (presently appearing at its former § 1910.3) of the program regulations, are the minimum that are required. They should not be construed to mean the community must change any existing ordinances that are more stringent in their flood plain management requirements. The community may at any time, adopt stricter requirements on its own, or pursuant to policies established by other Federal, State or regional entities.

The changes in the base (100-year) flood elevations listed below are in accordance with 44 CFR 65.4. (Presently appearing at its former Section 24 CFR 1915.4):

<table>
<thead>
<tr>
<th>State</th>
<th>County</th>
<th>Location</th>
<th>Date and name of newspaper where notice was published</th>
<th>Chief executive officer of community</th>
<th>Effective date of modified flood insurance rate map</th>
<th>New community No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minnesota</td>
<td>Goodhue County</td>
<td>Red Wing Republican Eagle</td>
<td>May 29 and June 9, 1979.</td>
<td>Mr. R. L. Tanner, Goodhue County Auditor, P.O. Box 497, Red Wing, Minn. 55066.</td>
<td>Jan. 18, 1980.</td>
<td>27014D</td>
</tr>
</tbody>
</table>
Correction of Sport Fishing Regulations for Crab Orchard National Wildlife Refuge, Ill.

AGENCY: Fish and Wildlife Service.

ACTION: Correction of special regulations.

SUMMARY: This notice corrects the sport fishing regulations for Crab Orchard National Wildlife Refuge. The hours for jug fishing in Crab Orchard Lake west of the closed area buoy line were incorrectly stated in regulations published in Federal Register Volume 45, Number 41 (February 29, 1980). This correction modifies the incorrect statement only; all other regulations remain as published.


FOR FURTHER INFORMATION CONTACT:
Wayne D. Adams, Project Manager, Crab Orchard National Wildlife Refuge, P.O. Box J, Carterville, IL 62918 Telephone: (618) 997-3344

George G. Bekeris, Area Manager, U.S. Fish and Wildlife Service, 530 Federal Building and U.S. Court House, 316 North Robert Street, St. Paul, MN 55101 Telephone: (612) 725-7641

SUPPLEMENTARY INFORMATION: On page 13093 in the third column, the last sentence of the last full paragraph is corrected to read as follows:

§ 32.32 Special regulations; sport fishing; for individual wildlife refuge areas.

Jug fishing in Crab Orchard Lake west of the closed area buoy line is permitted from January 1, 1980, through December 31, 1980; between May 25, 1980 and September 1, 1980, jug fishing in this area is permitted only between sunset and sunrise.

George G. P. Bekeris
Area Manager.
March 14, 1980
DEPARTMENT OF AGRICULTURE
Agricultural Marketing Service

7 CFR Part 982

Handling of Filberts Grown in Oregon and Washington; Administrative Rules and Regulations; Extension of Time for Filing Comments

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Extension of time for filing comments.

SUMMARY: At the request of the Filbert Control Board, the time for filing comments regarding proposed changes under the filbert marketing order is extended from March 13 to March 31.

DATES: Written comments must be received by March 31, 1980.

ADDRESS: Written comments should be submitted in duplicate to the Hearing Clerk, Room 1077, South Building, U.S. Department of Agriculture, Washington, D.C. 20250. All written submissions will be made available for public inspection at the office of the Hearing Clerk during regular business hours.

FOR FURTHER INFORMATION CONTACT: J. S. Miller, (202) 447-5053.

SUPPLEMENTARY INFORMATION: Notice was given in the February 25, 1980 Federal Register (45 FR 1259) to amend Subpart—Administrative Rules and Regulations (7 CFR 982.432—982.471) by adding § 982.417 and revising §§ 982.466 and 982.468. This subpart is issued under the marketing agreement, as amended, and Order No. 982, as amended (7 CFR Part 982), regulating the handling of filberts grown in Oregon and Washington. The marketing agreement and order are collectively referred to as the "order". The order is effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601—674). This action is based on a request of the Filbert Control Board to give it additional time to review the February 25 proposal.

Dated: March 16, 1980.

D. S. Kuryloski,
Deputy Director, Fruit and Vegetable Division.

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980

Food Safety and Quality Service

7 CFR Part 2852

United States Standards for Grades of Major Canned Fruits 1

AGENCY: Food Safety and Quality Service, USDA.

ACTION: Proposed rule.

SUMMARY: This document proposes to revise the standards for canned sweet cherries, canned fruit cocktail, canned pears, canned apricots, and canned grapes; all major canned fruits packed in California. Also included is a proposal for the United States Standards for Grades of Canned Fruit Mix. These proposals are submitted at the request of the Canners League of California. Its effect would be to improve the five standards and add a new standard, i.e., canned fruit mix.

DATE: Comments must be received on or before December 12, 1980.

ADDRESSES: Written comments to: Executive Secretariat, Attn: Annie Johnson, Room 3807 South Agriculture Building, Food Safety and Quality Service, U.S. Department of Agriculture, Washington, D.C. 20250. (See also comments under Supplementary Information.)


SUPPLEMENTARY INFORMATION:

Comments

Interested persons are invited to submit comments concerning this proposal. Written comments must be sent in duplicate to the Executive Secretariat. Comments should bear a reference to the date and page number of this issue of the Federal Register. All comments made pursuant to this notice will be made available for public inspection in the office of the Executive Secretariat during regular hours of business. All interested persons are encouraged to submit suggestions, comments, or views during the field trials of these proposed standards during the 1980 processing season.

Background

Under the U.S. standards for canned fruits, other than clingstone peaches, a procedure for grading is currently used known as variables sampling. This basic procedure consists of random sampling of the canned/frozen or dehydrated product. This procedure has been used in the processed fruit and vegetable standards since 1928 and was designed for the inspection of foods stored in public warehouses as compared with the present-day focus of grading products on processing lines in factories. The U.S. Standards for Grades of Canned Clingstone Peaches, the largest volume canned fruit in the United States, were revised June 1, 1976. These new peach standards provide for on-line grading (Grading as the peaches are canned) or lot grading (grading after the peaches are canned). Under the peach standards, a statistical procedure for grading is used called attributes sampling. This new procedure consists of random sampling of the canned/frozen or dehydrated product while it is being processed and does not usually result in destruction of the sampled product. This offers an advantage over the old system in that it is an objective step-by-step procedure of reporting product quality continuously without waiting until the end of the production shift to determine lot acceptance based on average values.

Following initial use of the new peach standards, the Canners League of California requested that standards for all major fruit products, packed in its members' plants, be converted to the same grading procedure as clingstone peaches to avoid a dual grading system. During 1979, several study drafts of the major fruit product standards were published for public comment. Formal and informal suggestions were received to this solicitation. Based on suggestions from interested persons, field trials of the study drafts, and the procedure used for canned clingstone peaches, the standards published in this proposed
rule reflect, as nearly as practicable, the conditions found in present-day harvesting, processing, and marketing of the major California canned fruits.

Also included in this proposed rule is a new standard for canned fruit mix, a product which has recently entered consumer markets. It is similar to canned fruit cocktail, except the expensive or artificially colored fruit ingredients which contribute to eye appeal are usually omitted.

The proposed standards for canned fruit mix would permit the following:

1. The use of natural seedless, dark-skinned grapes which are not normally used in the more expensive fruit mixtures.

2. The use of more of the surplus fruit.

3. The use of various forms (slices, dice, quarters, segments, etc.) of fruit ingredients in a mix, thereby providing a marketing outlet for these forms without additional processing.

4. A possible savings by processors to consumers, while maintaining the same nutritional value as the more expensive fruit mixtures.

5. A uniform acceptance procedure for Government agencies which have been purchasing this type of fruit mix in recent years.

6. The marketing of canned fruit mix, without the more expensive ingredients, under a generic label which has become without the more expensive ingredients, thereby providing a new product which has recently entered food markets.

Accordingly, the standards for various canned fruits would be amended as follows:

Sections 2852.821 to 2852.825 and 2852.830 to 2852.835 of Subpart—United States Standards for Grades of Canned Sweet Cherries would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards For Grades of Canned Sweet Cherries

§ 2852.821 Product description.
Canned sweet cherries is the product represented as defined in the Standards of Identity for canned cherries [21 CFR 145.125] and canned artificially sweetened cherries (21 CFR 145.125) and canned artificially sweetened cherries [21 CFR 145.125], issued under the Federal Food, Drug, and Cosmetic Act.

§ 2852.822 Types.

(a) "Light" type are of the light sweet varietal group which includes, but is not limited to, such varieties known as Royal Anne, Corum, and Rainier.

(b) "Dark" type are of the dark sweet varietal group which includes, but is not limited to, such varieties known as Bing, Black Republican, Schmidt, and Lambert.

§ 2852.823 Styles.

(a) "Unpitted" sweet cherries are stemmed cherries without the pits removed.

"Pitted" sweet cherries are stemmed cherries with the pits removed.

§ 2852.824 Definitions of terms.

(a) Acceptable Quality Level (AQL) means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) Blemished means any unit that is affected by scab, hail injury, or discoloration to the extent that the appearance or eating quality is affected:

(1) Slightly—surface blemishes having an aggregate area exceeding a circle, 7 mm (0.28 in.) in diameter, and not extending into the fruit tissue.

(2) Mutilated—defects having an aggregate area equal to or less than a circle, 7 mm (0.28 in.) in diameter, and extending into the fruit tissue so that the flesh is materially discolored.

(3) Seriously—blemishes having an aggregate area exceeding a circle, 7 mm (0.28 in.) in diameter, and extending into the fruit tissue such that the appearance or edibility is seriously affected.

(c) Brightness means the extent that the overall appearance of the sample unit as a mass is affected by dullness:

(1) Grade A—not affected.

(2) Grade B—slightly affected.

(3) Grade C—materially affected.

(d) Character refers to the fleshiness and to the tenderness and texture in relation to maturity, and to the presence of serious processing cracks (see § 2652.824(f)) in unpitted style as follows:

(1) Good Character means the units have a texture typical of properly prepared and processed well-matured cherries that are thick-fleshed and tender, and may be slightly flabby, but not soft.

(2) Reasonably good character means the units have a texture typical of properly prepared and processed reasonably well-matured cherries that are reasonably thick-fleshed and tender, and may be slightly soft, but not excessively flabby.

(3) Fairly good character means the units may be slightly immature or slightly over-mature, may be lacking in thickness of flesh, and may be variable in tenderness and texture ranging from very firm to very soft.

(4) Poor character means the units fail the requirements for fairly good character. They may be excessively thin-fleshed, excessively hard, or excessively soft.

(e) Color of individual units refers to the color typical of the varietal group—either light sweet or dark sweet as follows:

(1) Good color means the units of light sweet cherries have a basic background color, exclusive of blush, that is a pinkish-yellow to amber color, and the blush appears as a surface color ranging from tan to tannish brown; and the units of dark sweet cherries have a basic background color that is a deep red to purple-red or purple-black.

(2) Fairly good color means the units of light sweet cherries have a basic background color and blush that may be variable, but not off-color; and the units of dark sweet cherries have a deep red to purple-red or purple black color that may have a grayish cast and may be variable, but not off-color.

(3) Poor color means the units fail to meet the requirements for fairly good color.

(f) Damaged—(1) Circular cracks or "rain checks" mean discolored splits in the skin of the unit that affect the appearance of the unit slightly, materially, or seriously.

(2) Mutilated unit, in unpitted style, is a unit that is torn at the stem end, and the torn area exceeds that of a circle 6 mm (0.24 in.) in diameter; or, in pitted style, is a unit that is torn to the extent the entire pit cavity is exposed.

(g) Defect Means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(h) Excessive vegetable material (EVM)—(1) Small pieces mean long stems, pieces of twig not more than 25 mm (1.0 in.) in length, or leaf material, or portions thereof.
(2) **Short stem** means a portion of the stem, that attaches the cherry to the tree, which is 13 mm (0.50 in.) or less in length.

(i) **Flavor and odor.** "Normal flavor and odor" means that the canned cherries are free from objectionable flavors and odors of any kind.

(j) **Misshapen cherry** means a unit which is deformed so that the appearance is materially affected and includes, but is not limited to, a "double" cherry in unpitted style and a unit that has a cleavage (or deep furrow) with the skin unbroken at the suture and extending more than one-half of the distance from the stem cavity to the apex in both styles.

(k) **Sample unit size** means the amount of product specified to be used for inspection. It may be:

- (1) the entire contents of a container;
- (2) a portion of the contents of a container;
- (3) a combination of the contents of 2 or more containers;
- (4) a portion of unpacked product.

(l) **Serious processing crack** means a cherry that has a crack without any discoloration that is so deep as to expose the pit.

(m) **Unit** means one whole cherry, whether or not pitted, or portions which in the aggregate approximate the average size of a cherry.

§ 2552.825 **Recommended sample unit size.**

Compliance with requirements for factors of quality is based on the recommended sample unit size of 100 units.

§ 2552.830 **Grades.**

(a) "U.S. Grade A" is the quality of canned sweet cherries that:

- (1) Meets the following prerequisites in which the cherries:
  - (i) Have similar varietal characteristics;
  - (ii) Have a normal flavor and odor;
  - (iii) Have an overall brightness of the sample unit as a mass that is not materially affected by dullness;
  - (iv) Are practically free from pit material in pitted style;
  - (v) Are practically free from decay;
  - (vi) Are practically uniform in size; and
  - (vii) Have a character such that the number of units that have poor character does not exceed the following:

  - (A) Fairly good character—10 cherries and 7 cherries; or
  - (B) Serious processing cracks (unpitted style only)—5 units.

(b) **U.S. Grade B** is the quality of canned sweet cherries that:

- (i) Have similar varietal characteristics;
- (ii) Have a normal flavor and odor;
- (iii) Have an overall brightness of the sample unit as a mass that may be slightly affected by dullness;
- (iv) Are reasonably free from pit material in pitted style;
- (v) Are practically free from decay;
- (vi) Are practically uniform in size; and

- (vii) Have a character such that the number of units that have poor character does not exceed the following:

  - (A) Fairly good character—10 cherries and 7 cherries; or
  - (B) Serious processing cracks (unpitted style only)—10 units.

(c) **U.S. Grade C** is the quality of canned sweet cherries that:

- (i) Have similar varietal characteristics;
- (ii) Have a normal flavor and odor;
- (iii) Have an overall brightness of the sample unit as a mass that is not more than materially affected by dullness.

- (iv) Are fairly free from pit material in pitted style;
- (v) Are practically free from decay;
- (vi) May be variable in size;
- (vii) Have a character such that the number of units that have poor character does not exceed the following:

  - (A) Poor—10 units; or
  - (B) Serious processing cracks (unpitted style only)—no limit.

(d) "Substandard" is the quality of canned sweet cherries that fails to meet the requirements for U.S. Grade C.

§ 2552.831 **Factors of quality.**

The grade of a lot of canned sweet cherries is based on compliance with requirements for the following quality factors:

- (a) **Prerequisite quality factors.** (1) Varietal characteristics; (2) Flavor and odor; (3) Brightness; (4) Freedom from pit material; (5) Freedom from decay; (6) Uniformity of size; and (7) Character; and (8) Color.

- (b) **Classified quality factors.** (1) Blemished; (2) Damaged; (3) Misshapen units; and (4) Extraneous vegetable material.

§ 2552.832 **Classification of defects.**

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table V represents "one (1) defect."

<table>
<thead>
<tr>
<th>Qualifying factor</th>
<th>Defect</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Minor</td>
</tr>
<tr>
<td><strong>Blemished</strong></td>
<td>Slight</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Material</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td>X</td>
</tr>
<tr>
<td><strong>Damaged</strong></td>
<td>Circular cracks or rain checks:</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Slight</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Material</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Mutilated: (Each unit)</td>
<td>X</td>
</tr>
<tr>
<td><strong>Misshapen</strong></td>
<td>(Each unit)</td>
<td>X</td>
</tr>
<tr>
<td><strong>Extraneous vegetable material</strong></td>
<td>Short stem (each stem)</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Small piece (each piece)</td>
<td>X</td>
</tr>
</tbody>
</table>
§ 2852.833 Tolerances for defects.  
Table VI—Pitted and Unpitted  

<table>
<thead>
<tr>
<th>Total*</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td>0.5</td>
<td>2.5</td>
<td>0.05</td>
</tr>
<tr>
<td>Grade B</td>
<td>1.25</td>
<td>6.5</td>
<td>2.5</td>
</tr>
<tr>
<td>Grade C</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* AQL expressed as defects per hundred units.  
* Total = Minor + Major + Severe + Critical.  

§ 2852.834 Sample size.  
The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, “Regulations Governing Inspection and Certification” of this Part 2852, “Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products” (7 CFR 2852.1-2852.83) for lot inspection and online inspection, as applicable.  

§ 2852.835 Compliance with quality requirements.  
(a) Lot inspection. A lot of canned sweet cherries is considered as meeting the requirements for quality if:  
(1) The prerequisite requirements specified in § 2852.830 are met; and  
(2) The Acceptable Quality Levels (AQL) in Table VI of this subpart are not exceeded.  
(b) On-line inspection. A portion of production is considered as meeting the requirements for quality if:  
(1) The prerequisite requirements specified in § 2852.830 are met; and  
(2) The Acceptable Quality Levels (AQL) in Table VI of this subpart are not exceeded.  
(c) Single sample unit. Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:  
(3) The prerequisite requirements specified in § 2852.830 are met; and  
(3) The Acceptable Quality Levels (AQL) in Table VI of this subpart are not exceeded.  
§ 2852.838 [Reserved]  
2. Sections 2852.1051—2852.1053 and § 2852.1057—2852.1065 of Subpart—United States Standards for Grades of Canned Fruit Cocktail shall be amended, and the Table of Contents would be amended accordingly, to read as follows:  
Subpart—United States Standards for Grades of Canned Fruit Cocktail  

Sec. 2852.1051 Product description.  
2852.1052 Definitions of terms.  
2852.1053 Recommended sample unit size.  
2852.1057 Proportion of fruit ingredients.  
2852.1058 Grades.  
2852.1059 Factors of quality.  
2852.1060 Classification of defects.  
2852.1061 Tolerances for defects.  
2852.1062 Sample size.  
2852.1063 Compliance with quality requirements.  
2852.1064 [Reserved]  
2852.1065 [Reserved]  

Subpart—United States Standards For Grades of Canned Fruit Cocktail  

§ 2852.1051 Product description.  
Canned fruit cocktail is the product represented as defined in the Standards of Identity for canned fruit cocktail (21 CFR 145.135) and canned artificially sweetened fruit cocktail (21 CFR 145.138) issued under the Federal Food, Drug, and Cosmetic Act.  

§ 2852.1052 Definitions of terms.  
(a) Acceptable Quality Level (AQL) means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.  
(b) Appearance means the extent that the overall sample unit as a mass is affected by oxidation, pigmentation, staining, or other causes.  
(1) Grade A—slightly affected.  
(2) Grade B—materially affected.  
(3) Substandard—fails Grade B.  
(c) Blemished means any peach, pear, or grape unit which is affected by scab, hail injury, corky or hard spots, scar tissue, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.  
(d) Broken cherry means any portion of a cherry that is definitely less than an equivalent in pieces of one calyx.  
(e) Broken grape means a grape that is split, spread open or crushed (without discoloration) such that the appearance is seriously affected.  
(f) Calyx unit means any pear calyx or the equivalent in pieces of one calyx.  
(g) Capstem means the small woody stem which attaches the grape to the main cluster.  
(h) Character refers to the degree of ripeness, the texture, and the tenderness of the peach and pear units in the fruit cocktail as follows:  
(1) Good character consists of peach and pear units that are pliable and may be slightly soft or firm. The pear units have at least a reasonably tender texture and may have a slight graininess and the peach units may be slightly frayed.  
(2) Fairly good character consists of peach and pear units that are fairly tender and may be substantially firm or soft but not excessively hard, excessively soft, or mushy. The pear units may have a substantial graininess and the peach units may be frayed.  
(3) Poor character consists of peach and pear units that fail the requirements for fairly good character.  
(i) Cherries mean approximate halves or whole pitted styles of cherries as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.  
(j) Color—(1) General. Color refers to the predominant and characteristic surface color of the peach, pear, and grape units.  
(2) Individual unit color classification.—(i) Good color means:  
(A) The peach units are equal to or better than light orangish yellow.  
(B) The pear units have a typical yellow-white to beige-white translucent color and may have a slight pink to light tan tint.  
(C) The grapes have a bright, uniform yellowish-green to greenish-yellow color typical of well-developed light seedless grapes.  
(ii) Fairly good color means:  
(A) The peach units fail to meet minimum color requirements for “good color” but are equal to or better than greenish-yellow.  
(B) The pear units may have a visual chalkiness and may have a slight pink or brown cast but not a definite pink or brown color.  
(C) The grapes have a reasonably bright uniform light green to yellowish-green color typical of reasonably well-developed light seedless grapes, and may have a slight dull color or a light brownish cast.  
(iii) Poor color means the peach, pear, or grape units fail to meet the requirements for fairly good color.  
(k) Defect means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.  
(l) Extraneous vegetable material (EVM) means, but is not limited to, detached or attached external stems, pieces of grape vine material, pieces of twigs not more than 51 mm (2.0 in) in length, or leaf material. (Grape capstems are not considered as EVM.)  
(m) Flabby grape means the grape skin is intact and not broken, but the interior portion of the grape is missing to the extent that the grape has a deflated or sunken appearance.
§ 2852.1057 Grapes mean stemmed grapes which are prepared from seedless varietal types as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

§ 2852.1053 Interior stem means an interior pear stem or portion of an interior stem of any length that is definitely fibrous, tough, or woody and affects the eating quality of the unit.

(a) Loose seed means any pear seed, or the equivalent in pieces of one seed, not included in core material.

(b) Peaches mean peaches prepared from yellow varieties which are pitted, peeled, and diced as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

§ 2852.1052インターネームステムは、内部の梨の茎またはその部分であり、特定の長さで一定の繊維、硬い、または木質である場合、食べ物の質に影響を与えます。

(a) Loose seed means any pear seed, or the equivalent in pieces of one seed, not included in core material.

(b) Peaches mean peaches prepared from yellow varieties which are pitted, peeled, and diced as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

§ 2852.1057 Proportion of fruit ingredients.

Canned fruit cocktail shall contain the fruit ingredients in the proportions indicated in Table V.

Table V

<table>
<thead>
<tr>
<th>Fruit Ingredient</th>
<th>Style</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Not less than</td>
</tr>
<tr>
<td>Peaches</td>
<td>Diced</td>
<td>90 g (3.17 oz) of drained fruit</td>
</tr>
<tr>
<td>Pears</td>
<td>Diced</td>
<td>75 g (2.64 oz) of drained fruit</td>
</tr>
<tr>
<td>Grapes</td>
<td>Whole</td>
<td>48 g (1.69 oz) of drained fruit</td>
</tr>
<tr>
<td>Pineapple</td>
<td>Diced or sectors</td>
<td>16 g (0.56 oz) of drained fruit; but not less than 40 g (1.69 oz) of drained fruit</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Approximate halves or whole</th>
<th>g (0.21 oz) of drained fruit; but not less than 10 g (0.63 oz) of drained fruit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cherries</td>
<td>A</td>
<td>4 (4.21 oz) of product</td>
</tr>
</tbody>
</table>

§ 2852.1058 Grades.

(a) "U.S. Grade A" is the quality of canned fruit cocktail that:

(i) Meets the following prerequisites in which the fruit cocktail:

- Has a normal flavor and odor;
- Has an overall appearance that is reasonably bright and is not more than slightly affected by staining, variation, or dullness;
- Has pears with a color such that the weight of units that have fairly good color or poor color does not exceed the following limits:

<table>
<thead>
<tr>
<th>Fairly good color</th>
<th>Poor color</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 grams</td>
<td>4 grams</td>
</tr>
</tbody>
</table>

(b) "U.S. Grade B" is the quality of canned fruit cocktail that:

(i) Meets the following prerequisites in which the fruit cocktail:

- Has a normal flavor and odor;
- Has an overall appearance that is reasonably bright and is not more than slightly affected by staining, variation, or dullness;
- Has pears with a color such that the weight of units that have poor color does not exceed 4 grams.
- Is reasonably free from loose pear seeds;
- Is practically free from peach pit material;
- May have an aggregate area of peel not exceeding 5.0 cm² (0.77 in² or 1 × 0.77);
- Has a liquid medium that is not off-color for any reason;
- Has cherries that are practically free of defects singly or in combination such that the following limits are not exceeded:

<table>
<thead>
<tr>
<th>Total number of cherry units</th>
<th>Uneven colored units and/or blanched units</th>
<th>Broken units</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 to 6</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>7 to 12</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>13 to 18</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>19 to 24</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>25 to 30</td>
<td>5</td>
<td>2</td>
</tr>
<tr>
<td>31 to 36</td>
<td>6</td>
<td>3</td>
</tr>
</tbody>
</table>

| (ix) Has pineapple sectors or dice that meet the quality requirements of U.S. Grade B or better for canned pineapple; |
| (x) Has peaches and pears with a character such that the following limits are not exceeded: |

<table>
<thead>
<tr>
<th>Fairly good character</th>
<th>Poor character</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 grams</td>
<td>4 grams</td>
</tr>
</tbody>
</table>

(x) Has peaches and pears with a character such that the following limits are not exceeded:

<table>
<thead>
<tr>
<th>22 grams</th>
<th>0 grams</th>
</tr>
</thead>
<tbody>
<tr>
<td>19 grams</td>
<td>3 grams</td>
</tr>
</tbody>
</table>

(xi) Has grapes with no more than two (2) flabby units or serious processing cracks, singly or in combination and

(xii) Has grapes that are at least reasonably uniform in size.

(2) Is within the limits for defects as classified in Table VI and specified in Tables VII and VIII of this subpart.

(b) "U.S. Grade B" is the quality of canned fruit cocktail that:

(i) Meets the following prerequisites in which the fruit cocktail:

- Has a normal flavor and odor;
- Has an overall appearance that is reasonably bright and is not more than slightly affected by staining, variation, or dullness;
- Has pears with a color such that the weight of units that have poor color does not exceed 12 grams.
- Is reasonably free from loose pear seeds;
- Is practically free from peach pit material;
- May have an aggregate area of peel not exceeding 5.0 cm² (0.77 in² or 1 × 0.77);
- Has a liquid medium that is not off-color for any reason;
- Has cherries that are practically free of defects singly or in combination such that the following limits are not exceeded:

<table>
<thead>
<tr>
<th>Total number of cherry units</th>
<th>Uneven colored units and/or blanched units</th>
<th>Broken units</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 to 6</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>7 to 12</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>13 to 18</td>
<td>5</td>
<td>3</td>
</tr>
<tr>
<td>19 to 24</td>
<td>5</td>
<td>4</td>
</tr>
<tr>
<td>25 to 30</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>31 to 36</td>
<td>6</td>
<td>6</td>
</tr>
</tbody>
</table>

(x) Has peaches and pears with a character such that the following limits are not exceeded:

<table>
<thead>
<tr>
<th>22 grams</th>
<th>0 grams</th>
</tr>
</thead>
<tbody>
<tr>
<td>19 grams</td>
<td>3 grams</td>
</tr>
</tbody>
</table>

(xi) Has grapes with no more than two (2) flabby units or serious processing cracks, singly or in combination and

(xii) Has grapes that are at least reasonably uniform in size.
§ 2852.1058 Factors of quality.

The grade of a lot of canned fruit cocktail is based on compliance with the requirements for U.S. Grade B.

§ 2852.1059 Factors of quality.  

The grade of a lot of canned fruit cocktail that fails to meet the requirements for U.S. Grade B.

§ 2852.1060 Classification of defects.

(a) Prerequisite quality factors.  
(1) Flavor and odor:

Table VI—Classification of Defects

<table>
<thead>
<tr>
<th>Quality factor</th>
<th>Defect</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual unit color (peach)</td>
<td>Fairly good (in grade A—each 3 g)</td>
<td>Minor</td>
</tr>
<tr>
<td>Blemished</td>
<td>Poor (in grades A and B—each 3 g)</td>
<td>Minor</td>
</tr>
<tr>
<td>Workmanship</td>
<td>More than 20 mm (0.79 in) in one edge plus the units that pass through the meshes of a 1/16 in (8 mm) sieve</td>
<td>Minor</td>
</tr>
<tr>
<td>Core material (each unit)</td>
<td>Units containing interior stem (each unit)</td>
<td>Minor</td>
</tr>
<tr>
<td>Extrinsic vegetable matter (each piece</td>
<td>Minor</td>
<td>Major</td>
</tr>
</tbody>
</table>

(b) Individual peach and grape unit color:

<table>
<thead>
<tr>
<th>Quality factor</th>
<th>Defect</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual unit color (grape)</td>
<td>Fairly good (in grade A—each 3 g)</td>
<td>Minor</td>
</tr>
<tr>
<td>Blemished</td>
<td>Poor (in grades A and B—each 3 g)</td>
<td>Minor</td>
</tr>
<tr>
<td>Workmanship</td>
<td>More than 20 mm (0.79 in) in one edge plus the units that pass through the meshes of a 1/16 in (8 mm) sieve</td>
<td>Minor</td>
</tr>
<tr>
<td>Core material (each unit)</td>
<td>Units containing interior stem (each unit)</td>
<td>Minor</td>
</tr>
<tr>
<td>Extrinsic vegetable matter (each piece</td>
<td>Minor</td>
<td>Major</td>
</tr>
</tbody>
</table>

§ 2852.1062 Sample size.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection Certification" of Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1—2852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.1063 Compliance with quality requirements.

(a) Lot inspection. A lot of canned fruit cocktail is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1058 are met; and

(2) The Acceptable Quality Levels (AQL) in Tables VII and VIII are not exceeded.

(b) On-line inspection. A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1058 are met; and

(2) The Acceptable Quality Levels (AQL) in Tables VII and VIII are not exceeded.

(c) Single sample unit. Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1058 are met; and

(2) The Acceptable Quality Levels (AQL) in Tables VII and VIII are not exceeded.

§ 2852.1064 [Reserved]

§ 2852.1065 [Reserved]

§ 2852.1611 Peaches and Pears.

The U.S. Grade laws for Peaches and Pears shall be as specified in the U.S. Standards for U.S. Grades of Canned Peaches and Pears, which are published by the United States Department of Agriculture, U.S. Department of Commerce.

Table VII—Peaches and Pears

<table>
<thead>
<tr>
<th>Total</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10.0</td>
<td>4.0</td>
<td>0.65</td>
<td>0.15</td>
</tr>
<tr>
<td>Grade B</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15.0</td>
<td>6.5</td>
<td>2.5</td>
<td>0.25</td>
</tr>
</tbody>
</table>

Table VIII—Grapes

<table>
<thead>
<tr>
<th>Total</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.5</td>
<td>1.0</td>
<td>0.25</td>
<td>0.1</td>
</tr>
<tr>
<td>Grade B</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.0</td>
<td>1.5</td>
<td>0.65</td>
<td>0.15</td>
</tr>
</tbody>
</table>

* Save to be used is a five-sixteenths inch (3/16 in) sieve as listed in Table I of "Standard Specifications for Sieves," published March 1, 1940, in L.C. 584 of the National Bureau of Standards, U.S. Department of Commerce.

§ 2852.1612 Product description.

§ 2852.1613 Definitions of terms.

§ 2852.1614 Recommended sample unit size.

§ 2852.1619 Grades.

§ 2852.1620 Factors of quality.

§ 2852.1621 Classification of defects.

§ 2852.1622 Tolerances for defects.

§ 2852.1623 Sample size.

§ 2852.1624 Compliance with quality requirements.
Subpart—United States Standards for Grades of Canned Pears

§ 2852.1611 Product description.

Canned pears is the product represented as defined in the Standards of Identity for canned pears (21 CFR 145.175 and 145.176) issued under the Federal Food Drug and Cosmetic Act. For the purposes of the standards in this Subpart, and unless the text indicates otherwise, the term "canned pears" includes "canned pears," "canned spices pears," and "canned artificially sweetened pears" as defined in the Standards of Identity.

(a) "Whole" are peeled, cored, or uncored, whole pears with or without stems removed.

(b) "Halves" or "Halfed" are peeled, cored, and stemmed pears cut longitudinally from stem to calyx into approximate halves.

(c) "Quarters" or "Quartered" are peeled, cored, and stemmed pears cut longitudinally from stem to calyx into approximate quarters.

(d) "Slices" or "Sliced" are peeled, cored, and stemmed pears cut longitudinally from stem to calyx into approximate equal wedgeshaped sectors.

(e) "Dice" or "Diced" are peeled, cored, and stemmed pears cut into approximate cubes.

(f) "Pieces or irregular pieces" are peeled, cored, and stemmed pears that are irregular in shape or size or that do not conform to any of the foregoing styles.

§ 2852.1613 Definitions of terms.

(a) Acceptable Quality Level (AQL) means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) Appearance means the extent that the overall uniformity of the sample unit, as a mass, is affected by slight tinting of pink or tan and by variation in translucency.

(1) Grades A and B—may be materially affected.

(2) Grade C—may be seriously affected.

(3) Substandard—fails Grade C.

(c) Blemished means any unit which is affected by scab, hail injury, corky or, hard spots on the outer surface, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(d) Calyx unit means any whole calyx or the equivalent in pieces of one calyx.

(e) Character refers to the degree of ripeness, the texture, and the tenderness of the pears as follows:

(1) Good character—(i) Halves; Quarters; Slices; Pieces or irregular pieces. The units possess a tender, fleshy texture typical of properly ripened and processed pears. The units are pliable but firm enough to possess well defined edges.

(ii) Whole. The units possess a tender, fleshy texture typical of properly ripened and processed pears. The units are pliable but firm enough to show no visible breakdown of the flesh.

(iii) Dice. The units possess a tender, fleshy texture typical of properly ripened and processed pears and not more than 10 grams of the product may be disintegrated or mushy.

(2) Reasonably good character—(i) Whole; Halves; Quarters; Slices; Pieces or irregular pieces. The units possess a reasonably tender, fleshy texture typical of properly ripened and processed pears. The units may possess slight graininess and may be slightly firm or soft but not mushy. Tenderness may be variable within the unit.

(ii) Dice. The units possess a reasonably tender texture typical of properly ripened and processed pears and not more than 20 grams of the product may be disintegrated or mushy.

(3) Fairly good character—(1) Whole; Halves; Quarters; Slices; Pieces or irregular pieces. The units possess a fairly tender texture typical of properly processed pears which may be variable. The units may possess substantial graininess and may be substantially firm or soft but not mushy.

(iv) Dice. The units possess a fairly tender texture typical of properly processed pears and not more than 30 grams of the product may be disintegrated or mushy.

(iv) Poor character—All styles. The units are excessively soft or mushy or are excessively hard.

(f) Color—(1) General. The color of canned pears, other than "spiced pears," refers to the color typical of the variety and its uniformity in each unit. All surfaces of the units are to be considered.

(2) Individual unit color classification—(i) Good color means that the pear unit has a typical yellow-white to beige-white translucent color and may have a very slight pink tint to light tan tint.

(ii) Fairly good color means that the pear unit may have a visual chalkiness and may have a slight pink cast or brown cast but not a definite pink or brown color.

(iii) Poor color means a pear unit that fails to meet the requirements for fairly good color.

(g) Core material—(i) Small piece means any piece of loose core material, with or without seeds, that is less than one-half of an apparent pear core.

(2) Large piece means any piece of loose core material, with or without seeds, that is equal to or more than one-half of an apparent pear core.

(h) Crushed or broken in the styles of whole, halves, and quarters means:

(1) A unit is "crushed" if it has definitely lost its normal shape and is crushed not due to ripeness.

(2) A unit is "broken" if severed into definite parts. Any unit in halves style that is split from the edge to the core cavity is not considered broken.

(i) Defect means any nonconformance of a unit of product from a specified requirement of a single quality characteristic.

(j) Extraneous vegetable material means any detached or attached external stem that attaches the pear to the twig of the pear tree, pieces of twigs not more than 51 mm (2.0 in) in length, or leaf material or portions thereof.

(k) Flavor and odor. "Normal flavor and odor" means that the canned pears are free from objectionable flavors and odors of any kind.

(l) Interior stem means an interior stem or portion of an interior stem of any length that is definitely fibrous, tough, or woody and affects the eating quality of the unit.

(m) Loose seed means any pear seed, or the equivalent in pieces of one seed, not included in core material.

(n) Mechanical damage—(1) Partial slice in the style of slices is a unit that has had a semblance of a slice with respect to thickness and shape but is less than three-fourths of an apparent full slice and that does not bear marks of crushing. Pieces are reassembled to equal an average full size slice and counted as one unit.

(2) Trim means a pear in the style of whole, halves, or quarters that is trimmed to the extent that its shape is affected moderately or seriously.

(o) Partially cored unit means a whole, half, quarter, slice, or piece of pear with only part of the core material removed.
(p) *Peel* means all of the outer layer of the pear that is normally removed during processing.

(q) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

1. The entire contents of a container;
2. A portion of the contents of a container;
3. A combination of the contents of 2 or more containers; or
4. A portion of unpacked product.

(r) *Slice*, in the style of slices, means an irregularly shaped unit resulting from the slicing operation that materially deviates from the normal shape of a wedge shaped sector.

(s) *Uncored unit* means a whole, half, quarter, slice, piece or pear with none of the core material removed.

(t) *Unit* means one whole, half, quarter, slice dice, or piece of pear as applicable for the style.

§ 2852.1614 Recommended sample unit size.

Compliance with the requirements for factors of quality is based on the following sample unit sizes for the respective style:

(a) Whole—25 units.
(b) Halves; Quarters—25 units.
(c) Slices—50 units or 100 units.
(d) Dice—200 grams (7 ounces).
(e) Pieces or irregular pieces—1,000 grams (35.3 ounces).

§ 2852.1619 Grades.

(a) "U.S. Grade A" is the quality of canned pears that:

1. Meets the following prerequisites in which the pears:
   (i) Have similar varietal characteristics;
   (ii) Have a normal flavor and odor;
   (iii) Have an overall appearance of the sample unit as a mass that is not more than materially affected by slight tinting or variation;
   (iv) Have a color such that the number or weight of units that have fairly good color or poor color does not exceed the following limits:

<table>
<thead>
<tr>
<th>Style</th>
<th>Reasonably good character</th>
<th>Fairly good character</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole</td>
<td>3 ears and 0 ears</td>
<td>0 ears</td>
</tr>
<tr>
<td>Halves</td>
<td>2 ears and 1 ear</td>
<td>1 ear</td>
</tr>
<tr>
<td>Quarters</td>
<td>2 quarts and 1 quart</td>
<td>1 quart</td>
</tr>
<tr>
<td>Slices (100 units)</td>
<td>10 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Slices (50 units)</td>
<td>5 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Dice</td>
<td>20 grams and 0 grams</td>
<td>0 grams</td>
</tr>
<tr>
<td>Pieces or irregular pieces</td>
<td>66 grams and 34 grams</td>
<td>34 grams</td>
</tr>
</tbody>
</table>

(b) "U.S. Grade B" is the quality of canned pears that:

1. Meets the following prerequisites in which the pears:
   (i) Have similar varietal characteristics;
   (ii) Have a normal flavor and odor;
   (iii) Have an overall appearance of the sample unit as a mass that is not more than materially affected by slight tinting or variation;
   (iv) Have a color such that the number or weight of units that have fairly good color or poor color does not exceed the following limits:

<table>
<thead>
<tr>
<th>Style</th>
<th>Reasonably good character</th>
<th>Fairly good character</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole</td>
<td>3 ears and 0 ears</td>
<td>0 ears</td>
</tr>
<tr>
<td>Halves</td>
<td>2 ears and 1 ear</td>
<td>1 ear</td>
</tr>
<tr>
<td>Quarters</td>
<td>2 quarts and 1 quart</td>
<td>1 quart</td>
</tr>
<tr>
<td>Slices (100 units)</td>
<td>10 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Slices (50 units)</td>
<td>5 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Dice</td>
<td>20 grams and 0 grams</td>
<td>0 grams</td>
</tr>
<tr>
<td>Pieces or irregular pieces</td>
<td>66 grams and 34 grams</td>
<td>34 grams</td>
</tr>
</tbody>
</table>

(c) "U.S. Grade C" is the quality of canned pears that:

1. Meets the following prerequisites in which the pears:
   (i) Have similar varietal characteristics;
   (ii) Have a normal flavor and odor;
   (iii) Have an overall appearance of the sample unit as a mass that is not more than seriously affected by slight tinting and variation;

<table>
<thead>
<tr>
<th>Style</th>
<th>Reasonably good character</th>
<th>Fairly good character</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole</td>
<td>3 ears and 0 ears</td>
<td>0 ears</td>
</tr>
<tr>
<td>Halves</td>
<td>2 ears and 1 ear</td>
<td>1 ear</td>
</tr>
<tr>
<td>Quarters</td>
<td>2 quarts and 1 quart</td>
<td>1 quart</td>
</tr>
<tr>
<td>Slices (100 units)</td>
<td>10 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Slices (50 units)</td>
<td>5 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Dice</td>
<td>20 grams and 0 grams</td>
<td>0 grams</td>
</tr>
<tr>
<td>Pieces or irregular pieces</td>
<td>66 grams and 34 grams</td>
<td>34 grams</td>
</tr>
</tbody>
</table>

2. Is within the limits for defects as classified in Table VIII and specified in Tables IX, XI, XII, XIII, XIV, or XV.

(v) Are practically free from loose seeds;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Do not exceed the aggregate area of peel specified for the style as follows:

(A) Whole peeled—10.5 cm² (1.68 in² or 1 x 1.68);
(B) Halves—8.0 cm² (1.28 in² or 1 x 1.28);
(C) Quarters—4.0 cm² (0.64 in² or 1 x .64);
(D) Slices—50 count—4.0 cm² (0.64 in² or 1 x .64); 100 count—8.0 cm² (1.28 in² or 1 x 1.28);
(E) Dice—1.0 cm² (0.16 in² or 1 x .16);
(F) Pieces or irregular pieces—8.0 cm² (0.64 in² or 1 x 3);
(viii) Have a character such that the number or weight of units that have reasonably good or fairly good character does not exceed the following limits:

<table>
<thead>
<tr>
<th>Style</th>
<th>Reasonably good character</th>
<th>Fairly good character</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole</td>
<td>3 ears and 0 ears</td>
<td>0 ears</td>
</tr>
<tr>
<td>Halves</td>
<td>2 ears and 1 ear</td>
<td>1 ear</td>
</tr>
<tr>
<td>Quarters</td>
<td>2 quarts and 1 quart</td>
<td>1 quart</td>
</tr>
<tr>
<td>Slices (100 units)</td>
<td>10 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Slices (50 units)</td>
<td>5 slices and 0 slices</td>
<td>0 slices</td>
</tr>
<tr>
<td>Dice</td>
<td>20 grams and 0 grams</td>
<td>0 grams</td>
</tr>
<tr>
<td>Pieces or irregular pieces</td>
<td>66 grams and 34 grams</td>
<td>34 grams</td>
</tr>
</tbody>
</table>
(iv) Have a color such that the number or weight of units that have poor color does not exceed the following limits:

<table>
<thead>
<tr>
<th>Style</th>
<th>Poor color</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole</td>
<td>3 peaches</td>
<td></td>
</tr>
<tr>
<td>Halves</td>
<td>3 halves</td>
<td></td>
</tr>
<tr>
<td>Quarters</td>
<td>3 quarters</td>
<td></td>
</tr>
<tr>
<td>Slices (50 units)</td>
<td>6 slices</td>
<td></td>
</tr>
<tr>
<td>Dice</td>
<td>20 grams</td>
<td></td>
</tr>
<tr>
<td>Pieces or irregular pieces</td>
<td>100 grams</td>
<td></td>
</tr>
</tbody>
</table>

(v) Are fairly freen from loose seeds.
(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters.
(vii) Do not exceed the aggregate area of peel specified for the style as follows:
(A) Whole peeled—42.0 cm² (5.72 in²) or 1 x 6.72;
(B) Halves—32.0 cm² (5.12 in²) or 1 x 5.12;
(C) Quarters—16.0 cm² (2.55 in²) or 1 x 2.55;
(D) Slices—50 count—16.0 cm² (2.56 in²) or 1 x 5.12;
(E) Dice—4.0 cm² (0.64 in²) or 1 x 4.54;
(F) Pieces or irregular pieces—20.0 cm² (3.2 in²) or 1 x 3.2;
(viii) Have a character such that the number or weight of units that have poor character does not exceed the following limits:

<table>
<thead>
<tr>
<th>Style</th>
<th>Poor character</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole</td>
<td>3 peaches</td>
<td></td>
</tr>
<tr>
<td>Halves</td>
<td>3 halves</td>
<td></td>
</tr>
<tr>
<td>Quarters</td>
<td>3 quarters</td>
<td></td>
</tr>
<tr>
<td>Slices (50 units)</td>
<td>6 slices</td>
<td></td>
</tr>
<tr>
<td>Dice</td>
<td>20 grams</td>
<td></td>
</tr>
<tr>
<td>Pieces or irregular pieces</td>
<td>100 grams</td>
<td></td>
</tr>
</tbody>
</table>

(2) Is within the limits for defects as classified in Table VIII and specified in Tables IX, XI, XII, XIII, XIV, or XV.
(3) "Substandard" is the quality of canned pears that fails to meet the requirements for U.S. Grade C.

§ 2852.1620 Factors of quality.
The grade of a lot of canned pears is based on compliance with requirements for the following quality factors:
(a) Precedaneous quality factors. (1) Varietal characteristics;
(2) Flavor and odor;
(3) Appearance;
(4) Individual unit color;
(5) Loose seeds;
(6) Crushed or broken units;
(7) Flesh;
(8) Character.
(b) Classified quality factors. (1) Workmanship;
(2) Blemished;
(3) Uniformity of size;
(4) Mechanical damage;
(5) Excessive vegetable material.

§ 2852.1621 Classification of defects.
Defects are classified as minor, major, severe, or critical. Each "X" mark in Table VIII represents "one (1) defect."
§ 2852.1622 Tolerances for defects.

Table IX.—Serkw Trim: Whole, Halves, Quarters

<table>
<thead>
<tr>
<th>Tolerances</th>
<th>Grade A</th>
<th>Grade B</th>
<th>Grade C</th>
</tr>
</thead>
<tbody>
<tr>
<td>AQL 1</td>
<td>0.40</td>
<td>0.65</td>
<td>6.5</td>
</tr>
</tbody>
</table>

Table X.—Loose Seeds

<table>
<thead>
<tr>
<th>Tolerances</th>
<th>Grade A</th>
<th>Grade B</th>
<th>Grade C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whole, Halves, Quarters</td>
<td>8.5</td>
<td>20.0</td>
<td>33.0</td>
</tr>
<tr>
<td>Slices</td>
<td>2.5</td>
<td>5.0</td>
<td>8.5</td>
</tr>
<tr>
<td>Dice</td>
<td>1.0</td>
<td>1.5</td>
<td>2.5</td>
</tr>
<tr>
<td>Pieces or Irregular Pieces</td>
<td>2.5</td>
<td>8.5</td>
<td>15.0</td>
</tr>
</tbody>
</table>

1 AQL expressed as percent defective.
2 AQL expressed as defects per hundred units.

Table XI.—Whole, Uncored

<table>
<thead>
<tr>
<th>Total</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td>12.5</td>
<td>5.0</td>
<td>2.5</td>
</tr>
<tr>
<td>Grade B</td>
<td>23.0</td>
<td>12.5</td>
<td>5.0</td>
</tr>
<tr>
<td>Grade C</td>
<td>40.0</td>
<td>20.0</td>
<td>12.5</td>
</tr>
</tbody>
</table>

Table XII.—Sliced

<table>
<thead>
<tr>
<th>Total</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td>15.0</td>
<td>6.5</td>
<td>2.5</td>
</tr>
<tr>
<td>Grade B</td>
<td>28.0</td>
<td>13.5</td>
<td>5.0</td>
</tr>
<tr>
<td>Grade C</td>
<td>40.0</td>
<td>20.0</td>
<td>10.0</td>
</tr>
</tbody>
</table>

Table XIII.—Sliced

<table>
<thead>
<tr>
<th>Total</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td>12.0</td>
<td>6.5</td>
<td>2.5</td>
</tr>
<tr>
<td>Grade B</td>
<td>25.0</td>
<td>12.5</td>
<td>5.0</td>
</tr>
<tr>
<td>Grade C</td>
<td>40.0</td>
<td>20.0</td>
<td>12.5</td>
</tr>
</tbody>
</table>

Table XIV.—Dice

<table>
<thead>
<tr>
<th>Total</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td>10.0</td>
<td>6.5</td>
<td>2.5</td>
</tr>
<tr>
<td>Grade B</td>
<td>25.0</td>
<td>10.0</td>
<td>6.5</td>
</tr>
<tr>
<td>Grade C</td>
<td>40.0</td>
<td>25.0</td>
<td>10.0</td>
</tr>
</tbody>
</table>

5 AQL expressed as defects per hundred units.
6 Total = Minor + Major + Severe + Critical.

§ 2852.1623 Sample size.

General. The sample size to determine compliance with the requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection and Certification" of this Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1-2852.83) for lot inspection and on-line inspection, as applicable.
§ 2852.2624 Compliance with quality requirements.

(a) Lot inspection. A lot of canned pears is considered as meeting the requirements for quality if:

1. The prerequisite requirements specified in § 2852.2619 are met;
2. (The Acceptable Quality Levels (AQL) in Tables IX through XV, as applicable for the style, are not exceeded.

(b) On-line inspection. A portion of production is considered as meeting the requirements for quality if:

1. The prerequisite requirements specified in § 2852.2619 are met;
2. (The Acceptable Quality Levels (AQL) in Tables IX through XV, as applicable for the style, are not exceeded.

(c) Single sample unit. Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

1. The prerequisite requirements specified in § 2852.2619 are met;
2. (The Acceptable Quality Levels (AQL) in Tables IX through XV, as applicable for the style, are not exceeded.

4. Sections 2852.2641–2852.2644 and § § 2852.2649–2852.2657 of Subpart—United States Standards for Grades of canned Apricots would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of canned Apricots

Sec.
2852.2641 Product description.
2852.2642 Styles.
2852.2643 Definitions of terms.
2852.2644 Recommended sample unit size.
2852.2649 Grades.
2852.2650 Factors of quality.
2852.2651 Classification of defects.
2852.2652 Tolerances for defects.
2852.2653 Sample size.
2852.2654 Compliance with quality requirements.
2852.2655 [Reserved]
2852.2656 [Reserved]
2852.2657 [Reserved]

Subpart—United States Standards for Grades of canned Apricots

§ 2852.2641 Product description.

Canned apricots is the product represented as defined in the Standards of Identity for canned apricots [21 CFR 145.115 and 145.116] issued under the Federal Food, Drug, and Cosmetic Act. For the purposes of the standards in this Subpart, and unless the text indicates otherwise, the term "canned apricots" includes "canned spiced apricots" and "artificially sweetened canned apricots" as defined in the Standards of Identity.

§ 2852.2642 Styles.

(a) “Whole” are unpitted whole apricots with stems removed.

(b) “Halved” or “Halves” are pitted apricots cut approximately in half along the suture from stem to apex.

(c) “Quartered” or “Quarters” are halved apricots cut into two approximately equal parts.

(d) “Sliced” or “Slices” are apricots cut into thin sectors or strips.

(e) “Pieces or irregular pieces” are cut apricot units that are predominantly irregular in size and shape which do not conform to a single style, or which are a mixture of two or more of such styles.

(f) When apricots are "peeled" or "unpeeled," the name of the style is preceded or followed by the applicable word "peeled" or "unpeeled."

§ 2852.2643 Definitions of terms.

(a) Acceptable Quality Level (AQL) means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) Blemished means any unit which is affected by scab, hail injury, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(c) Brightness means the extent that the overall appearance of the sample unit as a mass is dulled by oxidation or other causes.

1. Grades A and B—not affected.

2. Grade C—materially affected.

3. Substandard—fails Grade C.

4. Character refers to the texture and tenderness of the apricots as follows:

1. Good character means the units have at least a reasonably uniform, tender, and fleshy texture typical of properly ripened and processed apricots and may be soft to slightly firm but not mushy. The units are not more than slightly ragged.

2. Fairly good character means the units have a texture typical of properly processed apricots; the units may be fairly fleshy and fairly tender and may lack uniformity of tenderness. The units may be very soft and ragged with frayed edges or may be very firm.

3. Poor character means the units are excessively soft or mushy, or are excessively "mashed" or very soft due to ripeness.

4. Character refers to the texture and tenderness of the apricots as follows:

(a) General. The color of canned apricots other than canned "spiced" apricots refers to the predominant and characteristic color on the surface of whole units and the outer uncut surfaces of other units. In canned spiced apricots the color shall be normal.

(b) Individual unit color classification—"Good color means the units have a typical apricot yellow to orange color characteristic of well-matured apricots. The units may have insignificant pale yellow areas but are free from any off-color.

(ii) Reasonably good color means the units have a typical color characteristic of reasonably well-matured apricots, and are not more than materially affected by pale yellow or light greenish yellow areas.

(iii) Fairly good color means that the units have a typical color characteristic of fairly well-matured apricots and may be pale yellow and washed out in color, and may have light cast due to oxidation or other causes. The units may be more than seriously affected by greenish-yellow or light green areas.

(iv) Poor color means the units fail to meet the requirements for fairly good color.

(f) Crushed or broken in the style of whole, halves, and quarters means:

1. A unit is "crushed" if it has definitely lost its normal shape and is crushed not due to ripeness;

2. A unit is "broken" if severed into definite parts. Any unit in halves style that is split or mashed from the edge to the pit cavity is not considered broken. Any unit of whole, peeled apricots that is mashed or very soft due to ripeness;

3. Portion of broken units are reassembled to approximate one full size unit and counted as one unit.

(g) Defect means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(h) Extraneous vegetable material—"Small pieces mean long stems, pieces of twigs not more than 51 mm (2.0 in) in length, or leaf material or portions thereof.

(i) Short stem means the woody stem which attaches the apricot to the twig of the tree and is 3 mm (0.12 in) to 10 mm (0.39 in) in length. Dark brown stems less than 3 mm (0.12 in) in length are also considered as short stems.

(j) Flavor and odor. "Normal flavor and odor" means that the canned apricots are free from objectionable flavors and odors of any kind.

(k) Mechanical damage—"Detected piece in the styles of halves and
quarters is a piece which has the appearance of a slice resulting from an
off-suture cut or other improper cutting.
(2) *Off-suture cut in the styles of
halves and quarters is a unit which has
been cut at a distance from the suture
greater than 7 mm (0.28 in) at the widest
measurement and the appearance is
affected:
(i) Not more than slightly;
(ii) Materially; or
(iii) Seriously.
(3) *Partially detached piece in the
styles of halves and quarters is a piece
which has the appearance of a slice
resulting from an off-suture cut or other
improper cutting. The defect is attached
to the half or quarter from which it cut, but
must be detached more than one-third of
the length of the half or quarter along
the suture approximately parallel with
the suture.
(k) *Peel means all of the outer layer of
the apricot that may or may not be
removed during processing.
(I) *Sample unit size means the amount
of product specified to be used for
inspection. It may be:
(1) The entire contents of a container;
(2) A portion of the contents of a
container;
(3) A combination of the contents of 2
or more containers; or
(4) A portion of unpacked product.
(m) *Unit means one whole, half,
quarter, slice, or piece of apricot as
applicable for the style.
§ 2852.2644 Recommended sample unit
size.
Compliance with requirements for
factors of quality is based on the following
sample unit sizes for the respective style.
(a) Whole—25 units.
(b) Halves—50 units.
(c) Quarters—50 units.
(d) Slices—100 units.
(e) Pieces or irregular pieces—1,000
grams (35.3 ounces).
§ 2852.2649 Grades.
(a) *"U.S. Grade A" is the quality of
canned apricots that:
(1) Meets the following prerequisites
in which the apricots:
(i) Have similar varietal
characteristics;
(ii) Have a normal flavor and odor;
(iii) Have an overall brightness of the
sample unit as a mass that is not
affected by dullness;
(iv) Are practically free from pit
material except for whole style;
(v) Are practically free from loose pits
for whole style;
(vi) Are practically free from crushed
and broken units in the styles of whole,
halves, and quarters;
(vii) Are practically free from
extraneous vegetable material;
(viii) Do not exceed the aggregate area
of peel specified for the peeled styles as
follows:
(A) Whole; halves; and pieces or
irregular pieces—3.0 cm² (0.5 in² or 1 x
0.5);
(B) Quarters—1.5 cm² (0.25 in² or 1 x
0.25);
(C) Slices—1.5 cm² (0.25 in² or 1 x
0.25);
(ix) Have a character such that the
number or weight of units that have
fairly good character does not exceed
the following:
(A) Whole—1 unit;
(B) Halves—3 units;
(C) Quarters—3 units;
(D) Slices—5 units;
(E) Pieces or irregular pieces—50
grams.
(2) Is within the limits for defects as
classified in Table IV and specified in
Tables V, VI, or VII.
(b) "U.S. Grade B" is the quality of
canned apricots that:
(1) Meets the following prerequisites
in which the apricots:
(i) Have similar varietal
characteristics;
(ii) Have a normal flavor and odor;
(iii) Have an overall brightness of the
sample unit as a mass that is not
affected by dullness;
(iv) Are practically free from pit
material except for whole style;
(v) Are reasonably free from loose
pits for whole style;
(vi) Are practically free from crushed
and broken units in the styles of whole,
halves, and quarters;
(vii) Are fairly free from extraneous
vegetable material;
(viii) Do not exceed the aggregate area
of peel specified for the peeled styles as
follows:
(A) Whole; halves; and pieces or
irregular pieces—9.0 cm² (1.5 in² or
1 x 1.5);
(B) Quarters—4.5 cm² (0.75 in² or
1 x 0.75);
(C) Slices—4.5 cm² (0.75 in² or 1 x 0.75);
(ix) Have a character such that the
number or weight of units that have poor
character does not exceed the following:
(A) Whole—3 units;
(B) Halves—5 units;
(C) Quarters—5 units;
(D) Slices—10 units;
(E) Pieces or irregular pieces—100
grams.
(2) Is within the limits for defects as
classified in Table IV and specified in
Tables V, VI, or VII.
(d) *"Substandard" is the quality of
canned apricots that fails to meet the
requirements for U.S. Grade C.
§ 2852.2650 Factors of quality.
The grade of a lot of canned apricots
is based on compliance with the
requirements for the following quality
factors:
(a) Prerequisite quality factors.
(1) Varietal characteristics;
(2) Flavor and odor;
(3) Brightness;
(4) Pit material (all styles except
whole);
(5) Loose pits (whole style only);
(6) Crushed or broken units;
(7) Extraneous vegetable material;
(8) Peel;
(9) Character.
(b) *Classified quality factors.
(1) Individual unit color;
(2) Uniformity of size;
(3) Blemished;
(4) Mechanical damage.
§ 2852.2651 Classification of defects.
Defects are classified as minor, major,
severe, or critical. Each "X" mark in
Table IV represents "one (1) defect."
Table IV—Classification of Defects

<table>
<thead>
<tr>
<th>Quality factor</th>
<th>Defect</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Minor</td>
</tr>
<tr>
<td>Whole</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual unit color</td>
<td>Reasonably good (grade A only)</td>
<td>X</td>
</tr>
<tr>
<td>Uniformity of size</td>
<td>Excessive variation</td>
<td>X</td>
</tr>
<tr>
<td>Blended</td>
<td>Slighty</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>Materially</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td></td>
</tr>
<tr>
<td>Halves, Quarters</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual unit color</td>
<td>Reasonably good (grade A only)</td>
<td>X</td>
</tr>
<tr>
<td>Uniformity of size</td>
<td>Excessive variation</td>
<td></td>
</tr>
<tr>
<td>Blended</td>
<td>Slighty</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Materially</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td></td>
</tr>
<tr>
<td>Mechanical damage</td>
<td>Off-suture:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Slighty</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Materially</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td></td>
</tr>
<tr>
<td>Slices</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual unit color</td>
<td>Reasonably good (grade A only)</td>
<td>X</td>
</tr>
<tr>
<td>Blended</td>
<td>Slighty</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Materially</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td></td>
</tr>
<tr>
<td>Pieces or Irregular Pieces</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual unit color</td>
<td>Reasonably good (grade A only)—each 40 g</td>
<td>X</td>
</tr>
<tr>
<td>Blended</td>
<td>Slighty</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Materially</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Seriously</td>
<td></td>
</tr>
</tbody>
</table>

$§ 2852.2654$ Compliance with quality requirements.

(a) Lot inspection. A lot of canned apricots is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.2649 are met;

(2) The Acceptable Quality Levels (AQL) in Tables V, VI, and VII, as applicable for the style, are not exceeded.

(b) On-line inspection. A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.2649 are met;

(2) The Acceptable Quality Levels (AQL) in Tables V, VI, and VII, as applicable for the style, are not exceeded.

(c) Single sample unit. Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.2649 are met;

(2) The Acceptable Quality Levels (AQL) in Tables V, VI, and VII, as applicable for the style, are not exceeded.

$§ 2852.2655$ [Reserved]

$§ 2852.2656$ [Reserved]

$§ 2852.2657$ [Reserved]

5. Sections 2852.4021–2852.4023 and § 2852.4026–2852.4034 of Subpart—United States Standards for Grades of Canned Grapes would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Grapes

Sec. 2852.4021 Product description.
2852.4022 Definitions of terms.
2852.4023 Recommended sample unit size.
2852.4024 Grades.
2852.4025 Factors of quality.
2852.4026 Classification of defects.
2852.4027 Tolerances for defects.
2852.4028 Sample size.
2852.4029 Compliance with quality requirements.
2852.4030 [Reserved]

Subpart—United States Standards for Grades of Canned Grapes

$§ 2852.4021$ Product description.

Canned grapes is the product represented as defined in the Standards of Identity for canned grapes (21 CFR 145.140) issued under the Federal Food, Drug, and Cosmetic Act. For the purposes of the standards in this subpart, canned grapes are prepared...
from the light seedless varietal type and are stemmed.

§ 2852.4022 Definition of terms.

(a) Acceptable Quality Level (AQL) means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) Blemished means any unit which is affected by scab, scar tissue, or discoloration on or in the grape unit to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

c) Brightness means the extent that the overall appearance of the sample unit as a mass is dulled by oxidation or other causes.

(1) Grade A—may be slightly affected.

(2) Grade B—may be materially affected.

(3) Substandard—fails Grade B.

(d) Broken means any unit that is split, spread open, or crushed (without discoloration) such that the appearance or eating quality is seriously affected.

e) Capstem means the small woody stem which attaches the grape to the main cluster.

(f) Color.—(1 General. The color of canned grapes other than spiced or artificially colored grapes refers to the predominant and characteristic color of the outer surface of the individual units. The color of canned spiced or artificially colored grapes shall be typical of the respective type of pack.

(2) Individual unit color classification.—(i) Good color means the grapes have a bright, uniform, yellowish-green to greenish-yellow color typical of well-developed light seedless grapes.

(ii) Fairly good color means the grapes have a fairly bright uniform light green to yellowish-green color typical of fairly well-developed light seedless grapes, and may have a slightly dull color or a light brownish cast.

(iii) Poor color means the grapes fail to meet the requirements for fairly good color.

(g) Defect means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(h) Extraneous vegetable material (EVM) means any vegetable substance (other than capstems) common to the grape vine that is harmless.

(j) Flabby means the grape skin is intact and not broken, but the interior portion of the grape is missing to the extent that the grape has a deflated or sunken appearance.

(k) Flavor and odor. "Normal flavor and odor" means that the canned grapes are free from objectionable flavors and odors of any kind.

(l) Sample unit size means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;

(3) A combination of the contents of 2 or more containers; or

(4) A portion of unpacked product.

(m) Serious processing crack means a grape that is split to or beyond its approximate center but is not mutilated or discolored.

(n) Unit means one whole grape or portions which in the aggregate approximate the average size of a grape.

§ 2852.4023 Recommended sample unit size.

Compliance with requirements for factors of quality is based on the recommended sample unit size of 100 units.

§ 2852.4028 Grades.

(a) "U.S. Grade A" is the quality of canned grapes that:

(1) Meets the following prerequisites in which the grapes:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that may be materially affected by dullness due to improper processing;

(iv) Are at least fairly uniform in size; and

(v) Have no more than six (6) flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classified in Table IV and specified in Table V.

(b) "U.S. Grade B" is the quality of canned grapes that:

(i) Meets the following prerequisites in which the grapes:

(ii) Have similar varietal characteristics;

(iv) May be variable in size;

(v) Have no more than 14 flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classified in Table IV and specified in Table V.

(c) "Substandard" is the quality of canned grapes that fails to meet the requirements for U.S. Grade B.

§ 2852.4029 Factors of quality.

The grade of a lot of canned grapes is based on compliance with requirements for the following quality factors:

(a) Prerequisite quality factors. (1) Varietal characteristics;

(2) Flavor and odor;

(3) Brightness;

(4) Uniformity of size;

(5) Flabby units and serious processing cracks.

(b) Classified quality factors. (1) Individual unit color;

(2) Blemished;

(3) Broken units;

(4) Capstems;

(5) Extraneous vegetable material.

§ 2852.4030 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table IV represents "one (1) defect."

<table>
<thead>
<tr>
<th>Quality factor</th>
<th>Defect</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Minor</td>
</tr>
<tr>
<td>Individual unit color</td>
<td>Fairly good (grade A only)</td>
<td>X</td>
</tr>
<tr>
<td>Blemished</td>
<td>Poor (grades A or B)</td>
<td></td>
</tr>
<tr>
<td>Slightly</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Materially</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>Seriously</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Capstems</td>
<td>Attached or detached (each capstem)</td>
<td></td>
</tr>
<tr>
<td>Broken units (each unit)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extraneous vegetable material (EVM)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
§ 2852.4031 Tolerances for defects.

Table V

<table>
<thead>
<tr>
<th>Grade</th>
<th>Total</th>
<th>Major</th>
<th>Severa</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1.5</td>
<td>1.0</td>
<td>0.5</td>
<td>0.15</td>
</tr>
</tbody>
</table>

*Total = Minor + Major + Severa + Critical.

Sec.
2852.6491 Product description.

Canned fruit mix is the product commonly prepared from, but is not limited to, two or more of the following fruit ingredients: Peaches, pears, and grapes. It does not meet the requirements of any other standardized product, such as, but not limited to, canned fruit cocktail or canned fruits for salad. The mixture may be packed with any safe and suitable ingredients permissible under the Federal Food, Drug, and Cosmetic Act.

§ 2852.6492 Definitions of terms.

(a) Acceptable Quality Level (AQL) means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) Appearance means the extent that the overall sample unit as a mass is affected by oxidation, pigmentation, staining, or other causes.

(c) Blemished means any fruit unit which is affected by scab, hall injury, corky or hard spots, scar tissue, or discoloration to the extent that the appearance is seriously affected.

(d) Broken unit means a fruit unit that is split, spread open, crushed, or mutilated (without discoloration) such that the appearance is seriously affected.

(e) Calyx unit means a fruit calyx that is whole or an aggregate of calyx pieces that would collectively equal one whole calyx.

(f) Copstem means the small woody stem that attaches the grape or berry to the main cluster.

(g) Character—(1) General. Character refers to the degree of ripeness, the texture, and the tenderness of the fruit units.

(h) Color—(1) General. Color refers to the predominant and characteristic color of the fruit units.

(2) Individual unit color classification.—(i) Good color means that the fruit units meet the definition of good color for the individual ingredients.

(ii) Fairly good color means that the fruit units at least meet the definition of fairly good character for the individual ingredients.

(iii) Poor character means that the fruit units fail the requirements for fairly good character.

(iv) Color—(2) General. Color refers to the predominant and characteristic color of the fruit units.

(2) Individual unit color classification.—(i) Good color means that the fruit units meet the definition of good color for the individual ingredients.

(ii) Fairly good color means that the fruit units at least meet the definition of fairly good color for the individual ingredients.

(iii) Poor color means that the fruit units fail the requirements for fairly good color.

(j) Defect means any nonconformance for a unit(s) of product from a specified requirement of a single quality characteristic.

(k) Excessive vegetable material means, but is not limited to, pieces of vine, detached or attached external stems (grape capstems are not considered as excessive vegetable material), pieces of twig not more than 51 mm (2.0 in) in length, or leaf material.

(l) Flabby grape means the grape skin intact and not broken, but the interior portion of the grape is missing to the extent that the grape has a deflated or sunken appearance.

(m) Loose seed means any fruit seed, or the equivalent in pieces of one seed, not included in the material.

(n) Peaches means peach units prepared from yellow varieties that have been peeled and pitted. These units may be halves, quarters, slices, dice, or irregular pieces.

(o) Pear means pear units which have been peeled and pitted. These units may be halves, quarters, slices, dice, or irregular pieces.

(p) Peel means the outer layer of a fruit that is normally removed during processing.

(q) Sample unit size means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;
(3) A combination of the contents of 2 or more containers; or
(4) A portion of unpacked product.

(i) Serious processing crack means a whole fruit unit that is split or beyond its approximate center, but is not mutilated or discolored.

(ii) Unit means one whole, half, quarter, slice, dice, piece, or sector of any applicable fruit ingredient.

§ 2852.6493 Recommended sample unit size.

Compliance with the requirements for factors of quality and proportion of fruit ingredients is based on the recommended sample unit size of 300 g (10.56 oz).

§§ 2852.6494 through 2852.6495 [Reserved]

§ 2852.6497 Proportion of fruit ingredients.

The sample size to determine the quantity of any applicable fruit ingredient.

§ 2852.6498 Grades.

(a) "U.S. Grade A" is the quality of canned fruit mix that:

(i) Meets the following prerequisites in which the fruit mix:

(ii) Has a normal flavor and odor;

(ii) Has an overall appearance that is reasonably bright and is not seriously affected by staining or dullness;

(iii) Has pears, if present, with a color such that the weight of units that have poor color does not exceed 15 grams;

(iv) Is reasonably free from loose seeds;

(v) Is practically free from pit material;

(vi) May have an aggregate area of peel not exceeding 5.0 cm² (0.77 in² or 1 x 0.77);

(vii) Has a liquid medium that is not off-color for any reason;

(viii) Has a character such that the fruit does not contain more than 30 grams of poor character;

(ix) Has grapes, if present, with no more than six flabby units or serious processing cracks, singly or in combination.

(b) "U.S. Grade B" is the quality of canned fruit mix that:

(i) Meets the following prerequisites in which the fruit mix:

(ii) Has a normal flavor and odor;

(iii) Has an overall appearance that is reasonably bright and is not seriously affected by staining or dullness;

(iv) Has pears, if present, with a color such that the weight of units that have poor color does not exceed 15 grams;

(v) Is reasonably free from loose seeds;

(vi) Is practically free from pit material;

(vii) May have an aggregate area of peel not exceeding 5.0 cm² (0.77 in² or 1 x 0.77);

(viii) Has a liquid medium that is not off-color for any reason;

(ix) Has a character such that the fruit does not contain more than 30 grams of poor character;

(x) Has grapes, if present, with no more than three flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classified in Table IV and specified in Table V.

(c) "Substandard" is the quality of canned fruit mix that fails to meet the requirements for U.S. Grade B.

§ 2852.6499 Factors of quality.

The grade of a lot of canned fruit mix is based on compliance with requirements for the following quality factors:

(a) Prerequisite quality factors. (1) Flavor and odor;

(2) Appearance;

(3) Individual piece unit color;

(4) Loose seeds;

(5) Pit material;

(6) Peel;

(7) Liquid medium;

(8) Character;

(9) Flabby grapes and/or serious processing cracks.

(b) Classified quality factors. (1) Individual piece unit color (other than pear units);

(2) Blemished;

(3) Workmanship;

(4) Broken units;

(5) Capstems;

(6) Excessive vegetable material.

§ 2852.6500 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table IV represents "one (1) defect."

Table IV.—Classification of Defects

<table>
<thead>
<tr>
<th>Quality factor</th>
<th>Defect</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual unit color (all fruits Fairly good (in grade A only)—each 3 g) except peaches)</td>
<td>Poor (in grades A and B—each 3 g)</td>
<td>Minor</td>
</tr>
<tr>
<td>-</td>
<td>Slightly (each 3 g)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Blemished (each 3 g)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Materially (each 3 g)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Severely (each 3 g)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Core materials—each (each unit)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Seeds (each unit)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Core material—each (each unit)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Capstems—attached or detached (each unit)</td>
<td>X</td>
</tr>
<tr>
<td>-</td>
<td>Excessive vegetable material</td>
<td>X</td>
</tr>
</tbody>
</table>

§ 2852.6501 Tolerances for defects.

Table V

<table>
<thead>
<tr>
<th>Percentage of defects for each hundred units</th>
<th>Minor</th>
<th>Major</th>
<th>Severe</th>
<th>Critical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade A</td>
<td>12.5</td>
<td>0.8</td>
<td>1.5</td>
<td>0.25</td>
</tr>
<tr>
<td>Grade B</td>
<td>20.0</td>
<td>0.5</td>
<td>5.0</td>
<td>0.65</td>
</tr>
</tbody>
</table>

(a) Lot inspection. A lot of canned fruit mix is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection and Certification" of Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1—2852.83) for lot inspection and online inspection, as applicable.

§ 2852.6503 Compliance with quality requirements.

(a) Lot inspection. A lot of canned fruit mix is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.
(b) On line inspection. A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

(c) Single sample unit. Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

(Secs. 203 and 205, 60 Stat. 1057, 1090, as amended (7 U.S.C. 1622, 1624))

This proposal has been reviewed under the USDA criteria established to implement Executive Order 12344, "Improving Government Regulations," and has been classified "significant." An Approved Draft Impact Analysis is available from Mr. Leon R. Cary, Processed Products Branch, Fruit and Vegetable Quality Division, Food Safety and Quality Service, U.S. Department of Agriculture, Washington, DC 20250.

Done at Washington, D.C. on March 12, 1980.

Carol Tucker Foreman, Assistant Secretary for Food and Consumer Services.

[FR Doc. 80-6773 Filed 5-2-80; 8:45 am]
BILLING CODE 3410-DM-M

Animal and Plant Health Inspection Service

9 CFR Part 51

Brucellosis Indemnity

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Proposed rule.

SUMMARY: This document proposes amendments to the regulations governing the payment of indemnities for cattle destroyed because of brucellosis. This proposal would allow Federal indemnities for cattle destroyed because of brucellosis to move with the market. This action is necessary to encourage closer cooperation between livestock owners and the brucellosis eradication program officials.

DATE: Comments on or before April 21, 1980.

ADDRESS: Comments to Deputy Administrator, USDA, APHIS, VS, Federal Building, Room 805, Hyattsville, MD 20782.

FOR FURTHER INFORMATION CONTACT:

Dr. A. D. Robb, USDA, APHIS, VS, Federal Building, Room 805, Hyattsville, MD 20782; 301-438-8713.

SUPPLEMENTARY INFORMATION: Notice is hereby given in accordance with the administrative procedure provisions in 5 U.S.C. 553, that pursuant to the provisions of Secs. 3, 4, 5, 11, and 13, 23 Stat. 32 as amended; Secs. 1 and 2, 32 Stat. 791–792, as amended; Sec. 3, Stat. 1265, as amended; Sec. 5, 78 Stat. 150 (21 U.S.C. 111–113, 114, 114a, 114a–1, 120, 121, 125, 126b; 37 FR 28464; 38 FR 28477; 39 FR 19141) the Animal and Plant Health Inspection Service is considering amending Part 51, Title 9, Code of Federal Regulations.

Brucellosis is a contagious, infectious, and communicable disease which affects animals and man and is caused by bacteria of the genus Brucella. A Cooperative State-Federal Brucellosis Eradication Program to eliminate brucellosis from cattle and swine of the United States is being carried out in each of the various states. Among the tools being used to eradicate brucellosis in the various states is the testing of cattle and swine herds for brucellosis with identification and destruction of affected animals.

The Secretary of Agriculture has authority (21 U.S.C. 114a) to indemnify owners of animals for losses caused by the destruction of brucellosis affected or exposed animals. Presently, an indemnity payment of $50 for nonregistered beef cattle, $150 for nonregistered dairy cattle, $250 for registered cattle, and $25 for exposed female calves is the maximum which may be paid under the applicable regulations (9 CFR Part 51), except that indemnity payments for all nonregistered cattle in Alaska, Hawaii, Puerto Rico, and the Virgin Islands may not exceed $150.

With inflation and other causes of market fluctuations, flat rate indemnities may fail to provide the necessary incentive to producers to cooperate in management practices proven necessary to eliminate brucellosis from a herd or from areas.

This proposal would amend the regulations to permit indemnity payments for cattle with the exception of exposed female calves, to move with fair market values and would eliminate the system presently in effect for cattle. Under present § 51.3, the Deputy Administrator may authorize the Department to pay indemnity to owners whose cattle are destroyed because of brucellosis. However, the Deputy Administrator's authorization is limited by top dollar amounts per head which may be paid for different categories of cattle. The present regulations are not as effective as they could be because indemnification is not included for brucellosis in whole herd depopulation. For those states listed in § 78.20(a) as noncertified Brucellosis-Free Areas, the indemnity would be calculated to not exceed a return of 95 percent for other areas listed in § 78.20(b) as Certified Brucellosis-Free Areas and areas listed in § 78.22 as noncertified areas, the indemnity would be calculated to not exceed a return of 90 percent; and for areas listed in § 78.22 as noncertified areas, the indemnity would be calculated to not exceed a return of 80 percent. The varying percentages should offer greater inducement to owners of infected herds in Certified Brucellosis-Free Areas and Modified Certified Brucellosis Areas to eliminate the few sources of the disease which may exist in such areas.

Exposed female calves are not required by state authority to be destroyed. Such destruction is voluntary.
but does contribute to eradication of brucellosis, since it is known that a small percentage of these animals infected at birth or an early age do remain infected for life. There is not an established market for these calves by which a fair market value can be determined precisely. Therefore, based on information received from knowledgeable persons, it appears that the maximum flat rates of $50 for nonregistered calves and $250 for registered calves under present market conditions, would serve as an incentive to owners to destroy these calves of questionable health status. Proposed § 51.3(a)(3) would, therefore, increase the indemnity for such female exposed calves from $25 per head to $50 per head for nonregistered calves and $250 per head for registered calves.

Proposed § 51.3(a) would contain proposed footnote 4 which would set forth the criteria which the Deputy Administrator uses to determine whether to authorize Federal indemnity payments at maximum rates. The Deputy Administrator would authorize payment of Federal indemnity by the Department at maximum rates: (a) As long as sufficient funds appropriated by Congress appear to be available for this purpose for the remainder of the fiscal year; (b) in states or areas not under Federal quarantine; (c) in states requesting payment of Federal indemnity; and (d) in states not requesting a lower rate.

Naturally, the Deputy Administrator cannot authorize payment of Federal indemnity or indemnity at maximum rates unless Congress has appropriated adequate funds for this purpose. Also, if such funds are not available, the Department wishes to retain the option of reducing the rate of indemnity in order to be able to continue the program for the entire year. States or areas which are under Federal quarantine are no longer considered to be in the cooperative brucellosis program under which payment of Federal indemnity is authorized pursuant to 21 U.S.C. 114a.

Further, under the brucellosis eradication cooperative program, states in some instances have requested that there be no payment or a lower payment of Federal indemnity to owners of animals destroyed because of brucellosis in the state. The Deputy Administrator would honor such requests. For the reasons such requests may be made would be the need for states to pay the indemnity to maintain their part of the congressional requirement for them to contribute at least 40 percent of the funds expended on the brucellosis program.

Proposed § 51.3(a) would contain proposed footnote 5 which would set forth a list of documents which would be accepted as proof of destruction of an animal by a Veterinarian in Charge. Such proof must be furnished to the Veterinarian in Charge prior to payment of indemnity. The Department has found that the following documents constitute reasonably reliable evidence that an animal has been or will be destroyed: (a) Post-mortem reports; (b) meat inspection certifications of slaughter; (c) written statement by a state representative, Veterinary Services representative, or accredited veterinarian attesting to the destruction of the animal; (d) a written sworn statement by the owner or caretaker of the animal attesting to the destruction of the animal, and (e) a permit (VS Form 1-27) consigning the animal from a farm or livestock market directly to a recognized slaughtering establishment as defined in § 78.3(dd) of this chapter. The Veterinarian in Charge may, in unique situations where the documents listed above are not available, accept other similarly reliable forms of proof of destruction.

Proposed § 51.3(a) would contain proposed footnote 6 which would set forth the factors which the Deputy Administrator will consider when determining whether total herd depopulation under proposed § 51.3(a)(3) would be appropriate. Total herd depopulation is voluntary and will only be considered after the owner of a herd requests that his brucellosis infected or exposed herd be depopulated. Such a request will only be approved by the Deputy Administrator if he determines that such herd depopulation will contribute to the advancement of the total brucellosis eradication program. The primary factors which the Deputy Administrator would consider in determining whether herd depopulation will advance the total brucellosis eradication program are the following:

(a) The risk of exposure of disease to other herds. The Deputy Administrator will consider the risk of spread of brucellosis from the herd considered for depopulation to clean herds. The higher the risk of the spread of brucellosis to such herds, the greater the necessity for herd depopulation. In this regard, the Deputy Administrator will consider the proximity of other herds and the density of brucellosis infection in the area.

(b) Amount of infection in the herd revealed on the initial test. The higher the rate of brucellosis infection in the herd, the greater the necessity for total herd depopulation. The Department believes that if a high percentage of animals are found to be brucellosis reactors in the initial test, a removal of reactors will not necessarily eliminate the disease in the herd because these reactors probably have infected other animals. Further, if the infection rate is extremely high, the difference in cost between total herd depopulation and removal of brucellosis reactors and retesting later may be minimal.

(c) The percentage of recent abortions within the herd. A high percentage of abortions within a herd would indicate that there is a high rate of brucellosis infection. In these instances, herd depopulation is a more effective method of removing the disease from the area than is removal of brucellosis reactors.

(d) The number of tests conducted revealing brucellosis reactors. If a herd is tested several times and brucellosis reactors are revealed on each test and removed after each test, this would indicate that there is a high rate of infection in the herd and that continued testing and removal of brucellosis reactors may not eliminate the disease from the herd. In these situations, herd depopulation would be considered by the Deputy Administrator.

The above-listed factors are probably the primary factors which will be considered by the Deputy Administrator in determining whether herd depopulation will contribute to the advancement of the total brucellosis eradication program. Unique situations may arise in which other factors would be considered.

Other alternatives were considered. (A) Make no revision. The Department believes that this would not be responsive to the problem. (B) Annually revise the rate of indemnity. The Department believes that this alternative would result in indemnity rates which are too low or too high, in some instances, and would result in a program which is less effective than one in which indemnity rates are responsive to market trends. Further, periodically amending the regulations to revise the rates would be time consuming and may not be responsive to market trends. (C) Also considered was essentially the same proposal as presented but with one extremely important difference. In this alternative, actual indemnity would have been determined by an appraisal of each animal, by determining state indemnity or other compensation received for each animal, and then calculating Federal indemnity to give the owner 90 percent of the appraisal value. This appraisal procedure was not selected for these reasons: (1) Experience has shown consistent over...
appraisals which result in the affected herd owner receiving 100 percent or more of the actual value of the animal. This destroys much of the incentive for the herd owner to cooperate in eradication of brucellosis. (2) Experience has also shown that the Federal Government spent in excess of $6 for each animal just to process claims under the appraisal system. This $6 is nonproductive in eradicating brucellosis. (3) Under the appraisal system, claims are delayed a minimum of 2 weeks over flat rate claims with most being closer to 4 weeks. This also destroys incentive for the herd owner to cooperate in eradicating brucellosis. (4) Collection of salvage information places burdens on market operators and slaughtering establishments for which there is no compensation. The cooperation of these members of the livestock industry in other facets of the program is of paramount importance to the eradication of brucellosis. Continued requesting of uncompensated services destroys the essential cooperativeness of these members of the livestock industry.

Also considered as a part of the alternative selected were various percentages of return. The percentage rates selected were considered high enough to permit affected herd owners to remain in business and have an incentive to eradicate brucellosis from the herd. Lower percentages considered, which, while saving Federal indemnity money, would make owners reluctant to follow effective program standards. Higher percentages considered would be expected to create indifference on the part of the owner to eradicating brucellosis, since his losses would be small, and the expense and inconvenience of cooperation greater than the expected benefits of brucellosis eradication.

With the proposal presented here, the cost of obtaining market data from USDA's Market News Service publications and from registered breed associations will be minimal. Data on state indemnity payments is kept current by reports available. Computing data for each state with modern equipment already in use can be figured in hours if not minutes for any time period desired. Notification of each state by administrative letter of the indemnity rates also takes only a few minutes. One calculation estimates the proposed method may cost only .2 of a cent per animal indemnified in comparison to the known cost of an appraisal system in excess of $9 per animal indemnified.

It is anticipated that by reducing the financial losses incurred by livestock owners whose animals are destroyed, cooperation as well as herd management practices will be greatly improved and eradication efforts will be expedited. It is further anticipated that herd owners will be more confident that participation in the program will not cause financial ruin since the indemnity paid will be keyed to move with the market and with changes in state funding.

Present § 51.3(a)(3) provides that except as provided in present § 51.3(a)(4) the Deputy Administrator may authorize the payment of Federal indemnity by the Department to any owner whose cattle are destroyed because of exposure to brucellosis. This proposal would delete present § 51.3(a)(3). This section has rarely been used and has never been considered an integral part of the brucellosis indemnity program.

Proposed § 51.1(cc) would define the term "average fair market replacement value." This term would be used in the formulas for payment of Federal indemnity which may be found in proposed § 51.3(a)(1) and (2). The average fair market replacement value is broken down between nonregistered and registered cattle because of the different sources from which prices and weights are received by the Department. Proposed § 51.1(cc)(1) would define that average fair market replacement value for nonregistered cattle in a state as the arithmetic average price and weight as determined from publications of the Agricultural Marketing Service (AMS), United States Department of Agriculture for the State for beef breeds and dairy breeds during the previous calendar year quarter. Thereafter, if during the previous calendar year, AMS publishes replacement prices of beef cattle in Florida three times as 45, 50, and 55 dollars per hundred weight then the average price would be $50 dollars per hundred weight. If during the first calendar year quarter, AMS publishes the average weight of beef cattle sold in Florida twice as 899 and 902 pounds, then the arithmetic average weight would be 900 pounds. The average fair market replacement value would then be 450 dollars for beef cattle in Florida. This average fair market replacement value would be used for Florida beef cattle during the second calendar year quarter.

Proposed § 51.1(cc)(2) would define the average fair market replacement value for registered cattle as the arithmetic average price of such cattle during the previous calendar year as reported by the registered breed association. These values would be arrived at in the same manner as described above for nonregistered animals except that there would be no breakdown by State or by beef versus dairy breed or by weight. Further, the average fair market replacement value which would be used would be for the previous calendar year rather than the previous calendar year quarter. The Department believes these differences are necessary because registered breed associations often do not have members scattered throughout the country sufficient to provide state-by-state prices. Further, small registered breed associations would not be able to report price more than on a yearly basis.

Proposed § 51.1(dd) would define the term "average fair market slaughter value." This term would be used in the formulas for payment of Federal indemnity which may be found in proposed § 51.3(a)(1) and (2). The average fair market slaughter value would be defined as the arithmetic average price and weight of cattle slaughtered within a state as determined from publications of and for the state during the previous calendar year quarter. These values would be arrived at in the same manner as described above for the average fair market replacement value of nonregistered animals. There would, however, be no breakdown between beef and dairy breeds since slaughter value for these two breeds is generally the same.

Proposed § 51.1(ee) would define the term "registered breed association." This term would be used in the definition of average fair market replacement value which may be found in proposed § 51.1(cc)(2). Registered breed association would be defined as those associations formed and perpetuated for the maintenance of records of pure breeding of animal species for a specific breed whose characteristics are set forth in Constitutions, By-Laws, or other Rules. Presently, breeders submit applications for animals of pure breeding to such associations. The association determines eligibility of such animals for registration or recordation in the association. If an animal meets the requirements set forth in an association's Constitution, By-Laws, or other rules, such animal is registered in the Official Herd Book or other recordkeeping format and a certificate of registration or recordation is issued. Proposed § 51.1(ee) would also list purebred breed associations in the United States as are found in the definitive three volume works of John E. Rouse entitled "World Cattle."
Accordingly, Part 51, Title 9, Code of Federal Regulations, would be amended in the following respects:

1. Section 51.1 would be amended to add new definitions and footnotes to read as follows:

§ 51.1 Definitions.

1. * * * *

c) Average fair market replacement value.—(1) Noncertified cattle—The average arithmetic price and weight as determined from publications of the Agricultural Marketing Service (AMS), United States Department of Agriculture for the state during the previous calendar year.

2. Registered cattle—The arithmetic average price of such cattle during the previous calendar year as reported by the registered breed association.

d) Average fair market slaughter value. The arithmetic average price and weight of cattle slaughtered within a state as determined from publications of the Agricultural Marketing Service (AMS), United States Department of Agriculture for the state during the previous calendar year.


2. In § 51.3, paragraph (a) would be amended to read as follows:

§ 51.3 Payment to owners for animals destroyed.

(a) Cattle—(1) Brucellosis reactor cattle. The Deputy Administrator may authorize the payment of Federal indemnity by the Department to owners whose cattle are destroyed because of brucellosis reactors. Federal indemnity for such cattle shall not exceed the difference between 90 percent of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Prior to payment of indemnity, proof of destruction shall be furnished to the Veterinarian in Charge.

(2) Herd depositions. The Deputy Administrator may authorize the payment of Federal indemnity by the Department to any owner whose herd of cattle is depopulated because of infection or exposure to brucellosis.

Federal indemnity for such cattle from States which are entirely Certified Brucellosis Free (as listed in § 78.20(a)) shall not exceed the difference between 95 percent of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Federal indemnity for such cattle from areas other than whole States which are Certified Brucellosis Free Areas (as listed in § 78.20(b) and Modified Certified Brucellosis Free areas (as listed in § 78.21) shall not exceed the difference between 90 percent of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Federal indemnity for such cattle from noncertified areas (as listed in § 78.22) shall not exceed the difference between 80 percent of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Prior to payment of indemnity, proof of destruction shall be furnished to the Veterinarian in Charge.

3. In § 51.4, footnotes 3 and 4 would be renumbered 7 and 8 respectively.

All written submissions made pursuant to this notice will be made available for public inspection at the Federal Building, 6505 Belcrest Road, Hyattsville, Maryland, during regular hours of business (8 a.m. to 4:30 p.m., Monday to Friday, except holidays) in a manner convenient to the public business (7 CFR 2.27(b)).

Comments submitted should bear a reference to the date and page number of this issue in the Federal Register.

This proposal has been reviewed under the USDA criteria established to implement Executive Order 12044, "Improving Government Regulations," and has been classified "significant." An Approved Draft Impact Analysis is not limited to, the following factors: (a) Risk of exposure to herds not infected with or exposed to brucellosis; (density of area infected); (b) Amount of infection revealed in the herd on the initial test; (c) Percentage of recent abortions within the herd; and (d) Number of tests conducted revealing brucellosis reactors (chronicity of the disease).
available from the Program Services Staff, Room 670, Federal Building, 6505 Belcrest Road, Hyattsville, Maryland 20782, (301) 448-6655. Executive Order 12044 also requires that all regulations be reviewed and continuing relevance every five years.

This proposal has been classified "significant" and is being published under emergency procedures as authorized by Executive Order 12044 and the Secretary's Memorandum No. 1955, without a full 60-day comment period. It has been determined by Dr. Paul Becton, Director, National Brucellosis Eradication Program, that an emergency situation exists which warrants less than a full 60-day comment period on this proposal because the present limits on indemnity payments are not sufficient to provide producer cooperation with the brucellosis eradication program.

Done at Washington, D.C., this 17th day of March 1980.

P. R. "Bobby" Smith, Assistant Secretary for Marketing and Transportation Services.

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Food and Drug Administration

21 CFR Part 333

[Docket No. 78N-0183]

Topical Antimicrobial Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) topical antimicrobial drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-505), Food and Drug Administration, Room 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4950.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug product panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC topical antimicrobial drug products closed on November 12, 1974, and the reply comment period closed on December 12, 1974. The administrative record was reopened following publication of the tentative final monograph on January 6, 1978 for the submission of objections and requests for a hearing, and closed on February 6, 1978. On March 9, 1979, the administrative record was reopened until June 7, 1979 to grant six petitions that requested reopening of the administrative record, and to accept new or additional data, information, and comments on the data contained in the six submissions. Interested persons could submit reply comments until July 9, 1979. That notice also provided that other data, information, and comments filed in response to the September 15, 1974 and January 6, 1978 publication need not be resubmitted. Those data were also accepted into the record.

In conjunction with FDA's notice of intent to revise the procedural regulations governing the OTC drug review to conform to a recent court decision and order, the agency again reopened the administrative record for OTC topical antimicrobial drug products on October 28, 1979 (44 FR 61609). This action was taken to permit manufacturers to submit the results of testing to FDA as expeditiously as possible prior to establishment of a final monograph.

Subsequent to the June 7, 1979 closing date for the submission of new data, and prior to the October 28, 1979 reopening of the administrative record, some persons submitted data and information to the Hearing Clerk. These data and information were not accompanied by a petition to reopen the administrative record as required by § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)). In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a second tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record had closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC topical antimicrobial drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration.

Comments of these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

This action does not preempt or supersede the reopening of the administrative record for an additional period as specified in the Federal Register of October 28, 1979 (44 FR 61609).

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

Laxative, Antidiarrheal, Emetic, and Antiemetic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) laxative, antidiarrheal, emetic, and antiemetic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-505), Food and Drug Administration, Room 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4950.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug product panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC topical antimicrobial drug products closed on November 12, 1974, and the reply comment period closed on December 12, 1974. The administrative record was reopened following publication of the tentative final monograph on January 6, 1978 for the submission of objections and requests for a hearing, and closed on February 6, 1978. On March 9, 1979, the administrative record was reopened until June 7, 1979 to grant six petitions that requested reopening of the administrative record, and to accept new or additional data, information, and comments on the data contained in the six submissions. Interested persons could submit reply comments until July 9, 1979. That notice also provided that other data, information, and comments filed in response to the September 15, 1974 and January 6, 1978 publication need not be resubmitted. Those data were also accepted into the record.

In conjunction with FDA's notice of intent to revise the procedural regulations governing the OTC drug review to conform to a recent court decision and order, the agency again reopened the administrative record for OTC topical antimicrobial drug products on October 28, 1979 (44 FR 61609). This action was taken to permit manufacturers to submit the results of testing to FDA as expeditiously as possible prior to establishment of a final monograph.

Subsequent to the June 7, 1979 closing date for the submission of new data, and prior to the October 28, 1979 reopening of the administrative record, some persons submitted data and information to the Hearing Clerk. These data and information were not accompanied by a petition to reopen the administrative record as required by § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)). In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a second tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record had closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC topical antimicrobial drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration.

Comments of these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

This action does not preempt or supersede the reopening of the administrative record for an additional period as specified in the Federal Register of October 28, 1979 (44 FR 61609).

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.
SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report. That notice provided an additional period of 5 months, ending on May 27, 1980, during which new data demonstrating the safety and effectiveness of those conditions not classified in Category I may be submitted.

DATED: March 12, 1980.

William F. Randolph, Acting Associate Commissioner for Regulatory Affairs.

BILLING CODE 4110-03-U

21 CFR Parts 338, 340

[Docket No. 75H-02441

Nighttime Sleep-Aid and Stimulant Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) nighttime sleep-aid and stimulant drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC nighttime sleep-aid and stimulant drug products closed on March 8, 1978 and the reply comment period closed on April 8, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record and information contained therein to be included in the administrative record for OTC laxative, antidiarrheal, emetic and antemetic drug products to allow new data and information to the agency in developing a final order. That notice provided an additional period of 5 months, ending on May 27, 1980, during which new data demonstrating the safety and effectiveness of those conditions not classified in Category I may be submitted.
Cold, Cough, Allergy, Bronchodilator, and Antiasthmatic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) cold, cough, allergy, bronchodilator, and antiasthmatic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk, Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4980.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the closing of the comment period following publication of the panel report in the Federal Register. The comment period for OTC topical antibiotic drug products closed on June 30, 1977, and the reply comment period closed on August 1, 1977. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk, Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4980.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk, Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4980.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk, Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4980.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk, Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4980.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk, Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.
for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-15-3 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-03-M

21 CFR Part 343

[Docket No. 77N-0094]

Internal Analgesic, Antipyretic, and Antirheumatic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) internal analgesic, antipyretic, and antirheumatic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(ii) [21 CFR 330.10(a)(10)(ii)], provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC internal analgesic, antipyretic, and antirheumatic drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-15-3 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-03-M

21 CFR Part 344

[Docket No. 77N-0334]

Topical Otic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) topical otic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(ii) [21 CFR 330.10(a)(10)(ii)], provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record and is granting the
petitions by allowing new data and information contained therein to be included in the administrative record for OTC topical drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information, at times to be specified in future Federal Register notices.

Dated: March 12, 1980.

William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8049 Filed 3-20-00; 8:45 am]
BILLING CODE 4110-05-M

21 CFR Part 345

[Docket No. 78N-0024]

Vitamin and Mineral Drug Products for Over-The-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the Administrative Record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) vitamin and mineral drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Dept. of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC vitamin and mineral drug products closed on July 16, 1979, and the reply comment period closed on September 14, 1979. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC vitamin and mineral drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration.

Dated: March 12, 1980.

William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-6423 Filed 3-20-00; 8:45 am]
BILLING CODE 4110-05-M

21 CFR Part 347

[Docket No. 78N-0021]

Skin Protectant Drug Products for Over-The-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) skin protectant drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC skin protectant drug products closed on November 2, 1978, and the reply comment period closed on December 4, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the
agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC skin protectant drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.
[FR Doc. 80-4848 Filed 3-30-80; 8:45 am]
BILLING CODE 4110-03-M

21 CFR Part 350
[Docket No. 78N-0064]

Antiperspirant Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) antiperspirant drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m. Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC antiperspirant drug products closed on January 8, 1979, and the reply comment period closed on February 7, 1979. The procedural regulations for OTC drugs, § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the Administrative record for OTC antiperspirant drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.
[FR Doc. 80-4848 Filed 3-30-80; 8:45 am]
BILLING CODE 4110-03-M

21 CFR Part 352
[Docket No. 78N-0038]

Sunscreen Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) sunscreen drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC sunscreen drug products closed on November 24, 1978, and the reply comment period closed on December 22, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been
submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC sunscreen drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit additional new data and information at times to be specified in future Federal Register notices.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

21 CFR Part 358

[Dock No. 78N-6065]

Skin Bleaching Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) skin bleaching drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4560.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the Federal Register. The comment period for OTC skin bleaching drug products closed on February 1, 1979, and the reply comment period closed on March 5, 1979. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC skin bleaching drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future Federal Register notices.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
Office of the Secretary

24 CFR Part 570

[Dock No. R-80-782]

Community Development Block Grants; Small Cities Program; Transmittal of Proposed Rule to Congress

AGENCY: Department of Housing and Urban Development.

ACTION: Notice of transmittal of proposed rule to Congress under Section 7(o) of the Department of HUD Act.

SUMMARY: Recently enacted legislation authorizes Congress to review certain HUD rules for fifteen (15) calendar days of continuous session of Congress prior to each such rule’s publication in the Federal Register. This Notice lists and summarizes for public information a proposed rule which the Secretary is submitting to Congress for such review.


SUPPLEMENTARY INFORMATION: Concurrently with issuance of this Notice, the Secretary is forwarding to the Chairmen and Ranking Minority Members of both the Senate Banking, Housing and Urban Affairs Committee and the House Banking, Finance and Urban Affairs Committee the following proposed rulemaking document:

24 CFR Part 570, Subpart F—Community Development Block Grants—Small Cities Program (FY 1981)

This proposed rule would revise the regulations for the Small Cities Program for Community Development Block Grants. Most of the changes would clarify existing policies and procedures, but some substantive changes with respect to procedures and program standard are proposed. The important changes are:

1. Elimination of the housing needs factors as part of the selection system;
2. A new method of computing the poverty needs factors;
3. Replacing criteria dealing with State policies and a community’s...
position as a regional center with points awarded at a State's recommendation; and
4. Adding impact criteria dealing with economic development and energy conservation; and

(Section 7(o) of the Department of HUD Act, 42 U.S.C. 3585(o), Section 324 of the Housing and Community Development Amendments of 1978)


Moon Landrieu,
Secretary, Department of Housing and Urban Development.

[FR Doc. 80-827 Filed 3-30-80; 8:45 am]
BILLING CODE 4210-01-M

DEPARTMENT OF DEFENSE

Department of the Air Force

32 CFR Part 892

Part-Time Career Employment Program

AGENCY: Department of the Air Force, Department of Defense.

ACTION: Proposed rule.

SUMMARY: The Department of the Air Force proposes to add a new Part 892 to Subchapter J of 32 CFR, consisting of § 892.0 through 892.8. This new part would establish a continuing program to provide permanent part-time employment opportunities within the Department of the Air Force. It implements the Federal Employees Part-Time Career Employment Act of 1978, Pub. L. 95-437, by establishing a continuing program in the Department of the Air Force to provide permanent part-time employment opportunities.

§ 892.1 Purpose.

It is the policy of the Air Force to provide part-time career employment opportunities.

(a) To the maximum extent, consistent with agency resources and mission requirements for positions GS-1 through GS-15 (or equivalent).

(b) To expand the number and scope of permanent part-time employment opportunities to include professional, administrative, technical, clerical, crafts, trades, and other occupations.

(c) To provide an additional management device to support the achievement of equal employment objectives.

(d) To encourage managers at all levels to eliminate any artificial constraints which inhibit expansion of part-time employment.

§ 892.2 Definitions.

(a) "Part-time career employment" means regularly scheduled work of 16 to 32 hours per week performed by an employee who has an appointment in tenure groups I or II and who becomes employed on such part-time basis on or after April 6, 1979.

(b) "Tenure group I" applies to employees in the competitive service under career appointments who are not serving probation and permanent employees in the excepted service whose appointments carry no restrictions or conditions.

(c) "Tenure group II" applies to career employees in the competitive service serving probation and to career-conditional employees. It also includes employees in the excepted service serving trial periods, or whose tenure is equivalent to career-conditional in the competitive service.

(d) Temporary employment. For the purpose of this program, temporary employment means employment that is time-limited.

(e) Intermittent Employment. Employment on an irregular basis without a prescribed tour of duty.

§ 892.3 Coverage.

(a) The provisions of this part apply to all civilian occupations and authorized positions in grades GS-15 or below and equivalent grades in other pay systems, and to part-time career employees in tenure groups I and II, unless excluded under one of the following:

1. Positions requiring a mixed tour of duty. A mixed tour of duty consists of annually recurring periods of full-time, part-time, or intermittent service.

2. Temporary or intermittent employees. Employees who are in a temporary or intermittent appointment status as defined in § 892.2 are specifically excluded from coverage under this part. The exclusion from this program should not be interpreted as a prohibition on the employment of intermittent or temporary part-time employees.

3. Permanent part-time employees who have continuous service on such a schedule beginning prior to April 6, 1979 are not affected by these provisions.

(b) A negotiated agreement which establishes the number of hours of employment a week takes precedence over this part until such agreement is renegotiated.

§ 892.4 Exceptions to schedule hours of work.

(a) Part-time positions may be established with a scheduled tour of duty of less than 16 hours a week, if necessary to carry out the mission. No exception may be made to employ part-time workers regularly for more than 32 hours per week.

(b) Temporary increase of hours above 32 hours is permitted for a limited time to meet workload or training needs; however, the employee's schedule must remain at 16-32 hours per week.

§ 892.5 Restrictions.

No positions occupied by a full-time employee will be abolished in order to make the duties of such positions available to be performed on a part-time basis, nor will any full-time employee be required to accept part-time employment as a condition of continued employment. This does not preclude offering part-time employment in lieu of separation during reduction-in-force (RIF) when no better offer exists.

§ 892.6 Responsibilities.

(a) Headquarters USAF/MPKS has overall responsibility for administration of the part-time career employment

Sec. 892.2 Definitions.
892.3 Coverage.
892.4 Exceptions.
892.5 Restrictions.
892.6 Responsibilities.
892.7 Employment ceiling.
892.8 Fringe benefits.

Authority: 5 U.S.C. 3401.
§ 892.8 Fringe benefits.

Permanent part-time employees are eligible for retirement, health, and life insurance.

(a) Retirement. Retirement benefits are computed in the same way for all employees. Annuities are based on an employee's length of service and the highest average annual pay received for any three consecutive years.

(b) Life Insurance. Permanent part-time employees are eligible for the federal employees group life insurance program. The actual amount of insurance for which an employee is eligible is based on annual salary, but in any case not less than $10,000. A part-timer's annual salary is the amount of hours scheduled to work during a leave year times hourly pay rate.

(c) Health Benefits. Permanent part-time employees are eligible to participate in the federal employees health benefit program. The coverage is the same as that provided for full-time employees, but the employee cost for the premiums is greater for people who became part-time employees on or after April 8, 1979. For these employees the government contribution is prorated according to the number of hours the part-timer is scheduled to work. For example, a part-time employee scheduled for 20 hours a week will pay the employee share of the premiums plus one-half the government's share. Part-time employees on-board before April 8, 1979 can continue to receive the same government contributions as full-time employees for as long as they remain part-time without a break in service.

(d) Annual leave is earned on a prorated basis depending upon the leave category the employee is in:

(1) Leave Category 4–1 hour for each 20 hours of work.

(2) Leave Category 6–1 hour for each 13 hours of work.

(3) Leave Category 8–1 hour for each 10 hours of work.

(e) Sick leave is earned at the rate of 1 hour for every 20 hours in pay status.

(f) Leave-without-pay (LWOP) is charged in the same way for full-time employees.

(g) Court leave is charged in the same way as for full-time employees.

(h) A part-time employee is not eligible for military leave.

(i) Part-time employees observe holidays, if the holidays fall on regularly scheduled work days.

Carol M. Rose,
Air Force Federal Register Liaison Officer.

VETERANS' ADMINISTRATION
38 CFR Part 1
National Cemetery System;
Disinterments From National Cemeteries

AGENCY: Veterans' Administration.

ACTION: Proposed regulation.

SUMMARY: The Veterans' Administration proposes to revise the regulation concerning disinterment of remains from national cemeteries. The signed affidavit of all living close relatives consenting to the proposed disinterment will no longer be required. In lieu thereof a notarized statement of one person certifying that all living close relatives of the decedent consent to the proposed disinterment will be accepted. Simplifying the process of requesting authority to disinter remains will reduce paperwork and inconvenience to living close relatives of the deceased.

DATES: Comments must be received on or before May 20, 1980. It is recommended that this amendment be effective the date of final approval.

ADDRESSES: Send written comments to: Administrator of Veterans' Affairs (271A), Veterans' Administration, 810 Vermont Avenue NW., Washington, D.C. 20420.

Comments will be available for inspection at the address shown above during normal business hours until May 30, 1980.

FOR FURTHER INFORMATION CONTACT: Mr. Earl W. Zieg (202-389-5255).
that the records are available for inspection only in Central Office and will be furnished the address and the above room number.

Approved: March 12, 1980.
By direction of the Administrator.
Rufus H. Wilson,
Deputy Administrator.

Section 1.621 is revised to read as follows:

§ 1.621 Disinterments from national cemeteries.

(a) Interments of eligible decedents in national cemetery activities are considered permanent and final. Disinterments will be permitted only for cogent reasons and then only with the prior written authorization of the Chief Memorial Affairs Director. Disinterments and removal of remains from a national cemetery activity will be approved only when all living close relatives of the decedent give their consent or in recognition of a court order directing the disinterment.

(b) All request for authority to disinter remains will be submitted on VA Form 40-4970, Request for Disinterment, and will include the following information:

1. A full statement of reasons for the proposed disinterment.
2. A notarized statement certifying that all close living relatives of the decedent consent to the proposed disinterment. "Close relatives" are defined as surviving spouse, parents, adult brothers and sisters, and adult children of the decedent. Copies of VA Form 40-4970 will be furnished by the Department of Memorial Affairs.

(c) In lieu of the documents required in paragraph (b) of this section, an order of a court of competent jurisdiction will be considered. The Veterans Administration or officials of the cemetery should not be made a party to the court action since this is a matter among the family members involved.

(d) Disinterment of the remains of the dependent of a veteran which were interred in a national cemetery, based on completion of VA Form 40-4969, Agreement for Burial (Dependent), an agreement by the veteran to be buried in the same or adjoining grave, may be authorized by the Chief Memorial Affairs Director upon receipt of a written request on VA Form 40-4983, Request for Disinterment (Dependent), from the veteran.

(e) Any disinterment that may be authorized under this section must be accomplished without expense to the Government.

[38 U.S.C. 1004(a)]

[FR Doc. 80-29567 Filed 5-20-80; 8:45 am]
BILLING CODE 8320-01-M

Environmental Protection Agency

40 CFR Part 52

[FRL 1442-4]

Missouri Proposed Revision to Air Quality Implementation Plan

AGENCY: Environmental Protection Agency (EPA).

ACTION: Proposed Rulemaking.

SUMMARY: The Clean Air Act, as amended in 1977, requires states to revise their State Implementation Plans (SIPs) for all areas that have not attained the National Ambient Air Quality Standards (NAAQS). On October 25, 1979, the EPA published a proposed rulemaking in the Federal Register (44 FR 61384) proposing action on various portions of the Missouri State Implementation Plan (SIP) revision submitted to the EPA on July 2, 1979. The SIP revision did not contain regulations to satisfy requirements for the review and permitting of causes of pollutant emissions in nonattainment areas. EPA proposed to defer action on this requirement.

The purpose of the notice is to advise the public of regulations to correct these deficiencies which were adopted on March 7, 1980, by the MACC, and to solicit comments on them. The EPA has reviewed these regulations and is proposing to conditionally approve them as meeting the requirements of Section 172(b)(6), Section 173, and Section 172(b)(11)(A) of the Act.

DATES: Comments must be received no later than April 21, 1980.

ADDRESSES: Comments should be sent to William A. Spratlin, Jr., Chief, Air Support Branch, Environmental Protection Agency, Region VII, 324 East 11th Street, Kansas City, Missouri 64106. Copies of the state submittal and the EPA prepared evaluation document are available during normal business hours at the above address. Copies are also available at the following locations:

Public Information Reference Unit,
Environmental Protection Agency, 401 M Street, SW., Washington, D.C. 20460.
Missouri Department of Natural Resources,
2010 Missouri Boulevard, Jefferson City, Missouri 65102.

FOR FURTHER INFORMATION:
Contact Wayne G. Leidwanger at 816-374-3791 (FTS 758-3791).

SUPPLEMENTARY INFORMATION: Part D of the Clean Air Act Amendments of 1977 requires states to revise their SIPs for all areas that have not attained the NAAQS. The requirements for an approvable SIP are described in a General Preamble published in the Federal Register on April 4, 1979 (44 FR 20372), supplemented on July 2, 1979 (44 FR 38563), August 28, 1979 (44 FR 20732), September 17, 1979 (44 FR 43761), and November 23, 1979 (44 FR 67182). The discussion of requirements will not be repeated in this notice.

On October 25, 1979, the EPA published a proposed rulemaking in the Federal Register (44 FR 61384) proposing action on various portions of the SIP revision submitted to the EPA on July 2, 1979. In the APPROVABILITY portion of that notice, the EPA noted that the Missouri SIP revision demonstrated that the MACC has statutory authority to issue permits to construct and operate and that the plan contains a commitment to requiring the lowest achievable emission rate where necessary. The EPA further noted that legislation had been adopted granting the MACC the necessary enabling authority to comply with the requirements of the Clean Air Act. However, at the time of the proposed rulemaking, no regulations had been adopted which would meet the requirements of Section 173 of the Act. The EPA discussed four options that were being considered concerning action on the Missouri plan with respect to the requirements of Section 172(b)(6) and Section 173. The options discussed were disapproval, conditional approval, delayed approval, and EPA promulgation. The EPA solicited comments on the issue and suggestions for other possible alternatives. No specific action was proposed on the permit requirements of Sections 172(b)(6) and 173.

During the comment period, the EPA received three comments on the suggested options, but no suggested alternatives. One commenter supported EPA promulgation at the national level, the State of Missouri recommended conditional approval, and another commenter favored any of the options except conditional approval.

National promulgation of regulations satisfying Section 173 was dropped as a consideration, because the state indicated it would adopt regulations to satisfy this requirement. The EPA considered conditional approval as an alternative, but as stated in the general preamble, conditional approvals are to be granted for minor deficiencies. The absence of new source permit regulations required by the Act is not a minor deficiency. Therefore, the EPA has not been able previously to
conditionally approve the plan with regard to the requirements of Sections 172(b)(6) and 173.

The EPA does not believe that a disapproval would have been appropriate. The enabling legislation needed by the MACC was adopted just prior to the state's SIP submittal, thus, there was inadequate time to adopt permit regulations required by the Act prior to the plan submittal. In addition, the State of Missouri drafted new regulations for the purpose of complying with the requirements of Sections 172(b)(6), 173, and 172(b)(11)(A). The draft regulations were published in the Missouri Register and a public hearing was held on February 27, 1980. The MACC adopted the regulations on March 7 and the state submitted them to the EPA. These new regulations are contained in Title 10, Division 10, Chapter 6 of the Code of State Regulations. The restrictions on new construction in the Missouri nonattainment areas imposed by Section 110(a)(2)(B)(i) of the Act will remain in effect until an EPA approval of the state's new source review procedures required by Part D of the Act.

In reviewing the regulations, the EPA has found two deficiencies relating to the definition of potential emissions and the review of modification of "Class C" sources as discussed in detail below. The EPA proposes to conditionally approve the regulations as meeting the requirements of Part D of the Clean Air Act because the state has provided assurances that it will submit corrections by a specified deadline. This notice solicits comment on whether the deficiency is a minor one which can be conditionally approved, whether other items should be conditionally approved, and on what deadlines should apply for meeting the conditions. A conditional approval will mean that the restrictions on new major source construction will not apply unless the state fails to submit the necessary SIP revisions by the scheduled dates, or unless the revisions are not approved by the EPA.

The adopted regulations require the alternate site analysis prescribed in Section 172(b)(11)(A) of the Act for stationary VOC and/or carbon monoxide (CO) sources seeking to locate in CO or ozone nonattainment areas for which an attainment date extension to not later than December 31, 1987, has been proposed. The permit program contained in the new regulations generally track the requirements found in Section 173 of the Act, including requirements for offset or accommodation of new emissions, application of the lowest achievable emission rate, and a demonstration that all other sources owned, operated, or controlled by the applicant comply with the SIP. The state has also agreed to submit as necessary, certain offsets as SIP revisions. However, the EPA believes the regulations are deficient in defining the term "potential emissions."

On September 5, 1979, the EPA published proposed changes to its regulations affecting new source review in nonattainment areas (44 FR 51924) including a proposed definition of "potential to emit" (44 FR 51958). (proposed amendment to 40 CFR 51.18). The proposal included a definition of annual potential emissions of a source in response to a court decision interpreting relevant portions of the Clean Air Act (Alabama Power vs. Costle). A full discussion of the proposed regulations is given in the September 5 Federal Register notice and will not be repeated here.

In the adopted Missouri regulations (Section 10-6.020(2)(P)(10), the term potential emissions is defined generally in accordance with the definitions included in the EPA's proposed rulemaking of September 5, except that the state definition allows sources to calculate enforceable permit conditions on operating rates and hours of operation in determining annual emissions. Existing EPA regulations allow the inclusion of such permit conditions but do not allow the consideration of air pollution controls in determining potential annual emissions. The state regulation allows the inclusion of air pollution controls in making such calculations. Therefore, the state definition is less stringent than either existing federal requirements or the definitions in the Missouri regulations. The state has agreed to change its regulations to correct this deficiency.

The draft regulations also contain provisions not directly related to Part D of the Act, but rather generally relating to prevention of significant deterioration of air quality in areas where the air quality standards are not being violated. These latter regulations were not considered as part of the Part D plan revision and EPA is proposing no action on them at this time.

Proposed Action: The EPA proposes to conditionally approve the Missouri regulations contained in Title 10, Division 10, Chapter 6 of the Code of State Regulations that establish new permit requirements for new or modified sources to be located in nonattainment areas as meeting the requirements of Sections 172(b)(6), 172(b)(11)(A), and 173 of the Act with the following two conditions:

1. By March 1, 1981, the state changes the definition of potential emissions to be consistent with the EPA's proposed regulations of September 5, 1978. If in the interim prior to March 1, 1981, the EPA adopts a final definition, the state may fulfill this condition by adopting a definition consistent with EPA's final regulations;
2. By March 1, 1981, the state changes the requirements for permitting.
modifications to Class C sources to be applicable to both a modification to a single source operation and to two or more source operations which results in an increase in potential emissions greater than the de minimus levels specified in the regulation.

**Conclusion:** The public is invited to submit comments on whether these regulations should be approved as part of the Missouri SIP. The EPA also solicits comments on whether a final action based upon this proposal is appropriate.

The EPA is allowing 30 days for public comment on this proposed rulemaking. The availability of draft regulations was announced in the Federal Register on February 15, 1980. At that time, EPA stated the comment period would close 30 days after the publication of the proposed rulemaking. The EPA believes the State of Missouri has adopted regulations which are substantially similar to the draft regulations. With the publication of the notice of availability and the 30-day comment period allowed on this proposed rulemaking, 60 days will have been allowed for public inspection and comment on this Missouri SIP revision. By acting expeditiously upon these regulations when submitted as a SIP revision, the EPA can reduce the length of time that the Section 110(a)(2)(I) growth restrictions will be applicable in an affected area.

Under Executive Order 12044, EPA is required to judge whether a regulation is "significant" and therefore subject to the procedural requirements of the Order, or whether it may follow other specialized development procedures. EPA labels these other regulations "specialized."

I have reviewed this regulation and determined that it is a specialized regulation not subject to the procedural requirements of Executive Order 12044.

This notice of proposed rulemaking is issued under the authority of Section 110 of the Clean Air Act, as amended.

**Dated:** March 7, 1980.

Kathleen Q. Camin,
Regional Administrator.

[FR Doc. 80-8723 Filed 3-30-80; 8:45 am]
BILLING CODE 6560-01-M

**DEPARTMENT OF COMMERCE**

National Oceanic and Atmospheric Administration

50 CFR Part 661

Pacific Fishery Management Council and Scientific and Statistical Committee, Public Meetings

**AGENCY:** National Marine Fisheries Service, NOAA.

**ACTION:** Notice of meetings.

**SUMMARY:** The Pacific Fishery Management Council and its Scientific and Statistical Committee (SSC) will conduct special meetings on the fishery management measures adopted by the Council for the 1980 salmon fisheries. When a Council adopts fishery management measures, they are sent to the Department of Commerce for approval or disapproval. If approved, these measures become the basis for a proposed rule.

**DATES:** March 25-28, 1980.

**ADDRESS:** The Council meeting will take place at the Auditorium, Bonneville Power Administration Building, 1002 N.E. Holladay, Portland, Oregon 97208. The SSC meeting will take place at the Sheraton Portland Hotel, 1000 N.E. Multnomah, Portland, Oregon 97208.

**FOR FURTHER INFORMATION CONTACT:** Lorry Nakatsu, Pacific Fishery Management Council, 826 S.W. Mill Street, Second Floor, Portland, Oregon 97201, Telephone: (903) 332-6392.

**SUPPLEMENTARY INFORMATION:** The Pacific Fishery Management Council was established by Section 302 of the Fishery Conservation and Management Act of 1976 (Public Law 94-265), and the Council has established a Scientific and Statistical Committee (SSC) to assist in carrying out its responsibilities.

Pursuant to Department of Commerce Administrative Order DAO 201–2, Appendix II–C, Section 8.b(3), the normal 20-day advance notice of a meeting has been reduced because these special meetings are being held in addition to the regularly scheduled meetings for developing the Fishery Management Plan and because further delay in completing the plan and submitting it to the Secretary of Commerce would result in a failure to conserve and manage the resource, contrary to the purposes of the Fishery Conservation and Management Act of 1976, as amended. The Council has advertised these special meetings in local newspapers and mailed notices to interested persons.

**Meeting Agendas follow**

**Council**

**Agenda:** March 25-28, 1980 (convening at 1 p.m. on March 27, 1980, reconvening at 8 a.m. on March 28, 1980; adjourning at 5 p.m. on both days)—major action will be the consideration of the Salmon Plan Development Team’s latest analysis of the fishery management measures the Council adopted at its meeting on March 11-12, 1980, for the 1980 commercial and recreational salmon fisheries off the coast of Washington, Oregon, and California. The analysis examines the impact of the adopted regulations on the resulting catches and spawning escapements.

**Scientific and Statistical Committee**

**Agenda:** March 25-28, 1980 (convening at 1 p.m. on March 27, 1980, reconvening at 8 a.m. on March 27, 1980; adjourning at 5 p.m. on both days)—discuss the Salmon Plan Development Team’s recent analysis of the regulations the Council adopted on March 11-12, 1980, for the 1980 salmon fisheries, and advise the Council. The meetings are open to the public.

**Dated:** March 19, 1980.

Winfred H. Meibohn, Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8698 Filed 3-20-80; 9:45 am]
BILLING CODE 3510-22-M
This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

DEPARTMENT OF AGRICULTURE

Agricultural Stabilization and Conservation Service

1980 Rice Program; Determinations Regarding 1980-Crop Rice Set-Aside Program and Land Diversion Payments

AGENCY: Agricultural Stabilization and Conservation Service, USDA.

ACTION: Notice of final determination.

SUMMARY: The purpose of this notice is to announce with respect to the 1980-crop of rice that there will be no set-aside program and no land diversion payments. These determinations are required to be made by the Secretary in accordance with provisions of Section 101(b) of the Agricultural Act of 1949, as amended.

DATE: March 20, 1980.

ADDRESSES: Production Adjustment Division, ASCS, USDA, 3630 South Building, P.O. Box 2415, Washington, D.C. 20013.

FOR FURTHER INFORMATION CONTACT: George H. Schaefer, (ASCS) (202) 447-8480.

SUPPLEMENTARY INFORMATION: A notice that the Secretary was preparing to make determinations with respect to a set-aside program and land diversion payments for 1980 crop rice was published in the Federal Register on October 23, 1979. (44 FR 61047) in accordance with 5 U.S.C. 553 and Executive Order 12044. The notice also provided that the Secretary was preparing to make determinations with respect to the loan and purchase level, the established (target) price level, and program operation provisions for the 1980 rice crop. In response to the notice, 41 responses were received. Of these 36 commented on the set-aside option, with 34 opposed to the program. Two respondents favored a set-aside program with one suggesting a 10 to 20 percent set-aside. Fourteen of the fifteen respondents commenting on land diversion were opposed to the program. Comments were also received regarding the other determinations proposed to be made by the Secretary. Final determinations on these items are still being considered and will be issued in the near future.

Accordingly, the Secretary has made the following determinations:

Determinations

1. Set-aside requirement. It is hereby determined that there will be no set-aside requirement for the 1980-crop rice program. Without a set-aside program, it is expected that rice acreage in 1980 will be about 2.96 million acres. Production would likely be about 134 million hundredweights. Total supply is estimated at about 169 million hundredweights. Domestic consumption and exports are estimated at 139 million hundredweights, which would result in carryover stocks of about 30 million hundredweights. The 1980 estimated total supply reflects only a slight increase compared to the 1979 total supply of 168 million hundredweights. The estimated carryover of 30 million hundredweights reflects a decline compared to the 1979 carryover of 35 million hundredweights. Neither the 1980 estimated total supply nor the carryover stocks are considered excessive.

Should adverse weather conditions prevail, the 1980 estimated total supply and carryover stocks could be substantially reduced. For these reasons, it has been determined that a rice set-aside program is not needed in 1980.

2. Land diversion payments: It is hereby determined that there will be no land diversion payments under the 1980-crop rice program in view of the fact that such payments are not necessary to assist in adjusting the total national acreage of rice to desirable goals.

These determinations have been reviewed under the USDA criteria established to implement Executive Order 12044. "Improving Government Regulations" and have been classified "significant." An Approved Final Impact is available from George H. Schaefer (ASCS), (202) 447-8480.

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980

Signed at Washington, D.C., on March 17, 1980.
Bob Bergland,
Secretary.

Animal and Plant Health Inspection Service

Distribution of Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis—New Restrictions

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Notice regarding addition of restrictions.

SUMMARY: This document will serve to give notice that under the provisions of Title 9, § 102.5(e) of the Code of Federal Regulations, the Department has added the following conditions to all U.S. Veterinary Biological Product Licenses for Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis.

"Distribution in each State shall be limited to authorized recipients designated by proper State officials—under such additional conditions as these authorities may require."

These conditions will provide additional controls on the distribution of those products that are considered essential to strengthen the present programs for the control and eradication of certain animal diseases, i.e., rabies, bovine brucellosis, and bovine tuberculosis.

FOR FURTHER INFORMATION CONTACT: Dr. R. J. Price, Biologicals Licensing and Standards Staff, USDA, APHIS, VS, Room 827, Federal Building, 6505 Belcrest Road, Hyattsville, MD 20782, 301-436-8245.

SUPPLEMENTARY INFORMATION: On September 21, 1979, a notice was published in the Federal Register at 44 FR 54737 requesting comments on the Department's proposal to add conditions to all U.S. Veterinary Biological Product Licenses for Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis. This notice stated that such vaccines and antigens would be required to have restrictive statements on their labels limiting them to use by or under the direction of a veterinarian and that distribution of these products by
licensees would be made subject to State restrictions.

One hundred and fifty comments were received regarding the notice. Sixty-six responses supported the proposed action without change. Four responses supported the need for further controls on the distribution of these products, but objected to licensees being held responsible for distribution by second and third parties. Seventy-two responses objected to any further restriction on the distribution of veterinary biological products.

From review of the responses received, it was evident that there was a lack of agreement concerning the need and justification for the proposed restrictions on the distribution of Rabies Vaccine. Objections coming from some of the rural areas of the United States indicated a need for flexibility to meet local needs. The limiting of Rabies Vaccine to use by or under the direction of a veterinarian only, therefore, not imposed on a nationwide basis as done with Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis, but was left to the discretion of each State.

United States Veterinary Biological Product Licenses for Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis have, therefore, been amended by the addition of the following condition:

Distribution in each State shall be limited to authorized recipients designated by proper State officials—under such additional conditions as these authorities may require.

This action will require licensees for these products to contact the proper officials of each State to determine how the products may be distributed. Each State has the authority to restrict the distribution of these products in accordance with local needs, including the authority to restrict Rabies Vaccines to use by a veterinarian only or to use by or under the direction of a veterinarian only.

The above condition has been added to United States Veterinary Biological Product Licenses for the following products:

<table>
<thead>
<tr>
<th>Code</th>
<th>Product and form</th>
<th>License numbers of producers</th>
</tr>
</thead>
<tbody>
<tr>
<td>1251.00</td>
<td>Brucella abortus vaccine, strain 19, live culture</td>
<td>52, 107, 188</td>
</tr>
<tr>
<td>1251.01</td>
<td>Brucella abortus vaccine, strain 19, live culture</td>
<td>188</td>
</tr>
<tr>
<td>1575.50</td>
<td>Faience paratuberculosis-rabies vaccine, killed virus, cell line and murine origin</td>
<td>266</td>
</tr>
</tbody>
</table>

Done at Washington, D.C., this 17th day of March 1980.

J. K. Atwell,
Acting Deputy Administrator, Veterinary Services.

Federal Grain Inspection Service

Termination of Official Services; Replacement Agency Will Not Be Designated for the State of West Virginia

AGENCY: Federal Grain Inspection Service.

ACTION: Notice.

SUMMARY: This notice announces that at this time, a replacement agency will not be designated to provide official inspection services in the State of West Virginia. The West Virginia Department of Agriculture, the agency formerly designated to provide official inspection services in West Virginia, chose not to pursue meeting requirements for designation under the United States Grain Standards Act, as amended, and accordingly the State's designation to provide official grain inspection services within the State, terminated November 19, 1978.

EFFECTIVE DATE: March 21, 1980.


SUPPLEMENTARY INFORMATION: In accordance with provisions of the United States Grain Standards Act, and as announced in the August 29, 1979, issue of the Federal Register (44 FR 50621), the West Virginia Department of Agriculture's (State of West Virginia) designation of authority to provide official inspection services was terminated, effective midnight, November 19, 1978.

Interested persons were given until October 27, 1979, to submit comments regarding the need for continuing to provide official inspection services in West Virginia through designation of a replacement agency. No comments were received regarding this matter, however, one comment was received regarding inspection services in general in West Virginia.

After due consideration of all relevant matters and information available to the U.S. Department of Agriculture, the Administrator of the Federal Grain Inspection Service (FGIS), has determined that there is insufficient interest concerning designation of a replacement agency and at this time a replacement agency will not be designated to provide official services in West Virginia.

This action does not preclude any interested party from making future application for designation as an official agency in West Virginia, should need for such action be demonstrated.

In accordance with Section 7(h) and 7A(c)(2) of the Act, the FGIS, where requested, has been and will be providing official services on an interim basis, until such time as the service can be provided on a regular basis by an official agency 7 U.S.C. 7(h), 79a(c)(2). Persons desiring official inspection services should contact Mr. Harry F. Schadlich, Field Office Supervisor, United States Department of Agriculture, Federal Grain Inspection Service, 200 Granby Mall, Room 425, Norfolk, Virginia 23510, (804) 441-3120. (Secs. 8, 9, Pub. L. 94-582, 90 Stat. 2873, 2875 (7 U.S.C. 79, and 79a))

Done in Washington, D.C., on March 14, 1980.

L. E. Bartell, Administrator.

Food and Nutrition Service

Advisory Council on Maternal, Infant, and Fetal Nutrition: Meeting

Pursuant to the Federal Advisory Committee Act (Pub. L. 92-463), announcement is made of the following Council meeting:


Date and time: 9:00 a.m., April 22-23, 1980.
Soil Conservation Service

Elm Creek (1250) Watershed, Texas; Intent To Prepare Environmental Impact Statement

AGENCY: Soil Conservation Service; U.S. Department of Agriculture.

ACTION: Notice of Intent to Prepare an Environmental Impact Statement.

FOR FURTHER INFORMATION CONTACT: Mr. George C. Marks, State Conservationist, Soil Conservation Service, W. R. Poage Federal Building, 101 South Main Street, Temple, Texas 76501, 817-773-1711 ext. 331.

NOTICE: Pursuant to Section 102(2)(C) of the National Environmental Policy Act of 1969; the Council on Environmental Quality Guidelines (40 CFR Part 1500); and the Soil Conservation Service Guidelines (7 CFR Part 650); the Soil Conservation Service, U.S. Department of Agriculture, gives notice that an environmental impact statement is being prepared for the Elm Creek (1250) Watershed, Runnels and Taylor Counties, Texas. The environmental evaluation of this federal-assisted action indicates that the project may cause local, regional or national impacts on the environment. As a result of these findings, Mr. George C. Marks, State Conservationist, has determined that the preparation and review of an environmental impact statement is needed for this project. The project concerns a plan for watershed protection and flood prevention. The planned works of improvement consist of acceleration of technical assistance to land users in the application of land treatment practices which will protect the land resource base, sustain production, and improve the fish and wildlife habitat, and a system of seven floodwater reducting structures designed to reduce floodwater damage. A draft environmental impact statement will be prepared and circulated for review by agencies and the public. The Soil Conservation Service invites participation of agencies and individuals with expertise or interest in the preparation of the draft environmental impact statement. The draft environmental impact statement will be developed by Mr. George C. Marks, State Conservationist, Soil Conservation Service, W. R. Poage Federal Building, 101 South Main Street, Temple, Texas 76501; 817-773-1711 ext. 331.


Dated: March 11, 1980.


[FR Doc. FR-70-500 Filed 3-30-80; 8:45 am]
BILLING CODE 3410-16-M

Upper Crooked Creek Watershed, Arkansas; Deauthorization of Federal Funding


ACTION: Notice of Deauthorization of Federal Funding.


Dated: March 11, 1980.


[FR Doc. 80-4038 Filed 3-30-80; 8:45 am]
BILLING CODE 3410-16-M

CIVIL AERONAUTICS BOARD

[Docket 37278]

American Airlines, Inc., New York-San Juan Cargo Service, Enforcement Proceeding; Further Prehearing Conference and Notice of Hearing

By notice dated January 21, 1980 (45 FR 5785, dated January 24, 1980) a prehearing conference in the above-entitled proceeding was held before me on February 13, 1980. Notice is given that the prehearing conference will be resumed on April 8, 1980 at 9:30 a.m. (local time), in Hearing Room 1003 D, North Universal Building, 1875 Connecticut Avenue, N.W., Washington, D.C.

Notice is also given, pursuant to the provisions of the Federal Aviation Act of 1958, as amended, that a hearing in the above-entitled proceeding will be held on April 23, 1980, at 9:30 a.m. (local time), in Hearing Room 1003 D, North Universal Building, 1875 Connecticut Avenue, N.W., Washington, D.C.
time), in Hearing Room 1003 B, Universal Building North, 1875 Connecticut Avenue, N.W., Washington, D.C. 20428, before me.

For information concerning the issues involved and other details in this proceeding, interested persons are referred in the Docket Section of the Civil Aeronautics Board.


Marvin H. Morse, Administrative Law Judge.

[FR Doc. 80-3753 Filed 3-20-80; 8:45 am]
BILLING CODE 6320-01-M

[Docket 37867]

Boston-London Service Case; Assignment of Proceeding

This proceeding is hereby assigned to Administrative Law Judge William A. Pope II. Future communications should be addressed to Judge Pope.


Joseph J. Saunders, Chief Administrative Law Judge.

[FR Doc. 80-3754 Filed 3-20-80; 8:45 am]
BILLING CODE 6320-01-M

Applications for Certificates of Public Convenience and Necessity and Foreign Air Carrier Permits

Notice is hereby given that, during the week ended March 14, 1980 CAB has received the applications listed below, which request the issuance, amendment, or renewal of certificates of public convenience and necessity or foreign air carrier permits under Subpart Q of 14 CFR 302.

Applications to foreign permit applications are due 28 days after the application is filed. Answers to certificate applications requesting restriction removal are due within 14 days of the filing of the application. Answers to conforming applications in a restriction removal proceeding are due 28 days after the filing of the original application. Answers to certificate applications (other than restriction removals) are due 28 days after the filing of the application. Answers to conforming applications or those field in conjunction with a motion to modify scope are due within 42 days after the original application was filed. If you are in doubt as to the type of application which has been filed, contact the applicant, the Bureau of Pricing and Domestic Aviation (in interstate and overseas cases) or the Bureau of International Aviation (in foreign air transportation cases).

<table>
<thead>
<tr>
<th>Date filed</th>
<th>Docket No</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mar. 11, 1980</td>
<td>37834</td>
<td>Montana Aukle Flagship Geese, Ltd., c/o Gary B. Garofalo, Bore &amp; Garofalo, P.C., 1120 Connecticut Avenue, N.W., Suite 400, Washington, D.C. 20055. Application of Montana Aukle Flagship Geese, Ltd., pursuant to Section 402 of the Act and Subpart Q of the Board's Regulations, requests a certificate of public convenience and necessity authorizing it to engage in transporting passengers, property and mail as follows: Between the terminal point Houston, Texas and the terminal point Anchorage, Alaska.</td>
</tr>
<tr>
<td>Mar. 12, 1980</td>
<td>37835</td>
<td>Line Star Airways, Inc., c/o Harry A. Bowes, 234 Georgetown Building, 2323 Wisconsin Avenue, N.W., Washington, D.C. 20007. Application of Line Star Airways, Inc., pursuant to Part 201 and Subpart Q of the Board's Regulations and Section 401 of the Act, requests a certificate to engage in scheduled air transportation of passengers, property and mail as follows: Between the terminal point Houston, Texas and the terminal point Anchorage, Alaska.</td>
</tr>
<tr>
<td>Mar. 18, 1980</td>
<td>37838</td>
<td>Air Florida, Inc., c/o Robert P. Silverberg, Suite 435, 2020 K Street, N.W., Washington, D.C. 20006. Application of Air Florida, Inc., pursuant to Section 401(3) of the Act, Parts 201 and 302 of the Board's Regulations, requests a certificate of public convenience and necessity authorizing it to engage in foreign air transportation with respect to passengers, property and mail, as follows: Between the terminal point Miami, Florida and domestic points in Belgium, The Netherlands and Switzerland.</td>
</tr>
<tr>
<td>Mar. 13, 1980</td>
<td>37855</td>
<td>British Caledonian Airways Limited, c/o Martin Whitehead, Smith &amp; Babcock, 1701 Pennsylvania Avenue, N.W., Suite 1102, Washington, D.C. 20006. Application of British Caledonian Airways Limited pursuant to Section 402 of the Act and Subpart Q of the Board's Procedural Regulations, requests amendment of its foreign air carrier permit so as to add St. Louis, Missouri as an additional U.S. certificated point to its existing segment 1 authorization. It also intends to operate both scheduled and charter services over the St. Louis route. It plans to inaugurate St. Louis-London scheduled service on April 30, 1980.</td>
</tr>
<tr>
<td>Mar. 14, 1980</td>
<td>37862</td>
<td>Continental Air Lines, Inc., Los Angeles International Airport, Los Angeles, California 90045. Application of Continental Air Lines, Inc., pursuant to Section 401 of the Act and Subpart Q of the Board's Regulations, requests the Board to amend its certificate of public convenience and necessity for Route 29 so as to authorize it to perform round trip nonstop air transportation between Palm Springs, CA on the one hand, and Los Angeles and San Francisco, CA, Portland, OR and Seattle, WA on the other. Conforming Applications and Answers may be filed by April 11, 1980.</td>
</tr>
</tbody>
</table>

Phyllis T. Kaylor, Secretary.

[FR Doc. 80-3754 Filed 3-20-80; 8:45 am]
BILLING CODE 6320-01-M

[Order 80-3-66; Docket 37496]

Dallas (Love Field)-Oklahoma City/Albuquerque Nonstop Authority Proceeding

AGENCY: Civil Aeronautics Board.

ACTION: Notice of Order 80-3-66, Dallas (Love Field) - Oklahoma City/Albuquerque Subpart Q Proceeding (Docket 37496).

SUMMARY: The Board is proposing to grant Dallas (Love Field)-Oklahoma City/Albuquerque nonstop authority to Southwest Airlines. The complete text of this order is available as noted below.

DATES: All interested persons having objections to the Board issuing an order making final the tentative findings and conclusions shall file, by April 21, 1980, a statement of objections together with a summary of testimony, statistical data, and other material expected to be relied upon to support the stated objections. Such filings shall be served upon parties listed below.

ADDRESSES: Objections to issuance of a final order should be filed in the Dockets Section, Civil Aeronautics Board, Washington, D.C. 20428, in Docket 37496, which we have entitled the Dallas (Love Field)-Oklahoma City/Albuquerque Subpart Q Proceeding.


SUPPLEMENTARY INFORMATION: Objections should be served upon: the Dallas-Fort Worth Parties, the Tulsa Parties, the Oklahoma Aeronautics
Commission, the Texas Aeronautics Commission, the New Mexico Department of Transportation, the mayors of Oklahoma City, Tulsa, Dallas, and Albuquerque, and the airport managers of Will Rogers World Airport, Tulsa International Airport, Love Field, and Albuquerque International Airport.

The complete text of Order 80-3-101 is available from our Distribution Section, Room 516, 1825 Connecticut Avenue, N.W., Washington, D.C. Persons outside the metropolitan area may send a postcard request for Order 80-3-101 to the Distribution Section, Civil Aeronautics Board, Washington, D.C., 20423.

By the Bureau of Domestic Aviation: March 13, 1980.

Phyllis T. Kaylor, Secretary.

Order To Show Cause and Fitness Investigation of Green Mountain Airlines, Ltd.

AGENCY: Civil Aeronautics Board.


SUMMARY: The Board is issuing an order in which it tentatively finds and concludes that it is consistent with the public convenience and necessity to grant the application of Green Mountain Airlines, Ltd., for a certificate authorizing the air transportation of persons, property and mail between the terminal point Montpellier, Vermont and the terminal point Boston, Massachusetts. Certification is subject to a favorable determination of the applicant’s fitness in the Green Mountain Airlines, Ltd., Fitness Investigation (Docket 37880), instituted concurrently. In addition, the Board tentatively concludes to deny Green Mountain Airlines’ request for removal of Air New England’s subsidy for the Montpellier-Boston market.

DATES: All interested persons having objections to the Board issuing an order making final the tentative findings and conclusions shall file by April 21, 1980, a statement of objections together with a summary of testimony, statistical data, and other material expected to be relied upon to support the stated objections. Such filings shall be served upon all parties listed below.

ADDRESSES: Objections to issuance of a final order should be filed in the Dockets Section, Civil Aeronautics Board, Washington, D.C. 20423, in Docket 37281, application of Green Mountain Airlines for a certificate of public convenience and necessity.

In addition, copies of such filings should be served on Green Mountain Airlines, Air New England, the Agency of Transportation of the State of Vermont, the Mayors of Montpellier and Barre, Vermont and Boston, Massachusetts, the Managers of Logan International Airport and Barre-Montpellier Airport and the Governors of Massachusetts and Vermont.

FOR FURTHER INFORMATION CONTACT: Janet A. Davis, Bureau of Domestic Aviation, Civil Aeronautics Board, 1825 Connecticut Avenue, N.W., Washington, D.C. 20428; (202) 673-5369.

SUPPLEMENTARY INFORMATION: The complete text of order 80-3-101 is available from our Distribution Section, Room 516, 1825 Connecticut Avenue, N.W., Washington, D.C. 20423. A copy of such filings shall be served upon all parties outside the metropolitan area. The notice stated that it had been preliminarily determined that benefits bestowed by the European Community (EC) and the Government of the Netherlands upon the manufacture, production, or exportation of dextrines and soluble or chemically treated starches derived from corn starch constitute the payment of bounties or grants within the meaning of section 303, Tariff Act of 1930, as amended (19 U.S.C. 1303) (referred to as “the Act”). In a notice published in the Federal Register on February 27, 1980 (45 FR 12680), the Department informed the public that under the terms of section 102(a) of the Trade Agreements Act of 1979 (93 Stat. 185, 19 U.S.C. 1671 note) (the Act) investigations under section 303 of the Act (19 U.S.C. 1303) which were pending when Title I of the Trade Agreements Act became effective on January 1, 1980 terminated. Furthermore, in investigations, such as corn starch, where a preliminary, but not a final, determination had been made by January 1, the matter was to be treated as if a preliminary determination under section 703 of the Act (93 Stat. 152, 19 U.S.C. 1671b) had been made on January 1, 1980. In an investigation under section 703(d) of the Act (93 Stat. 153, 19 U.S.C. 1671d), the net amount of the subsidies provided, directly or indirectly, upon the manufacture, production or exportation of this merchandise was estimated to be 34.4% ad valorem; liquidation of entries was suspended effective January 1, 1980, and from January 1, until further notice a cash deposit, bond or other security in an amount equal to the net subsidy was required on all entries, or withdrawals from warehouse, for consumption. The EC comprises Belgium, Denmark, the Federal Republic of Germany, France, Ireland, Italy, Luxembourg, the Netherlands, and the United Kingdom. Imports covered by this investigation are classified under item Hs 505.30, Tariff Schedules of the United States Annotated (TSUSA) and include only those dextrines and soluble or chemically treated starches derived from corn starch. The EC benefits in question are in the form of two types of payments made under the EC’s Common
Agricultural Policy (CAP): “Production refund payments” and “export restitution payments”. A minimum price for corn has been established under the CAP in order to support the price level of corn. Production refund payments (equal to $45.82 per ton) are made to processors of the subject merchandise who purchase corn at the support price. The full value of these payments is considered a subsidy to those processors.

Exporters of the subject merchandise receive export restitution payments based on an established formula for each unit of eligible merchandise exported from the EC. These payments also constitute a subsidy because they confer a direct benefit on the exported merchandise.

Accordingly, it is hereby determined, pursuant to section 705(a)(3) of the Act (93 Stat. 160, 19 U.S.C. 1677f(a)(3)), that a subsidy is being provided by the EC with respect to dextrines and soluble or chemically treated starches derived from corn starch.

The preliminary determination stated a subsidy of 34.4 percent ad valorem. Since the average price of the product differs in all the countries concerned, it would be inequitable to assess an ad valorem duty in this case. Instead, it has been determined to assess the duty on a volume basis.

Therefore, in accordance with § 355.33(e) of the Commerce Regulations (19 CFR 355.33(e), 45 FR 4946) and until further notice, the net amount of the subsidies bestowed on the subject merchandise from all countries of the EC.

The preliminary determination also stated that the Government of the Netherlands provides financial assistance to some of the corn starch processors in that country. This assistance is given under the authority of the Wet Investeringsrekening (WIR) law. The law operates via an Investment Account whose purpose is to stimulate and guide investments in the Netherlands particularly in the interest of employment. It aims at promoting small-scale enterprise, regional economic development, town and country planning, and major projects. It has been determined that the sole Dutch exporter of corn starch and/or derivatives, Cooperatieve Verkoop-en Produktievereniging van Aardappelmeel en Derivaten AVEBE G.A., (AVEBE), is a recipient of financial assistance under the WIR. This assistance is given under a general government program for regional economic development. Because it confers a direct grant upon the company it is deemed a subsidy under the Act. AVEBE received approximately $4.12 million in 1979, the latest year for which data is available. When this figure is divided by the volume of all of AVEBE’s production for the same year, the average benefit per ton can be established. Using this methodology, it is determined that average benefit of the Government’s subsidy for all of AVEBE’s production in 1979 was $7.49 per metric ton. This subsidy is determined to be countervailable since it is a direct grant to AVEBE from the Government.

In addition to investment support, the Government of the Netherlands provides guarantees for AVEBE and loans extended by private banks. No measurable countervailable subsidy exists, because the loans were made at commercially available rates.

Therefore, since AVEBE is the sole Dutch exporter of corn starch and/or derivatives, the countervailable rate of duty to be paid for imports from the Netherlands due to subsidies given by its Government is determined to be $7.49 per metric ton. This is in addition to the rate of $183.17 per metric ton to be paid for imports of corn starch and its derivatives from all EC countries.

Pursuant to section 770 of the Tariff Act of 1930, as amended (93 Stat. 160, 19 U.S.C. 1677e), the accuracy of the information was verified. The information concerning the EC subsidy was verified in a published statement of the subsidy in the “Official Journal of the European Communities,” and certified by its U.S. office. The verification of the Dutch subsidy was performed by a Department of Commerce official who examined the books and records of the sole exporter of this product. This exporter received the subsidy under the authority of the Wet Investeringsrekening (WIR) law. Verification was made of the amount of the subsidy received and the total export sales of the company. The verification confirmed that the amount of the grant was countervailable under U.S. law.

In order to allow Customs officials to distinguish the merchandise not subject to it, those officials may take whatever administrative actions are necessary, such as, but not limited to, requiring manufacturer’s certification of the material origin if shipments and/or a detailed invoice description of the merchandise. Effective on or after March 21, 1980 and until further notice, upon the entry, or withdrawal from warehouse, for consumption of such dextrines and soluble or chemically treated starches derived from corn starch which benefits from these subsidies and which are imported directly or indirectly from the EC, there shall be collected, in addition to any other duties estimated or determined to be due, estimated countervailing duties in the form of a cash deposit, bond or other security in an amount ascertained in accordance with the above declaration.

The suspension of liquidation ordered in the notice published in the Federal Register of February 27, 1980 (45 FR 12861), remains in effect until further notice. However, the cash deposit, bond or other security required for entries made subsequent to the date of publication of this final determination shall be in an amount equal to the estimated net subsidy declared herein.

The extent that it can be established to the satisfaction of the Assistant Secretary for Trade Administration that imports of the subject merchandise from the EC are benefiting from a subsidy smaller than the amount which, otherwise would be applicable under the above declaration, the smaller amount so established shall be assessed and collected.

Any merchandise subject to the terms of this order shall be deemed to have benefited from a subsidy if such subsidy has been or will be credited or bestowed, directly or indirectly, upon the manufacturer, production or exportation of the subject merchandise or its derivatives or from corn starch.

The final determination is published pursuant to section 705(d) of the Act (93 Stat. 160, 19 U.S.C. 1677d(d)).

Stanley J. Marcus,
Acting Assistant Secretary for International Trade.
March 18, 1980
[FR Doc. 80-6936 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-22-M

Melamine In Crystal Form From Italy; Antidumping Determination of Sales at Less than Fair Value

AGENCY: U.S. Department of Commerce.
ACTION: Final Determination of Sales at Less Than Fair Value.

SUMMARY: This notice is to advise the public that it has been determined that melamine in crystal form from Italy has been sold to the United States at less than fair value within the meaning of section 735 of the Tariff Act of 1930, as amended. Sales at less than fair value generally occur when merchandise imported into the United States is sold in the United States at a price which is less than (a) the price of such or similar merchandise sold in the home market,
(b) in the absence of a viable home market, the price at which it is sold in a third country, or (c) a constructed value.

EFFECTIVE DATE: March 21, 1980.


SUPPLEMENTARY INFORMATION: On March 23, 1979, information was received in proper form pursuant to §§ 153.26 and 153.27, Customs Regulations (19 CFR 153.26, 153.27), from counsel acting on behalf of Molemune Chemicals, Inc. (MCI), Donaldsonville, Louisiana, alleging that imports of melamine in crystal form from Italy are being, or are likely to be, sold at less than fair value within the meaning of the Antidumping Act, 19 U.S.C. 160 et seq. An "Antidumping Proceeding Notice" indicating that there was evidence to record concerning injury to, or likelihood of injury to, an industry in the United States was published in the Federal Register of May 1, 1979 (44 FR 25555). A "Withholding of Appraiser Notice" was published in the Federal Register on November 13, 1979 (44 FR 65515).

The merchandise under consideration is described as "melamine in crystal form" provided for in item 425.1020 of the Tariff Schedules of the United States Annotated (TSUSA). In accordance with section 102(b)(2) of the Trade Agreements Act of 1979 (19 U.S.C. 1671 note), this matter is being treated as if a preliminary determination under section 733 of the Tariff Act of 1930, as amended (88 Stat. 165, 19 U.S.C. 1673b) had been made on March 21, 1980. Accordingly, liquidation has been suspended on all entries, or withdrawals from warehouse, for consumption of the subject merchandise from Italy on or after the date of publication of the "Withholding of Appraiser Notice" in the Federal Register.

Final Determination of Sales at Less Than Fair Value

On the basis of the information developed in the investigation and for the reasons stated below, pursuant to section 735 of the Tariff Act of 1930, as amended (93 Stat. 169, 19 U.S.C. 1673d), I hereby determine that melamine in crystal form from Italy is being, or is likely to be, sold in the United States at less than its fair value.

Statement of Reasons for this Determination

a. Scope of the Investigation. Virtually all the subject merchandise from Italy sold for export to the United States during the investigatory period (November 1, 1978, through April 30, 1979) was sold by Montedison, S.p.A. The investigation, therefore, was limited to this company.

b. Basis of Comparison. For the purpose of considering whether the merchandise in question is being, or is likely to be, sold at less than fair value within the meaning of the Act, the proper basis of comparison is between the purchase price and the home market price of such merchandise. Purchase price, as defined in section 772 of the Tariff Act of 1930, as amended (93 Stat. 161, 10 U.S.C. 1677a), was used since all United States sales were made to unrelated customers prior to the importation of the merchandise. Home market price, as defined in § 353.3, Commerce Regulations (19 CFR 353.3, 45 FR 8191), was used since such merchandise was sold in sufficient quantities in the home market to provide an adequate basis of comparison for fair value purposes.

In accordance with § 353.38(a), Commerce Regulations (19 CFR 353.38(a), 45 FR 8200), pricing information was gathered concerning sales to the United States and home market sales during the period November 1, 1978, through April 30, 1979.

c. Purchase Price. For purposes of this determination, purchase price has been calculated on the basis of the CIF, duty-paid price to the unrelated United States customer. Deductions have been made for ocean freight, brokerage fees, duty, and foreign inland freight, where applicable.

d. Home Market Prices. For the purposes of this determination, fair value has been calculated on the basis of the weighted-average price in the home market to unrelated purchasers. A deduction was made for inland freight and an adjustment was made for a packing differential. Adjustments claimed for year-end discounts and discounts for cash payment or payment in advance were not allowed because they could not be adequately quantified nor could they be directly related to the sales under consideration, as required by §353.15, Commerce Regulations (39 CFR 353.15, 45 FR 6194). A claimed adjustment for technical services was not allowed since this was not directly attributable to sales under consideration as required by section 353.15 but rather was more in the nature of an expense for general research and development. Claimed adjustments for salesmen's salaries, administrative expenses, and inventory warehousing costs were not allowed since these were not directly related to the sales under consideration as required by § 353.15.

e. Result of Fair Value Comparisons. Using the above criteria, purchase price is lower than the home market price of such merchandise. Comparisons were made on 100 percent of the sales to the United States during the period of investigation. Margins were found on 100 percent of the sales examined. The margins range from 15.2 to 34.4 percent, and the weighted average margin is 31.05 percent.

Verification of submitted data was accomplished by random selection of response information and subsequent examination of related financial ledgers, records and commercial documents at the respondent's premises.

Interested persons have been provided an opportunity to present views in accordance with § 353.44(o), Commerce Regulations (19 CFR 353.44(e), 45 FR 8203). This notice is published pursuant to § 353.44(f), Commerce Regulations (19 CFR 353.44(f) 45 FR 8203).

Stanley J. Marcuss,
Acting Assistant Secretary for Trade Administration.

Portable Electric Typewriters from Japan; Antidumping Determination of Sales at Less Than Fair Value

AGENCY: U.S. Department of Commerce.

ACTION: Final Determination of Sales at Less than Fair Value.

SUMMARY: This notice is to advise the public that an antidumping investigation has resulted in a determination that portable electric typewriters from Japan have been sold in the United States at less than fair value within the meaning of section 731 of the Tariff Act of 1930, as amended by the Trade Agreements Act of 1979 (Pub. L. 96-39, July 26, 1979; 93 Stat. 162). Sales at less than fair value generally occur when merchandise exported to the United States is sold in the U.S. at a price which is less than (a) the price of such or similar merchandise sold in the home market (b) in the absence of a viable home market, the price at which it is sold to a third country, or (c) a constructed value. This case is being referred to the United States International Trade Commission for a determination concerning possible injury to an industry in the United States.

EFFECTIVE DATE: March 21, 1980.

comparison was purchase price or exporter's sales price, as appropriate, and the adjusted home market price of such or similar merchandise.

Purchase price, as defined in section 772(b) of the Tariff Act of 1930, as amended (93 Stat. 168, 19 U.S.C. 1677(b)), was used for those shipments to the U.S. where the sales were made to unrelated U.S. customers. Exporter's sales price, as defined in section 772(c) of the Act (93 Stat. 181, 19 U.S.C. 1677(c)), was used for those sales by Silver Seiko and Brother Industries which were made to U.S. firms related to those manufacturers within the meaning of section 771(2) of the Act (93 Stat. 180, 19 U.S.C. 1677(2)).

Silver Seiko and Brother contended that home market price should not be used as a basis for comparison on the ground that the quantity of sales of the subject merchandise in the home market was insufficient to provide an adequate basis for comparison. However, since sales in the home market by both manufacturers were greater than 5 percent of the total sales to countries other than the United States, in accordance with § 353.4(a), Commerce Regulations (19 CFR 353.4(a), 45 FR 6191), it has been determined that there were sales in sufficient quantities in the home market to provide a basis of comparison for fair value purposes. In accordance with § 353.38(a), Commerce Regulations (19 CFR 353.38(a), 45 FR 8200), pricing information was gathered concerning sales to the United States and home market during the period November 1, 1978, through April 30, 1979.

c. Purchase Price. For purposes of this determination, purchase price was calculated on the basis of the F.O.B. packed price to the United States, with deductions, where applicable, for inland freight, handling, commissions, shipping, and loading charges. Silver Seiko's selling price to one of its U.S. purchasers was subject to an exchange rate agreement. Generally, this agreement provides for an adjustment to the selling price of the merchandise to account for fluctuations in the exchange rate. The net selling price, after adjustment for exchange rate fluctuations in accordance with the agreement, was used for sales involving this U.S. purchaser. Subsequent to the tentative determination, Brother provided information which indicated that certain U.S. customers paid higher prices to the U.S. subsidiary of the parent company. This higher price was used as the starting price instead of the transfer price (between the parent and subsidiary company) when arriving at the net selling price, resulting in a small decrease in the dumping margin.

d. Exporter's Sales Price. For the purpose of this determination, exporter's sales price was calculated on the basis of the selling price to unrelated U.S. dealers, with deductions, where applicable, for Japanese inland freight, shipping, ocean freight, brokerage, U.S. inland freight, insurance, commissions, freight-out (transportation), co-op advertising, overbilling, warranty costs, financing expenses, accrual advertising, bad debt allowances, handling, selling expenses, and direct and indirect advertising, as appropriate. With respect to the determination of exporter's sales price for Brother, supplemental information revealed that the commission paid to independent sales representatives selling each model in the U.S. was less than originally calculated in the tentative determination. Further adjustment has been made for this factor.

e. Home Market Price. For the purposes of this determination, home market price was calculated on the basis of the weighted-average price to unrelated purchasers. Adjustments were made, where applicable, for inland freight, rebates, promotional discounts, give-away, warranty costs, service costs, selling expenses, commissions, direct advertising, interest costs, and differences in merchandise sold in the two markets, as appropriate. Claims were made for certain adjustments by one or more of the manufacturers. These claims, which were allowed, involved selling commissions and selling expenses and certain other costs. The claim for adjustment to fair value for salesmen's commissions incurred in the United States and not in the home market was allowed in the case of Silver Seiko. Pursuant to § 353.15(c) of the Commerce Regulations (19 CFR 353.15(c), 45 FR 8194), additional adjustment has been made to the home market price for general administrative and selling expense up to the amount deducted from the exporter's sales price for salesmen's commissions paid in the United States. Brother's claim that adjustment to fair value should be made for advertising expenses was allowed where it was shown that the advertising expenses were directly related to the sales under consideration or were attributable to a later sale of the merchandise by a purchaser.

Certain claims made for adjustments by one or more of the manufacturers were disallowed. These claims involved such or similar merchandise, differences in quantities, level of trade, salesmen's salaries, differences in merchandise.
sitting expenses, certain advertising expenses, certain transportation expenses and unanticipated fluctuations in the exchange rates.

Nakajima contended that the physical differences between U.S. model 7500 and home market model 8800 R were so extensive as to preclude a finding of the two models as "such or similar." However, since both models under consideration are of the same class or kind produced by the same person in the same country of exportation, all the criteria laid down in Section 771(16)(C) of the Tariff Act of 1930, as amended (93 Stat. 180, 19 U.S.C. 1677(16)(C)] are satisfied. Therefore, Nakajima's claim that the home market model 8800 R is not "such or similar" merchandise has been denied.

A claim by Nakajima for adjustment to the price of similar merchandise for differences in overhead and profit has been denied. The overhead expenses have not been shown to be directly related factory overhead expenses, and claims for differences in profit are not permitted under § 353.16 of the Commerce Regulations (19 CFR 353.16, 45 FR 8194).

Nakajima also claimed that Customs failed to take into account the differences between the quarterly and daily rate of exchange during the period of investigation. Nakajima further contends that the dumping margin was solely the result of exchange rate fluctuations.

Pursuant to § 353.56 of the Commerce Regulations (19 CFR 353.56, 44 FR 8209), any necessary conversion of foreign currency into its equivalent U.S. currency shall be made in accordance with provisions of Section 522(c) of the Tariff Act of 1930, as amended. Section 522 of the Tariff Act of 1930 as amended, provides that the Secretary of the Treasury shall proclaim official exchange rates on the first half of each month. Information furnished by Silver Seiko indicated that the daily rate of exchange on the day of the proclamation varied.

The Secretary of the Treasury varies the day of the proclamation to provide that if the daily rate of exchange is not made available to the Secretary of the Treasury by 9:00 a.m. ET, it shall be determined by the Secretary of the Treasury at the time the Secretary of the Treasury determines the highest or lowest exchange rate that existed during the period of investigation.

Calculations for both determinations revealed dumping margins. Therefore, Nakajima's contention that dumping margins result solely from exchange rate fluctuations is denied.

Silver Seiko contended that in determining fair value, the sales price of the merchandise in the home market must be based upon the discounted sales price to the domestic customer. Information furnished by Silver Seiko indicated that sales in the U.S. and Japan were not made in comparable quantities.

Therefore, the weighted-average prices of the merchandise sold to customers in the home market must be determined in accordance with § 353.20 of the Commerce Regulations (19 CFR 353.20). Consequently, Silver Seiko's claim that fair value be determined by the discounted price to one purchaser has been denied.

Silver Seiko also contended that an adjustment for differences in the level of trade must be made to determine fair value. It is Seiko's contention that sales to the United States are made at a different point in the distribution process than the sales under consideration in the home market. Specifically, Seiko alleges that sales to the U.S. are made to original equipment manufacturers while sales in the home market are made to large retailers. Fair value was determined by comparing the selling price of the subject merchandise to large wholesale quantity customers in U.S. and home market sales to large wholesale quantity customers.

Since the price comparison was made in both markets using sales to customers who bought in large wholesale quantities, no adjustment for differences in level of trade is warranted.

Nakajima contended that adjustment to fair value should be made for certain costs of transporting merchandise from the factory to the distribution warehouse. This claim was disallowed, because it was not shown to be an expense directly related to the sale under consideration.

Silver Seiko made additional claims for adjustments to the home market price for differences in circumstances of sale, salesmen's salaries and certain advertising expenses. Each of the disallowed claims are discussed below.

Silver Seiko claimed that an adjustment should be made for salesmen's salaries in Japan. Salesmen's salaries appear to be of a general overhead nature and could be incurred whether or not specific sales were made. Treasury had maintained a long-standing practice of disallowing claims for adjustment to home market prices for salesmen's salaries since such costs could not be shown to be directly related to the sales under consideration as required by Customs Regulations and now required by § 353.15(a) of the Commerce Regulations. On this basis, the claim has been rejected.

A claim has been made for certain advertising expenses (e.g. photo film for typewriters) which include art work, typesetting, photo filming, plating, and other preparatory work for the printing of the brochures. In addition, a claim has been made for other promotional items. No evidence has been presented to indicate that the advertising materials were directed to the later sales of the merchandise by the purchasers as required by § 353.15(a) of the Commerce Regulations (19 CFR 353.15(a), 45 FR 8194). Based on the foregoing, it has been determined that no adjustment is appropriate at this time.

Subsequent to the tentative determination, Brother furnished a breakdown of advertising expenses (e.g., newspaper, radio commercial, calendar, magazine, catalogues, trade circle newspaper I and Ii). It is claimed that adjustment should be made for these expenses in the calculated fair value.

Based on the breakdown provided by Brother, appropriate adjustments were made to the home market price for differences in circumstances of sales for expenses incurred for advertising (e.g., newspaper, radio commercial, calendar) regarded as general media advertising directed to later sales by the purchasers. With respect to claims for other advertising expenses, no allowances were made due to the lack of evidence that they were directly related to purchases by the purchaser.

Results of Fair Value Comparisons.

Using the above criteria, purchase prices or the exporter's sales price, as the case may be, were found to be lower than the home market price of such or similar merchandise. Comparisons were made on virtually all the portable electric typewriters which were shipped or sold to the United States by Nakajima All, Silver Seiko, and Brother Industries during the period of investigation.

Margins were found to range from 93 percent of sales compared. The weighted-average margin on the basis of all sales compared is 37.12 percent. Weighted-average margins found with respect to the companies under investigation, computed over all sales compared, were 4.30 percent for Nakajima All; 35.53 percent for Silver Seiko; and 48.70 percent for Brother Industries.

Verification of submitted data was accomplished by random selection of response information and subsequent...
Wool Top From Australia; Final Countervailing Duty Determination

AGENCY: International Trade Administration, U.S. Department of Commerce.

ACTION: Final Countervailing Duty Determination.

SUMMARY: This notice is to inform the public that a countervailing duty investigation has resulted in a final determination that the Government of Australia has not given benefits that constitute bounties or grants on the manufacture, production or exportation of wool top.

EFFECTIVE DATE: March 21, 1980.


SUPPLEMENTARY INFORMATION: On January 4, 1980, a notice of "Preliminary Countervailing Duty Determination" was published in the Federal Register (45 FR 1219). The notice stated that it had been preliminarily determined that no bounties or grants are being paid or bestowed within the meaning of section 303 of the Tariff Act of 1930, as amended (19 U.S.C. 1303), upon the manufacture, production, or exportation of wool top from Australia. The notice invited interested persons to comment on the action. No comments were received.

For purposes of this notice, the product concerned is wool top, classifiable under item 507.50, Tariff Schedules of the United States. This product consists of wool fibers processed beyond the washed, scoured, or carbonized conditions but not spun. Wool top constitutes the first stage in the manufacture of worsted type wools.

Two programs were investigated to determine whether they bestowed significant benefits upon manufacturers, producers, or exporters of wool top. These programs are:

1. The Export Expansion Grants Act of 1978. Under this program, qualified exporters of certain types of products receive a cash grant based upon the increase in value of their exports in the current year as compared to their export performance in the previous 3 years. No exporters of wool top to the U.S. have received grants under this program.

2. The Export Market Development Grants Act of 1978. This program gives exporters cash rebates for certain expenditures incurred in the research and development of new markets for Australian products. One company which exports wool top to the U.S. received a grant. The benefit from this payment (0.1 percent ad valorem) is within the range of de minimis and, therefore, not countervailable.

Pursuant to section 776 of the Tariff Act of 1930, as amended (93 Stat. 168, 19 U.S.C. 1677e), the accuracy of the information was verified. The verification was performed by a U.S. Government official who examined the books and records of the only company which received a grant under the Export Market Development Grants Act of 1978. Verification was made of the amount of grant received and the total export sales of the company. The verification confirmed that the amount of the grant was less than 0.1 percent of total export sales for the company.

The Department of Commerce will obtain the annual reports of the boards which administer these programs. The reports, which list the companies receiving grants under these programs, will be included in the library of publicly available information relating to foreign subsidy practices and countervailing measures, as provided for in section 777(a)(1) of the Tariff Act of 1930, as amended (93 Stat. 187, 19 U.S.C. 1677a(a)(1)).

Accordingly, it is determined that no bounties or grants within the meaning of section 303 of the Tariff Act of 1930, as amended (93 Stat. 190, 19 U.S.C. 1303), are being paid or bestowed, directly or indirectly, upon the manufacture, production, or exportation of wool top from Australia.

This final determination is published pursuant to section 705(d) of the Tariff Act of 1930, as amended (93 Stat. 160, 19 U.S.C. 1677(d)).

Stanley J. Marcus, Acting Assistant Secretary for Trade Administration.
March 18, 1980.

The Department of Commerce will provide public notice of this determination which will be included in the library of foreign subsidy practices and countervailing measures, as provided for in section 777(a)(1) of the Tariff Act of 1930, as amended (the Act), for operating-differential subsidy to aid in the operation of its two heavy-lift vessels, the MV's JOHN HENRY and PAUL BUNYAN. Inasmuch as AHL and/or related persons or firms, employ or may employ ships in the domestic intercoastal or coastwise service, written permission of the Maritime Administration under section 605(a) of the Act will be required if the application for operating-differential subsidy is to be approved.

AHL is 75 percent owned by Gulf Oil Corporation (Gulf). Gulf has owned and operated a fleet of U.S.-flag oil tankers in the coastal and intercoastal trades since 1902 and has continuously owned such vessels to the present time. Ordinarily these vessels are operated for Gulf's own account as industrial carriers. Other than portfolio-type holdings of the stock of Gulf by certain individuals with business connections with Gulf, namely, by Messrs. Goodman, Wyman, Hoskins, Ash, Sugarman, Patterson, Winget, Boyce, Weyranch, and Pfautz, no director or officer of AHL has an interest in any entity operating vessels in the domestic intercoastal or coastwise service within the meaning of section 605(a) of the Act.

Any person, firm, or corporation having any interest in such application (within the meaning of section 605(a)) and desiring to submit comments concerning the application must file written comments in triplicate with the Secretary, Maritime Administration, by close of business on April 4, 1980 together with petition for leave to intervene. The petition shall state clearly and concisely the grounds of interest and the alleged facts relied on for relief.

If no petitions for leave to intervene are received within the specified time, or if it is determined that petitions filed...
do not demonstrate sufficient interest to warrant a hearing, the Maritime Administration will take such action as may be deemed appropriate.

In the event petitions regarding the relevant section 805(a) issues are received from parties with standing to be heard, a hearing will be held. The purpose of the hearing will be to receive evidence under section 805(a) relative to whether the proposed operations (a) could result in unfair competition to any person, firm, or corporation operating exclusively in the coastwise or intercoastal service, or (b) would be prejudicial to the objects and policy of the Act relative to domestic trade operations.

(Catalog of Federal Domestic Assistance Program No. 11.594, Operating-Differential Subsidies (ODS))

By order of the Assistant Secretary for Maritime Affairs.

Dated: March 16, 1980.

Robert J. Patton, Jr.,

Secretary.

[FR Doc. 80-8775 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-15-M

Approval of Request for Removal, Without Disapproval, From Roster of Approved Trustees

On February 5, 1980, there was published in the Federal Register (FR Vol. 45, No. 21) pursuant to 46 CFR 221.28, a Notice of Request for Removal, Without Disapproval, from Roster of Approved Trustees pursuant to the request of the Bank of Oklahoma, with offices at P.O. Box 2300, Tulsa, Oklahoma.

Therefore, pursuant to Pub. L. 89-346 and 46 CFR 221.21-221.30, the Bank of Oklahoma is removed from the Roster of Approved Trustees.

This notice shall become effective March 21, 1980.

Dated: March 16, 1980.

By Order of the Assistant Secretary of Commerce for Maritime Affairs.

Robert J. Patton, Jr.,

Secretary.

[FR Doc. 80-8775 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-15-M

National Oceanic and Atmospheric Administration

Mid-Atlantic Fishery Management Council; Public Meeting

AGENCY: National Marine Fisheries Service, NOAA.

SUMMARY: The Mid-Atlantic Fishery Management Council, established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94-265), will meet to discuss a work plan for Amendments to the Squid, Mackerel, Butterfish, and Shark Fishery Management Plans (FMPs) and status of FMPs; foreign fishing applications; and, other fishery management and administrative matters.

DATES: The meeting will convene on Wednesday, April 9, 1980, at 1 p.m. and will adjourn on Friday, April 11, 1980, at approximately 1 p.m. The meeting is open to the public.

ADDRESS: The meeting will take place at the Sheraton-Fontainebleau Inn, 10100 Coastal Highway, Ocean City, Maryland 21842.

FOR FURTHER INFORMATION CONTACT: Mid-Atlantic Fishery Management Council, Room 2115, Federal Building, Dover, Delaware 19901, Telephone: (302) 674-2391.

Dated: March 16, 1980.

Winfred H. Melbohm,

Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8783 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-22-M

Pacific Fishery Management Council's Groundfish Advisory Subpanel; Public Meeting

AGENCY: National Marine Fisheries Service, NOAA.

SUMMARY: The Pacific Fishery Management Council, established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94-265), has established a Groundfish Advisory Subpanel (AP) which will meet to discuss proposed changes in the Draft Groundfish Fishery Management Plan.

DATES: The meeting will convene on Tuesday, April 15, 1980, at 9 a.m.; reconvene on April 16, 1980, at 8 a.m.; and adjourning both days at 5 p.m. The meeting is open to the public.

ADDRESS: The meeting will take place at the Oregon Department of Fish and Wildlife Building, S.W. 6th and Mill Streets, Portland, Oregon.

FOR FURTHER INFORMATION CONTACT: Pacific Fishery Management Council, 526 S.W. Mill Street, Second Floor, Portland, Oregon 97201, Telephone: (503) 221-6352.

Dated: March 16, 1980.

Winfred H. Melbohm,

Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8783 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-22-M

National Marine Fisheries Service; Modification of Permit

Notice is hereby given that pursuant to the provisions of Sections 216.33 (d) and (e) of the Regulations Governing the Taking and Importing of Marine Mammals (50 CFR Part 216), Permit No. 194 issued to Alaska Department of Fish and Game, Subport Building, Juneau, Alaska 99801 on July 28, 1977 (42 FR 40991) is modified in the following manner: Section B-7 has been changed to read, "This Permit is valid with respect to the taking authorized herein, until December 31, 1980."

This modification will become effective March 21, 1980.

The Permit as modified, and documentation pertaining to the modification are available for review in the following offices:

Assistant Administrator for Fisheries, National Marine Fisheries Service, 3300 Whitehaven Street, Northeast, Washington, D.C.; and Regional Director, National Marine Fisheries Service, P.O. Box 1866, Juneau, Alaska 99802.


Robert K. Crowell,

Deputy Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8783 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-22-M
Western Pacific Fishery Management Council's Scientific and Statistical Committee; Public Meeting

AGENCY: National Marine Fisheries Service, NOAA.

SUMMARY: The Western Pacific Fishery Management Council, established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94-265), has established a Scientific and Statistical Committee (SSC), which will meet to review fishery management plans (FMPs) for Billfish and Spiny-Lobster, and conduct other Committee business.

DATES: The meeting will convene on Wednesday, April 2, 1980, and reconvene on Thursday, April 3, 1980, at 9 a.m. adjourning at 4:30 p.m. on both days. The meeting is open to the public.

ADDRESS: The meeting will take place at the Honolulu Laboratory, South West Fisheries Center, 2570 Dole Street, Honolulu, Hawaii.

FOR FURTHER INFORMATION CONTACT: Western Pacific Fishery Management Council, Room 1608, 1164 Bishop Street, Honolulu, Hawaii 96813, Telephone: (808) 523-1368.

Dated: March 16, 1980.

Winfred H. Melbohm,
Executive Director, National Marine Fisheries Service.

[FR Doc. 80-6722 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-02-M

Office of the Secretary

Presentation Before Interagency Committee on Standards Policy on Consumer Contributions to Voluntary Standards-Setting Activities; and on Differing National Requirements and Testing Procedures Which Motor Vehicle and Current Activities To Achieve International Harmonization; Open Meeting

The Department of Commerce functions as the Federal Government focal point in the development, coordination, and strengthening of U.S. national and international standards policy in the public interest. In this regard, the Department has become cognizant of (1) efforts to improve the quality of consumer participation in voluntary standards activities, and (2) non-tariff trade barriers created by conflicting motor vehicle safety and emission standards and test methods in the international marketplace.

The Interagency Committee on Standards Policy (ICSP) is chartered and chaired by the U.S. Department of Commerce. The purpose of the ICSP is to facilitate the effective participation by the Federal Government in domestic and international standards activities, and to promote the development of uniform policies among agencies participating in these activities. In this regard, the ICSP has accepted the requests of Mr. William Cavanaugh of the American Society for Testing and Materials (ASTM) and Mr. David Swankin of National Consumers League (NCL) to make a joint presentation before the ICSP regarding the ASTM/NCL joint effort to improve the quality of consumer participation in voluntary standards activities; and Mr. Paul F. Allmendinger of the Motor Vehicle Manufacturers Association to make a presentation before the ICSP regarding trade barrier effects of differing national motor vehicle safety and emission standards.

Both presentations will take place on April 9, 1980, in room 6802 of the U.S. Department of Commerce, 14th Street, N.W. (between E Street and Constitution Avenue), Washington, D.C. The presentation of Messrs. Cavanaugh and Swankin will be from 9:30 a.m., to 11 a.m. Mr. Allmendinger's presentation will be from 11 a.m. to 12:30 p.m. The public is invited to attend both of these presentations.

It is anticipated that each of the two formal presentations will consume about 40 (forty) minutes. The first twenty-five minutes following each formal presentation will be devoted entirely to comments and questions which members of the ICSP or other Federal Government personnel in attendance as observers may wish to present. It is recognized that other interested persons may wish to express their views on the subjects of the presentations.

Accordingly, the ICSP chairman has determined in the public interest that approximately 25 (twenty-five) minutes will be set aside for such views to be expressed. Each of these two public participation periods will begin immediately after the comments and questions from the ICSP representatives.

Any interested person wishing to be called upon to express his or her views on the subject of either (or both) presentation[s] at the April 9 meeting should contact Dr. Howard I. Forman, ICSP Chairman and Deputy Assistant Secretary for Product Standards Policy no later than April 2, 1980, either by telephone or by letter. Dr. Forman is located at the main Commerce Department Building, room 3876, on 14th Street, N.W. (between E Street and Constitution Avenue), Washington, D.C., telephone 202/377-3221. Time for the expressions of such views will be allocated as equitably as possible, depending upon the number of such requests and consistent with the plan to conclude the meeting at 12:30 p.m.


Jordan J. Baruch,
Assistant Secretary, Office of Productivity, Technology and Innovation.

[FR Doc. 80-6722 Filed 3-20-80; 8:45 am]
BILLING CODE 3510-13-M

COMMITTEE FOR PURCHASE FROM THE BLIND AND OTHER SEVERELY HANDICAPPED

Procurement List 1980; Additions

AGENCY: Committee for Purchase from the Blind and Other Severely Handicapped.

ACTION: Additions to Procurement List.

SUMMARY: This action adds to Procurement List 1980 a commodity to be produced by and services to be provided by workshops for the blind and other severely handicapped.

EFFECTIVE DATE: March 21, 1980.

ADDRESS: Committee for Purchase from the Blind and Other Severely Handicapped, 2004 14th Street North, Suite 610, Arlington, Virginia 22201.

FOR FURTHER INFORMATION CONTACT: C. W. Fletcher, (703) 557-3145.

SUPPLEMENTARY INFORMATION: On January 18, 1980 and January 25, 1980, the Committee for Purchase from the Blind and Other Severely Handicapped published notices (45 FR 5603 and 45 FR 0152) of proposed additions to Procurement List 1980, November 27, 1979 (44 FR 69793).

After consideration of the relevant matter presented, the Committee has determined that the commodity and services listed below are suitable for procurement by the Federal Government under 41 U.S.C. 46-48c, 85 Stat. 77.

Accordingly, the following commodity and services are hereby added to Procurement List 1980:

Class 8115
Box, Wood, 8115--00--935-6531

SIC 0782
Grounds Maintenance, Area 10 (Parkway Gate 18 and surrounding area), Naval Weapons Station, Yorktown, Virginia.

SIC 7349

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18421
Procurement List 1980, Deletions

AGENCY: Committee for Purchase from the Blind and Other Severely Handicapped.

ACTION: Deletions from Procurement List.

SUMMARY: This action deletes from Procurement List 1980 commodities produced by workshops for the blind or other severely handicapped.

EFFECTIVE DATE: March 21, 1980.

ADDRESS: Committee for Purchase from the Blind and Other Severely Handicapped, 2009 14th Street North, Suite 610, Arlington, Virginia 22201.

FOR FURTHER INFORMATION CONTACT: C. W. Fletcher, (703) 557-1145.

SUPPLEMENTARY INFORMATION:

On January 25, 1980, the Committee for Purchase from the Blind and Other Severely Handicapped published notice (45 FR 6128) of proposed deletions to Procurement List 1980, November 27, 1979 (44 FR 67925).

After consideration of the relevant matter presented, the Committee has determined that the commodities listed below are no longer suitable for procurement by the Federal Government under 41 U.S.C. 46-48c, 85 Stat. 77. Accordingly, the following commodities are hereby deleted from Procurement List 1980:

Class 3990
Pallet, Wood, 3990-00-566-8821
Skid, Wood
3990-00-366-8810
3990-00-366-8814
3990-00-366-8815
3990-00-366-8818
3990-00-366-8817
3990-00-366-8819
3990-00-366-8820

C. W. Fletcher,
Executive Director.

[FR Doc. 80-871 Filed 3-20-80; 8:45 am]
BILLING CODE 6620-33-M

DEPARTMENT OF DEFENSE

Corps of Engineers, Department of the Army

Revision to the Notice of Intent To Prepare a Draft Supplement to the Final Environmental Impact Statement for the Proposed Roseau River Flood Control Project in Roseau and Kittson Counties, Minn.

AGENCY: St. Paul District, Corps of Engineers.

ACTION: Revision of availability date for the Draft Supplement to the Final Environmental Impact Statement (EIS)

SUMMARY: The revision applies to the Notice of Intent published in the Friday, July 8, 1979 issue of the Federal Register.

Office of the Secretary

DOD Advisory Group on Electron Devices; Meeting


The DOD Advisory Group on Electron Devices (AGED) of the DoD Advisory Group on Electron Devices, the Department of Defense and the Federal Government will be required to provide the Under Secretary of Defense for Research and Engineering, the Director, Defense Advanced Research Projects Agency and the Military Departments with technical advice on the conduct of economical and effective research and development programs in the area of electron devices.

The Working Group B meeting will include limited review of research and development programs which the Military Departments propose to initiate with industry, universities or in their laboratories. The agenda for this meeting will include programs on Radiation Hardened Devices, Microwave Tubes, Displays and Lasers. The review will include details of classified defense programs throughout.

In accordance with 5 U.S.C. App. 1 10(d) (1976), it has been determined that this Advisory Group meeting concerns matters listed in 5 U.S.C. 52b(c)(4) (1976), and that accordingly, this meeting will be closed to the public.

O. J. Willford,
Director, Correspondence and Directives, Washington Headquarters Service, Department of Defense.

March 17, 1980.

DOD Advisory Group on Electron Devices; Meeting

The mission of the Advisory Group is to provide the Under Secretary of Defense for Research and Engineering, the Director, Defense Advanced Research Projects Agency and the Military Departments with technical advice on the conduct of economical and effective research and development programs in the area of Electron Devices.

The AGED meeting will be limited to review of research and development programs which the Military Departments propose to initiate with industry, universities or in their laboratories. The agenda for this meeting will include programs on Radiation Hardened Devices, Microwave Tubes, Displays and Lasers.

The review will include detailed classified defense programs throughout. In accordance with 5 U.S.C. App. 1 10(d)(1976), it has been determined that this Advisory Group meeting concerns matters listed in 5 U.S.C. 572(c)(S)(1976), and that accordingly, this meeting will be closed to the public.

O. J. Willford,
Director, Correspondence and Directives, Washington, Headquarters Services, Department of Defense.
March 17, 1980.

FR Doc. 83-8 Filed 3-20-80; 8:45 am
BILLING CODE 3815-70-M

DEPARTMENT OF ENERGY

Economic Regulatory Administration

Receipt of Petitions for Temporary Public Interest Exemptions for Use of Natural Gas by Existing Powerplants Under the Powerplant and Industrial Fuel Use Act of 1978 and Proposed Order Granting the Temporary Exemptions

AGENCY: Economic Regulatory Administration, Department of Energy

ACTION: Notice of Petitions and Proposed Order.

SUMMARY: A number of petitions have been received and filed with the Economic Regulatory Administration (ERA) of the Department of Energy (DOE) for temporary public interest exemptions for the use of natural gas as a primary energy source. Such exemptions are authorized by Section 311(e) of the Powerplant and Industrial Fuel Use Act of 1978, 42 U.S.C. 8301 et seq., (FU Act or the Act). The owners/operators of the powerplants have provided the following information:

<table>
<thead>
<tr>
<th>Petitioner (generating station)</th>
<th>Unit Identification</th>
<th>Maximum quantity of oil displaced (barrels per day)</th>
<th>Type of oil displaced</th>
<th>Coal displaced</th>
</tr>
</thead>
<tbody>
<tr>
<td>City of Chanute, Kansas (Municipal)</td>
<td>No. 1</td>
<td>110.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Colorado Springs (Birdsall)</td>
<td>No. 1</td>
<td>7.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>4.5 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>11.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Drake)</td>
<td>No. 1</td>
<td>4.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>0.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>0.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Austin (Deal)</td>
<td>No. 1</td>
<td>85.5 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>8.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>46.6 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>21.9 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Seabrook)</td>
<td>No. 1</td>
<td>2.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>2.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>1.8 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>1.5 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>1.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Arkansas Electric Cooperative (Fitzhugh)</td>
<td>No. 1</td>
<td>1,041.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Dover (McKee Run)</td>
<td>No. 1</td>
<td>38.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>38.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>88.5 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Consumers Power Company (G. E. Morrow)</td>
<td>No. 1</td>
<td>137.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>137.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>575.3 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>575.3 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>El Paso Electric Company (Copper)</td>
<td>No. CT 1</td>
<td>50.1 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Florida Power and Light Company  (Potmain)</td>
<td>No. CC 1</td>
<td>3,287.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Holyoke (Electric Station)</td>
<td>No. 1</td>
<td>85.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>95.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>50.1 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>50.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Gulf Power Company (Crest)</td>
<td>No. 1</td>
<td>419.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>419.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>555.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Independence (Blue Valley)</td>
<td>No. GT 1</td>
<td>63.2 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Station 1)</td>
<td>No. GT 1</td>
<td>10.9 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Imperial Irrigation District (El Centro)</td>
<td>No. 1</td>
<td>71.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>223.3 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>1,017.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>2,811.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Coachella)</td>
<td>No. GT 1</td>
<td>75.0 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. GT 2</td>
<td>75.0 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. GT 3</td>
<td>75.0 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. GT 4</td>
<td>75.0 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Kansas Gas and Electric Company (Neosho)</td>
<td>No. 1</td>
<td>273.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Gordon Evans)</td>
<td>No. 2</td>
<td>479.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>525.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Wichita)</td>
<td>No. 1</td>
<td>0.2 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>0.2 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Ripley)</td>
<td>No. 1</td>
<td>67.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>67.7 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>58.9 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Kansas Gas and Electric Company  (Murray Gent)</td>
<td>No. 2</td>
<td>878.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>878.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>878.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Kansas City, Kansas (Quindaro)</td>
<td>No. GT 2</td>
<td>166.3 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. GT 3</td>
<td>166.3 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Laredo, Kansas (Municipal)</td>
<td>No. 1</td>
<td>211.0 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Lincoln Electric System (5th and J Street)</td>
<td>No. CT 1</td>
<td>55.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Louisiana Power and Light (Waterford)</td>
<td>No. 2</td>
<td>4,187.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Newcma Point)</td>
<td>No. 3</td>
<td>1,211.8 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>City of Los Angeles Department of Water and Power (Harbor)</td>
<td>No. 1</td>
<td>144.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>134.1 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>172.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>172.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>174.2 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 6</td>
<td>5.8 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 7</td>
<td>5.8 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 8</td>
<td>5.8 Distillate</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Valley)</td>
<td>No. 1</td>
<td>330.3 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>360.5 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>815.5 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>575.9 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Mississippi Power Company (Eaton)</td>
<td>No. 1</td>
<td>312.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>312.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>312.4 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>(Sweat)</td>
<td>No. 1</td>
<td>471.6 Residual</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Petitioner (generating station)</td>
<td>Unit identification</td>
<td>Maximum quantity of oil displaced (barrels per day)</td>
<td>Type of oil displaced</td>
<td>Coal displaced</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>---------------------</td>
<td>---------------------------------------------------</td>
<td>----------------------</td>
<td>---------------</td>
</tr>
<tr>
<td>Public Service Company of Oklahoma (Weleetka)</td>
<td>CT 4</td>
<td>172.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 5</td>
<td>172.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 6</td>
<td>172.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Nebraska Public Power District (Blues)</td>
<td>No. 3</td>
<td>3.6</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>172.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>Pacific Gas &amp; Electric Company (Morro Bay)</td>
<td>No. 2</td>
<td>1,008.3</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>565.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>452.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 6</td>
<td>454.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>Potomac</td>
<td>No. 1</td>
<td>246.7</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>246.7</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>722.7</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>(Moss Landing)</td>
<td>No. 1</td>
<td>939.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>939.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>939.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>(Contra Costa)</td>
<td>No. 2</td>
<td>835.6</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>835.6</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>786.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>780.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 6</td>
<td>786.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 7</td>
<td>1,527.5</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 8</td>
<td>1,527.5</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>St. Joseph Power and Light Company (Lake Road)</td>
<td>No. 4</td>
<td>58.6</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>(Edmond Street)</td>
<td>No. 6</td>
<td>2.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>San Diego Gas &amp; Electric Company (North Island)</td>
<td>CT 1</td>
<td>12.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 2</td>
<td>12.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Southern Indiana Gas and Electric Company (Broadway)</td>
<td>CT 1</td>
<td>19.2</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Virginia Electric and Power Company (Yorktown)</td>
<td>No. 5</td>
<td>1,510.0</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>(Portsmouth)</td>
<td>CT 1</td>
<td>193.2</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Wisconsin Power and Light Company (Sheepskin)</td>
<td>CT 1</td>
<td>24.0</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>(Rock River)</td>
<td>CT 5</td>
<td>14.0</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Jacksonville Electric Authority (Northfield)</td>
<td>No. 1</td>
<td>3,693.5</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>745.5</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>1,639.6</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>51.0</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>43.0</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>CT 5</td>
<td>146.7</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Atlantic City Electric Company (Middletown)</td>
<td>GT 1</td>
<td>356.2</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>Commonwealth Edison (Ridgeland)</td>
<td>No. 5</td>
<td>1,372.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 6</td>
<td>1,372.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>Niagara Mohawk Power Corporation (Albany)</td>
<td>No. 1</td>
<td>822.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>822.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>822.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>822.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>City of Hastings, Nebraska, Utilities (North Denver)</td>
<td>No. 1</td>
<td>238.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>238.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td>Gulf States Utilities Company (Sabine)</td>
<td>CT 1</td>
<td>77.0</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>14,327.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 7</td>
<td>14,327.1</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 8</td>
<td>136.5</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 9</td>
<td>3.9</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 10</td>
<td>289.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 11</td>
<td>1,586.3</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 12</td>
<td>4,952.6</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 13</td>
<td>11,497.5</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 14</td>
<td>1,983.9</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 15</td>
<td>741.0</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 16</td>
<td>40.4</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 17</td>
<td>604.9</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 18</td>
<td>622.1</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 19</td>
<td>843.4</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 20</td>
<td>456.0</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 21</td>
<td>831.4</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 22</td>
<td>1,297.9</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 23</td>
<td>287.2</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 24</td>
<td>292.3</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 25</td>
<td>45.2</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 26</td>
<td>1,324.0</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 27</td>
<td>454.8</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 28</td>
<td>7.5</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>University of Illinois (Abbott)</td>
<td>No. 1</td>
<td>215.4</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>215.4</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>48.7</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>215.4</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td>City of Ruston, Louisiana (Ruston)</td>
<td>No. 1</td>
<td>319.5</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 2</td>
<td>11.8</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 3</td>
<td>215.4</td>
<td>Distillate</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 4</td>
<td>127.3</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 5</td>
<td>831.4</td>
<td>Residual</td>
<td>No.</td>
</tr>
<tr>
<td></td>
<td>No. 6</td>
<td>7.5</td>
<td>Distillate</td>
<td>No.</td>
</tr>
</tbody>
</table>
FUA became effective on May 8, 1979. The Act prohibits the use of natural gas as a primary energy source in certain existing powerplants and also authorizes an exemptions procedure in regard to that and other prohibitions.

ERA is proposing to issue orders which would grant temporary public interest exemptions to all of the petitioners enumerated above, pursuant to the authority of Section 311(e) of FUA and 10 CFR Part 508, published by ERA on April 9, 1979 (44 FR 21230). These proposed orders, when finalized, would grant a temporary exemption to the subject powerplants from the prohibitions against natural gas use, contained in Section 301(a)(2) and (3) of FUA.

ERA is publishing this notice of petitions filed and its proposed order to grant these exemptions, to invite interested persons to submit written comments pursuant to the requirements of FUA. In addition, any interested person may request that a public hearing be convened in regard to these petitions under the provisions of Section 701(d) of FUA.

DATES: Written comments relating to these petitions and the proposed order are due on or before May 5, 1980. Requests for a public hearing are also due on or before May 5, 1980.

ADDRESSES: Requests for a public hearing and/or 10 copies of written comments shall be submitted to: Department of Energy, Case Control Unit, Box 4929, Room 3214, 2000 M Street, NW, Washington, D.C. 20461.

FOR FURTHER INFORMATION CONTACT:

SUPPLEMENTARY INFORMATION: On April 9, 1979, ERA issued a final rule implementing the authority granted to DOE by Section 311(e) of FUA. This final rule, set forth in 10 CFR Part 508, establishes the policy ERA has adopted in implementing its authority under Section 311(e) of FUA and the eligibility criteria, which petitioners for the temporary exemption must demonstrate. This temporary exemption will allow certain existing electric powerplants to use natural gas as a primary energy source in excess of the amounts which are mandated by Section 301(a) (2) and (3) of FUA.

The use of natural gas, permitted under these temporary exemptions, will allow existing electric powerplants to displace distillate and residual fuel oils as their primary energy source.

This expanded use of natural gas in these powerplants will be a significant step toward reducing our short term oil consumption and will help the United States in meeting its goals to reduce its demand for imported oil, protect the Nation from the effects of any oil shortages, and will serve to cushion the impact of increasing world oil prices.

The above listed owners/operators have filed petitions with ERA to request a temporary public interest exemption for their existing electric powerplants. ERA has reviewed their petitions and has found that the powerplants meet the eligibility criteria established in § 508.2 of the final rule (44 FR 21230).

ERA intends, in its proposed order, to grant temporary public interest exemptions for the above listed powerplants.

This is not the final notice of petitions and proposed orders under the final rule. ERA will continue to comply with the requirements of Section 701(c) of FUA and will publish further notices as petitions are received.

Issued in Washington, D.C., on March 14, 1980.

Robert L. Davies,
Assistant Administrator, Office of Fuels Conversion, Economic Regulatory Administration.

[FR Doc. 80-8641 Filed 3-20-80; 8:45 am]
BILLING CODE 6450-01-M

Proposed Order Granting Special Temporary Public Interest Exemptions

The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) hereby sets forth its Order proposing to grant a special temporary public interest exemption from the prohibitions of Section 301(a)(2) and (3) of the Powerplant and Industrial Fuel Use Act of 1978 (FUA or the Act), 42 U.S.C. 8301 et seq., pursuant to Section 311(e) of FUA, 10 CFR 501.68, and 10 CFR Part 508, to the following powerplants:
<table>
<thead>
<tr>
<th>Case control No.</th>
<th>Petitioner</th>
<th>Generating station</th>
<th>Unit identification</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>50514-1267-03-41</td>
<td>City of Chanute</td>
<td>Municipal</td>
<td>No. 3</td>
<td>Chanute, Kansas</td>
</tr>
<tr>
<td>50514-1267-04-41</td>
<td>City of Colorado Springs</td>
<td>Binsdale</td>
<td>No. 1</td>
<td>Colorado Springs, Colorado</td>
</tr>
<tr>
<td>50622-0493-02-41</td>
<td>City of Austin</td>
<td>Decker</td>
<td>No. 2</td>
<td>Austin, Texas</td>
</tr>
<tr>
<td>50622-0492-01-41</td>
<td>City of Colorado Springs</td>
<td>Drake</td>
<td>No. 1</td>
<td>Colorado Springs, Colorado</td>
</tr>
<tr>
<td>50912-0402-01-41</td>
<td>City of Austin</td>
<td>Holly</td>
<td>No. 1</td>
<td>Austin, Texas</td>
</tr>
<tr>
<td>50913-0558-03-41</td>
<td>Consumers Power Company</td>
<td>B. E. Morrow</td>
<td>No. 1</td>
<td>Climax, Michigan</td>
</tr>
<tr>
<td>50939-1592-03-41</td>
<td>El Paso Electric Company</td>
<td>Copper</td>
<td>CT 1</td>
<td>El Paso, Texas</td>
</tr>
<tr>
<td>51006-6246-51-41</td>
<td>Florida Power and Light Company</td>
<td>Putnam</td>
<td>CC 1</td>
<td>East Palatka, Florida</td>
</tr>
<tr>
<td>51020-0641-01-41</td>
<td>City of Independence</td>
<td>Blue Valley</td>
<td>CT 1</td>
<td>Independence, Missouri</td>
</tr>
<tr>
<td>51292-2135-22-41</td>
<td>City of Independence</td>
<td>Station H</td>
<td>CT 2</td>
<td>Independence, Missouri</td>
</tr>
<tr>
<td>51389-9058-01-41</td>
<td>Imperial Irrigation District</td>
<td>El Centro</td>
<td>No. 1</td>
<td>El Centro, California</td>
</tr>
<tr>
<td>51389-9059-01-41</td>
<td>Imperial Irrigation District</td>
<td>Osfia</td>
<td>No. 1</td>
<td>Osfia, Florida</td>
</tr>
<tr>
<td>51200-6060-21-41</td>
<td>Imperial Irrigation District</td>
<td>Coachella</td>
<td>CT 1</td>
<td>Coachella, California</td>
</tr>
<tr>
<td>51200-6060-22-41</td>
<td>Imperial Irrigation District</td>
<td>CT 2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>51258-0056-02-41</td>
<td>Kansas Gas and Electric Company</td>
<td>Nooshio</td>
<td>No. 3</td>
<td>Parsons, Kansas</td>
</tr>
<tr>
<td>51478-1243-04-41</td>
<td>Kansas Gas and Electric Company</td>
<td>Nooshio</td>
<td>No. 2</td>
<td>Colwich, Kansas</td>
</tr>
<tr>
<td>51478-1240-01-41</td>
<td>Kansas Gas and Electric Company</td>
<td>Nooshio</td>
<td>No. 1</td>
<td>Colwich, Kansas</td>
</tr>
<tr>
<td>51478-1240-02-41</td>
<td>Kansas Gas and Electric Company</td>
<td>Nooshio</td>
<td>No. 2</td>
<td>Colwich, Kansas</td>
</tr>
<tr>
<td>51478-1240-03-41</td>
<td>Kansas Gas and Electric Company</td>
<td>Nooshio</td>
<td>No. 3</td>
<td>Colwich, Kansas</td>
</tr>
<tr>
<td>51478-1240-04-41</td>
<td>Kansas Gas and Electric Company</td>
<td>Nooshio</td>
<td>No. 4</td>
<td>Colwich, Kansas</td>
</tr>
<tr>
<td>Case control No.</td>
<td>Petitioner</td>
<td>Generating station</td>
<td>Unit identification</td>
<td>Location</td>
</tr>
<tr>
<td>-----------------</td>
<td>------------</td>
<td>--------------------</td>
<td>--------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>51478-1244-02-41</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>51478-1244-03-41</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>51478-1245-01-41</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>51478-1245-02-41</td>
<td></td>
<td></td>
<td>No. 1.</td>
<td>Wichita, Kansas</td>
</tr>
<tr>
<td>51478-1245-03-41</td>
<td></td>
<td></td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>51478-1245-04-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>51478-1250-22-41</td>
<td>City of Kansas City</td>
<td>Chincoro</td>
<td></td>
<td>Kansas City, Kansas</td>
</tr>
<tr>
<td>51478-1250-23-41</td>
<td></td>
<td></td>
<td>CT 3.</td>
<td></td>
</tr>
<tr>
<td>51506-1289-03-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td>Laredo, Kansas</td>
</tr>
<tr>
<td>51649-9151-21-41</td>
<td>Lincoln Electric System</td>
<td>8th and J Street</td>
<td>No. 1.</td>
<td>Lincoln, Nebraska</td>
</tr>
<tr>
<td>51694-9039-02-41</td>
<td>Louisana Power and Light</td>
<td>Waterford</td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>51694-1403-02-41</td>
<td></td>
<td></td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>51694-1403-03-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>51691-0399-01-41</td>
<td>City of Los Angeles Department of Water and Power</td>
<td>Harbor</td>
<td>No. 1.</td>
<td>Wilmington, California</td>
</tr>
<tr>
<td>51691-0399-02-41</td>
<td></td>
<td></td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>51691-0399-03-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>51691-0399-04-41</td>
<td></td>
<td></td>
<td>No. 4.</td>
<td></td>
</tr>
<tr>
<td>51691-0399-05-41</td>
<td></td>
<td></td>
<td>No. 5.</td>
<td></td>
</tr>
<tr>
<td>51691-0399-23-41</td>
<td></td>
<td></td>
<td>No. 8.</td>
<td></td>
</tr>
<tr>
<td>51691-0399-24-41</td>
<td></td>
<td></td>
<td>No. 9.</td>
<td></td>
</tr>
<tr>
<td>51888-2046-01-41</td>
<td>Mississippi Power Company</td>
<td>Eaton</td>
<td>No. 1.</td>
<td>Petal, Mississippi</td>
</tr>
<tr>
<td>51888-2046-02-41</td>
<td></td>
<td></td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>51888-2046-03-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>51888-2046-04-41</td>
<td></td>
<td></td>
<td>No. 4.</td>
<td></td>
</tr>
<tr>
<td>51988-2276-01-41</td>
<td></td>
<td></td>
<td>No. 4.</td>
<td></td>
</tr>
<tr>
<td>52224-0228-01-41</td>
<td>Pacific Gas and Electric Company</td>
<td>Poharo</td>
<td>No. 1.</td>
<td>San Francisco, California</td>
</tr>
<tr>
<td>52224-0228-02-41</td>
<td></td>
<td></td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>52224-0228-03-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>52224-0228-04-41</td>
<td></td>
<td></td>
<td>No. 4.</td>
<td></td>
</tr>
<tr>
<td>Case control No.</td>
<td>Petitioner</td>
<td>Generating station</td>
<td>Unit identification</td>
<td>Location</td>
</tr>
<tr>
<td>-----------------</td>
<td>------------</td>
<td>-------------------</td>
<td>--------------------</td>
<td>----------</td>
</tr>
<tr>
<td>52224-0228-05-41</td>
<td></td>
<td></td>
<td>No. 5.</td>
<td></td>
</tr>
<tr>
<td>52224-0228-06-41</td>
<td></td>
<td></td>
<td>No. 6.</td>
<td></td>
</tr>
<tr>
<td>52224-0228-07-41</td>
<td></td>
<td></td>
<td>No. 7.</td>
<td></td>
</tr>
<tr>
<td>52765-2098-04-41</td>
<td></td>
<td>Lake Road</td>
<td>No. 4.</td>
<td>St. Joseph, Missouri</td>
</tr>
<tr>
<td>52730-2097-20-41</td>
<td></td>
<td>Edmond Street</td>
<td>No. 20.</td>
<td>St. Joseph, Missouri</td>
</tr>
<tr>
<td>52570-0307-21-41</td>
<td></td>
<td>North Island</td>
<td>GT 1</td>
<td>Coronado, California</td>
</tr>
<tr>
<td>52570-0310-21-41</td>
<td></td>
<td>South Bay</td>
<td>GT 1</td>
<td>Chula Vista, California</td>
</tr>
<tr>
<td>52570-0300-21-41</td>
<td></td>
<td>Division Street</td>
<td>GT 1</td>
<td>San Diego, California</td>
</tr>
<tr>
<td>53275-1011-21-41</td>
<td></td>
<td>Broadway</td>
<td>GT 1</td>
<td>Evansville, Indiana</td>
</tr>
<tr>
<td>53145-5009-09-41</td>
<td></td>
<td>Yorktown</td>
<td>No. 3.</td>
<td>Yorktown, Virginia</td>
</tr>
<tr>
<td>53145-5003-21-41</td>
<td></td>
<td>Portsmouth</td>
<td>CT 1</td>
<td>Norfolk, Virginia</td>
</tr>
<tr>
<td>53332-4059-21-41</td>
<td></td>
<td>Sheepsden</td>
<td>CT 1</td>
<td>Edgerton, Wisconsin</td>
</tr>
<tr>
<td>53332-4057-25-41</td>
<td></td>
<td>Rock River</td>
<td>CT 5</td>
<td>Beloit, Wisconsin</td>
</tr>
<tr>
<td>53332-4057-26-41</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>51435-0667-01-41</td>
<td></td>
<td>Northside</td>
<td>CT 6</td>
<td>Jacksonville, Florida</td>
</tr>
<tr>
<td>51435-0667-02-41</td>
<td></td>
<td></td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>51435-0667-03-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>51435-0667-04-41</td>
<td></td>
<td></td>
<td>CT 4</td>
<td></td>
</tr>
<tr>
<td>51435-0667-05-41</td>
<td></td>
<td></td>
<td>CT 5</td>
<td></td>
</tr>
<tr>
<td>51435-0667-06-41</td>
<td></td>
<td></td>
<td>CT 6</td>
<td></td>
</tr>
<tr>
<td>50125-8008-21-41</td>
<td></td>
<td>Atlantic City Electric Company</td>
<td>CT 1</td>
<td>East Greenwich Township, New Jersey</td>
</tr>
<tr>
<td>50643-0091-05-41</td>
<td></td>
<td>Ridgeland</td>
<td>No. 6.</td>
<td></td>
</tr>
<tr>
<td>50643-0091-06-41</td>
<td></td>
<td>Edison</td>
<td>No. 6.</td>
<td></td>
</tr>
<tr>
<td>52053-2539-01-41</td>
<td></td>
<td>Niagara</td>
<td>No. 1.</td>
<td>Glenmont, New York</td>
</tr>
<tr>
<td>52053-2539-02-41</td>
<td></td>
<td>Mohawk</td>
<td>No. 2.</td>
<td></td>
</tr>
<tr>
<td>52053-2539-03-41</td>
<td></td>
<td>Power Corporation</td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>52159-2244-04-41</td>
<td></td>
<td>City of Hastings</td>
<td>No. 4.</td>
<td>Hastings, Nebraska</td>
</tr>
<tr>
<td>51235-2444-05-41</td>
<td></td>
<td>Sabine</td>
<td>No. 6.</td>
<td>Bridge City, Texas</td>
</tr>
<tr>
<td>51209-3459-02-41</td>
<td></td>
<td>Gulf States Utilities Company</td>
<td>No. 2</td>
<td></td>
</tr>
<tr>
<td>51209-3459-03-41</td>
<td></td>
<td>Sabine</td>
<td>No. 5.</td>
<td>Beaumont, Texas</td>
</tr>
<tr>
<td>51209-3459-04-41</td>
<td></td>
<td>Noches</td>
<td>No. 7.</td>
<td></td>
</tr>
<tr>
<td>51209-3457-01-41</td>
<td></td>
<td>Gulf States Utilities Company</td>
<td>No. 1</td>
<td>Willis, Texas</td>
</tr>
<tr>
<td>51209-3457-02-41</td>
<td></td>
<td>Lewis Creek</td>
<td>No. 2.</td>
<td>Baton Rouge, Louisiana</td>
</tr>
<tr>
<td>51209-1391-07-41</td>
<td></td>
<td>Louisiana</td>
<td>No. 7.</td>
<td></td>
</tr>
<tr>
<td>51209-1391-08-41</td>
<td></td>
<td></td>
<td>No. 8.</td>
<td></td>
</tr>
<tr>
<td>51209-1394-01-41</td>
<td></td>
<td>Willow Glen</td>
<td>No. 9.</td>
<td></td>
</tr>
<tr>
<td>51209-1394-02-41</td>
<td></td>
<td></td>
<td>No. 9.</td>
<td></td>
</tr>
<tr>
<td>51209-1394-03-41</td>
<td></td>
<td></td>
<td>No. 10.</td>
<td></td>
</tr>
<tr>
<td>51209-1394-04-41</td>
<td></td>
<td></td>
<td>No. 3.</td>
<td></td>
</tr>
<tr>
<td>51209-1394-05-41</td>
<td></td>
<td></td>
<td>No. 4.</td>
<td></td>
</tr>
<tr>
<td>51209-1394-06-41</td>
<td></td>
<td></td>
<td>No. 5.</td>
<td></td>
</tr>
<tr>
<td>51209-1393-01-41</td>
<td></td>
<td>Nelson</td>
<td>No. 1.</td>
<td>Lake Charles (Westlake), Louisiana</td>
</tr>
<tr>
<td>Case control No.</td>
<td>Petitioner</td>
<td>Generating station</td>
<td>Unit Identification</td>
<td>Location</td>
</tr>
<tr>
<td>-----------------</td>
<td>------------</td>
<td>--------------------</td>
<td>--------------------</td>
<td>----------</td>
</tr>
<tr>
<td>51202-1293-02-41</td>
<td>Genwa*Vlg statgon</td>
<td>Lower Colorado River Authority</td>
<td>No. 2</td>
<td>Marble Falls, Texas</td>
</tr>
<tr>
<td>51202-1293-03-41</td>
<td></td>
<td></td>
<td>No. 3</td>
<td></td>
</tr>
<tr>
<td>51209-1293-04-41</td>
<td></td>
<td></td>
<td>No. 4</td>
<td></td>
</tr>
<tr>
<td>51202-4527-01-41</td>
<td></td>
<td></td>
<td>No. 1</td>
<td></td>
</tr>
<tr>
<td>51202-3601-01-41</td>
<td></td>
<td></td>
<td>No. 6</td>
<td></td>
</tr>
<tr>
<td>51202-3601-02-41</td>
<td></td>
<td></td>
<td>No. 2</td>
<td></td>
</tr>
<tr>
<td>51202-3601-03-41</td>
<td></td>
<td></td>
<td>No. 3</td>
<td></td>
</tr>
<tr>
<td>51702-0970-05-41</td>
<td></td>
<td></td>
<td>No. 5</td>
<td>Campb. Lincoln, Neb.</td>
</tr>
<tr>
<td>53010-0970-06-41</td>
<td></td>
<td></td>
<td>No. 8</td>
<td></td>
</tr>
<tr>
<td>53010-0970-07-41</td>
<td></td>
<td></td>
<td>No. 7</td>
<td></td>
</tr>
<tr>
<td>52542-1458-01-41</td>
<td></td>
<td></td>
<td>No. 1</td>
<td>Ruston, Louisiana</td>
</tr>
<tr>
<td>50610-1271-01-41</td>
<td></td>
<td></td>
<td>No. 1</td>
<td>Coffeyville, Kansas</td>
</tr>
<tr>
<td>51915-2184-01-41</td>
<td>Montana Power Company</td>
<td>Frank Bird</td>
<td>No. 1</td>
<td>Billings, Montana</td>
</tr>
<tr>
<td>63001-9067-58-41</td>
<td>Hutchinson Utilities Commission</td>
<td>Plant No. 1</td>
<td>CC 8</td>
<td>Hutchinson, Iow</td>
</tr>
<tr>
<td>63001-9102-29-41</td>
<td></td>
<td>Plant No. 2</td>
<td>CT 9</td>
<td></td>
</tr>
<tr>
<td>51575-0676-04-41</td>
<td></td>
<td>Larson</td>
<td>No. 4</td>
<td>Lakeland, Florida</td>
</tr>
<tr>
<td>51575-0676-05-41</td>
<td></td>
<td></td>
<td>No. 5</td>
<td></td>
</tr>
<tr>
<td>51575-0676-06-41</td>
<td></td>
<td></td>
<td>No. 6</td>
<td></td>
</tr>
<tr>
<td>51575-0676-07-41</td>
<td></td>
<td></td>
<td>No. 7</td>
<td></td>
</tr>
<tr>
<td>51575-0676-22-41</td>
<td></td>
<td></td>
<td>GT 1</td>
<td></td>
</tr>
<tr>
<td>51575-0676-23-41</td>
<td></td>
<td></td>
<td>GT 3</td>
<td></td>
</tr>
</tbody>
</table>

**I. Statutory Prohibitions**

The above listed powerplants are prohibited by Section 301(a)(2) of FUA from using natural gas as a primary energy source, or are prohibited from using natural gas as a primary energy source in excess of the average base year proportions allowed in Section 301(a)(3) of the Act.

**II. Eligibility for Exemption**

The existing powerplants listed above have submitted petitions to ERA for a Special Temporary Public Interest Exemption and have asserted that:

a. Each existing powerplant is:
   1. Prohibited on May 8, 1979 from using natural gas as a primary energy source by Section 301(a)(2) of FUA, or
   2. Prohibited from using natural gas in excess of the average base year proportions allowed in Section 301(a)(3) of FUA.

b. The proposed use of natural gas as a primary energy source, to the extent that such use would be prohibited by Section 301(a)(2) or (3) of FUA:
   1. Will displace consumption of middle distillate or residual fuel oil; and
   2. Will not displace the use of coal or any other alternate fuel in any facility of the owner/operator utility system, including the powerplant for which the exemption was submitted.

**III. Rationale**

To the extent that the near-term choice of fuels for certain existing powerplants is limited to petroleum or natural gas, the use of natural gas is preferred over petroleum. The expanded use of natural gas in these powerplants will be a significant step toward reducing our short-term oil consumption. This increased use of natural gas will help the United States meet its commitment to reduce its demand for imported petroleum products, protect the Nation from the effects of any oil shortages, and will cushion the impact of increasing world oil prices, which have had a detrimental effect on the Nation's balance of payments and domestic inflation rate.

To the extent that this increased use of natural gas will accomplish these goals, it will reduce the importation of petroleum and further the goal of national energy self-sufficiency. This is in keeping with purposes of FUA and is in the public interest.

Since the increased use of natural gas is in keeping with the purposes of FUA and is in the public interest, and since the petitioners have demonstrated that they have met the eligibility criteria established in Section 508.2 of the Special Rule (April 9, 1979, 44 FR 21230), ERA proposes to grant the exemptions.

**IV. Duration**

ERA proposes to grant these temporary public interest exemptions generally as follows:

1. For a period of five years to those facilities that will displace middle distillate fuel oil;
2. For an initial period of two years, with an automatic extension of three
years upon the written acceptance by ERA of a conservation plan, to those facilities that will displace residual oil with a sulfur content of 0.5 percent or less;

3. For an initial period of 18 months, with the provision for extension, at the option of ERA, up to an additional 42 months, upon the request of the petitioner, to those facilities that will displace oil with a sulfur content of greater than 0.5 percent.

4. For petitioners that will displace residual oil with a sulfur content of greater than 0.5 percent, in Petroleum Administration for Defense District 2, no final orders will be granted pending further study by ERA.

These proposed temporary exemptions are subject to termination by ERA, upon six months written notice, if ERA determines such termination to be in the public interest.

V. Terms and Conditions
Pursuant to the authority of Section 314 of FEA and 10 CFR 596.6, ERA will require the order recipient upon issuance of a final order to: (1) Report the actual monthly volumes of natural gas used in each exempted powerplant and the estimated number of barrels of each type of fuel oil displaced during the exemption period, (2) submit a systems-wide fuel conservation plan to include the period covered by the temporary exemption, and (3) submit annually to ERA a report on progress achieved in implementing the system-wide fuel conservation plan.

Issued in Washington, D.C., on March 14, 1980.

Robert L. Davies, Assistant Administrator, Office of Fuels Conversion, Economic Regulatory Administration.

[FR Doc. 80-8799 Filed 3-23-80; 8:45 am]
BILLING CODE 6450-01-M

**Economic Regulatory Administration**

**Excel Corp.; Proposed Remedial Order**
Pursuant to 10 CFR Section 205.132(c), the Economic Regulatory Administration (ERA) of the Department of Energy hereby gives notice of a Proposed Remedial Order which was issued to Excel Corporation, a Division of Allied Materials Corporation, P.O. Box 12340, Oklahoma City, Oklahoma 73112.

This Proposed Remedial Order charges Excel with pricing violations in the amount of $1,336,730.83 relative to Excel's sale of certain domestic petroleum products at prices exceeding their maximum legal selling prices during the period November 1, 1973 through December 31, 1975.

A copy of the Proposed Remedial Order, with confidential information deleted, may be obtained from Wayne I. Tucker, District Manager, Southwest District Enforcement, Department of Energy, Economic Regulatory Administration, P.O. Box 35228, Dallas, Texas 75235, or by calling (214) 767-7745. On or before April 7, 1980, any aggrieved person may file a Notice of Objection with the Office of Hearings and Appeals, 2000 M Street, N.W., Washington, D.C. 20461, in accordance with 10 CFR Section 205.193.

Issued in Dallas, Texas, on the 11th day of March, 1980.

Wayne I. Tucker
District Manager, Southwest District
Enforcement, Economic Regulatory Administration.

Thomas A. Fry III, Chief
Enforcement Counsel.

[FR Doc. 80-8799 Filed 3-23-80; 8:45 am]
BILLING CODE 6450-01-M

**Worldwide Energy Corp.; Action Taken on Consent Order**

**AGENCY:** Economic Regulatory Administration, Department of Energy.

**ACTION:** Notice of action taken and opportunity for comment on Consent Order.

**SUMMARY:** The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) announces action taken to execute a Consent Order and provides an opportunity for public comment on the Consent Order and on potential claims against the refunds deposited in an escrow account established pursuant to the Consent Order.

**DATES:** Effective date: February 26, 1980. Comments by April 21, 1980.

**ADDRESS:** Sent comments to: Wayne I. Tucker, District Manager of Enforcement, Southwest District Office, Department of Energy, P.O. Box 35228, Dallas, Texas 75235.

**FOR FURTHER INFORMATION CONTACT:** Wayne I. Tucker, District Manager of Enforcement, Southwest District Office, Department of Energy, P.O. Box 35228, Dallas, Texas 85235 (phone) 214/767-7745.

**SUPPLEMENTARY INFORMATION:** On February 26, 1980, the Office of Enforcement of the ERA executed a Consent Order with Worldwide Energy Corporation of Denver, Colorado. Under 10 CFR 205.199(b), a Consent Order which involves a sum of less than $500,000 in the aggregate, excluding penalties and interest, becomes effective upon its execution.

Because the DOE and Worldwide Energy Corporation wish to expeditiously resolve this matter as agreed and to avoid delay in the payment of refunds, the DOE has determined that it is in the public interest to make the Consent Order with Worldwide Energy Corporation effective as of the date of its execution by the DOE and Worldwide Energy Corporation.

I. The Consent Order
Worldwide Energy Corporation, with its home office located in Denver, Colorado, is a firm which engaged in the process of natural gas liquids (NGL’s) and was subject to the Mandatory Petroleum Price and Allocation Regulations at 10 CFR Parts 210, 211, 212. To resolve certain civil actions which could be brought by the Office of Enforcement of the Economic Regulatory Administration as a result of its audit of Worldwide, the Office of Enforcement, ERA, and Worldwide entered into a Consent Order, the significant terms of which are as follows:

1. The period covered by the Consent Order was April 1, 1975 through April 30, 1977, and it included all sales of NGL’s made by Central States Gas Company (Central), as predecessor to Worldwide.

2. These sales were due to Worldwide’s predecessor Central not applying the provisions of 10 CFR Part 212 subpart K, in a manner acceptable to the DOE.

3. The Consent Order does not constitute an admission by Worldwide that ERA regulations have been violated.

4. The Consent Order is a settlement of the alleged overcharges which were the subject of the Notice of Probable Violation issued to Worldwide on November 28, 1979.

5. Worldwide agrees to refund to the DOE $49,400 on or before March 26, 1980.

6. The provisions of 10 CFR 205.199, including the publication of this Notice, are applicable to the Consent Order.

II. Disposition of Refunded Overcharges
In this Consent Order, Worldwide agrees to refund, in full settlement of any civil liability with respect to actions
I. Tucker, District Manager of the Office of Enforcement, ERA, arising out of the transactions specified in I.1. above, the sum of $49,400 on or before March 28, 1980. Refunded overcharges will be in the form of a certified check made payable to the United States Department of Energy and will be delivered to the Assistant Administrator for Enforcement, ERA. These funds will remain in a suitable account pending the determination of their proper disposition.

The DOE intends to distribute the refund amounts in a just and equitable manner in accordance with applicable laws and regulations. Accordingly, distribution of such refunded overcharges requires that only those "persons" (as defined at 10 CFR 205.2) who actually suffered a loss as a result of the transactions described in the Consent Order receive appropriate refunds. Because of the petroleum industry's complex marketing system, it is likely that overcharges have either been passed through as a higher price to subsequent purchasers or offset through devices such as the Old Oil Allocation (Entitlements) Program, 10 CFR 211.67. In fact, the adverse effects of the overcharges may have become so diffused that it is a practical impossibility to identify specific, adversely affected persons, in which case disposition of the refunds will be made in the general public interest by an appropriate means such as payment to the Treasury of the United States pursuant to 10 CFR 205.199(a).

III. Submission of Written Comments

A. Potential Claimants: Interested persons who believe that they have a claim to all or a portion of the refund amount shall provide written notification of the claim to the ERA at this time. Proof of claims is not now being required. Written notification to the ERA at this time is requested primarily for the purpose of identifying valid potential claims to the refund amount. After potential claims are identified, procedures for the making of proof of claims may be established. Failure by a person to provide written notification of a potential claim within the comment period for this Notice may result in the DOE irrevocably dispersing the funds to other claimants or to the general public interests.

B. Other comments: The ERA invites interested persons to comment on the terms, conditions, or procedural aspects of this Consent Order. You should either send your comments or written notification of a claim to Wayne I. Tucker, District Manager of Enforcement, P.O. Box 35228, Dallas, Texas 75235. You may obtain a free copy of this Consent Order by writing to the same address or by calling (214) 767-7745.

You should identify your comments or written notification of a claim on the outside of your envelope and on the documents you submit with the designation, "Comments on Worldwide Consent Order." We will consider all comments we receive by 4:30 p.m., local time, on April 21, 1980. You should identify any information or data which, in your opinion, is confidential and submit it in accordance with the procedures in 10 CFR 205.8(j).

Issued in Dallas, Texas on the 12th day of March, 1980.

Herbert F. Buchanan,
Deputy Dist. Mgr. Southwest District Enforcement Economic Regulatory Administration.

I. Thomas A. Fry III, Chief Enforcement Attorney, Southwest District, concur in the issuance of the Consent Order to Worldwide Economic Regulatory Administration.

Dated: March 11, 1980.

Thomas A. Fry III,
Chief, Enforcement Attorney Southwest District Department of Energy.

BILUN

Economic Regulatory Administration

Action Taken on Consent Order

AGENCY: Economic Regulatory Administration.

ACTION: Notice of Action Taken on Consent Orders.

SUMMARY: The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) hereby gives Notice that Consent Orders were entered into between the Office of Enforcement, ERA, and the firms listed below during the month of January 1980. These Consent Orders concern prices charged by retail motor gasoline dealers in excess of the maximum lawful selling price for motor gasoline since August 1, 1979, failure to properly post the maximum lawful selling price or certification, and engaging in business practices which are either discriminatory with respect to purchasers of motor gasoline, resulting in a higher price than permitted, or tied the sale of gasoline to the purchaser of another service. The purpose and effect of these Consent Orders is to bring the consenting firms into compliance with the Mandatory Petroleum Allocation and Pricing Regulations from August 1, 1979, and they do not address or limit any liability with respect to consenting firms' prior compliance or possible violation of the aforementioned regulations. Pursuant to the Consent Orders, the consenting firms agree to the following actions:

A. With respect to selling prices:
   1. Reduce prices for each grade of gasoline to no more than the maximum lawful selling price;
   2. Roll back prices to achieve refund of overcharges;
   3. Properly maintain records required under the aforementioned regulations.

B. With respect to business practices:
   1. Cease and desist from employing any form of discriminatory practices;
   2. Cease and desist from employing any practice designed to obtain a price higher than is permitted by the regulations;
   3. Cease and desist from employing any practice making the sale of gasoline contingent upon the purchase of another service, charging for services by means of a fee computed on a cents per gallon basis, or charging a fee to dispense gasoline.

C. With respect to posting requirements:
   1. Properly post the maximum lawful selling price or certification;
   2. Roll back the maximum lawful selling price for failure to post.

For further information regarding these Consent Orders, please contact Wayne I. Tucker, District Manager of Enforcement, Southwest District Office, Department of Energy, P.O. Box 35228, Dallas, Texas 75235, telephone number 214/767-7745.

Firm's Name, Address, and Date of Consent Order

Edwardo Salazar, Jr., d.b.a. Salazar Exxon, P.O. Box 2, La Pryor, TX 78059--Jan. 3, 1980
The Signor Corporation, 3543 East Commerce, San Antonio, TX 78220--Dec. 26, 1979
Standard Truck Plaza, 1915 Menaul, NE, Albuquerque, NM 87107--Dec. 20, 1979
Jones Exxon, Highway 87, Edin, TX 76837--Dec. 27, 1979
Jones Texaco, Highway 87, Eden, TX 76837--Dec. 26, 1979
Don Eudy, d.b.a. Don's Gulf, 1400 South Main, Perryton, TX 79077--Jan. 15, 1980
Mississippi Power and Light Co.: Application for Certification of the Use of Natural Gas To Displace Fuel Oil

Take notice that on February 19, 1980, Mississippi Power and Light Company (MP&L), P.O. Box 1640, Jackson, Mississippi 39205, filed an application for certification of an eligible use of natural gas to displace fuel oil at its Baxter Wilson Steam Electric Station Unit #1 (BWSES #1) and Baxter Wilson Steam Electric Station Unit #2 (BWSES #2) located in Vicksburg, Mississippi, pursuant to 10 CFR Part 95 (44 FR 47920, August 16, 1979). More detailed information is contained in the application on file with the Economic Regulatory Administration (ERA) and available to public inspection at the ERA, Docket Room 4126-A, 2000 M Street, N.W., Washington, D.C. 20461, from 8:30 a.m.-4:30 p.m. Monday through Friday, except Federal holidays.

In its application, MP&L states that the volume of natural gas for which it requests certification is up to 200,000 Mcf per day which is estimated to displace the combined use of approximately 32,000 barrels of No. 6 residual fuel oil (2.5 percent sulfur) per day at BWSES #1 and BWSES #2.

The eligible seller and transporter is the Michigan Consolidated Gas Company, One Woodward Avenue, Detroit, Michigan 48226.

In order to provide the public with as much opportunity to participate in this proceeding as is practically feasible under the circumstances, we are inviting any person wishing to comment concerning this application to submit comments in writing to the Economic Regulatory Administration, Room 4126-A, 2000 M Street, N.W., Washington, D.C. 20461. Attention: Mr. Finn K. Neilsen, on or before March 31, 1980.
An opportunity to make an oral presentation of data, views, and arguments either against or in support of this application may be requested by any interested person in writing by March 31, 1980. The request should state the person's interest, and, if appropriate, why the person is a proper representative of a group or class of persons that has such an interest. The request should include a summary of the proposed oral presentation and a statement as to why an oral presentation is necessary. If ERA determines an oral presentation is necessary, further notice will be given to MP&L and any persons filing comments, and will be published in the Federal Register.

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,
Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.


Re: ERA Certification of Eligible Use, ERA Docket NO. 79-CERT-119, Department of Water and Power of the City of Los Angeles.

Dear Mr. Plumb:

Pursuant to the provisions of 10 CFR Part 595, I am hereby transmitting to the Commission the enclosed certification of an eligible use of natural gas to displace fuel oil. This certification is required by the Commission as a precondition to interstate transportation of fuel oil displacement gas in accordance with the authorizing procedures in 18 CFR Part 284, Subpart F. As noted in the certificate, it is effective for one year from the date of issuance, unless a shorter period of time is required by 18 CFR Part 284, Subpart F. A copy of the enclosed certification is also being published in the Federal Register and provided to the applicant.

Should the Commission have any further questions, please contact Mr. Finn K. Nelsen, Director, Import/Export Division, Economic Regulatory Administration, 2000 M Street N.W., Room 4126, Washington, D.C. 20451, telephone (202) 653-3859. All correspondence and inquiries regarding this certification should reference ERA Docket No. 79-CERT-119.

Sincerely,

Paul T. Burke,
Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

Department of Water and Power of the City of Los Angeles; Certification of Eligible Use of Natural Gas To Displace Fuel Oil

The Department of Water and Power of the City of Los Angeles (City of Los Angeles), P.O. Box 111, Los Angeles, California 90051, filed an application for certification of an eligible use of natural gas to displace fuel oil at four of its steam-electric generating stations in California with the Administrator of the Economic Regulatory Administration (ERA) pursuant to 10 CFR Part 595 on December 18, 1979. Notice of that application was published in the Federal Register (45 FR 9056, February 11, 1980) and an opportunity for public comment was provided for a period of ten (10) calendar days from the date of publication. No comments were received.

The ERA has carefully reviewed the City of Los Angeles' application in accordance with 10 CFR Part 595 and the policy considerations expressed in the Final Rulemaking Regarding Procedures for Certification of the Use of Natural Gas to Displace Fuel Oil (44 FR 47920, August 16, 1979). The ERA has determined that the City of Los Angeles' application satisfies the criteria enumerated in 10 CFR Part 595, and, therefore, has granted the certification and transmitted that certification to the Federal Energy Regulatory Commission. A copy of the transmittal letter and the actual certification are appended to this notice.

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,
Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

Federal Energy Regulatory Commission

Georgia Power Co.; Extension of time

March 17, 1980.

On March 11, 1980, Georgia Power Company filed a request for an extension of time to file an appeal or a petition for rehearing of an Order Approving Revised Exhibit B. Issued February 26, 1980, by the Director, Office of Electric Power Regulation, in the above-docketed proceeding. The order may be appealed to the Commission under 18 CFR 1.7(d). The motion states that additional time is needed for the company to assess its legal position in this proceeding and to discuss this matter with all parties concerned.

Based upon a review of the information contained in the application, as well as other information available to ERA, the ERA hereby certifies, pursuant to 10 CFR Part 595, that the use of up to 100 MMcf of natural gas per day at the City of Los Angeles' Haynes Generating Station Scaggertood Generating Station, Valley Generating Station, and Harbor Generating Station purchased from Consumers is an eligible use of gas within the meaning of 10 CFR Part 595.

Effective Date

This certification is effective upon the date of issuance, and expires one year from that date, unless a shorter period of time is required by 18 CFR Part 284, Subpart F. It is effective during this period of time for the use of up to the same certified volume of natural gas at the same facilities purchased from the same eligible seller.

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,
Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

CERTIFICATION

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,
Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

Federal Energy Regulatory Commission

[Project No. 2336]
Upon consideration, notice is hereby given that an extension is granted to and including April 24, 1980, for the filing of an appeal in this proceeding.

Kenneth F. Plumb, Secretary.
[FR Doc. 80-8729 Filed 3-20-80; 8:45 am]
BILLING CODE 6450-05-M

[Docket No. ER76-739]

Public Service Co. of Indiana, Inc.; Order Accepting Staff Investigative Report and Terminating Proceeding

March 37, 1980.

Before the Commission for review is a Staff report which investigates the reasons for termination of the planning functions of the Kentucky-Indiana Power Pool (KIP) and assesses the possible cost consequences of this termination for the ratepayers of pool members. KIP members are Public Service Company of Indiana, Inc. (PSI), Kentucky Utilities Company (KU), Indianapolis Power and Light Company (I&L) and East Kentucky Rural Power Cooperative (EK). PSI filed a notice of termination on June 7, 1976. As explained by the participants in this proceeding, the primary objective of the pool was to plan generation and transmission capacity additions on a one-system basis. Planning commitments were made each year for construction and installation to occur in the sixth year into the future. Although the members are disparately sized (with PSI the largest), each system had one planning vote and the dissent of one participant vetoed any proposal. As part of the planning function, reserve requirements were allocated among the participants. The member with the lowest installed reserve level was designated to build the next pool-planned unit, which was sized to exploit scale economies. To equalize reserves, capacity in excess of the needs of the member constructing a given unit was sold to the other pool participants by means of unit contracts which extended three years past the unit in-service date. The KIP agreement also commits the participants to provide back-up power in the event of an outage on a member's system.

The pool members do engage in day-to-day coordination to a limited extent; there are schedules on file with the Commission for the emergency, economy, diversity and short-term power. However, the pool is not centrally dispatched and the participants claim that this was never their intention.

KIP planning functions were terminated for several reasons. First, PSI came to view itself as a disproportionate contributor of scale economy benefits to other pool members. By the mid-1970's PSI had grown to a size where, to its corporate way of thinking, it no longer needed to stagger generation additions with other utilities to exploit-scale economies. PSI claims that it tried for two years to reach an agreement on some reallocation of pool benefits without success. However, it is established that the equitable distribution of burdens (costs) is the Federal Power Act standard for pools, and we do not accept PSI's relative size as a sufficient reason for terminating any pool provision. Second, PSI's decision to meet its base-load capacity expansion objectives with two-130 MW nuclear units (the Marble Hill Station) was not endorsed by the other members which chose coal-fired units instead. Officials of the coal producing State of Kentucky actively opposed issuance of a construction license for these units by the Nuclear Regulatory Commission. There were disputes among the members concerning (1) the relative reliabilities of coal-fired and nuclear units, (2) the derivative question of PSI's future reserve requirement, and (3) the design of pool rate schedules which recognized the disparity in fixed and variable costs between fossil-fired and nuclear units.

As noted earlier, KIP had a planning horizon of six years. The members made planning decisions in 1976 which committed them until March 31, 1982. In 1976 PSI anticipated that the first Marble Hill unit would come on line late in 1982, with the second unit scheduled for 1984. Since the pool-planned status of these units could not be negotiated, PSI exercised its right under the agreement to terminate pool planning functions as of July 1, 1976, by notice filed with the FPC on June 7, 1976.

Although PSI's fellow pool members were not enthusiastic about the notice of termination, they appear to have concluded that they could not induce PSI to plan jointly. They will revert to earlier bilateral interconnection agreements that had been in abeyance while the KIP agreement was in effect.

On August 1, 1977, the FPC issued an order which accepted the notice of termination for filing, made it effective as of July 1, 1976 (as requested by PSI), and ordered an investigation under sections 202, 208, 307 and 311 of the Federal Power Act to determine "If such termination is consistent with the public interest."

The investigation which followed did not include a formal hearing. Instead, Staff undertook an informal study to identify the savings foregone as the result of pool termination. Staff made the following determination of the effects of terminating KIP as a joint-planning pool:

While the FERC possible cost void termination of the KIP Pool Agreement, it is highly unlikely that it could effectively force the member systems to either conduct or implement the results of joint planning studies. And, as stated, since the Pool agreement did not provide for central dispatch it is questionable that the Pool ratepayers will suffer and actual loss through savings foregone due to pool termination. Staff thus, recommends that the Pool agreement be allowed to terminate.

The Staff investigative report criticizes PSI for terminating the KIP agreement without first having studied the reliability and production cost consequences of this decision. However, the Staff does not suggest that PSI would have found reasons to maintain the pool if these studies had been performed.

Staff also describes the possible benefits of a more sophisticated pool in which all member systems would be centrally dispatched. Two computerized production cost studies were performed by the Staff which compared the operating costs of the four pool members as their systems were coordinated under the KIP agreement against the costs of a centrally dispatched pool operating as a single system. Planning data, compiled by pool members in 1975 and 1976, covering 1976-1986 were used by the Staff in studies which found aggregate 10-year production cost savings ranging from $124.2 million to $224.5 million (2.2% to 3.4% of total production expenses). The low estimate assumes the existing transmission configuration of members which imposes capacity transfer limits on pool transactions; the high estimate assumes that additional
transmission capacity is constructed to permit optimal transfers. 

Staff chides PSI for having terminated the agreement without first having studied the possible benefits of more sophisticated pooling arrangements. The report's concluding paragraph notes that this raises a management prudence question which could be considered in future PSI wholesale rate cases.

After comments were received from the parties, the Staff report was certified to the Commission by the presiding judge who summarized Staff's findings and reiterated the Staff recommendation that the docket be terminated. We conclude from the foregoing discussion that termination of KIP planning functions has not been shown to seriously affect the ratepayers of pool members and that this docket should be closed.

Electric utilities have the obligation to act prudently on behalf of their customers. This duty of prudence carries with it the obligation to minimize and avoid unnecessary costs. Coordination is one opportunity for cost control. KIP members appear to recognize this and assert they will exploit such Coordination benefits through alternative bilateral agreements. The Commission encourages the KIP members to pursue these endeavors. In this respect, we are bound to caution that the adequacy of these efforts may be tested in future rate cases.

The Commission orders:

This investigation docket is hereby terminated.

By the Commission.
Kenneth F. Plumb,
Secretary.

[Docket No. IN80-14]

Virginia Electric & Power Co.; Investigation
March 14, 1980.

On March 14, 1980, the Commission ordered a formal private investigation of allegations made against the Virginia Electric & Power Company [VEPCO]. The investigation will be conducted under Section 307 of the Federal Power Act, and the Commission's Rules Relating to Investigation, 18 CFR Part 1b, by the Office of Enforcement, which upon conclusion of the investigation will report its findings and recommendations to the Commission.

Kenneth F. Plumb,
Secretary.

[Docket No. 80-238]

Public Service Co. of Oklahoma;
Extension of Time
March 14, 1980.

On March 11, 1980, the Grand River Dam Authority of Oklahoma (GRDA) filed a request for extension of time to file a petition to intervene in the above-docketed proceeding. The motion states that additional time is needed for GRDA to evaluate the underlying data in this case and to allow the governing Board of GRDA to meet and consider these issues.

Upon consideration, notice is hereby given that an extension is granted to and including April 4, 1980, for the filing of a petition to intervene in this proceeding.

Kenneth F. Plumb,
Secretary.

[FR Doc. 80-8723 Filed 3-30-80; 8:45 am]
BILLING CODE 6450-45-M

[No. 163]

Determinations by Jurisdictional Agencies Under the Natural Gas Policy Act of 1978
March 18, 1980.

The Federal Energy Regulatory Commission received notices from the jurisdictional agencies listed below of determinations pursuant to 18 CFR 274.104 and applicable to the indicated wells pursuant to the Natural Gas Policy Act of 1978.

Alabama Oil and Gas Board
1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser[s]

1. 80-16789/2--8-804 PDA
2. 80-16790/2--8-805 PDA
3. 80-16791/2--8-806 PDA
4. 80-16792/2--8-807 PDA
5. 80-16793/2--8-808 PDA
6. 80-16794/2--8-809 PDA
7. 80-16795/2--8-810 PDA
8. 80-16796/2--8-811 PDA
9. 80-16797/2--8-812 PDA
10. 80-16798/2--8-813 PDA

[FR Doc. 80-8723 Filed 3-30-80; 8:45 am]
BILLING CODE 6450-45-M
8. 144.0 million cubic feet
10.
1.0-08-16379/2-8-8010 FDA
2. 01-075-50219-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Perry 13-5
6. Hubbertville
7. Fayette AL
8. 180.0 million cubic feet
10.
1. 08-16379/2-8-8011 FDA
2. 01-075-50223-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Pensacola-Hills 25-11
6. Watson Creek
7. Lamar AL
8. 180.0 million cubic feet
10.
1. 08-16379/2-8-8012 FDA
2. 01-075-50219-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Ogden 10-1
6. Military Grove
7. Lamar AL
8. 180.0 million cubic feet
10.
1. 08-16800/2-8-8013 FDA
2. 01-075-50208-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Norton 0-2
6. Watson Creek
7. Lamar AL
8. 205.0 million cubic feet
10.
1. 08-16801/2-8-8014 FDA
2. 01-075-50219-0000
3. 102 000 000
4. Pruet Production Co
5. Jones 25-3 No 1
6. Blowbrow Creek
7. Lamar AL
8. 490.0 million cubic feet
10. Southern Natural Gas Co
1. 08-16802/2-8-8015 FDA
2. 01-075-50217-0000
3. 102 000 000
4. Pruet Production Co
5. Frances W Thomas 7-15 #1
6. Star
7. Lamar AL
8. 250.0 million cubic feet
10. Tennessee Gas Pipeline Co
1. 80-16803/2-8-8016 FDA
2. 01-075-50204-0000
3. 102 000 000
4. Pruet Production Co
5. Aline Manuf Co 23-3 #1
6. Blowbrow Creek
7. Lamar AL
8. 274.0 million cubic feet
10. Southern Natural Gas Co
1. 80-16804/2-8-8017 FDA
2. 01-075-50234-0000
3. 102 000 000
4. Pruet Production Co
5. Thomas 15-15 No 1
6. Blowbrow Creek
7. Lamar AL
8. 433.0 million cubic feet
10. Southern Natural Gas Co
1. 80-16805/2-8-8018 FDA
2. 01-075-50237-0000
3. 102 000 000
4. Pruet Production Co
5. Weyerhaeuser 26-6 No 1
6. Blowbrow Creek
7. Lamar AL
8. 27.0 million cubic feet
10. Southern Natural Gas Co
1. 80-16806/2-8-8019 FDA
2. 01-075-50212-0000
3. 102 000 000
4. Pruet Production Co
5. C C Day Et Al No 3
6. Star
7. Lamar AL
8. 810.0 million cubic feet
10. Tennessee Gas Pipeline Co
1. 80-16807/2-8-8020 FDA
2. 01-075-50217-0000
3. 102 000 000
4. Pruet Production Co
5. Thomas Gas Unit No 1
6. Star
7. Lamar AL
8. 365.0 million cubic feet
10. Tennessee Gas Pipeline Co
1. 80-16808/79-2-302
2. 17-101-21021-0000
3. 102 000 000
4. WWF Oil Corp
5. SL 4901 #1
6. Atchafalaya Bay Field
7. St Mary LA
8. 510.0 million cubic feet
10. Tennessee Gas Pipeline Co
1. 80-16809/79-2-303
2. 17-101-21085-0000
3. 102 000 000
4. WWF Oil Corp
5. SL 1595 #4
6. Atchafalaya Bay
7. St Mary LA
8. 385.0 million cubic feet
10. Tennessee Gas Pipeline Co
1. 80-16810/79-2-304
2. 17-101-21040-0000
3. 102 000 000
4. WWF Oil Corp
5. SL 1595 #3D
6. Atchafalaya Bay Field
7. St Mary LA
8. 475.0 million cubic feet
10. Tennessee Gas Pipeline Co
1. 80-16811/79-2-305
2. 17-075-22482-0000
3. 162 103 000
4. Texaco Inc
5. SL 4901 7
6. Belize Bayou
7. Plaquemines LA
8. 410.0 million cubic feet
10. Tennessee Gas Pipeline Co

Michigan Department of Natural Resources
1. Control number (F.E.R.C./State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)
1. 80-19812
2. 21-079-32800-0000
3. 102 000 000
4. Amoco Production Co
5. St Blue Lake F 2-23
6. Blue Lake 13A-23N-5W
7. Kalkaska MI
8. 110.0 million cubic feet
10. Consumers Power Co
1. 80-19813
2. 21-045-50000-0000
3. 102 000 000
4. Kulka & Schmidt Inc
5. Roger Sederlund Unit #1-2
6. Brookfield 2
7. Eaton MI
8. 730.0 million cubic feet
10. Consumers Power Co
1. 80-19814
2. 21-035-00000-0000
3. 102 000 000
4. Hunt Energy Corp
5. Benchley A #4-31 33011
6. Winterfield
7. Clare MI
8. 0 million cubic feet
10. Consumers Power Co
1. 80-19815
2. 21-035-00000-0000
3. 102 000 000
4. Hunt Energy Corp
5. Benchley No 3-31 32922
6. Winterfield Field
7. Clare MI
8. 14.0 million cubic feet
10. Consumers Power Co

Oklahoma Corporation Commission
1. Control Number (F.E.R.C./State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No
8. Estimated annual volume
9. Date received at FERC

Texas Oil & Gas Corp
2. 35-003-20503-0000
10.
4. Brown & Borelli Inc
2. 35-073-21940-0000
1. 80-16618/02187
4. Miller
2. 35-009-00000-0000
10.3 million cubic feet
February 80-16614/02135
4. Maylon Energy
120.0 million cubic feet
Beaver 35-073-00000-0000
8. 90.0 million cubic feet
February 90.0 million cubic feet
February 120.0 million cubic feet
Beaver 80-16610/01908
Phillips Petroleum Co
1. 80-16605/01626
2. 35-073-00000-0000
3. 103-000-000
4. Geodyne Resources Inc
5. Goodpasture No 6-1
6. Sooner Trend
7. Garfield OK
8. 51.4 million cubic feet
10. Phillips Petroleum Co
1. 80-16606/01622
2. 35-073-21940-0000
3. 103-000-000
4. Geodyne Resources Inc
5. Vieth 25-1
6. Sooner Trend
7. Kingfisher OK
8. 69.3 million cubic feet
10. Phillips Petroleum Company
1. 80-16606/01622
2. 35-073-21940-0000
3. 103-000-000
4. Brown & Borelli Inc
5. Jech #1
6. Sooner Trend
7. Kingfisher OK
8. 22.6 million cubic feet
10. Phillips Petroleum Co
1. 80-16607/01049
2. 35-045-58249-0000
3. 108-000-000
4. Kaiser Francis Oil Co
5. McClung #1
6. Mocaneta Laverne
7. Ellis OK
8. 10.0 million cubic feet
10. Michigan Wisconsin Pipeline Co
1. 80-16608/02004
2. 35-045-00000-0000
3. 108-000-000
4. Kaiser Francis Oil Co
5. Oddie B Fuqua-Unit #1
6. N E Selling
7. Dewey OK
8. 13.0 million cubic feet
10. Panhandle Eastern Pipeline Co
1. 80-16609/01950
2. 35-003-20503-0000
3. 103-000-000
4. Texas Oil & Gas Corp
5. Cross #1
6. N Jet
7. Alfalfa OK
8. 10.3 million cubic feet
10. Pioneer Gas Products Co
1. 80-16610/01908
2. 35-003-20503-0000
3. 103-000-000
4. Win J O'Connor
5. Lee #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
10. 
1. 80-16611/01905
2. 35-003-20503-0000
3. 105-000-000
4. Win J O'Connor
5. Louise #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
10. 
1. 80-16612/01972
2. 35-011-20783-0000
3. 108-000-000
4. Harper Oil Co
5. Chnstensen #1
6. S E Fay
7. Blaine OK
8. 1.0 million cubic feet
10. 
1. 80-16613/01445
2. 35-035-20907-0000
3. 108-000-000
4. Mayflower Energy
5. Metzen #1 OTC No 0353781
6. NW Welch
7. Craig OK
8. 0.0 million cubic feet
10. Cities Service Gas Company
1. 80-16614/02135
2. 35-009-00000-0000
3. 108-000-000
4. Miller & Stanley Operating Co
5. Chuck #1
6. South Erck
7. Beckham OK
8. 18.4 million cubic feet
10. El Paso Natural Gas Co
1. 80-16615/02171
2. 35-133-00017-0000
3. 108-000-000
4. Continental Oil Co
5. R Arhalokoche No 1
6. S Cronnell
7. Seminole OK
8. 10.0 million cubic feet
10. Arapaho Petroleum Inc
1. 80-16618/01727
2. 35-045-21344-0000
3. 103-000-000
4. Ketal Oil Producing Co
5. Hallie #1
6. NE Elkhorn
7. Garfield OK
8. 114.0 million cubic feet
10. Amnoll USA Inc
1. 80-16617/01003
2. 35-093-00000-0000
3. 108-000-000
4. Harper Oil Co
5. May #1
6. Ringwood
7. Major OK
8. 8.0 million cubic feet
10. Oklahoma Gas & Electric Co
1. 80-16618/02187
2. 35-073-21312-0000
3. 108-000-000
4. Ricks Exploration Co
5. State #16-A
6. Sooner Trend
7. Kingfisher OK
8. 45.0 million cubic feet
10. Oklahoma Gas & Electric Co
1. 80-16619/02181
2. 35-073-00000-0000
3. 108-000-000
4. Buck Exploration
5. Schroeder No 1
6. 
7. Kingfisher OK
8. 1.8 million cubic feet
10. Exxon Company USA
1. 80-16620/02179
2. 35-083-00000-0000
3. 108-000-000
4. J T Hoke Jr
5. Emily #2
6. Lovell
7. Logan OK
8. 18.0 million cubic feet
10. Exxon Company USA
1. 80-16621/01587
2. 35-045-20670-0000
3. 108-000-000
4. Natamos North American Inc
5. WinKopp No 1
6. NW Crawford
7. Ellis OK
8. 115.0 million cubic feet
10. Panhandle Eastern Pipeline Co
1. 80-16622/01591
2. 35-083-20644-0000
3. 108-000-000
4. Jet Oil Co
5. Orndorff #1
6. SE Russell
7. Logan OK
8. 89.0 million cubic feet
10. Service Drilling Co
1. 80-16623/01992
2. 35-083-20667-0000
3. 108-000-000
4. Jet Oil Co
5. Orndorff #2
6. SE Russell
7. Logan OK
8. 89.0 million cubic feet
10. Service Drilling Co
1. 80-16624/01033
2. 35-083-20680-0000
3. 108-000-000
4. Jet Oil Co
5. Howland 2-A
6. SE Russell
7. Logan OK
8. 230.0 million cubic feet
10. Service Drilling Co
1. 80-16625/01607
2. 35-083-20636-0000
3. 108-000-000
4. Jet Oil Co
5. Howland 1-A
6. SE Russell

4. Tri-County Oil & Gas Inc
5. Levi Tharp #1
6. Aleppo
7. Greene PA
8. 2.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp
  1. 80-16671/111
  2. 37-065-00000-0000
  3. 108 000 000
  4. Tri-County Oil & Gas Inc
5. Jasper Jackson #1
6. Aleppo
7. Greene PA
8. 2.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp
  1. 80-16671/112
  2. 37-065-00000-0000
  3. 108 000 000
  4. Tri-County Oil & Gas Inc
5. C J Behm #1
6. Aleppo
7. Greene PA
8. 2.0 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16673/473
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 12
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16674/474
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 22
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16675/475
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 25
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16676/476
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 28
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16678/481
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 19
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16682/482
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 50
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16683/483
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 31
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16684/484
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 28
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16686/486
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 33
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16688/488
  2. 37-065-00000-0000
  3. 108 000 000
  4. Glenbrook Oil & Gas Co
5. Lewis No 34
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
  1. 80-16691/1048
  2. 37-063-23555-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. Layaro Gaston WN-1651
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 56.0 million cubic feet
10. General System Purchasers
  1. 80-16698/1045
  2. 37-063-23761-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. Geno J Critelli WN-1607
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 56.0 million cubic feet
10. General System Purchasers
  1. 80-16699/1049
  2. 37-063-23066-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. L E Smith WN-1656
6. Big Run-Rochester Mills 063423
7. Clearfield PA
8. 53.0 million cubic feet
10. General System Purchasers
  1. 80-16700/1047
  2. 37-065-21650-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. Kurt Robbins WN-1674
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 79.0 million cubic feet
10. General System Purchasers
  1. 80-16701/1048
  2. 37-063-23555-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. W C McFarland WN-1656
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 56.0 million cubic feet
10. National Fuel Gas Supply Corp
  1. 80-16698/1039
  2. 37-065-21650-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. Charles H Miller WN-1652
6. Trade City 715052
7. Jefferson PA
8. 54.0 million cubic feet
10. General System Purchasers
  1. 80-16699/1045
  2. 37-063-23761-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. Jay S'No 102 WN-1651
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 56.0 million cubic feet
10. General System Purchasers
  1. 80-16699/1045
  2. 37-063-23761-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
5. Jay S'No 102 WN-1651
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 56.0 million cubic feet
10. General System Purchasers
  1. 80-16699/1045
  2. 37-063-23761-0003
  3. 103 000 000
  4. Consolidated Gas Supply Corp
February 26, 1980
1. 80-16702/1085
2. 37-083-20551-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W A Nelson N-1520
6. La Borde 390759
7. Clearfield PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16703/1088
2. 37-083-20600-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J F Wingert N-1290
6. La Borde 390759
7. Clearfield PA
8. 2.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16704/1092
2. 37-083-20551-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A L Haag N-1247
6. Salem 825320
7. Clearfield PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16705/1094
2. 37-083-20551-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C R McGee N-1519
6. Oliveburg 823859
7. Jefferson PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16706/1097
2. 37-083-20551-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N-1519
6. Soldiers Run 664915
7. Jefferson PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16707/1099
2. 37-083-21286-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N-1519
6. Soldiers Run 664915
7. Jefferson PA
8. 2.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16709/1099
2. 37-083-21286-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N-1519
6. Soldiers Run 664915
7. Jefferson PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16710/1101
2. 37-083-21286-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N-1519
6. Soldiers Run 664915
7. Jefferson PA
8. 0.5 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16712/1103
2. 37-083-21286-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N-1519
6. Soldiers Run 664915
7. Jefferson PA
8. 3.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16713/1105
2. 37-083-21286-0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N-1519
6. Soldiers Run 664915
7. Jefferson PA
8. 11.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80-16714/1108
<table>
<thead>
<tr>
<th>Date</th>
<th>Corporation</th>
<th>Customer ID</th>
<th>Gas Supply (Million Cubic Feet)</th>
</tr>
</thead>
<tbody>
<tr>
<td>February 29, 1980</td>
<td>Salem</td>
<td>625030</td>
<td>625030</td>
</tr>
</tbody>
</table>

**Total Gas Sold:** 26,198,000 million cubic feet

**Federal Register Vol. 45, No. 57 / Friday, March 21, 1980 / Notices**
3. 103 000 000
4. Consolidated Gas Supply Corp
5. M L Laney WN-1745
6. Pineton 557380
7. Indiana PA
8. 7.50 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-16783/4131
2. 37-125-31212-0003
3. 103 000 000
4. Petroleum Development Corp
5. K Herendt #2
6. Canonsburg
7. Washington PA
8. 15.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 60-16784/4132
2. 37-125-31166-0000
3. 103 000 000
4. Petroleum Development Corp
5. A Herendt #1
6. Canonsburg
7. Washington PA
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 60-16785/4133
2. 37-125-31165-0000
3. 103 000 000
4. Petroleum Development Corp
5. C Murray #1
6. Canonsburg
7. Washington PA
8. 25.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 60-16789/4134
2. 37-125-31212-0003
3. 103 000 000
4. Petroleum Development Corp
5. A Zupancic #1
6. Canonsburg
7. Washington PA
8. 40.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 60-16787/4135
2. 37-125-31137-0003
3. 103 000 000
4. Petroleum Development Corp
5. K Herendt #1
6. Canonsburg
7. Washington PA
8. 14.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 60-16790/3704
2. 37-063-32393-0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Carlton Dummere WN-1659
6. Big Run-Rochester Mills 083423
7. Indiana PA
8. 30.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

Osage Agency, Bureau of Indian Affairs, Osage County, Okla.
1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80-16516
2. 35-113-00000-0000-0
3. 103 000 000
4. International Exploration Co
5. Culver well No 1
6. Culver
7. Osage OK
8. 3.1 million cubic feet
10. Union Gas System Inc

1. 80-16617
2. 35-113-00000-0000-0
3. 103 000 000
4. International Exploration Co
5. Culver well No 2
6. Culver
7. Osage OK
8. 3.1 million cubic feet
10. Union Gas System Inc

1. 80-16818
2. 35-113-00000-0000-0
3. 103 000 000
4. International Exploration Co
5. Culver well No 3
6. Culver
7. Osage OK
8. 3.1 million cubic feet
10. Union Gas System Inc

1. 80-16619
2. 35-113-00000-0000-0
3. 103 000 000
4. Harold M Root
5. Mary Helen well #1-A
6. Pond Creek Dome
7. Osage OK
8. 0.0 million cubic feet
10. Agp Oil & Gas Corp Inc

1. 80-16620
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. R Cox No 2
6. Woolaroc
7. Osage OK
8. 30.0 million cubic feet
10. Cities Service Gas Co

1. 80-16621
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Woolaroc No 4
6. Woolaroc
7. Osage OK
8. 33.0 million cubic feet
10. Cities Service Gas Co

1. 80-16622
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Yount No 9
6. Almeda
7. Osage OK
8. 30.0 million cubic feet
10. Cities Service Gas Co

1. 80-16823
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Kuykendall No 1
6. Almeda
7. Osage OK
8. 51.0 million cubic feet
10. Cities Service Gas Co

1. 80-16824
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. McClintock No 1
6. Almeda
7. Osage OK
8. 20.0 million cubic feet
10. Cities Service Gas Co

1. 80-16825
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Ballew No 2
6. Almeda
7. Osage OK
8. 47.0 million cubic feet
10. Cities Service Gas Co

1. 80-16826
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Ballew No 2A
6. Almeda
7. Osage OK
8. 20.0 million cubic feet
10. Cities Service Gas Co

1. 80-16827
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Moor No 2
6. Almeda
7. Osage OK
8. 28.0 million cubic feet
10. Cities Service Gas Co

1. 80-16828
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Swedon No 3
6. Okesa
7. Osage OK
8. 25.0 million cubic feet
10. Cities Service Gas Co

1. 80-16829
2. 35-113-00000-0000-0
3. 103 000 000
4. Reserve Energy Corp
5. Green No 3
6. Woolaroc
7. Osage OK
8. 29.0 million cubic feet
10. Cities Service Gas Co
4. Reserve Energy Corp
5. Hughes No 9
6. Almeda
7. Osage OK
8. 30.0 million cubic feet
10. Cities Service Gas Co
   1. 80-16631
   2. 35-113-00000-0000-0-0
   3. 103 000 000
   4. Reserve Energy Corp
   5. Ness No 2
   6. NE Bighorse
   7. Osage OK
   8. 30.0 million cubic feet
10. Cities Service Gas Co
   1. 80-16632
   2. 35-113-00000-0000-0-0
   3. 103 000 000
   4. Reserve Energy Corp
   5. Ness No 2
   6. NE Bighorse
   7. Osage OK
   8. 30.0 million cubic feet
10. Cities Service Gas Co
   1. 80-16633
   2. 35-113-00000-0000-0-0
   3. 103 000 000
   4. Reserve Energy Corp
   5. Porter No 1
   6. NE Bighorse
   7. Osage OK
   8. 30.0 million cubic feet
10. Cities Service Gas Co

The applications for determination in these proceedings together with a copy or description of other materials in the record on which such determinations were made are available for inspection. Except to the extent such material is treated as confidential under 18 CFR 275.220, at the Commission's Office of Public Information, room 1000, 825 North Capitol Street, N.W., Washington, D.C. 20426.

Persons objecting to any of these final determinations may, in accordance with 18 CFR 275.220 and 18 CFR 275.223, file a protest with the Commission within fifteen (15) days of the date of publication of this notice in the Federal Register.

Please reference the FERC control number in all correspondence related to these determinations.

Kenneth F. Plumb,
Secretary.

[FR Doc. 80-672 Filed 3-20-80; 8:45 am]
BILLING CODE 6450-05-M

No. 161

Determinations by Jurisdictional Agencies Under the Natural Gas Policy Act of 1978

March 18, 1980.

The Federal Energy Regulatory Commission received notices from the jurisdictional agencies listed below of determinations pursuant to 18 CFR 274.104 and applicable to the indicated wells pursuant to the Natural Gas Policy Act of 1978.
<table>
<thead>
<tr>
<th>Field or OCS Area Name</th>
<th>Operator</th>
<th>Estimated Annual Volume</th>
<th>Field or Well Name</th>
<th>County, State or Block No.</th>
<th>API Well Number</th>
<th>Control Number (FERC/State)</th>
<th>Purchaser(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. 80-16088/01731</td>
<td>Collier 2</td>
<td>$12.0 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16088/01731</td>
<td>Phillips Petroleum Company</td>
</tr>
<tr>
<td>2. 80-16089/01729</td>
<td>Collier 3</td>
<td>$8.3 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16089/01729</td>
<td>Panhandle Eastern Pipe Line Company</td>
</tr>
<tr>
<td>3. 80-16090/01552</td>
<td>Collier 4</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16090/01552</td>
<td>Phillips Petroleum Company</td>
</tr>
<tr>
<td>4. 80-16091/03440</td>
<td>Collier 5</td>
<td>$8.3 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16091/03440</td>
<td>Panhandle Eastern Pipe Line Company</td>
</tr>
<tr>
<td>5. 80-16092/01555</td>
<td>Collier 6</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16092/01555</td>
<td>Phillips Petroleum Company</td>
</tr>
<tr>
<td>6. 80-16093/04400</td>
<td>Collier 7</td>
<td>$8.3 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16093/04400</td>
<td>Panhandle Eastern Pipe Line Company</td>
</tr>
<tr>
<td>7. 80-16094/01824</td>
<td>Collier 8</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16094/01824</td>
<td>Phillips Petroleum Company</td>
</tr>
<tr>
<td>8. 80-16095/425</td>
<td>Collier 9</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16095/425</td>
<td>Panhandle Eastern Pipe Line Company</td>
</tr>
<tr>
<td>9. 80-16096/426</td>
<td>Collier 10</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16096/426</td>
<td>Phillips Petroleum Company</td>
</tr>
<tr>
<td>10. 80-16097/429</td>
<td>Collier 11</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16097/429</td>
<td>Panhandle Eastern Pipe Line Company</td>
</tr>
<tr>
<td>11. 80-16098/430</td>
<td>Collier 12</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16098/430</td>
<td>Phillips Petroleum Company</td>
</tr>
<tr>
<td>12. 80-16099/431</td>
<td>Collier 13</td>
<td>$1.2 million</td>
<td>5.0</td>
<td>35-047-00000-0000-</td>
<td>103 000 000</td>
<td>80-16099/431</td>
<td>Panhandle Eastern Pipe Line Company</td>
</tr>
</tbody>
</table>

Pennsylvania Department of Environmental Resources, Division of Oil and Gas

1. Control Number (FERC/State)
2. API Well Number
3. Section of NGPA
4. Operator
5. Well Name
6. Field or OCS Area Name
7. County, State or Block No.
8. Estimated Annual Volume
9. Date Received at FERC
10. Purchaser(s)
<table>
<thead>
<tr>
<th>Date</th>
<th>Name</th>
<th>Location</th>
<th>Gas Supply Corp</th>
<th>Volume</th>
<th>Role</th>
</tr>
</thead>
<tbody>
<tr>
<td>February 20, 1980</td>
<td>Reeves Lewenthal</td>
<td>William P Mills Farm #1</td>
<td>Consolidated Gas Supply Corp</td>
<td>1.80-161041468</td>
<td>Representative</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>7. Indiana, Pa</td>
<td>30.0 million cubic feet</td>
<td>Columbia Gas Transmission Corp</td>
<td>3.108 000 000</td>
<td>Associate</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>6. Warsaw Township</td>
<td>30.0 million cubic feet</td>
<td>National Fuel Gas Supply Corp</td>
<td>3.108 000 000</td>
<td>Associate</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>5. National Fuel Gas Supply Corp</td>
<td>30.0 million cubic feet</td>
<td>National Fuel Gas Supply Corp</td>
<td>3.108 000 000</td>
<td>Associate</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>4. Phillips Production Co</td>
<td>18.0 million cubic feet</td>
<td>Phillips Production Co</td>
<td>3.103 000 000</td>
<td>Associate</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>3. Environmental Inc</td>
<td>18.0 million cubic feet</td>
<td>Environmental Inc</td>
<td>3.103 000 000</td>
<td>Associate</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>2. Phillips Production Co</td>
<td>18.0 million cubic feet</td>
<td>Phillips Production Co</td>
<td>3.103 000 000</td>
<td>Associate</td>
</tr>
<tr>
<td>February 20, 1980</td>
<td>1. Environmental Inc</td>
<td>18.0 million cubic feet</td>
<td>Environmental Inc</td>
<td>3.103 000 000</td>
<td>Associate</td>
</tr>
</tbody>
</table>
7. Clearfield Pa
8. 95.0 million cubic feet
9. February 20, 1990
10. General System Purchasers

1. 80-16159/1038
2. 37-065-21532-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Country S'Bair WN–1570
6. Big Run-Rochester Mills 063423
7. Jefferson Pa
8. 34.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16160/1040
2. 37-065-21414-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. M B Sawyer WN–1571
6. Big Run-Rochester Mills 063423
7. Jefferson Pa
8. 78.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16161/1041
2. 37-065-25089-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. J L Winebank WN–1443
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 71.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16162/1042
2. 37-065-23746-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. 1st Natl Bank of Indiana WN–1575
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 85.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16163/1043
2. 37-065-23872-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. V M Wachob WN–1568
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 42.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16164/1050
2. 37-063-20645-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. H Hartfield WN–1574
6. Salem 62660
7. Clearfield Pa
8. 25.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16165/1052
2. 37-065-21533-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Bruce W Poole WN–1621
6. Olivebug 52365
7. Jefferson Pa
8. 45.0 million cubic feet
9. February 20, 1990
10. General System Purchasers
1. 80–16166/1053
2. 37-065-21536-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Harry E McGee WN-1812
6. Oliveburg 53989
7. Jefferson Pa
8. 60.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18167/1054
2. 37-065-21444-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal Co WN-1567
6. Soldiers Run 664915
7. Jefferson Pa
8. 28.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18168/1055
2. 37-065-21401-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. John C Miller WN-1819
6. Soldiers Run 664915
7. Jefferson Pa
8. 53.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18169/1056
2. 37-065-21452-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Joseph Mulone WN-1599
6. Soldiers Run 664915
7. Jefferson Pa
8. 9.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18170/1057
2. 37-065-21436-0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Louis G Squires WN-1555
6. Cranup 284315
7. Clearfield Pa
8. 1.3 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18172/1058
2. 37-065-21392-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Ward B Kougher WN-1523
6. Soldiers Run 664915
7. Jefferson Pa
8. 8.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18173/1061
2. 37-065-20800-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Pirl Dush WN-1809
6. Laborde 990759
7. Clearfield Pa
8. 6.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18174/1062
2. 37-065-20662-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A J Palmero WN-1847
6. Tyler 729454
7. Clearfield Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18175/1063
2. 37-065-20626-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. G W Phillips WN-1611
6. Soldiers Run 664915
7. Jefferson Pa
8. 6.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18177/1065
2. 37-065-21445-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. John Madowski WN-1870
6. Soldiers Run 664915
7. Jefferson Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18179/1069
2. 37-065-21392-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Walter G Daughenbaugh WN-1562
6. Oliveburg 53989
7. Jefferson Pa
8. 6.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18183/1071
2. 37-065-21354-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Joe B London WN-1854
6. Oliveburg 53989
7. Jefferson Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18181/1072
2. 37-065-24058-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Floyd E Schock WN-1085
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18182/1074
2. 37-065-23194-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W R Grayson N-1457
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 13.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18183/1079
2. 37-065-22028-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C H Miller N-1543
6. Trade City 715062
7. Clearfield Pa
8. 10.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18184/1080
2. 37-065-21299-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Kewanee Oil Co N-1477
6. Irish Run 348275
7. Clearfield Pa
8. 12.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18185/1089
2. 37-065-20543-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A L Haag N-1328
6. Sealem 625030
7. Clearfield Pa
8. 10.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18186/1090
2. 37-065-20543-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W B Burghart N-1340
6. Sealem 625030
7. Clearfield Pa
8. 1.5 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80-18187/1100
2. 37-065-20543-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A C Bonsall N-1274
6. Sealem 625030
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

2. 37-003-20583-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. E & A Dunmire N-1269
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 6.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

2. 37-005-22957-0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C & N Co
6. Indiana Country Club #2-Ind-24686
7. White
8. Indiana, Pa
9. 2.0 million cubic feet
10. February 20, 1980

2. 37-003-24865-0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #3-Ind-24686
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

2. 37-003-24864-0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #4-Ind-24686
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

2. 37-003-24869-0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #5-Ind-24686
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

2. 37-003-24865-0000
3. 103 000 000
4. C & N Co
5. Colonial Land Co #1-Ind-24612
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

2. 37-003-24862-0000
3. 103 000 000
4. C & N Co
5. Colonial Land Co #2-Ind-24613
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

2. 37-003-24861-0000
3. 103 000 000
4. C & N Co
5. Colonial Land Co #3-Ind-24614
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp
<table>
<thead>
<tr>
<th>Well name</th>
<th>Control number (FERC/State)</th>
<th>API well number</th>
<th>Section of NGPA</th>
<th>Operator</th>
<th>Well name</th>
<th>Field or OCS area name</th>
<th>County, State or block No.</th>
<th>Estimated annual volume</th>
<th>Date received at FERC</th>
<th>Purchaser(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>80-16025</td>
<td>47-053-20973-0000</td>
<td>108 099 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>Mingo WV</td>
<td>8.0 million cubic feet</td>
<td>1980-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-079-20313-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>Poca</td>
<td>Poca WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03035-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>Putnam WV</td>
<td>Putnam WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03036-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03037-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03038-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03039-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03040-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03041-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03042-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03043-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03044-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03045-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03046-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03047-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td></td>
<td>47-007-03048-0000</td>
<td>108 000 000</td>
<td>Boyd O &amp; G Inc</td>
<td>80-16025</td>
<td>W B Moyers</td>
<td>W B Moyers WV</td>
<td>8.0 million cubic feet</td>
<td>1990-02-01</td>
<td>8.0 million cubic feet</td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td>Location</td>
<td>Customer</td>
<td>Note</td>
<td>Volume</td>
<td>Page</td>
<td>Column</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------</td>
<td>-------------</td>
<td>----------------</td>
<td>-------</td>
<td>--------</td>
<td>------</td>
<td>--------</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2-24-1979</td>
<td>Lewis WV</td>
<td>George Jackson</td>
<td></td>
<td>80-16038</td>
<td>10</td>
<td>80-16038</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2-23-1979</td>
<td>Owl District</td>
<td>Elk</td>
<td></td>
<td>80-16033</td>
<td>10</td>
<td>80-16033</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2-22-1979</td>
<td>Buckhannon District</td>
<td>Elk</td>
<td></td>
<td>80-16032</td>
<td>10</td>
<td>80-16032</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2-21-1979</td>
<td>Taylor Well #2</td>
<td>Elk</td>
<td></td>
<td>80-16031</td>
<td>10</td>
<td>80-16031</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices
7. Ritchie WV
8. 8.0 million cubic feet
10. Consolidated Gas Supply Corp

1. 60-16009
2. 47-083-03168-0000
3. 108 000 000
4. Robert Weeks
5. Taylor Well #1
6. Auburn
7. Ritchie WV
8. 8.0 million cubic feet
10. Consolidated Gas Supply Corp

1. 60-16000
2. 47-085-017-20287-0000
3. 108 000 000
4. Robert Weeks
5. W H Lipscomb Heirs
6. Auburn
7. Ritchie WV
8. 2.3 million cubic feet
10. Cities Service Oil Co

1. 60-16002
2. 47-017-20611-0000
3. 108 000 000
4. Hugh Spencer
5. George E Blanc
6. New Milton District
7. Doddridge WV
8. 8.2 million cubic feet
10. Equitable Gas Co

1. 80-16008
2. 47-017-01051-0000
3. 108 000 000
4. Hugh Spencer
5. W R Smith #3
6. Central District
7. Doddridge WV
8. 8.7 million cubic feet
10. Equitable Gas Co

1. 80-16070
2. 47-017-01191-0000
3. 108 000 000
4. Hugh Spencer
5. W R Smith #4
6. Central District
7. Doddridge WV
8. 8.7 million cubic feet
10. Equitable Gas Co

1. 80-16071
2. 47-017-01191-0000
3. 108 000 000
4. Hugh Spencer
5. Thomas G Kraus #1
6. Freemans Creek District
7. Lewis WV
8. 14.0 million cubic feet
10. Carnegie Natural Gas Co

1. 80-16072
2. 47-017-00329-0000
3. 108 000 000
4. Hugh Spencer
5. N G Lamb #1
6. Freemans Creek District
7. Lewis WV
8. 8.0 million cubic feet
10. Carnegie Natural Gas Co

1. 80-16073
2. 47-017-01191-0000
3. 108 000 000
4. Hugh Spencer
5. O C Mick #1
6. Lewis WV
7. 1.8 million cubic feet
8. February 19, 1980
9. Carnegie Natural Gas Co
10. Consolidated Gas Supply Corp

1. 80-16268
2. 47-005-00442-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #15-52
6. Boone WV
7. 5.0 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16257
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #27-73
6. Boone WV
7. 3.3 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16268
2. 47-005-00770-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #29-78
6. Boone WV
7. 6.7 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16270
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Kelly Kerns Farm #1-80
6. Boone WV
7. 8.5 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16271
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 6.5 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16272
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 6.0 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16273
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 6.3 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16274
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 6.0 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16275
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 5.8 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16276
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 5.5 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16277
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 5.2 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16278
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 5.0 million cubic feet
8. February 21, 1980
9. Consolidated Gas Supply Corp

1. 80-16279
2. 47-005-00773-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30-83
6. Boone WV
7. 4.8 million cubic feet
2. 47-005-00456-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #5-53
6.

7. Boone WV
8. 8.2 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16275
2. 47-005-00465-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #6-55
6.

7. Boone WV
8. 8.0 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16277
2. 47-005-00466-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #17-56
6.

7. Boone WV
8. 8.2 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16277
2. 47-005-00466-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #7-57
6.

7. Boone WV
8. 5.0 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16279
2. 47-005-00469-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #18-58
6.

7. Boone WV
8. 8.0 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16277
2. 47-005-00466-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #8-60
6.

7. Boone WV
8. 3.2 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16279
2. 47-005-00502-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #9-69
6.

7. Boone WV
8. 3.5 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16281
2. 47-005-00533-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #9-61
6.

7. Boone WV
8. 4.0 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16281
2. 47-005-00553-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #9-61
6.

7. Boone WV
8. 5.5 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16281
2. 47-005-00553-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #22-64
6.

7. Boone WV
8. 8.2 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16281
2. 47-005-00553-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #22-65
6.

7. Boone WV
8. 2.7 million cubic feet
10. Consolidated Gas Supply Corp
1. 80-16281
2. 47-005-00553-0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #23-65
6.
7. Boone WV  8. 1.5 million cubic feet  
10. Consolidated Gas Supply Corp  
1. 80-16301  
2. 47-085-22836-0000  
3. 108 000 000  
4. L E Mosser  
5. Mullenax #1  
6. A F Mullenax  
7. Ritchie WV  
8. 2.0 million cubic feet  
10. Carnegie Gas Company  
1. 80-16302  
2. 47-085-21829-0000  
3. 108 000 000  
4. L E Mosser  
5. Alva Davis #1  
6. Alva Davis  
7. Ritchie WV  
8. 2.0 million cubic feet  
10. Carnegie Gas Company  
1. 80-16303  
2. 47-085-22845-0000  
3. 108 000 000  
4. L E Mosser  
5. Bourne #1  
6. Bourne Gas Company  
7. Ritchie WV  
8. 2.2 million cubic feet  
10. Equitable Gas Company  
1. 80-16309  
2. 47-085-23220-0000  
3. 108 000 000  
4. L E Mosser  
5. McIver #3  
6. McIver Gas Company  
7. Braxton WV  
8. 1 million cubic feet  
10. Equitable Gas Company  
1. 80-16311  
2. 47-007-20278-0000  
3. 108 000 000  
4. L E Mosser  
5. Wade #1  
6. Wade Gas Co  
7. Braxton WV  
8. 1.5 million cubic feet  
10. Equitable Gas Company  
1. 80-16312  
2. 47-007-20279-0000  
3. 108 000 000  
4. L E Mosser  
5. Moyers #1  
6. Moyers Gas Co  
7. Braxton WV  
8. 1.0 million cubic feet  
10. Equitable Gas Company  
1. 80-16313  
2. 47-085-22833-0000  
3. 108 000 000  
4. L E Mosser  
5. Frum #3  
6. C A Prrm Gas Company  
7. Ritchie WV  
8. 2.1 million cubic feet  
10. Equitable Gas Company  
1. 80-16314  
2. 47-085-22894-0000  
3. 108 000 000  
4. L E Mosser  
5. Wilson #1  
6. Wilson Gas Company  
7. Ritchie WV  
8. 2.5 million cubic feet  
10. Equitable Gas Company  
1. 80-16316  
2. 47-085-22831-0000  
3. 108 000 000  
4. L E Mosser  
5. Williams #1  
6. Williams Gas Company  
7. Ritchie WV  
8. 2.0 million cubic feet  
10. Equitable Gas Company  
1. 80-16318  
2. 47-085-22846-0000  
3. 108 000 000  
4. L E Mosser  
5. Pierce #3  
6. Earl Pierce  
7. Ritchie WV  
8. 2.2 million cubic feet  
10. Equitable Gas Company  
1. 80-16318
<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date</td>
<td>February 21, 1980</td>
</tr>
<tr>
<td>Company</td>
<td>Consolidated Gas Supply Corp</td>
</tr>
<tr>
<td>Location</td>
<td>Braxton, WV</td>
</tr>
<tr>
<td>Volume</td>
<td>1.80 million cubic feet</td>
</tr>
<tr>
<td>Customer</td>
<td>Amos No 1</td>
</tr>
</tbody>
</table>

**Consolidated Gas Supply Corp**

- **Location**: Braxton, WV
- **Volume**: 1.80 million cubic feet
- **Customer**: Amos No 1

---

**Federal Register**

- **Volume**: 45, No. 76
- **Publication Date**: March 21, 1980
- **Notices**: 78461

---

**Bone Creek**

- **Location**: Ritchie, WV
- **Volume**: 10.9 million cubic feet
- **Customer**: Cora Dent #2

---

**Shirley & Forrest Pribble**

- **Location**: Ritchie, WV
- **Volume**: 3.1 million cubic feet
- **Customer**: Shirley & Forrest Pribble #4

---

**Aspinall-Finster**

- **Location**: Braxton, WV
- **Volume**: 2.4 million cubic feet
- **Customer**: Aspinall-Finster #1

---

**Bone Creek**

- **Location**: Ritchie, WV
- **Volume**: 2.4 million cubic feet
- **Customer**: Bone Creek #6

---

**Border Creek**

- **Location**: Cora, WV
- **Volume**: 6.5 million cubic feet
- **Customer**: Border Creek B Sheets #4
Wyoming Oil and Gas Conservation Commission

1. Control Number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block no.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80-16341/NG 25-79
2. 49-005-25110-000
3. 102 000 000
4. Cities Service Company
5. Scott Jordan B #1
6. Hartzog Draw
7. Campbell WY
8. 12.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80-16343/NG 27-79
2. 49-005-24945-0000
3. 102 000 000
4. Cities Service Company
5. Christensen E #1
6. Hartzog Draw
7. Campbell WY
8. 24.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80-16345/NG 29-79
2. 49-005-24518-000
3. 102 000 000
4. Cities Service Company
5. Christensen C #1
6. Hartzog Draw
7. Campbell WY
8. 12.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80-16344/NG 23-79
2. 49-005-24707-0000
3. 103 000 000
4. Cities Service Company
5. Christensen C #1
6. Hartzog Draw
7. Campbell WY
8. 0.0 million cubic feet
9. February 20, 1980
10. Panhandle Eastern Pipeline Co

1. 80-16345/NG 29-79
2. 49-005-24698-0000
3. 103 000 000
4. Cities Service Company
5. Christensen C #1
6. Hartzog Draw
7. Campbell WY
8. 30.0 million cubic feet

The applications for determination in these proceedings together with a copy or description of other materials in the record on which such determinations were made are available for inspection, except to the extent such material is treated as confidential under 18 CFR 275.204, at the Commission's Office of Public Information, Room 1000, 825 North Capitol Street, N.E., Washington, D.C. 20426.

Persons objecting to any of these final determinations may, in accordance with 18 CFR 275.203 and 18 CFR 275.204, file a protest with the Commission within fifteen (15) days of the date of publication of this notice in the Federal Register.
Office of Hearings and Appeals

Cases Filed: Week of November 23, 1979 through November 30, 1979

Notice is hereby given that during the week of November 23, 1979 through November 30, 1979, the appeals and applications for exception or other relief listed in the Appendix to this Notice were filed with the Office of Hearings and Appeals of the Department of Energy.

Under the DOE's procedural regulations, 10 CFR Part 205, any person who will be aggrieved by the DOE action sought in this case may file with the Office of Hearings and Appeals of the Department of Energy, Washington, D.C. 20461, the date of receipt by an aggrieved person of actual notice, whichever occurs first. All such comments shall be filed with the Office of Hearings and Appeals, Department of Energy, Washington, D.C. 20461. Malvin Goldstein, Director, Office of Hearings and Appeals, March 12, 1980.

<table>
<thead>
<tr>
<th>Date</th>
<th>Name and location of applicant</th>
<th>Case No.</th>
<th>Type of submission</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nov. 23, 1979</td>
<td>New Jersey Highway Authority/New Jersey Department of Energy, Woodbridge, New Jersey; Newark, New Jersey</td>
<td>BEE-0010</td>
<td>Supplemental Order, if granted: A hearing would be convened in connection with the DOE's October 18, 1979 Proposed Decision and Order (Case No. DE-8279) issued to the New Jersey Highway Authority.</td>
</tr>
<tr>
<td>Nov. 23, 1979</td>
<td>Robert's Oil Company, New Roads, Louisiana</td>
<td>BEE-0428</td>
<td>Allocation Exception, if granted: Robert's Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 26, 1979</td>
<td>Ayers Oil Company, Canton, Missouri</td>
<td>BEE-0402</td>
<td>Allocation Exception, if granted: Ayers Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 26, 1979</td>
<td>C &amp; O Oil Company, Inc., Huntington, Indiana</td>
<td>BEE-0401</td>
<td>Allocation Exception, if granted: C &amp; O Oil Company, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 26, 1979</td>
<td>Coggin Oil Company, Cazevie, Arkansas</td>
<td>BEE-0040</td>
<td>Allocation Exception, if granted: Coggin Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 26, 1979</td>
<td>Gab Oil Company, Martin, Michigan</td>
<td>BEE-0397</td>
<td>Allocation Exception, if granted: Gab Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 26, 1979</td>
<td>Huntley Refining Company, Wilmingtion, California</td>
<td>BEE-0392</td>
<td>Allocation Exception, if granted: Huntley Refining Company would receive an exception from the provisions of 10 CFR 211.67 modifying its entitlements purchase obligations.</td>
</tr>
<tr>
<td>Nov. 27, 1979</td>
<td>Ashland Oil, Inc., Washington, D.C.</td>
<td>BEJ-0004</td>
<td>Protective Order, if granted: A Protective Order would be issued by the DOE enabling the firms involved to exchange with Ashland Oil, Inc., under certain conditions, confidential data submitted with respect to Case Nos. BEJ-0033, BEJ-0035, BSC-0091.</td>
</tr>
<tr>
<td>Nov. 27, 1979</td>
<td>Hendel's, Inc., Old Greenwich, Connecticut</td>
<td>BEE-0076</td>
<td>Appeal of Assignment Order, if granted: The September 28, 1979 Assignment Order (Case No. 01-072519) issued by the Economic Regulatory Administration, Region I, to Hendel's Inc., regarding the assignment of motor gasoline to the firm would be rescinded.</td>
</tr>
<tr>
<td>Nov. 27, 1979</td>
<td>Okmar Oil Company, Kansas City, Missouri</td>
<td>BRF-0119</td>
<td>Motion for Discovery, if granted: Discovery would be granted to Okmar Oil Company in connection with its Statement of Objections to the Region I Remedial Order that was issued to it.</td>
</tr>
<tr>
<td>Nov. 27, 1979</td>
<td>Raymer Oil Company, Statesville, North Carolina</td>
<td>BEE-0404</td>
<td>Allocation Exception, if granted: Raymer Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 27, 1979</td>
<td>Region Oil Company, Bryn Mawr, Pennsylvania</td>
<td>BEE-0405</td>
<td>Allocation Exception, if granted: Region Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 27, 1979</td>
<td>White Water Petroleum Terminals, Inc., Chicago, Illinois</td>
<td>BEE-0407</td>
<td>Allocation Exception, if granted: White Water Petroleum Terminals, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>Ashland Oil, Inc., Ashland, Kentucky</td>
<td>BEE-0013</td>
<td>Supplemental Order, if granted: The formula and factors used to calculate the supply obligations of specific firms designated to provide Ashland Oil, Inc. with crude oil pursuant to temporary exception relief granted to Ashland on November 27, 1979 would be expressly set forth.</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>Award Petroleum, Garden City, New York</td>
<td>BEA-0037</td>
<td>Appeal of a Mutual Termination Order, if granted: The October 24, 1979, Mutual Termination Order issued to Alfred T. Pellicane by the Economic Regulatory Administration, Region II would be modified with respect to the assignment of Cooper Oil Company as the base period supplier of Alfred T. Pellicane to replace Award Petroleum.</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>D. Gillum Production Company, Union County, Arkansas,</td>
<td>BEE-0412</td>
<td>Price Exception (Section 212.72); if granted, D. Gillum Production Company would be permitted to sell the crude oil produced from the Cook-Gillum Lease, located in Union County, Arkansas, at upper tier ceiling prices.</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>Fanning Oil Company, Jackson, Illinois</td>
<td>BEE-0418</td>
<td>Allocation Exception, if granted: Fanning Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>Hartley Company, Cambridge, Ohio</td>
<td>BEE-0417</td>
<td>Allocation Exception, if granted: Hartley Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>Huntley Refining Company, Los Angeles, California</td>
<td>BEE-0022</td>
<td>Request for Stay, if granted: Huntley Refining Company would receive a stay of the provisions of 10 CFR 211.67 pending a final determination on its Application for Exception (Case No. BEE-0026).</td>
</tr>
<tr>
<td>Nov. 28, 1979</td>
<td>Raritan Oil Company, Inc., Edison, New Jersey</td>
<td>BEE-0411</td>
<td>Allocation Exception, if granted: Raritan Oil Company, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purposes of blending gasohol.</td>
</tr>
<tr>
<td>Nov. 29, 1979</td>
<td>California Energy Commission, Sacramento, California</td>
<td>BFA-0079</td>
<td>Appeal of Information Request Denial, if granted: The October 17, 1979, Information Request Denial issued by the Director of Petroleum Price Regulations would be rescinded, and the California Energy Commission would receive access to certain documents pertaining to amendments of the retailer price rules for motor gasoline.</td>
</tr>
</tbody>
</table>
List of Cases Received by the Office of Hearings and Appeals—Continued

[Week of Nov. 23 through Nov. 30, 1979]

<table>
<thead>
<tr>
<th>Date</th>
<th>Name and location of applicant</th>
<th>Case No.</th>
<th>Type of submission</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nov. 29, 1979</td>
<td>General Machine Corporation, Emusso, Pennsylvania</td>
<td>BEE-0422</td>
<td>Energy Conservation Program Exception. If granted: General Machine Corporation will not be required to perform energy efficiency tests of its Coal Fred Residential Hot Water Heating Boiler as required under 10 CFR Part 430.</td>
</tr>
</tbody>
</table>
| Nov. 29, 1979 | Mechanical Technology, Inc., Washington, D.C. | BEE-0421 | 11126/79
| Nov. 29, 1979 | Missouri Terminal Oil Co., St. Louis, Missouri | BEE-0420 | 11/26/79
| Nov. 29, 1979 | Office of Enforcement (Alaska), Washington, D.C. | BEE-0013 | Interlocutory Order. If granted: The Office of Hearings and Appeals will accept jurisdiction over the Petition to Implement Special Refund Procedures filed by the Office of Enforcement for connection with the Consent Order entered into with Albert B. Akel, Inc. |
| Nov. 30, 1979 | Lawrence H. Bakken, Livernmore, California | BFA-0082 | Appeal of Information Request Denial. If granted: The October 24, 1979, Information Request Denial issued by the Acting Director of Personnel would be rescinded and Lawrence H. Bakken would receive access to memorandums, letters, and reports concerning the receipt of his application. |
| Nov. 30, 1979 | Ball Brothers Oil Co., Lebanon, Kentucky | BEE-0430 | Allocation Exception. If granted: Ball Brothers Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. |
| Nov. 30, 1979 | Kean Brothers, Inc., Evergreen Park, Illinois | BEE-0451 | Allocation Exception. If granted: Kean Brothers, Inc., would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. |
| Nov. 30, 1979 | Lohr Petroleum Company, Inc., Columbus, Nebraska | BEE-0432 | Allocation Exception. If granted: Lohr Petroleum Company, Inc., would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. |
| Nov. 30, 1979 | Raymond International Builders, Inc., Houston, Texas | BFA-0090 | Notice of Information Request Denial. If granted: The November 1979 Information Request Denial issued by the DOE's Strategic Petroleum Reserve, Region IV, would be rescinded, and Raymond International Builders, Inc., would receive access to the correspondence between the DOE and Parsons-Gibane regarding a negotiated additional fee between Parsons-Gibane and Raymond International Builders, Inc. |
| Nov. 30, 1979 | Tropicana Petroleum Limited, Paramount, California | BEE-0426 | Allocation Exception. If granted: Tropicana Petroleum Limited would receive an allocation exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. |

**Notices of Objection Received**

[Week of Nov. 23, to Nov. 30, 1979]

<table>
<thead>
<tr>
<th>Date</th>
<th>Name and Location of Applicant</th>
<th>Case No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/26/79</td>
<td>J.M.'s Queen Size Fashions, Janesville, Wis.</td>
<td>BEO-0238</td>
</tr>
<tr>
<td>11/26/79</td>
<td>Gamma Pumps Division, Arlington, Va.</td>
<td>DEE-9028</td>
</tr>
<tr>
<td>11/26/79</td>
<td>Gold Oil Co., Belleville, Ill.</td>
<td>DEE-9344</td>
</tr>
<tr>
<td>11/26/79</td>
<td>Elk Store, Austin, Tex.</td>
<td>BEO-0203</td>
</tr>
<tr>
<td>11/26/79</td>
<td>Gulf Oil Corp., Shreveport, La.</td>
<td>BEO-0004</td>
</tr>
<tr>
<td>11/26/79</td>
<td>Waterbury Petroleum Products, Inc., Waterbury, Conn.</td>
<td>BEO-0007</td>
</tr>
<tr>
<td>11/26/79</td>
<td>American Auto Salvage, Revine, Fla.</td>
<td>BEO-0160</td>
</tr>
<tr>
<td>11/26/79</td>
<td>Laryn's Discount Waterman, Ill.</td>
<td>BEO-0211</td>
</tr>
<tr>
<td>11/27/79</td>
<td>F &amp; P Market, Jackson, Tenn.</td>
<td>DEE-9324</td>
</tr>
<tr>
<td>11/27/79</td>
<td>Earl McCary Service Station, Bradenton, Fla.</td>
<td>DEO-0212</td>
</tr>
<tr>
<td>11/27/79</td>
<td>Studebaker Service, Amherst, Ohio</td>
<td>DEO-0212</td>
</tr>
</tbody>
</table>

**Notices of Objection Received—Continued**

[Week of Nov. 23, to Nov. 30, 1979]

**Notices of Objection Received—Continued**

[Week of Nov. 23, to Nov. 30, 1979]
### Notices of Objection Received—Continued

**[Week of Nov. 23, to Nov. 30, 1979]**

<table>
<thead>
<tr>
<th>Date</th>
<th>Name and Location of Applicant</th>
<th>Case No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/28/79</td>
<td>V &amp; Y Garage, Inc., Watertown, Maine</td>
<td>DEE-7268</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Valley Oil Company, Scottsbluff, Neb.</td>
<td>DEE-4008</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Chicago City of Industry, Calif.</td>
<td>DEE-4245</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Finch Oil Co., Houston, Tex.</td>
<td>DEE-7195</td>
</tr>
<tr>
<td>11/28/79</td>
<td>610 South Fulton Corporation, Mount Vernon, N.Y.</td>
<td>DEE-4662</td>
</tr>
<tr>
<td>11/28/79</td>
<td>South Brandon Amoco, Brandon, Fla.</td>
<td>DEE-0221</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Als Sakaldiak Shell Service Station, Hollywood, Fla.</td>
<td>DEE-0220</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Ustens., Houston, Tex.</td>
<td>DEE-0218</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Highland Heights Mobil, Highland Heights, Ohio</td>
<td>DEE-0219</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Burns, James P., Virginia Beach, Va.</td>
<td>DEE-0641</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Leesburg Road Exxon, Columbia, S.C.</td>
<td>BEO-0056</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Pineland Oils, Baltimore, Md.</td>
<td>DEE-5524</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Lone Star Gas Co., Dallas, Tex.</td>
<td>DEE-0285</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Cornell, Woodboro, Md.</td>
<td>DEE-6573</td>
</tr>
<tr>
<td>11/28/79</td>
<td>Tibbetts, Burleigh, and Indianapolis, Ind.</td>
<td>DEE-0095</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Smith Shell Mart, Readington Beach, Fla.</td>
<td>DEE-0096</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Long Creek Exxon, Monroe, N.C.</td>
<td>DEE-7192</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Combines Action Marathon, Indianapolis, Ind.</td>
<td>DEE-0297</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Mat Herritt &amp; Sons, Newton Lower Falls, Mass.</td>
<td>DEE-7432</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Coastal Center &quot;56&quot;, Ladson South, S.C.</td>
<td>DEE-0041</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Gulf Oil Company, Chattanooga, Tenn.</td>
<td>DEE-0066</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Lily Flag Exxon, Huntsville, Ala.</td>
<td>BEO-0040</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Kay's Krome, Chipley, Fla.</td>
<td>BEO-0290</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Soone's Exxon, Melbourne, Ark.</td>
<td>DEE-0231</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Ashmore Distributors, Madisonville, Ky.</td>
<td>BEO-0292</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Oruley Bus Service, Bad, Pa.</td>
<td>DEE-0293</td>
</tr>
<tr>
<td>11/29/79</td>
<td>Spook Creek Golf and Country Club, Daytona Beach, Fla.</td>
<td>DEE-0066</td>
</tr>
</tbody>
</table>

### List of Cases Received by the Office of Hearings and Appeals

[Week of November 23 through November 30, 1979]

If granted, the following firms would receive an exception which would increase their base period allocation of motor gasoline.

- **Nov. 23, 1979**
  - Curcio's Mini-Market, Pennsylvania; BEO-0391

- **Nov. 24, 1979**
  - Cleva Marathon, Ohio; BEO-0398
  - Huntington Car Wash, California; BEO-0419
  - Marine Oil Company, Kentucky; BEO-0396

- **Nov. 25, 1979**
  - Parkside Plaza, Indiana; BEO-0399
  - Pennsylvania Turnpike Committee, Pennsylvania; BEO-0394

- **Nov. 28, 1979**
  - Get It and Go Shell, Alabama; BEO-0395
  - Hughes Grocery Station, Texas; BEO-0406
  - J & W Oil Company, Kentucky; BEO-0407

- **Nov. 29, 1979**
  - Brandywine Exxon Service, Delaware; BEO-0416
  - Occidental Chemical Co., Delaware; BEO-0415
  - Revere Racing Assoc., Inc., Massachusetts; BEO-0414
  - Texas Instruments, Inc., Massachusetts; BEO-0413
  - Weaver Oil Co., Florida; BEO-0410

### List of Cases Filed, Week of December 28, 1979 Through January 4, 1980

Cases Filed: Week of December 28, 1979 Through January 4, 1980

If granted, the following firms would receive an exception which would increase their base period allocation of motor gasoline.

- **Nov. 29, 1979**
  - Braco's Service Station, Missouri; BEO-0393
  - Howard & Darell's Shell Service, Michigan; BEO-0425
  - Town of Ramsen, North Carolina; BEO-0423

- **Dec. 30, 1979**
  - Boudoin & Richard, Louisiana; BEO-0433
  - Economy TV Appliance Centers, Florida; BEO-0427
  - McCulloch Chainsaw Company, Arizona; BEO-0429
  - Twin State Oil Co. of Tabor City, North Carolina; BEO-0435

**Items Retrieved 21**

**[FR Doc. 80-1846 Filed 1-2-80 8:45 am]**

**BILLING CODE 4560-01-M**

### List of Cases Received by the Office of Hearings and Appeals

[Week of Dec. 28, 1979, through Jan. 4, 1980]

<table>
<thead>
<tr>
<th>Date</th>
<th>Name and Location of Applicant</th>
<th>Case No.</th>
<th>Type of Submission</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dec. 28, 1979</td>
<td>Apollo Oil Company, Paola, Illinois</td>
<td>BEN-0011</td>
<td>Request for Interim Order. If granted: Apollo Oil Company would receive an exception from the mandatory petroleum allocation regulations, 10 CFR 211, on an interim basis pending a final determination on its application for exception (Case No. DEE-7268).</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Bragg Service Center, Inc., New York, New York</td>
<td>DEE-0755</td>
<td>Price Exception. If granted: Bragg Service Center, Inc. would receive an exception which would permit the firm to sell motor gasoline at a price above the applicable ceiling price.</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Chevron USA, Inc., San Francisco, California</td>
<td>DEE-0578</td>
<td>Price Exception (Section 212.83) If granted: Chevron USA, Inc. would be granted an exception from the provisions of 10 CFR 212.83 regarding adjustment to base period marketing costs.</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Husky Oil Company, Denver, Colorado</td>
<td>BEO-0120</td>
<td>Appeal of an Assignment Order. If granted: The October 19, 1979 Assignment Order issued to Husky Oil Company by the Economic Regulatory Administration, Region V, regarding Husky Oil Company's supply obligations to America Petroleum would be rescinded.</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Koch Industries, Inc., Washington, D.C.</td>
<td>BEA, BEES, BEO-0011</td>
<td>Appeal of an Assignment Order; Request for stay and temporary stay. If granted: The December 17, 1979 Assignment Order issued to Koch Industries, Inc., by the Economic Regulatory Administration, Region V, regarding the firm's supply obligations to Monarch Oil Company and Prairie State Petroleum would be rescinded. Koch Oil Company would also receive a stay and temporary stay of the Assignment Orders pending a final determination on its Appeals.</td>
</tr>
</tbody>
</table>
List of Cases Received by the Office of Hearings and Appeals—Continued

(Week of Dec. 29, 1979, through Jan. 4, 1980)

<table>
<thead>
<tr>
<th>Date</th>
<th><em>Name and location of applicant</em></th>
<th>Case No.</th>
<th>Type of submission</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dec. 28, 1979</td>
<td>Stiers Chevrolet Station, San Jose, California</td>
<td>BRW-0003</td>
<td>Proposed Remedial Order Finalization: Issued an Evidentiary Hearing would be convened on December 28, 1979, to consider the firm's request for a 10 percent increase in prices.</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Total Petroleum, Inc., Detroit, Michigan</td>
<td>BEL-0024</td>
<td>Request for Temporary Exception (Gasohol Prices): Issued a Protective Order, if granted: Total Petroleum, Inc. would receive a temporary exception from the provisions of 10 CFR 212.63 which would allow the firm to pass through incremental expenses relating to blending, storage, distribution and marketing of gasohol.</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Union Oil Company of California, Los Angeles, California</td>
<td>BEX-0022</td>
<td>Proposed Remedial Order: Issued a Protective Order, if granted: December 21, 1979 Proposed Decision and Order (Case No. DEE-5746) issued to Union Oil Company of California would be modified.</td>
</tr>
<tr>
<td>Dec. 28, 1979</td>
<td>Atlantic Richfield Company, Los Angeles, California</td>
<td>BEJ-0019</td>
<td>Protective Order: Issued a Protective Order, if granted: A Protective Order signed by Atlantic Richfield Company and Mobil Oil Company would be issued as an Order for the DOE.</td>
</tr>
<tr>
<td>Dec. 31, 1979</td>
<td>Exxon Company, USA, Houston, Texas</td>
<td>BRH, BRD-0177</td>
<td>Request for Evidentiary Hearing: Issued an Evidentiary Hearing would be convened in connection with the Statement of Objections submitted in response to the Notice of Proposed Remedial Order (Case No. BRD-0177) Issued to Exxon Company, USA.</td>
</tr>
<tr>
<td>Dec. 31, 1979</td>
<td>Laketon Asphalt Refining, Inc., Evanston, Indiana</td>
<td>BEE-0559</td>
<td>Exception from the Entitlements Program: Issued an exception from the provisions of 10 CFR 211.67 which would allow the firm's entitlements to be supplemented regarding the confidentiality of certain information provided to Mobil Oil Company, Koch Refining Co. and Aminco, Inc., by the Williams Pipeline Co., the ERA, and the State of Minnesota.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Marathon Oil Company, Findlay, Ohio</td>
<td>BEA-0121</td>
<td>Appeal of Assignment Order: Issued an Appeal of Assignment Order, if granted: The November 27 and 28, 1979 Assignment Order issued to Marathon Oil Company by the Economic Regulatory Administration, Office of Fuels Regulation, Region IV, regarding Marathon Oil Company's supply obligations to B. W. Simpson Oil Co., Palm Beach Oil, Inc. and Treasure Coast Oil, Inc. would be rescinded.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Mobil Oil Corporation, New York, New York</td>
<td>BEJ-0012</td>
<td>Protective Order: Issued a Protective Order, if granted: The December 21, 1979 Proposed Decision and Order (Case No. BEJ-0001) would be supplemented regarding the confidentiality of certain information provided to Mobil Oil Company, Koch Refining Co. and Aminco, Inc., by the Williams Pipeline Co., the ERA, and the State of Minnesota.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Air Conditioning and Refrigeration Institute, Arlington, Virginia</td>
<td>BEE-0018</td>
<td>Exception from the Entitlements Program: Issued an exception from the provisions of 10 CFR Part 430. If granted: Air Conditioning and Refrigeration Institute would not be required to perform energy efficiency tests of its terminal air-conditioners as required under 10 CFR Part 430.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Clark Oil Refining Corporation, Milwaukee, Wisconsin</td>
<td>BEE-0119</td>
<td>Motion for Discovery: Issued a Motion for Discovery, if granted: Shell Oil Company would be permitted to pass through incremental expenses relating to blending, storage, distribution and marketing of gasohol.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Clark, Garner C., Dallas, Texas</td>
<td>BRH-0050</td>
<td>Request for Evidentiary Hearing: Issued an evidentiary hearing would be convened in connection with Case No. BRH-0050, a proceeding concerning a Proposed Remedial Order.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Sage Creek Refining Co., Cowley, Wyoming</td>
<td>BEE-0004</td>
<td>Exception from the Entitlements Program: Issued an Exception from the Entitlements Program, if granted: Sage Creek Refining Company would receive an exception which would modify its entitlements purchase obligations.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Standard Oil Company (Ohio), Cleveland, Ohio</td>
<td>BRD-0022</td>
<td>Motion for Discovery: Issued a Motion for Discovery, if granted: Shell Oil Company would be permitted to pass through incremental expenses relating to blending, storage, distribution and marketing of gasohol.</td>
</tr>
<tr>
<td>Jan. 2, 1980</td>
<td>Sun Oil Company of Pennsylvania, Philadelphia, Pennsylvania</td>
<td>BES, BST-0041; BES, BST-0042</td>
<td>Request for Stay: Issued a Request for Stay, if granted: Sun Oil Company would receive a stay and a temporary stay of a temporary order issued to it by the Office of Hearings and Appeals on August 28, 1979, pending a final determination on its Application for Modification or Reconsideration (Case No. BEH-0017). Sun also would receive a stay and a temporary stay of the order of October 11, 1979 Assignment Order issued to it by the Economic Regulatory Administration, Region VII, pending a final determination on its Appeal of an Assignment Order (Case No. BES-0071).</td>
</tr>
<tr>
<td>Jan. 3, 1980</td>
<td>BYS, Inc., Dovel County, Texas</td>
<td>BEX-0177</td>
<td>Temporary Exception: Issued a Temporary Exception (Gasohol Prices), if granted: Chevron U.S.A., Inc. would receive an exception which would permit the firm to pass through incremental expenses relating to blending, storage, distribution and marketing of gasohol.</td>
</tr>
<tr>
<td>Jan. 3, 1980</td>
<td>Mobil Oil Corporation, AMF O'Hare, Illinois</td>
<td>BES-0110</td>
<td>Request for Stay: Issued a Request for Stay, if granted: Mobil Oil Corporation would receive a stay of the Notice of Proposed Decision and Order issued to Mobil Oil Corporation, Office of Petroleum Operations, Region V, with respect to Mobil Oil Corporation's supply obligations to U.S. Company.</td>
</tr>
</tbody>
</table>
Uncontested Remedial Orders—Week of December 28, 1979 to January 4, 1980

The Economic Regulatory Administration has petitioned pursuant to 10 CFR, Subpart O, for the following Proposed Remedial Orders to be issued in final form on the ground that there has been no Statement of Objection filed:

**Date of Proposed Remedial Order, Recipient of Order, Location, and Case No.**

- October 16, 1979; William Pinkoske; Blasdell, New York—BRW-0010
- October 30, 1979; Rich’s Shell Service; Manteca, California—BRW-0007
- October 9, 1979; Price Wagon Auto Service, Inc; Aliquippa, Pennsylvania—BRW-0008
- September 13, 1979; Monts Texaco; Columbia, South Carolina—BRW-0012
- September 27, 1979; Long Point Marina; Bemus Point, New York—BRW-0013
- July 17, 1979; JW Oil Company; Casper, Wyoming—BRW-0009
- September 27, 1979; Cogno's Exxon; Niagara Falls, New York—BRW-0008
- October 12, 1979; Edward Pace; Buffalo, New York—BRW-0011
- September 24, 1979; Airport Texaco; Miami, Florida—BRW-0005
- September 27, 1979; Al Sellers Union 76; Milpitas, California—BRW-0004

**Gasohol Exception Applications—Week of December 28, 1979 thru January 4, 1980**

The following firms have requested exception relief from the provisions of 10 CFR Part 211 so that they may be assigned an increased allocation of motor gasoline.

**Firm, Case No. and Location**

- Valpo Oil Company; BEE-0591—White Bear Lake, Minnesota
- Knodle Oil Company; BEE-0586—Belle Air, Illinois
- Valpo Oil Company; BEE-0591—Marble Falls, Texas
- Motor Gasoline Allocation Cases

**Received During the Week of December 28, 1979 thru January 4, 1980**

The following firms have applied for an exception from 10 CFR Part 211 so that they may be assigned an increased allocation of motor gasoline.

**Date Received; Name; Case No. and State**

- 12/28/79; Baker Oil Company; BEE-0600—Tennessee
- 12/28/79; County Beverage Corp; BEE-0577—Maryland
- 12/28/79; Hugh T. Wilder; BEE-0578—Virginia
- 12/28/79; Lakeview Exxon; BEE-0588—California
- 12/28/79; Petrol Enterprises; BEE-0573—Florida
- 12/28/79; Urban Service Station; BEL-BEE-0615—California
- 12/28/79; Whiddon Road Exxon; BEE-0601—Georgia
- 12/28/79; White Water General Store; BEE-0579—Colorado
- 12/30/79; Doc's Country Deli; BEE-0563—Pennsylvania
- 12/31/79; Billy's Pineute; BEE-0587—California
- 12/31/79; Coatesland Chevron; BEE-0590—Florida
- 12/31/79; F. L. Roberts & Company; BEE-0583—Washington, D.C.
- 12/31/79; Torrance Unified School District; BEE-0586—California
- 1/2/80; Air France; BEE-0606—Texas
- 12/31/79; Country Shell; BEE-0603—Connecticut
- 1/2/80; Ed's Garage; BEE-0632—Connecticut
- 1/2/80; Kenilworth Car Wash; BEE-0605—Maryland
- 1/2/80; Pifer's Union 76; BEE-0606—North Carolina
- 1/2/80; Republic Supply Co of California; BEE-0611—California
- 1/3/80; M & B. G. American Accessory Co; BEE-0015—West Virginia
- 1/3/80; Miller Brothers, Inc; BEE-0614—Ohio

**Notice of Objection Received—Week of December 28, 1979 to January 4, 1980**

**Date, Name and Location of Applicant, and Case No.**

- 12/28/79; D & J Poultry, Dover, Florida—BEO-0628
- 12/28/79; Jim's Amoco, Ashtabula, Ohio—BEO-0610
- 12/28/79; Johnson Service Station, Jacksonville, Florida—BEO-0611
- 12/28/79; Knickerbocker Car Wash, Inc, Marion, Texas—BEO-0612
- 12/28/79; Krantz Shell Service, New Philadelphia, Ohio—BEO-0613
- 12/28/79; Lincoln Land Oil Company, Springfield, Illinois—BEO-0614
- 12/28/79; Mayo D-4 Service Station, Dresden, Tennessee—BEO-0615
- 12/28/79; Mitchell's Exxon, Reading, Pennsylvania—BEO-0616
- 12/28/79; Fellum's Amoco, Waterboro, South Carolina—BEO-0617
- 12/28/79; Stateline Texaco, South Lake Tahoe, California—BEO-0618
- 12/28/79; Stockbridge Motors, Stockbridge, Massachusetts—BEO-0619
- 12/28/79; Amoco Oil Company, Madison, Wisconsin—BEO-0623
- 12/28/79; Four Corners Standard, Villa Park, Illinois—BEO-0626
- 12/28/79; D & D Gulf Service, Fitchburg, Massachusetts—BEE-4179
- 12/28/79; Craft's Superette, Chandler, Texas—BEO-0600
- 12/28/79; Bolling & Macklin's Shell, Chicago, Illinois—BEO-0601
- 12/28/79; Charles Vass ARCO Station, Antioch, California—BEO-0602
- 12/28/79; College Service Chevron, Anaheim, California—BEO-0603
- 12/28/79; Onyx Corporation, New York, New York—BEO-0613
- 12/28/79; Lawrence & Sons Oil Company Inc., Washington, D.C.—BEE-0237
- 12/28/79; Cleland's Oil Company, Waguer, Oklahoma—BEO-4124
- 12/28/79; Union Oil Company of California, Washington, D.C.—BEO-5748
- 12/31/79; Northwest Land Company, Denver, Colorado—BEO-0641
- 12/31/79; Oakwood Mid State Truck, Oakwood, Illinois—BEO-0351
- 12/31/79; Occidental Chemical Co, White Spring, Florida—BEO-0642
- 12/31/79; Wallace, R. D., Bumpus Mills, Tennessee—BEG-0043
- 12/31/79; Silver Spray Car Wash, Mequon, Wisconsin—BEO-0644
- 12/31/79; Steve's Car Wash, Topeka, Kansas—BEO-0650
- 12/31/79; United Sanitation Co., El Monte, California—BEO-0646
- 12/31/79; Indian Rocks "66", Largo, Florida—BEE-5935
- 12/31/79; Marshall Oil Co, Inc, Wake Forest, North Carolina—BEO-7869
- 12/31/79; B & B Oil Company, Washington, D.C.—BEO-5654
- 12/31/79; Budget Rent A Car of Louisville, Louisville, Kentucky—BEO-0622
- 12/31/79; Dana Fritz Asphalt Oil Station, Greenup, Kentucky—BEO-0559
- 12/28/79; Freedom Car Wash, Watertown, California—BEO-0635
- 12/28/79; D & J Marathon, Bremen, Indiana—BEO-0604
12/28/79; Foley’s Cheever & Drive In Dairy, Orange, California—BEO-0635
12/28/79; Gordon’s Marathon, Stevensville, Michigan—BEO-0606
12/28/79; H.M.I. Supply Inc., Bear Creek, Alabama—BEO-0890
12/28/79; Gary Hammond, Maryland Heights, Missouri—BEO-0003
12/28/79; Higgins, Virginia, Bellaire, Ohio—BEO-0009
12/29/79; The Tanner Companies, Elwands, California—BEO-0267
12/29/79; Tony’s Lighthouse Chevron, San Francisco, California—BEO-0621
12/29/79; Wharfside Marina, Del Rey Beach, Florida—BEO-0622
12/29/79; Wooldridge Organization, Walnut Creek, California—BEO-0633
12/31/79; Jack Hardi Exxon Service, Anaheim, California—BEO-0624
12/31/79; Miller Super Gas, Inc., Washington, D.C.—BEO-0253
1/2/80; Wilson’s Friendly Service, Davenport, Iowa—BEO-0148
1/2/80; Greenhaven Auto Center, Sacramento, California—BEO-0528
1/2/80; Peterman Mobile, Two River, Wisconsin—BEO-0595
1/2/80; O’Halloran Oil Corporation, Perry, Oklahoma—BEO-0647
1/3/80; Wethering & Houser Service Co., Arkadelphia, Arkansas—BEO-0648
1/3/80; Don’s Texaco & Tire Service, Puyallup, Washington—BEO-0649
1/2/80; Driftwood Dairy, El Monte, California—BEO-0550
1/2/80; Ed Mareux ARCO, Vallejo, California—BEO-0031
1/3/80; Hullings, Seattle, Washington—BEO-0552
1/3/80; I.D. Johnson & Company, Hood River, Oregon—BEO-0653
1/3/80; Juanita Shell, Kirkland, Washington—BEO-0554
1/3/80; Wimmers Grocery & Texaco Service, Shawsville, Virginia—BEO-0666
1/3/80; Village Standard, Brooklyn, Michigan—BEO-0855
1/3/80; Bailey, L. & J., St. Louis, Missouri—BEO-0664
1/3/80; Don’s Arco, Portland, Oregon—BEO-0034
1/3/80; Ben-Al Oil Co., Springfield, Illinois—BEO-0631
1/3/80; Baggs Million Item Store, Lakeview, Ohio—BEO-0630
1/3/80; Al’s Texaco, Hollywood, Florida—BEO-0639
1/3/80; Schwartz, J. L., High Springs, Florida—BEO-0639
1/3/80; Mounts Exxon, Belfry, Kentucky—BEO-0640
1/3/80; Advanced Mobile Corp., Washington, D.C.—BEO-0651
1/3/80; Saffari Mobil Service, Supulveda, California—BEO-0747
1/3/80; Horeshow Exxon, Jarreau, Louisiana—BEO-0893
1/3/80; Hermosa Car Wash, Hermosa Beach, California—BEO-0637
1/3/80; J.R.’s Servicenter, Arona, Pennsylvania—BEO-0636
1/3/80; Gis Unlimited, Cornelius, Oregon—BEO-0635
12/31/79; Eighth & Beeh Sunoco, Detroit, Michigan—BEO-0853
12/31/79; Bob Lee’s, St. Petersburg, Florida—BEO-0632
1/2/80; Nicheland Plastering, Inc., San Rafael, California—BEO-0655
1/2/80; Olsen, Robert L., Lynnwood, Washington—BEO-0036
1/2/80; Sammy’s Mini Mart, Mackay, Idaho—BEO-0658
1/2/80; Sandy Oil Company, Sandy, Oregon—BEO-0859
1/2/80; Sorenson’s Graham Garage, Graham, Washington—BEO-0860
1/2/80; Wilhelm Garage & Peoria, Arizona—BEO-0661
1/2/80; Valley Center Supply, Shleton, Washington—BEO-0662
1/2/80; Flower Exxon Service Center, Takoma Park, Maryland—BEO-0556
1/3/80; Bev’s Beauty Salon, Concord, New Hampshire—BEO-0657
1/3/80; Middleton Exxon, Bound Brook, New Jersey—BEO-0666
1/3/80; Mayo’s Service Station, Dresden, Tennessee—BEO-0670
1/3/80; Valley West Amoco, West Des Moines, Iowa—BEO-0671
1/3/80; Greenfield-Six Mile Shell, Detroit, Michigan—BEO-0572
1/3/80; Les Frances Auto Rental Leasing & Investment Corp., Bridgton, Missouri—BEO-0673
12/31/79; McMullen, Dennis, Omaha, Nebraska—BEO-0674
1/3/80; Morton Servicenter, Morton, Illinois—BEO-0680
1/3/80; Rick’s Green Trails Texaco, Chester, Missouri—BEO-0676
1/3/80; West Center, Inc., Omaha, Nebraska—BEO-0677
1/3/80; Continental Car Wash, Los Angeles, California—BEO-0685
1/3/80; Midway Oil Company, Milwaukee, Wisconsin—BEO-0687
1/3/80; Prospect Auto Repair, Cambridge, Massachusetts—BEO-0688
1/4/80; City of Long Beach, Long Beach, California—BEO-0041
1/4/80; Lake Oil Company, Washington, D.C.—BEO-0150
1/3/80; Capelond Grocery, Woodward, Oklahoma—BEO-0679
1/3/80; D. U. Exxon, Denver, Colorado—BEO-0680
1/3/80; Food & Gas Company, Renton, Washington—BEO-0681
1/3/80; Glazier Carth, Kanab, Utah—BEO-0683
1/3/80; Harris Farms, Watsonville, California—BEO-0894
1/2/80; Oakland Tribune, Oakland, California—BEO-0685
1/2/80; Parkway Grocery & Gasoline, Port Angeles, Washington—BEO-0688
12/28/79; Stockbridge Motors, Stockbridge, Massachusetts—BEO-0689
12/28/79; Union Oil Co. of Pennsylvania, Washington, D.C.—BEO-0574
1/2/80; Maetz Supply Co., Provo, Texas—BEO-0736

Issuance of Decisions and Orders; Week of January 28 through February 1, 1980

Notice is hereby given that during the week of January 28 through February 1, 1980 the Decisions and Orders summarized below were issued with respect to Appeals and Applications for Exception or other relief filed with the Office of Hearings and Appeals of the Department of Energy. The following summary also contains a list of submissions which were dismissed by the Office of Hearings and Appeals and the basis for the dismissal.

Appeals

Apex Oil Co., Clayton, Mo.; BFA-0135, freedom of information

Apex Oil filed an Appeal from a partial denial by the DOE District Manager of the Central Enforcement District of a Request for Information which the firm had submitted under the Freedom of Information Act (FOIA). In denying the Appeal, the DOE found that the District Manager properly withheld portions of the requested interagency memoranda pursuant to Exemption 6. The DOE also rejected the appellant’s position that the FOIA required disclosure of any document that could conceivably be disclosed in litigation.

Graham, Stetler & Townsend, Alexandria, Va.; BFA-0076, freedom of information

Graham, Stetler & Townsend filed an Appeal from a denial by the Director of the DOE Office of Classification of a Request for Information which the firm has submitted under the Freedom of Information Act (the FOIA). In considering the Appeal, the DOE found that portions of the two documents which were initially withheld under Exemption 3 were inadvertently withheld and should be released to the public.

Hartford Electric Light Co., Connecticut Light & Power Co., Hartford, Conn.; DEA-0446, DEA-0447, DED-0446, coal

The Hartford Electric Light Company and the Connecticut Light and Power Company filed an Appeal from the rescission by the Economic Regulatory Administration of two Prohibition Orders issued to the firms on June 30, 1977. The Prohibition Orders, if made effective, would have prohibited the firms from using oil on natural gas at the firms’ five power generating plants. In considering the firms’ Appeal from the Rescission Orders, the DOE found that the ERA acted properly under the provisions of the Energy Supply and Environmental Coordination Act of 1974 and the applicable DOE regulations, and that the Probability factor for further coal conversion proceedings under the superseding Fuel Use Act legislation does not render the Rescission Orders invalid. Accordingly, the Appeal was denied.

Koch Industries, Inc., Wichita, Kans., DEA-0638 through DEA-0639, motor gasoline

[F.R. Doc. 80-6645 Filed 3-29-80; 8:45 a.m.]
BILLING CODE 0599-61-M
Koch Industries, Inc. filed five Appeals from order issued to the firm in July 1979 by the Region II Office of Petroleum Operations of the Economic Regulatory Administration. Those orders directed the firm to supply motor gasoline to five firms located in the State of New Jersey. On considering the Appeals, the DOE found that the orders had not been issued in accordance with DOE procedural regulations. Accordingly, the Appeals were granted, and the matter remanded for selection of new supplies in accordance with the provisions of the Decision and Order.

Mobil Oil Corp.; Total Petroleum, Inc., New York, N.Y.; Detroit, Mich.; BBA–0063, BBA–0101, crude oil

Mobil Oil Corporation and Total Petroleum, Inc. filed Appeals from Supplemental Allocation Notices that the Economic Regulatory Administration issued under the Canadian Crude Oil Allocation Program for the October 1 through December 31, 1979 allocation period. In support of their positions, the firms maintained that the ERA could not accept amended nominations from other companies after the time period set forth in 10 CFR 214.31(b). In considering the Appeals, the DOE held that the provisions contained in the Preamble to the Final Rule which amended the Canadian Crude Oil Allocation Program (42 Fed. Reg. 22825 [June 8, 1977]) indicated that the ERA was required to permit Murphy Oil Corporation and Ashland Oil, Inc. to submit amended nominations after the deadline established in 10 CFR 214.31(b) because of the cutoff of Canadian light crude oil exports. The DOE therefore determined that Mobil's and Total's Appeals should be denied.

Williams and Connolly, Washington, D.C.; BBA–0128, freedom of information

Williams & Connolly filed an Appeal from a denial by the DOE Deputy General Counsel for Enforcement of a Request for Information which the firm had submitted under the Freedom of Information Act (the FOIA). Williams & Connolly sought information pertaining to the application of federal criminal sanctions to violations of DOE regulations. In considering the Appeal, the DOE found that, although portions of all the documents in question were properly withheld under either Exemption 5 or Exemption 7(A) of the FOIA, many of the documents contained segregable non-exempt factual material which should be released to the public. The Appeal was accordingly granted.

Remedial Orders

George Alexiades, Elizabeth, N.J.; DRO–0290, motor gasoline

George Alexiades objected to an Interim Remedial Order for Immediate Compliance (IROIC) issued to him by/for George's Shell, Inc. by the State of New Jersey Department of Energy [New Jersey] on July 5, 1979. In the IROIC, New Jersey found that George's (i) failed to maintain the maximum lawful selling price for each grade of motor gasoline on the face of each of its pumps, (ii) failed to keep and maintain required records necessary to support the lawfulness of the selling price being charged and (iii) charged prices for motor gasoline which exceeded the maximum allowable price the firm was permitted to charge under the provisions of 10 CFR Part 212. The firm failed to file a Statement of Objections in a timely manner. The DOE therefore determined that George's Shell, Inc. no longer wished to object to the IROIC and accordingly issued the IROIC as a final order of the Department of Energy.

Harrison Gas & Oil, Inc., Los Angeles, Calif.; BW–0015, motor gasoline

The Economic Regulatory Administration (ERA) filed a Revised Remedial Order on January 7, 1979. In its Motion, the ERA stated that Harrison Gas & Oil, Inc. had failed to file a timely Notice of Objection and therefore was deemed to have admitted the findings of fact and conclusions of law stated in the Proposed Remedial Order. The DOE found that Harrison had received an extension of time within which Harrison could file its Statement of Objections to the Proposed Remedial Order. The DOE therefore concluded that the Motion should be dismissed.

Perez's Amoco, Elizabeth, N.J.; DRO–0299, motor gasoline

Perez's Amoco, Inc. objected to an Interim Remedial Order for Immediate Compliance (IROIC) issued to the firm by the State of New Jersey Department of Energy (New Jersey) on July 5, 1979. In the IROIC, New Jersey found that Perez's (i) failed to post and maintain the maximum lawful selling price for each grade of motor gasoline on the face of each of its pumps and (ii) failed to keep and maintain required records necessary to support the lawfulness of the selling price being charged, and the (iii) charged prices for motor gasoline which exceeded the maximum allowable price the firm was permitted to charge under the provisions of 10 CFR Part 212. The firm failed to file a Statement of Objections within the time limits prescribed by DOE regulations. The DOE therefore concluded that Perez's Shell, Inc. no longer wished to object to the IROIC and accordingly issued the IROIC as a final order of the Department of Energy.

Triangle J Oil Co., Denver, Colo.; DRO–0206, Crude Oil

Triangle J Oil Company (Triangle J) filed a Statement of Objections to a Proposed Remedial Order (PRO) that the Rocky Mountain District Office of the DOE's Economic Regulatory Administration (District Office) issued to the firm on March 23, 1979. In that PRO, the District Office determined that during the audit period September 1, 1973 through February 29, 1976, Triangle J had sold certain amounts of crude oil produced on the State Lease in Washington County, Colorado at prices exceeding the maximum lawful selling prices. Specifically, the PRO charged that Triangle J had sold the crude oil produced from a second well drilled on the lease at upper tier ceiling prices without first eliminating the current cumulative deficiency for the State Lease. In response, Triangle J argued that it had not incurred a current cumulative deficiency and that the second well constituted a new property with a Base Production Control Level of zero barrels.

In considering the firm's Statement, the DOE rejected Triangle J's contention that its technically deficient certification of production of "new" crude oil from the State Lease in November 1973 could not trigger the operation of the current cumulative deficiency provisions. The DOE rejected Triangle J's argument that Colorado's well-spacing regulations required treatment of the second well as a separate property, since those requirements were not a part of the lease agreement or fee interest. In addition, the DOE held that it was proper to serve the PRO on the operator of a crude oil producing property. However, the DOE modified the Proposed Remedial Order to require restitution by a specified price reduction until the repayment is completed and to require a lump sum repayment of any balance outstanding at the end of twelve months. Accordingly, Triangle J's objections were denied and the PRO as modified was issued as a final Remedial Order.

Kirkwood Oil & Gas Co., Washington, D.C.; DRA–0276, crude oil

The Kirkwood Oil and Gas Company filed an Appeal of a Revised Remedial Order that was issued to the firm on December 8, 1978. In the Appeal the firm sought the rescission of the Revised Remedial Order and a finding that the firm's Rudle lease qualified as a stripper well property during 1973. In considering the Appeal, the DOE found that the firm had not demonstrated that the Revised Remedial Order was erroneous in fact or law or was arbitrary and capricious. Accordingly, Kirkwood's Appeal was denied.

Requests for Exception

Ball Shell Service, Asheville, NC; BEE–8156, motor gasoline

Ball Shell Service filed an Application for Exception from the provisions of 10 CFR 211.102, in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that the firm would not experience any serious financial difficulty as a result of denial of exception relief. The DOE further determined that the residents of the market area served by Ball were not subject to a gross inequity or unfair distribution of burdens as a result of an unusual shortage of motor gasoline in the community served by the firm. Accordingly, exception relief was denied.

Chevron U.S.A., Inc., San Francisco, Calif.; DEE–5917, refined petroleum products

Chevron U.S.A., Inc. filed an Application for Exception from the provisions of 10 CFR 212.56(c)(2) in which the firm sought permission to exclude the carbon dioxide which it produces in the apportionment of costs between uncontrolled and price-controlled refined petroleum products. In considering the request, the DOE found that the firm would not experience any serious financial difficulty as a result of denial of exception relief. Accordingly, exception relief was granted.

City of Pleasant Grove, Alabama; BEE–0211, reporting requirements

The City of Pleasant Grove, Alabama filed an Application for Exception from the reporting requirements of Form EIA–149
provisions of 10 CFR, Part 211, which, if granted, would result in the extension of exception relief previously granted increasing the base period allocations of five retail service stations represented by Mason Valley. In its Application, Mason Valley stated that the increased allocations were necessary to meet increases in demand which resulted from the closing of other outlets during and after the base period. In considering the request the DOE determined that Mason Valley had met the criteria for exception relief as set forth in former Shell/Chevron, 4 DOE Par. — (June 8, 1979). Accordingly, Mason Valley's Application for Exception was approved. The DOE determination was issued in final form pursuant to 10 CFR 85.690(a).

Earl B. Plank, Pierce, Idaho. BEO-0083, Motor Gasoline

Earl B. Plank filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that exception relief was not necessary because the base period constituted an anomalous period for purposes of measuring the present owner's full time operation. Accordingly, exception relief was granted.

Husky Oil Company, Denver, Colo. DKE-6337, Crude Oil

Husky Oil Company filed an Application for Exception from the provisions of 10 CFR Part 212, Subpart D. Exception relief was granted to permit Husky Oil Company to sell at upper tier prices 100 percent of the crude oil produced from the Victory lease located in Santa Barbara, California. In considering the request, the DOE determined that exception relief should be denied because the City had made no significant alteration in its business practices since the end of the new base period. The DOE further found that the firm would not experience any serious financial difficulty as a result of denial of exception relief. Finally, the DOE determined that the residents of the market area served by Laverne were not subject to a gross inequity of unfair distribution of burdens as a result of divestiture of motor gasoline in the community served by the firm. Accordingly, exception relief was denied.

Union Service Industries, Inc., Memphis, Tenn., BEO-0332, Motor Gasoline

Union Service Industries, Inc. filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE determined that the intended benefits of that investment could not be realized and that the firm was not experiencing a gross inequity as a result of the implementation of the new base period. Accordingly, exception relief was denied.

Mason Valley Petroleum Dealers, Yerington, Nev., BEO-0083, Motor Gasoline

The Mason Valley Petroleum Dealers filed an Application for Exception from the provisions of 10 CFR, Part 211, which, if granted, would result in the extension of exception relief previously granted increasing the base period allocations of five retail service stations represented by Mason Valley. In its Application, Mason Valley stated that the increased allocations were necessary to meet increases in demand which resulted from the closing of other outlets during and after the base period. In considering the request the DOE determined that Mason Valley had met the criteria for exception relief as set forth in former Shell/Chevron, 4 DOE Par. — (June 8, 1979). Accordingly, Mason Valley's Application for Exception was approved. The DOE determination was issued in final form pursuant to 10 CFR 85.690(a).

Earl B. Plank, Pierce, Idaho. BEO-0083, Motor Gasoline

Earl B. Plank filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that exception relief was not necessary because the base period constituted an anomalous period for purposes of measuring the present owner's full time operation. Accordingly, exception relief was granted.

Husky Oil Company, Denver, Colo. DKE-6337, Crude Oil

Husky Oil Company filed an Application for Exception from the provisions of 10 CFR Part 212, Subpart D. Exception relief was granted to permit Husky Oil Company to sell at upper tier prices 100 percent of the crude oil produced from the Victory lease located in Santa Barbara, California. In considering the request, the DOE determined that exception relief should be denied because the City had made no significant alteration in its business practices since the end of the new base period. The DOE further found that the firm would not experience any serious financial difficulty as a result of denial of exception relief. Finally, the DOE determined that the residents of the market area served by Laverne were not subject to a gross inequity of unfair distribution of burdens as a result of divestiture of motor gasoline in the community served by the firm. Accordingly, exception relief was denied.

Union Service Industries, Inc., Memphis, Tenn., BEO-0332, Motor Gasoline

Union Service Industries, Inc. filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE determined that the intended benefits of that investment could not be realized and that the firm was not experiencing a gross inequity as a result of the implementation of the new base period. Accordingly, exception relief was denied.
determined that Kerr-McGee would not be adversely affected if it were required to furnish the specified volumes of motor gasoline to Marathon and Chevron. The DOE also found that the firm had failed to satisfy any of the requirements set forth in 10 CFR 205.125(b). The Kerr-McGee stay request was accordingly denied. However, Kerr-McGee's obligation to supply Marathon and Chevron with certain volumes of gasoline was stayed for a short period of time in order to allow the Federal Energy Regulatory Commission time to consider a similar stay request submitted by the firm.

Sun Oil Company of Pennsylvania; Atlantic Richfield Company; Texaco, Inc., Washington, D.C., BES-0100, BES-0104, BES-0105, Motor Gasoline

Sun Oil Company of Pennsylvania, Atlantic Richfield Company, and Texaco, Inc. filed Applications for Stay which, if granted, would stay the provisions of Assignment Orders issued to the firm by the Economic Regulatory Administration's Region V Office of Petroleum Operations on November 21, 1979. The November 21 Orders assigned the firms as base period suppliers of motor gasoline to U.S. Oil Company. Each firm was directed to provide U.S. Oil with approximately 3.3 million gallons of gasoline annually. In considering the firm's stay requests, the DOE concluded that the applicants had failed to demonstrate that the criteria for approval of a stay were met. The DOE also found that the public interest would be best served if the firms continue to provide U.S. Oil with motor gasoline pending a determination of their Appeals. Furthermore, the DOE also concluded that the Assignment Orders failed to address comments submitted by the applicants opposing their selection as new base period suppliers to U.S. Oil. Accordingly, the DOE directed the Office of Petroleum Operations, Region V to consider these comments and to issue Revised Assignment Orders to the firms involved.

Slant/Fin Corporation, Grenvile, N.Y. BES-0704, Test Procedures

Slant/Fin Corporation filed Applications for Stay of the Temporary Stay of the DOE resulting from the procedures described in connection with the provisions of 10 CFR, Part 430. In those Applications the firm requested that it not be required to perform energy efficiency test procedures on packaged terminal air conditioners that it manufactures. In considering the requests, the DOE determined that Slant/Fin might suffer significant financial injury in the absence of stay relief and that Slant/Fin had demonstrated a likelihood of success on the merits of its exception request. (Case No. BES-0704). The Slant/Fin stay request was therefore granted.

Request for Temporary Stay

Economic Regulatory Administration, Washington, D.C. BST-0049, Crude Oil

The Economic Regulatory Administration of the Department of Energy (ERA) filed an Application for Temporary Stay of certain provisions of a Decision and Order issued to Industrial Fuel and Asphalt of Indiana, Inc. (IFAI) by the Office of Hearings and Appeals (OHA) on December 14, 1979. Industrial Fuel and Asphalt of Indiana, Inc., 5 DOE Par. — (December 14, 1979). In that determination, the OHA remanded an ERA Decision and Order issued to IFAI on May 16, 1979, which had in substantial part denied IFAI's request for an emergency allocation of crude oil. The OHA's determination was based in part on the finding that the regulations did not permit the ERA to calculate the amount of crude oil to be provided to IFAI on the basis of 55 percent of the national utilization rate times IFAI's base period runs to stills. In its Application for Temporary Stay, the ERA contended that the regulations did permit such a calculation. The ERA indicated that it intended to file a Petition for Reconsideration of the December 14, 1979 OHA determination. In considering the ERA temporary stay request, the OHA observed that a strong policy foundation appeared to exist for the ERA position and there might be considerable confusion on the part of other refiners should the present request be denied. The Temporary Stay was therefore granted.

Special Refund Procedures

Office of Enforcement, Washington, D.C. BFF-0004, Crude Oil

The Office of Enforcement of the ERA filed a Petition for the Implementation of Special Refund Procedures in connection with a Consent Order executed by the ERA and Delta Refining Company. In its Petition, the Office of Enforcement requested that the Office of Hearings and Appeals adopt special procedures for administering an escrow fund established pursuant to the Consent Order. In considering the request, the DOE found that in view of the small size of the refund amount, the proceeding was not appropriate for the implementation of Subpart V procedures. Accordingly, the Office of Enforcement's Petition was denied.

Supplemental Order

Union Oil Company of California, Los Angeles, Calif. BEX-0029, Crude Oil

The DOE issued a Supplemental Order to the Union Oil Company of California in which it found that exception relief previously approved for the firm should be extended through February 29, 1980. The determination was based on the DOE's finding that additional exception relief was necessary in order to alleviate the unfair distribution of burdens that Union had been found to be experiencing under the DOE Regulations.

Interim Orders

City of Long Beach, Calif. Long Beach, Calif. BEX-0341, crude oil

On January 11, 1980, the City of Long Beach, California requested that it be permitted to implement immediately the relief specified in an exception Decision that was issued to the City in proposed form on December 21, 1979. In considering the request, the DOE found that the level of exception relief granted the firm in the Proposed Decision will only be increased if its objections are sustained. The City's request was therefore granted.

The following firms were granted Interim Exception relief which implements the relief which the DOE proposed to grant in an order issued on the same date as the Interim Order:

Company Name, Case No., and Location

Grovetland Sales & Service, DEN-5620, Medina, MA.
Payne's Oil Co., Inc., DEN-2431, Clearwater, FL.
Servingo Enriquez, DEN-7475, Des Palos, CA.
Twin State Oil Co., BEN-0016, Tabor City, NC.
FS Services, Inc., BEN-0274, Bloomington, IL.

Protective Orders

The following firms filed Applications for Protective Orders. The applications, if granted, would result in the issuance by the DOE of the proposed Protective Order submitted by the firm. The DOE granted the following applications and issued the requested Protective Order as an Order of the Department of Energy:

Name, Case No., and Location

Energy Coop., Inc., BEJ-0017, Wash., DC.
Texaco, Inc., BED-0025, Stamford, CT.

Petitions Involving the Motor Gasoline Allocation Regulations

The following firms filed Applications for Exception. Temporary Exception, Stay, and/or Temporary Stay from the provisions of the Motor Gasoline Allocation Regulations. The requests, if granted, would result in an increase in the firms' base period allocation of motor gasoline. The DOE denied the requests.

Company Name, Case No., and Location

Bar Harbour Service, DEO-0340, Massapequa, NY.
Bob's Autoturif, DEE-4688, Whilaker, PA.
Rudolph Sutton I & Sutton's Gulf, DEO-0317, Lowelville, OH.

Dismissals

The following submissions were dismissed without prejudice to refiling at a later date:

Name and Case No.

A. C. Gas Co., DEE-6887.
Allan Automatic Sprinkler Corp., DEE-5378, DEE-5378.
Campbell Oil Co., DEE-5127, DES-5127, DST-5127.
Creshaw Oil Co., DEE-0633.
FS Services, Inc., BEL-0274.
McCart Gulf Tire Store, DEE-6712, DES-6712.
Yerb Oil Co., Inc., DEE-4575, DEE-4575, DST-4575.
DST-4575.
Bi-Rite Pet. Ltd., DEE-6875.
Globe Oil Co., DEE-4537.
Maurice L Brown Co., DRO-0240.
Red Triangle Oil Co., DRO-0213.
Saddleback Self Service, DEE-4566.
Suffolk Oil Co., Inc., BEE-0540.
Tire World of Old Bridge, Inc., DEO-0327.
Yates Stores, Inc., DES-3091, DST-3091.
Aransas Big A Truck Stop, DEE-7143.
Bridge St. Gulf, DEE-7022.
Central City Shell, DEX-9126.
Eugene Johnson, DEE-7177.
Texaco, Inc., DEO-0609.
U.S. Oil Co., BEL-0602.
Decisions and Orders are available in the Office of Objection is not received from any aggrieved person of actual notice, procedures also specify that if a Notice of Objection an aggrieved party must specify each issue of fact or law contained in the Proposed Decision and Order which it intends to contest in any further proceeding involving the exception matter.

Copies of the full text of these Proposed Decisions and Orders are available in the Public Docket Room of the Office of Hearings and Appeals, Room B-120, 2000 M Street, N.W., Washington, D.C. 20461, Monday through Friday, between the hours of 10:00 p.m. and 5:00 p.m. e.s.t., except Federal holidays. They are also available in Energy Management: Federal Energy Guidelines, a commercially published loose leaf reporter system.

Melvin Goldstein, Director, Office of Hearings and Appeals.
March 10, 1980.

Issuance of Proposed Decisions and Orders; February 11 through February 15, 1980

Notice is hereby given that during the period February 11 through February 15, 1980, the Proposed Decisions and Orders which are summarized below were issued by the Office of Hearings and Appeals of the Department of Energy with regard to Applications for Exception which had been filed with that Office.

Under the procedures which govern the filing and consideration of exception applications (10 CFR, Part 205, Subpart D), any person who will be aggrieved by the issuance of the Proposed Decision and Order in final form may file a written Notice of Objection within ten days of service. For purposes of these regulations, the date of service of notice shall be deemed to be the date of publication of this Notice (March 21, 1980) or the date of receipt by an aggrieved person of actual notice, whichever occurs first. The applicable procedures also specify that if a Notice of Objection is not received from any aggrieved party within the time period specified in the regulations, the party will be deemed to consent to the issuance of the Proposed Decision and Order in final form. Any aggrieved party that wishes to contest any finding or conclusion contained in a Proposed Decision and Order must also file a detailed Statement of Objections within 30 days of the date of service of the Proposed Decision and Order. In that Statement of Objections an aggrieved party must specify each issue of fact or law contained in the Proposed Decision and Order which it intends to contest in any further proceeding involving the exception matter.

Copies of the full text of these Proposed Decisions and Orders are available in the Public Docket Room of the Office of Hearings and Appeals, Room B-120, 2000 M Street, N.W., Washington, D.C. 20461, Monday through Friday, between the hours of 10:00 p.m. and 5:00 p.m. e.s.t., except Federal holidays.

Melvin Goldstein, Director, Office of Hearings and Appeals.
March 12, 1980.

Proposed Decision and Order
Asamara Oil (U.S.) Inc., Denver, Colo. DEE-0078-33, Crude Oil

Asamara Oil (U.S.) Inc. filed an Application for Exception from the provisions of 10 CFR, Part 212, Subpart D. The exception request, if granted, would permit the firm to sell at market prices a certain portion of the crude oil produced for the benefit of the working interest owners from six properties located in Duchesne County, Utah. On February 19, 1979, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that exception relief should be granted in part.

Ashland Oil, Inc., Ashland, Kentucky, BSC-0007, BSC-0007, Crude Oil

Ashland Oil, Inc. filed an Application for Exception and a Petition for Special Redress from the provisions of the Mandatory Petroleum Allocation Regulations. The requests, if granted, would assign other suppliers to sell crude oil to Ashland to replace crude oil supplies previously obtained from Iran. On February 11, 1980, the DOE issued a Proposed Decision and Order in which it tentatively determined that the exception requests should be granted in part.

Biofuel, Inc., Washington, D.C., BEE-0077, Gasohol

Biofuel, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would permit Biofuel to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 12, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be denied.

Coggins Oil Co., Caraway, Ark., BEE-0009, Gasohol

Coggins Oil Company filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would permit Coggins to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Decision and Order must also file a Statement of Objections within 30 days of the date of service of the Proposed Decision and Order. In that Statement of Objections an aggrieved party must specify each issue or fact or law contained in the Proposed Decision and Order which it intends to contest in any further proceeding involving the exception matter.

Copies of the full text of these Proposed Decisions and Orders are available in the Public Docket Room of the Office of Hearings and Appeals, Room B–120, 2000 M Street, NW., Washington, D.C. 20465, Monday through Friday, between the hours of 1 p.m. and 5 p.m. e.s.t., except Federal holidays.

Melvin Goldstein,
Director, Office of Hearings and Appeals.
March 12, 1980.

Proposed Decision and Orders


Big D & W Refining and Solventa Company filed an Application for Exception from the provisions of 10 CFR Part 211, Subpart F. The exception request, if granted, would result in an Order assigning to the firm a supplier and base period volume of unleaded motor gasoline for the purpose of blending gasohol. On February 19, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted.

Gulf Oil Corp., Liberty County, Tex., BEE–0085, crude oil

Gulf Oil Corporation filed an Application for Exception from the provisions of 10 CFR, part 212, Subpart D. The exception request, if granted, would permit the firm to sell at upper tier ceiling prices a certain portion of the crude oil produced for the benefit of the working interest owners from the Kirby Lease located in Liberty County, Texas. On February 20, 1980, the DOE issued a Proposed Decision and Order in which it tentatively determined that exception relief should be granted in part.

Laketon Asphalt Refining Co., Evansville, Ind., BEE–5583 crude oil

Laketon Asphalt Refining Company filed an Application for Exception from the provisions of the Renewals Program, 10 CFR 211.67. The exception request, if granted, would relieve the firm of its entitlement purchase obligations during the period March 1980 through August 1980. On February 22, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Linn Co-Operative Oil Co., Marion, Iowa, BEE–6389, gasohol

Linn Co-operative Oil Company filed an Application for Exception from the provisions of 10 CFR 211.9. The exception request, if granted, would permit the firm to receive an increased allocation of both leaded and unleaded motor gasoline for the purpose of blending gasohol. On February 20, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Lohr Petroleum Co., Columbus, Neb., BEE–5422, gasohol

Lohr Petroleum Company, Inc. filed an Application for Exception from the provisions of 10 CFR 211, Subpart F. The exception request, if granted, would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 20, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Thriftway Co., Farmington, New Mex., BEE–5535, crude oil

Thriftway Company filed an Application for Exception from the provisions of the Crude Oil Buy/Sell Program, 10 CFR 211.67. The exception request, if granted, would permit the firm to participate in the Crude Oil Buy/Sell Program as a refiner-buyer. On February 20, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be denied.

Western Refining Co., Salt Lake City, Utah, BEE–6285, crude oil

Western Refining Company filed an Application for Exception from the provisions of the Entitlements Program, 10 CFR 211.67. The exception request, if granted, would prospectively relieve the firm of a portion of its entitlement purchase obligations. On February 21, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be denied.

Editor's Note

The following firms filed Applications for Exception from the provisions of the Motor Gasoline Allocation Regulations. The exception requests, if granted, would result in an increase in the firms' based period allocation of motor gasoline. The DOE issued Proposed Decisions and Orders which determined that the exception requests be denied.

Company Name, Case No., and Location

Bill Young's Park Avenue Service, BEE–9334, Redlands, CA.
Fischer's Service, BEE–7418, BEE–7418, Cranston, RI.
Lally's Chevron, BEE–3756, Tuckerton, NJ.
Munford, Inc., BEE–4516, Atlantic, GA.
Ravenworth Texaco, BEE–6274, Annadale, VA.

Office of the Special Counsel for Compliance

Standard Oil Co. (Ohio); Action Taken on Consent Order

AGENCY: Department of Energy.

BILLING CODE 6450-01-M
ACTION: Action taken on Consent Order with Standard Oil Company (Ohio).

Pursuant to 40 CFR 205.199(c) the Office of the Special Counsel (OSC) of the Department of Energy hereby gives notice of final action taken on a Consent Order.

On January 10, 1980 OSC published Notice of a Consent Order which was executed between Standard Oil Company (Ohio) [Sohio] and OSC. (45 FR 2081 (January 10, 1980)).

With that Notice, and in accordance with 10 CFR 205.199(c), OSC invited interested persons to comment on the Consent Order by submission of written responses on or before 4:30 p.m. CST, February 9, 1980.

At the expiration of the comment period, no comments had been received with respect to the Consent Order. Therefore, OSC has concluded that the Consent Order as executed between OSC and Sohio is an appropriate resolution of the compliance proceedings described in the Notice published on January 10, 1980, and hereby gives notice that the Consent Order shall become effective as proposed, without modification, March 21, 1980.

Issued in Washington, D.C., on the 10th day of March, 1980.
Paul L. Bloom,
Special Counsel for Compliance.

ENVIRONMENTAL PROTECTION AGENCY

[FRL 1441-8]

Ambient Air Monitoring Reference and Equivalent Methods; Amendment to Reference Method for Ozone

Notice is hereby given that EPA, in accordance with 40 CFR Part 53 (40 FR 7044, February 18, 1975), has approved an additional option (Ethylene/O\textsubscript{2} blend reactant gas) to \textsubscript{O}_{3} reference method number RFOA-0176-007 (41 FR 5145, February 4, 1976). While the designation number of the method remains the same, the method identification is amended to read as follows:

RFOA-0176-007 "Bendix Model 8002 Ozone Analyzer," operated on the 0-0.5 ppm range, with a 40 second time constant setting, and with or without any on the following options:

A—Rack mounting with chassis slides.
B—Rack mounting without chassis slides.
C—Zero and span timer.
D—Ethylene/O\textsubscript{2} blend reactant gas. This method is available from The Bendix Corporation, Process Instruments Division, Post Office Drawer 851, Lewisburg, West Virginia 24901.

This change is made in accordance with 40 CFR 53.14 and is based on additional information and test data submitted by the applicant subsequent to the original designation. The new information will be kept on file at the address shown below and will be available for inspection to the extent consistent with 40 CFR Part 2 (EPA's regulations implementing the Freedom of Information Act).

Additional information concerning the use of this designated method may be obtained from the original Notice of Designation (41 FR 5145, February 4, 1976) or by writing to: Director, Environmental Monitoring Systems Laboratory, Department E (MD-77), U.S. Environmental Protection Agency, Research Triangle Park, North Carolina 27711. Technical questions concerning the method should be directed to the manufacturer.

Stephen J. Gage,
Assistant Administrator for Research and Development.
March 14, 1980.

[FRL 1442-3]

National Air Pollution Control Techniques Advisory Committee; Open Meeting

Under Pub. L. 92-463, notice is hereby given that a meeting of the National Air Pollution Control Techniques Advisory Committee will be held at 9:00 a.m. on April 16 and 17, 1980, at the Hotel Hilton, 2707 Hillsborough Street, Raleigh, North Carolina 27605. The commercial telephone number is (919) 828-0871.

The tentative agenda for the meeting is as follows:

April 16 (Wednesday)—9:00 a.m.


April 17 (Thursday)—8:30 a.m.

Benzene-Fugitive Emissions (continued if necessary); Benzene Emissions from Benzene Storage Tanks, National Emission Standard for Hazardous Air Pollutants; Adjourn.

All meetings are open to the public. Anyone wishing to make a presentation should contact Mrs. Mary Jane Clark at the Emission Standards and Engineering Division (MD-13), U.S. Environmental Protection Agency, Research Triangle Park, North Carolina 27711, by April 11, 1980. The commercial telephone number is (919) 541-5271.

Dockets containing material relevant to the volatile organic chemical fugitive emissions in the synthetic organic chemical manufacturing industry (Docket Number A-79-32), benzene fugitive emissions (Docket Number A-79-27), and benzene emissions from benzene storage tanks (Docket Number A-80-14) rulemaking are located in the U.S. Environmental Protection Agency, Central Docket Section, Room 2093B, 401 M Street SW., Washington, D.C. 20460.

The dockets may be inspected between 8:00 a.m. and 4:00 p.m. on weekdays, and a reasonable fee may be charged for copying.

Dated: March 14, 1980.

David G. Hawkins,
Acting Assistant Administrator for Air, Noise, and Radiation.

POLICY FORMED FROM PHENOL FORMALDEHYDE RESIN AND DIAZO OXONAPHTHALENESULFONYL CHLORIDE; PREMANUFACTURE EXEMPTION APPLICATION

AGENCY: Environmental Protection Agency [EPA].

ACTION: Notice.

SUMMARY: Section 5(a)(1)(A) of the Toxic Substances Control Act (TSCA) requires any person intending to manufacture or import a new chemical substance for a commercial purpose in the United States to submit a premanufacture notice (PMN) to EPA at least 90 days before he commences such manufacture or import. Under section 5(h) the Agency may, upon application, exempt any person from any requirement of section 5 to permit such person to manufacture or process a chemical for test marketing purposes. Section 5(h)(8) requires EPA to issue a notice of receipt of any such application for publication in the Federal Register. This notice announces receipt of an application for an exemption from the premanufacture reporting requirements for test marketing purposes on the chemical substance polymer formed from phenol formaldehyde resin and...
resin oxonaphthalene sulfonyl chloride and requests comments on the appropriateness of granting the exemption.

DATES: The Agency must either approve or deny this application by April 5, 1980. Persons should submit written comments on the application no later than April 7, 1980.


SUPPLEMENTARY INFORMATION: Under Section 5 of TSCA, any person who intends to manufacture or import a new chemical substance for commercial purposes in the United States must submit a notice to EPA before manufacture or import begins. A "new" chemical substance is any chemical substance that is not on the Inventory of existing chemical substances compiled by EPA under Section 5(b) of TSCA. EPA first published the Initial Inventory on June 1, 1979. Notice of availability of the Initial Inventory was published in the Federal Register on May 15, 1979 (44 FR 28558). The requirement to submit a PMN for new chemical substances manufactured or imported for commercial purposes became effective on July 1, 1979.

Section 5(a)(1) requires each PMN to be submitted in accordance with section 5(d) and any applicable requirement of section 5(b). Section 5(d)(1) defines the contents of a PMN. Section 5(b)(1) contains additional reporting requirements for chemical substances that are subject to testing rules under section 4. Section 5(b)(2) requires additional information in PMNs for substances which EPA, by rules under section 5(b)(4), has determined may present unreasonable risks of injury to health or the environment.

Section 5(h), "Exemptions," contains several provisions for exemptions from some or all of the requirements of section 5. In particular, section 5(h)(1) authorizes EPA, upon application, to exempt persons from any requirement of section 5(a) or section 5(b) to permit the persons to manufacture or process a chemical substance for test marketing purposes. To grant such an exemption, the Agency must find that the test marketing activities will not present any unreasonable risk of injury to health or the environment. EPA must either approve or deny the application within 45 days of its receipt, and the Agency must publish a notice of its disposition in the Federal Register. If EPA grants a test marketing exemption, it may impose restrictions on the test marketing activities.

Under section 5(h)(6), EPA must publish in the Federal Register a notice of receipt of an application under section 5(h)(1) immediately after the Agency receives the application. The notice identifies, and briefly describes, the application (subject to section 14 confidentiality restrictions) and gives interested persons an opportunity to comment on it and whether EPA should grant the exemption. Because the Agency must act on the application within 45 days, interested persons should provide comments within 15 days after the notice appears in the Federal Register.

EPA has proposed Premanufacture Notification Requirements and Review Procedures published in the Federal Register of January 10, 1979 (44 FR 22245) and October 16, 1979 (44 FR 59764) containing proposed premanufacture rules and notice forms. Proposed 40 CFR 720.15 (44 FR 22689) would implement section 5(h)(1) concerning exemptions for test marketing and includes proposed 40 CFR 720.15(c) concerning the section 5(h)(6) Federal Register notice. However, these requirements are not yet in effect. In the meantime, EPA has published a statement of Interim Policy published in the Federal Register of May 15, 1979 (44 FR 28554) which applies to PMN's submitted prior to promulgation of the rules and notice forms.

Therefore, under the Toxic Substances Control Act, a summary of the data taken from the Test Marketing Exemption is issued below.

**Health Environmental Effects.**

The health hazards associated with the manufacture, processing, and end-use of the substance are expected to be minimal. The results of toxicological and environmental testing will be submitted with a premanufacture notice at a later date.

**Exposure.**

During manufacturing. One employee will be exposed to the raw materials for up to 1/2 hour when introducing these materials into the reaction vessel. This employee will be exposed to the dry solid for up to 1/2 hour when removing and handling the chemical prior to packaging.

During processing. One person will be exposed to the solid when it is dissolved in an appropriate solvent prior to addition to coating solutions. One employee will be exposed for up to one hour to the coating solution during the clean-up of the coating operation.

**Customer/employee exposure will be minimal and is limited to handling a dry film containing about 25 milligrams of the chemical substance per square foot. The substance will be located between coatings of other material and will not result in direct exposure. Disposition of the residual portions of the product containing this chemical substance will be in accordance with local environmental standards for the industry. It is estimated that about 12 parts per million (ppm) of the chemical will be contained in the effluent.

Interested persons may, on or before April 7, 1980, submit to the Document Control Officer (TS-793), Rm. E-447, Office of Pesticides and Toxic Substances, 401 M St. SW, Washington, DC 20460, written comments regarding this notice. Three copies of all comments shall be submitted, except that individuals may submit single copies of comments. The comments are to be identified with the document control number "0807-004". Comments received may be seen in the above office between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding holidays.

(Dated: March 17, 1980.

John P. DeKany,
Deputy Assistant Administrator for Chemical Control.

[FR Doc. 80-4082 Filed 3-20-80; 8:45 am]

BILLING CODE 6560-01-M
Salt of: Polymer of 4-Substituted Diphenylene Formaldehyde and Polymer of 5-Substituted-1,3-Benzenedicarboxylate, 1,4-Cyclohexanedicarboxylate, Ethylene Glycol, C-Caprolactone, Tolylen-2,4-Diisocyanate, and 2-Butenedioic Acid; Premanufacture Exemption Application

AGENCY: Environmental Protection Agency (EPA).

ACTION: Notice.

SUMMARY: Section 5(a)(1)(A) of the Toxic Substances Control Act (TSCA) requires any person intending to manufacture or import a new chemical substance for a commercial purpose in the United States to submit a premanufacture notice (PMN) to EPA at least 90 days before he commences such manufacture or import. Under section 5(h) the Agency may, upon application, exempt any person from any requirement of section 5(a) to permit such person to manufacture or process a chemical for test marketing purposes. Section 5(h)(6) requires EPA to issue a notice of receipt of any such application for publication in the Federal Register. This notice announces receipt of an application for an exemption from the premanufacture reporting requirements for test marketing purposes on the chemical substance salt of: Polymer of 4-substituted diphenylene formaldehyde and polymer of 5-substituted-1,3-benzenedicarboxylate, 1,4-cyclohexanedicarboxylate, ethylene glycol, C-caprolactone, tolylene-2,4-diisocyanate, and 2-butenedioic acid and requests comments on the appropriateness of granting the exemption.

DATES: The Agency must either approve or deny this application by April 17, 1980. Persons should submit written comments on the application no later than April 17, 1980.


SUPPLEMENTARY INFORMATION: Under Section 5 of TSCA, any person who intends to manufacture or import a new chemical substance for commercial purposes in the United States must submit a notice to EPA before manufacture or import begins. A "new" chemical substance is any chemical substance that is not on the Inventory of existing chemical substances compiled by EPA under section 8(b) of TSCA. EPA first published the Initial Inventory on June 1, 1977. Notices of availability of the Initial Inventory were published in the Federal Register on May 15, 1979 (44 FR 28558). The requirement to submit a PMN for new chemical substances manufactured or imported for commercial purposes became effective on July 1, 1979.

Section 5(a)(1) requires each PMN to be submitted in accordance with section 5(d) and any applicable requirement of section 5(b). Section 5(d)(1) defines the contents of a PMN. Section 5(b)(1) contains additional reporting requirements for chemical substances that are subject to testing rules under section 4. Section 5(b)(2) requires additional information in PMN's for substances which EPA, by rule, 40 CFR section 5(h)(4), has determined may present unreasonable risks of injury to health or the environment.

Section 5(h), "Exemptions," contains several provisions for exemptions from some or all of the requirements of section 5. In particular, section 5(h)(1) authorizes EPA, upon application, to exempt persons from any requirement of section 5(a) or section 5(b) to permit the persons to manufacture or process a chemical substance for test marketing purposes. To grant such an exemption, the Agency must find that the test marketing activities will not present any unreasonable risk of injury to health or the environment. EPA must either approve or deny the application within 45 days of its receipt, and the Agency must publish a notice of its disposition in the Federal Register. If EPA grants a test marketing exemption, it may impose restrictions on the test marketing activities.

Under section 5(h)(6), EPA must publish in the Federal Register a notice of receipt of an application under section 5(h)(1) immediately after the Agency receives the application. The notice identifies, and briefly describes, the application (subject to section 14 confidentiality restrictions) and gives interested persons an opportunity to comment on it and whether EPA should grant the exemption. Because the Agency must act on the application within 45 days, interested persons should provide comments within 15 days after the notice appears in the Federal Register.

EPA has proposed Premanufacture Notification Requirements and Review Procedures published in the Federal Register of January 10, 1979 (44 FR 2242) and October 16, 1979 (44 FR 59764) containing proposed premanufacture rules and notice forms. Proposed 40 CFR 720.15 (44 FR 2238) would implement section 5(h)(1) concerning exemptions for test marketing and includes proposed 40 CFR 720.15(c) concerning the section 5(h)(6) Federal Register notice. However, these requirements are not yet in effect. In the meantime, EPA has published a statement of Interim Policy published in the Federal Register of May 15, 1979 (44 FR 28554) which applies to PMN's submitted prior to promulgation of the rules and notice forms.

Therefore, under the Toxic Substances Control Act, a summary of the data taken from the Test Marketing Exemption is issued below.

Test and Result
Acute oral toxicity-rat: LD₅₀ > 5 g/kg
Primary eye irritation-rabbit: Severe irritant.
Primary eye irritation-rabbit (washout): Mild to moderate irritant.
Primary skin irritation-rabbit: Non-irritating.
Contact dermal-guinea pig irritation/sensitization: Non-irritating/non-sensitizing.

The cationic portion of the chemical substance which is the subject of this application is only 8% by weight. It is expected that the adverse reactions due to this portion of the molecule would be less at a given dosage than in the substance tested, the zinc chloride salt. Studies performed on the related chemical polymer of: Sodium 5-substituted-1,3-benzenedicarboxylic acid, 1,4-cyclohexanedicarboxylate, ethylene glycol, C-caprolactone, tolylene-2,4-diisocyanate, and 2-butenedioic acid.

Test and Result
Acute oral toxicity-rat: LD₅₀ > 5 g/kg
Acute ocular irritation-rabbit: Moderate irriant.
Primary skin irritation-rabbit: Slight irriant.
Mutagenicity-Ames-salmonella typhimurium: Negative.
Yeast recombination-saccharomyces cerevisiae: Negative.
Chemical oxygen demand: 1,700,000 mg/Kg
Biological oxygen demand:
5-day 34,000 mg/Kg
20-day 110,000 mg/Kg
The anionic portion of this chemical substance, which comprises 92% of it by weight, has shown low toxicity.
The distribution of this chemical substance in the test marketing activity does not constitute an unreasonable risk of injury to health or the environment because of: The low toxic effects of its parts, the limited human exposure, the small amount to be manufactured, the low release to the environment, and the small amount used in each finished article.

**Exposure.** Maximum number of persons to be exposed during test marketing: 230.

**During manufacture.** Employees will be exposed for up to 6 hours. Standard industrial hygiene practices, including the use of dust masks and gloves, will be followed.

**During processing.** One employee will be exposed for 1 hour. Two employees will be involved in manufacturing the article which contains the chemical substance for up to 12 hours. Standard industrial hygiene practices including the use of dust masks and gloves, will be followed. Two employees will be involved in the packaging operation for 12 hours.

**In the test market.** Worker exposure will be limited by the normal procedures used in handling the article which contains this chemical substance. The mode of exposure will be skin contact, which is kept to a minimum by the user in order to prevent damage to the article. Persons using the article containing this chemical substance will have been trained in the use of products of this type.

Interested persons may, on or before April 7, 1980, submit to the document control officer of the office of pesticides and toxic substances, 401 M St., SW, Washington, DC 20460, written comments regarding this notice. Three copies of all comments shall be submitted, except that individuals may submit single copies of comments. The comments are to be identified with the document control number (507-91). Comments received may be seen in the above office between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding holidays.

**SUPPLEMENTARY INFORMATION: Section 5(a)(1) of TSCA requires any person who intends to manufacture or import a new chemical substance to submit a premanufacture notice (PMN) to EPA at least 90 days before manufacture or import. Section 5(d)(2) requires EPA to publish in the Federal Register within 5 working days, after receipt, certain information about each PMN the Agency receives. This Notice announces receipt of a PMN on the chemical substance zinc salt of dialkyl dithiophosphate and provides a summary of certain information provided in the PMN.**

**DATE:** Written comments by April 12, 1980.

**ADDRESS:** Written comments to: Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW, Washington, DC 20460, 202-755-8050.

**FOR FURTHER INFORMATION CONTACT:** Mr. Kirk Macnaughhey, Premanufacturing Review Division (TS-793), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW, Washington, DC 20460, 202-426-2501.

**SUMMARY:** Section 5(a)(1) of the Toxic Substances Control Act (TSCA) requires any person who intends to manufacture or import a new chemical substance to submit a premanufacture notice (PMN) to EPA at least 90 days before manufacture or import. Section 5(d)(2) requires EPA to publish in the Federal Register within 5 working days, after receipt, certain information about each PMN the Agency receives. This Notice announces receipt of a PMN on the chemical substance zinc salt of dialkyl dithiophosphate and provides a summary of certain information provided in the PMN.

**ACTION:** Notice.

**Zinc Salt of Dialkyl Dithiophosphate, Premanufacture Notice**

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** Section 5(a)(1) of the Toxic Substances Control Act (TSCA) requires any person who intends to manufacture or import a new chemical substance to submit a premanufacture notice (PMN) to EPA at least 90 days before manufacture or import. Section 5(d)(2) requires EPA to publish in the Federal Register within 5 working days, after receipt, certain information about each PMN the Agency receives. This Notice announces receipt of a PMN on the chemical substance zinc salt of dialkyl dithiophosphate and provides a summary of certain information provided in the PMN.

**DATE:** Written comments by April 12, 1980.

**ADDRESS:** Written comments to: Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW, Washington, DC 20460, 202-755-8050.

**FOR FURTHER INFORMATION CONTACT:** Mr. Kirk Macnaughhey, Premanufacturing Review Division (TS-793), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW, Washington, DC 20460, 202-426-2501.

**SUPPLEMENTARY INFORMATION:** Section 5(a)(1) of TSCA requires any person who intends to manufacture or import a new chemical substance to submit a PMN to EPA at least 90 days before manufacture or import. A "new" chemical substance is any substance that is not on the Inventory of existing substances compiled by EPA under Section 8(b)(6) of TSCA. EPA first published the Initial Inventory on June 1, 1979. Notice of availability of the Initial Inventory was published in the Federal Register of May 15, 1979 (44 FR 28556). The requirement to submit a PMN for new chemical substances manufactured or imported for a commercial purpose became effective on July 1, 1979.

EPA has proposed premanufacture notification rules and forms in the Federal Register of January 10, 1979 (44 FR 2242) and October 16, 1979 (44 FR 59784). These regulations, however, are not yet in effect. Interested persons should consult the Agency's Interim Policy published in the Federal Register of May 15, 1979 (44 FR 28564) for guidance concerning premanufacture notification requirements prior to the effective date of these rules and forms. In particular, see page 28567 of the Interim Policy.

A PMN must include the information listed in Section 5(d)(1) of TSCA. Under section 5 (d)(2) EPA must publish in the Federal Register nonconfidential information on the identity and uses of the substance, as well as a description of any test data submitted under section 5(b). In addition, EPA has decided to publish a description of any test data submitted with the PMN and EPA will publish the identity of the submitter unless this information is claimed confidential.

Publication of the section 5(d)(2) notice is subject to section 14 concerning disclosure of confidential information. A company can claim confidentiality for any information submitted as part of a PMN. If the company claims confidentiality for the specific chemical identity or use(s) of the chemical, EPA encourages the submitter to provide a generic use description, a nonconfidential description of the potential exposures from use, and a generic name for the chemical. EPA will publish the generic name, the generic use, and the potential exposure descriptions in the Federal Register.

If no generic use description or generic name is provided, EPA will develop one and after providing due notice to the submitter, will publish an amended Federal Register notice. EPA immediately will review confidentiality claims for chemical identity, chemical use, the identity of the submitter, and for health and safety studies. If EPA determines that portions of this information are not entitled to confidential treatment, the Agency will publish an amended notice and will place the information in the public file, after notifying the submitter and complying with other applicable procedures.

Once received, EPA has 90 days to review a PMN under section 5(a)(1). The section 5(d)(2) Federal Register notice indicates the date when the review period ends for each PMN. Under section 5(c), EPA may, for good cause, extend the review period for up to an additional 90 days. If EPA determines that an extension is necessary, it will publish a notice in the Federal Register.
Once the review period ends, the submitter may manufacture the substance unless the EPA has imposed restrictions. When the submitter begins to manufacture the substance, he must report to the EPA, and the Agency will add the substance to the Inventory. After the substance is added to the Inventory, any company may manufacture it without providing the EPA notice under section 5(a)(1)(A).

Therefore, under the Toxic Substances Control Act, the PM is issuing the PM under set forth below:

**PMN 60–32.**


**Manufacturer’s Identity.** Edwin Cooper Division, Ethyl Corporation, Ethyl Tower, 451 Florida, Baton Rouge, LA 70801.

**Chemical Identity.** Claimed confidential. Generic name provided: Zinc salt of dialkyl diethylphosphate.

**Product Volume.** Claimed confidential.

**Data.** The following summary is taken from data submitted by the manufacturer in support of claims made in the application.

**Use.** Lubricating oil additive.

**Physical/Chemical Data.**

<table>
<thead>
<tr>
<th>CAS Number</th>
<th>26956-950</th>
<th>68909-03-0</th>
<th>(M-9211) Ester groups</th>
<th>1.12</th>
<th>1.13</th>
</tr>
</thead>
<tbody>
<tr>
<td>flash point</td>
<td>370</td>
<td>350</td>
<td>gravity</td>
<td>1.13</td>
<td></td>
</tr>
<tr>
<td>Viscosity a</td>
<td>229</td>
<td>227</td>
<td>210</td>
<td></td>
<td></td>
</tr>
<tr>
<td>pH</td>
<td>6.2</td>
<td>6.2</td>
<td>6.2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Product as commercially sold containing small amount of neutral petroleum oil (15-20%) to improve handleability.

**Toxicology Data.**

<table>
<thead>
<tr>
<th>E-653</th>
<th>Oral LD₅₀ (rat): 2.1 g/kg</th>
<th>Dermal LD₅₀ (rabbit): 5.2-7.0 g/kg</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Eye irritant (rabbit): Severe, Persistent 29/100</td>
<td>Skin irritant (rabbit): Moderate, persistent 2.6/6</td>
</tr>
<tr>
<td></td>
<td>Acute inhalation toxicity (rat): No deaths at 2 mg/l for 1 hour</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>E-655</th>
<th>Oral LD₅₀ (rat): 3.6 g/kg</th>
<th>Dermal LD₅₀ (rabbit): 20.0 g/kg</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Eye irritant (rabbit): Corrosive 33/100</td>
<td>Skin irritant (rabbit): Moderate, persistent 2.8/6</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>E-656</th>
<th>Oral LD₅₀ (rat): 3.6 g/kg</th>
<th>Dermal LD₅₀ (rabbit): 13.8 g/kg</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Eye irritant (rabbit): Corrosive 42/100</td>
<td>Skin irritant (rabbit): Moderate, persistent 3.0/6</td>
</tr>
<tr>
<td></td>
<td>Inhalation toxicity (rat): No deaths at 2 mg/l for 1 hour</td>
<td></td>
</tr>
</tbody>
</table>

| E-661 | Oral LD₅₀ (rat): 2.8 ml/kg | Dermal LD₅₀ (rabbit): > 2.0 ml/kg |

**FEDERAL HOME LOAN BANK BOARD**

**[No. AC-77]**

Cecil Federal Savings and Loan Association; Final Action, Approval of Conversion Application

March 17, 1980.

Notice is hereby given that on March 13, 1980, the Federal Home Loan Bank Board, as operating head of the Federal Savings and Loan Insurance Corporation ("Corporation"), by Resolution No. 80–176, approved the application of Cecil Federal Savings and Loan Association, Elkon, Maryland, for permission to convert to the stock form of organization. Copies of the application are available for inspection at the Secretary of said Corporation, 1700 G Street NW, Washington, D.C. 20552, and at the Office of the Supervisory Agent of said Corporation at the Federal Home Loan Bank of Atlanta, Coastal Building, 250 Peachtree Center, N.W., Atlanta, Georgia 30343.

By the Federal Home Loan Bank Board,

J. J. Finn,
Secretary.

[FR Doc. 80-8720 Filed 3-20-80, 8:45 am] BILING CODE 6720-01-M

**FEDERAL MARITIME COMMISSION**

Certificate of Financial Responsibility for Indemnification of Passengers for Nonperformance of Transportation

No. P-37 and Certificate of Financial Responsibility To Meet Liability Incurred for Death or Injury to Passengers or Other Persons on Voyages No. C-1,034; Order of Revocation

In the matter of the Peninsular and Oriental Steam Navigation Company (P & O Lines), C/O P & O INC. 2029 Century Park East Los Angeles, California 90067.

Whereas, The Peninsular and Oriental Steam Navigation Company (P & O Lines) has ceased to operate the passenger vessel ARCADIA; and

Whereas, Certificate (Performance) No. P-37 and Certificate (Casualty) No. C-1,034 issued to The Peninsular and Oriental Steam Navigation Company (P
& O Lines) applying to the ARCADIA have been returned for revocation.

It is ordered, that Certificate (Performance) No. P-57 and Certificate (Casualty) No. C-1,034 applying to the ARCADIA be and are hereby revoked effective March 14, 1980.

It is further ordered that a copy of this Order be published in the Federal Register and served on certificant.

By the Commission March 14, 1980.

Francis C. Hurney,
Secretary.

[FR Doc. 80-887 Filed 3-20-80; 8:45 am]
BILLING CODE 6730-01-M

FEDERAL RESERVE SYSTEM

Commererce Southwest, Inc.; Acquisition of Bank

Commerce Southwest, Inc., Dallas, Texas, has applied for the Board's approval under section 3(a)(3) of the Bank Holding Company Act (12 U.S.C. 1842(c)(3)) to acquire 100 percent of the voting shares (less directors' qualifying shares) of First Bank & Trust of Richardson, Richardson, Texas. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Dallas. Any person wishing to comment on the application should submit views in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received not later than April 14, 1980. Any comment on an application that requests a hearing must include a statement of why a written presentation is not feasible. The evidence that would be presented at a hearing is not enclosed.


William N. McDonough,
Assistant Secretary of the Board.

[FR Doc. 80-887 Filed 3-20-80; 8:45 am]
BILLING CODE 6730-01-M

GENERAL SERVICES ADMINISTRATION

[5-80-6]

Delegation of Authority to the Secretary of Defense

1. Purpose. This delegation authorizes the Secretary of Defense to represent the consumer interests of the executive agencies of the Federal Government in proceedings before the Public Service Commission of Maryland involving electric utility rates.

2. Effective date. This delegation is effective immediately.

3. Delegation. a. Pursuant to the authority vested in me by the Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended, particularly sections 201(a)(4) and 205(d) (40 U.S.C. 601(a)(4) and 406(d)), authority is delegated to the Secretary of Defense to represent the consumer interests of the Federal executive agencies before the Public Service Commission of Maryland involving the application of the Conowingo Power Company for an increase in its electric rates.

b. The Secretary of Defense may redelegate this authority to any officer, official, or employee of the Department of Defense.

c. This authority shall be exercised in accordance with the policies, procedures, and controls prescribed by the General Services Administration, and shall be exercised in cooperation with the responsible officers, officials, and employees thereof.

Dated: March 5, 1980.

R. G. Freeman III,
Administrator of General Services.

[FR Doc. 80-887 Filed 3-20-80; 8:45 am]
BILLING CODE 6730-01-M

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Food and Drug Administration

Armor Pharmaceutical Co.; Kymar Aqueous; Withdrawal of Approval of NADA

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The agency withdraws approval of a new animal drug application (NADA) providing for intramuscular use of Kymar Aqueous, containing chymotrypsin, in dogs, cats, and horses as an anti-inflammatory agent. The sponsor, Armour Pharmaceutical Co., requested this action.

EFFECTIVE DATE: March 31, 1980.

FOR FURTHER INFORMATION CONTACT: Andrew J. Beaulieu, Bureau of Veterinary Medicine (HFV-216), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4093.

SUPPLEMENTARY INFORMATION: Armour Pharmaceutical Co., Box 511, Kankakee, IL 60901, is the sponsor of NADA 12-330 which provides for use of Kymar Aqueous in dogs, cats, and horses to minimize inflammation and edema. The application was originally approved October 25, 1960. By letter of November 2, 1979, the firm requested that approval of the NADA be withdrawn because the product is no longer being marketed. Therefore, under the Federal Food, Drug, and Cosmetic Act (sec. 512(e), 82 Stat. 345-347 (21 U.S.C. 352(b))), under authority delegated to the Commissioner of Food and Drugs (21 CFR 5.1) and redelegated to the Director of the Bureau of Veterinary Medicine (21 CFR 5.84), and in accordance with § 514.115 Withdrawal of approval of applications (21 CFR 514.115), notice is given that approval of NADA 12-330 and all supplements for Kymar Aqueous is hereby withdrawn.

Effective date. March 31, 1980.

Dated: March 31, 1980.

Lester M. Crawford,
Director, Bureau of Veterinary Medicine.

[FR Doc. 80-6424 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-03-M

[DOcket No. 766-0466]

Abbott Laboratories; Withdrawal of Petition for Affirmation of GRAS Status

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: This document announces the withdrawal without prejudice of the petition (GRASP SG0050) proposing that p-(N,N-dichlorosulfamoyl) benzoic acid (Halazone) is generally recognized as safe (GRAS) for use in emergency situations only, as a microcidal chemical for the treatment of potable water of unknown biological quality and where other treatments are not feasible.

FOR FURTHER INFORMATION CONTACT: Corbin I. Miles, Bureau of Foods (HFF-335), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, DC 20204, 202-472-4750.

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409(b), 72 Stat. 1786 (21 U.S.C. 348(b))), the following notice is issued: In accordance with § 171.7 Withdrawal of petition without prejudice of the procedural food additive regulations (21 CFR 171.7), Abbott Laboratories, North Chicago, IL 60064, has withdrawn its petition (GRASP SG0050), notice of which was published in the Federal Register of December 17, 1976 (41 FR 55240) proposing that Halazone (p-(N,N-dichlorosulfamoyl) benzoic acid) is
GRAS for use in emergency situations only, as a microcoidal chemical for the treatment of potable water of unknown biological quality and where other treatments are not feasible.

Dated: March 12, 1980.
Sanford A. Miller,
Director, Bureau of Foods.

[FR Doc. 80-8676 Filed 3-20-80; 8:45 am] BILLING CODE 4110-03-M

[DOCKET NO. 80F-0070]

DOw CHEMICAL CO.; Filing of Food Additive Petition

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The Dow Chemical Co. has filed a petition proposing that the food additive regulations be amended to provide for the safe use of 2-((2-aminoethyl)amino) ethanol as a component of adhesives intended for food-contact use.

FOR FURTHER INFORMATION CONTACT:

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409[b](5), 72 Stat. 1786 [21 U.S.C. 348[b][5]]), notice is given that a petition (FAP 983450) has been filed by the Dow Chemical Co., Box 1847, 2040 Dow Center, Midland, MI 48640, proposing that § 175.105 Adhesives (21 CFR 175.105) of the food additive regulations be amended to provide for the use of 2-((2-aminoethyl)amino) ethanol as a component of adhesives intended for food-contact use.

The agency has determined that the proposed action falls under § 25.1(f)(1)(v) (21 CFR 25.1(f)(1)(v)) and is exempt from the need of an environmental impact analysis report, and that no environmental impact statement is necessary. A copy of all relevant environmental impact materials may be seen in the office of the Hearing Clerk (HFA-905), Food and Drug Administration, Rm. 4-65, 5600 Fishers Lane, Rockville, MD 20857, between 9 a.m. and 4 p.m., Monday through Friday.

Sanford A. Miller,
Director, Bureau of Foods.

[FR Doc. 80-8674 Filed 3-20-80; 8:45 am] BILLING CODE 4110-03-M

[DOCKET NO. 77G-0199]

ICI AMERICAS, INC.; Withdrawal of Petition for Affirmation of GRAS Status

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: This document announces the withdrawal without prejudice of the petition (GRASP 7G0087) proposing affirmation that the glucose isomerase enzyme derived from immobilized Arthrobacter globiformis used in the isomerization of dextrose-containing nutritive sweeteners and the resulting high fructose corn syrup is generally recognized as safe (GRAS).

FOR FURTHER INFORMATION CONTACT:

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409[b], 72 Stat. 1786 [21 U.S.C. 348b]), the following notice is issued:

In accordance with § 171.7 Withdrawal of petition without prejudice (21 CFR 171.7) of the procedural food additive regulations, ICI Americas, Inc., Wilmington, DE 19897, has withdrawn its petition (GRASP 7G0087), notice of which was published in the Federal Register of August 30, 1977 (42 FR 43669) proposing that the glucose isomerase enzyme derived from immobilized Arthrobacter globiformis used in the isomerization of dextrose-containing nutritive sweeteners and the resulting high fructose corn syrup are GRAS.

Sanford A. Miller,
Director, Bureau of Foods.

[FR Doc. 80-8674 Filed 3-20-80; 8:45 am] BILLING CODE 4110-03-M

[DOCKET NO. 80F-0073]

Takeda Chemical Industries, Ltd.; Filing of Food Additive Petition

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: Takeda Chemical Industries, Ltd. has filed a petition proposing that the food additive regulations be amended to provide for the safe use of bis(2-isocyanatomethyl) benzene and bis(isocyanatomethyl) cyclohexane in the production of polyurethane resins for dry food contact.

The potential environmental impact of this action is being reviewed. If this petition results in a regulation, and the agency concludes that an environmental impact statement is not required, the notice of availability of the environmental impact analysis report, statement of exemption, and environmental assessment report, as applicable, will be published in the Federal Register as permitted by 21 CFR 25.25(b).

Dated: March 12, 1980.
Sanford A. Miller,
Director, Bureau of Foods.

[FR Doc. 80-8674 Filed 3-20-80; 8:45 am] BILLING CODE 4110-03-M

[DOCKET NO. 80N-0028]

Draft Bureau of Foods Research Plan; Availability

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The Food and Drug Administration (FDA) announces the availability of the draft Bureau of Foods Research Plan. This notice solicits certain information from research institutions, universities, industry, and government agencies about food- and cosmetic-related research needs. Information received will enable FDA to prepare a final research plan incorporating research proposals that are not duplicative of work being done elsewhere and will provide FDA with valuable information about food- and cosmetic-related research that is ongoing outside of FDA.

DATE: Comments on or before May 20, 1980.

ADDRESS: Written comments, data, or information to the Hearing Clerk (HFA-905), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857.

credibility and merit the support of the public and scientific community depends on the extent to which they reflect the best available scientific knowledge.

We cannot hope to regulate responsibly unless our scientists are intimately familiar with, and indeed contribute to, the latest advances in the biomedical physical sciences. For example, FDA has for many years provided leadership in the development of methods to detect and measure substances that may adulterate foods and drugs. We also conduct research on the underlying mechanisms of diseases resulting from human exposure to toxic substances or radiation, as well as on the carcinogenic, mutagenic, or teratogenic risks of chemicals.

My experience, both as a scientist and as a Dean, has persuaded me that the pursuit of scientific excellence requires the strong support of management. As Commissioner of FDA, I intend to do more than just sustain the scientific climate that now exists. One of my priorities will be to foster mission-oriented research.

At my direction FDA is embarking on an evaluation of current research activities to examine quality, relevance, and efficiency. This evaluation is a first step in any effort to improve the science base upon which regulation rests.

We have begun this process in the Bureau of Foods, where a comprehensive research program was put together by Bureau staff. The Bureau's research plan sets out its objectives, describes the research currently conducted or supported by the Bureau, and outlines future needs in research.

The Bureau's research plan, as developed by its director, Dr. Sanford Miller, and his staff will, when successfully implemented, provide FDA with an outstanding source of information so vitally necessary for a sound food supply. It should command the attention and support of the Congress, the scientific community, industry, and above all, the American people.

The draft Bureau of Foods Research Plan states why FDA requires a research program for foods and cosmetics, and identifies by scientific goal the broad research needs that must be met through the efforts of FDA, other government agencies, and other institutions to gain the scientific knowledge and information necessary for FDA to fulfill its regulatory and public health responsibilities regarding foods and cosmetics.

- FDA cannot, with current resources, conduct all of the research identified in the draft plan. The goal has been to:
  1. Identify what is needed;
  2. Determine which of these needs are being worked on or supported by other groups within and outside of the Federal Government; and,
  3. Initiate those studies not covered by other groups.

Certainly some of the knowledge that FDA needs with respect to food and cosmetics is being, and will continue to be, developed by certain institutes of the National Institutes of Health (NIH) and other government agencies and institutions. There is much to be gained by true collaboration with these agencies and institutions in planning and conducting research on food and cosmetics. To the extent that such research can be planned and carried out as a cooperative enterprise, there is better prospect of more efficient use of resources, and more effective and rapid transfer of information.

Therefore, FDA is making the draft Bureau of Foods Research Plan available to the public so that the agency can determine which of the proposed research needs are being investigated or will be investigated by other government agencies and research institutions and which needs it can most appropriately carry out itself. Because the identified research needs are necessarily broad, FDA requests that interested persons (including representatives from food and cosmetic industries, consumers, research institutions, the academic community, and other government agencies) commenting on the document identify the research needs by research need number and research need title, as identified in the draft plan. Also, comments should indicate with a reasonable level of detail what research they are doing within the research need.

Additionally, commentators should indicate any other research they are aware of that is being done by others whether or not they know details.

Commentators also should provide their name, address, and telephone number (including area code) so that the agency may contact them for additional information.

The agency is not at this time soliciting proposals for specific research projects under contract or by grant.

Public comments will aid FDA in determining which of its research needs are being handled outside of the agency and which require direct FDA funding, and in determining the final priorities for research that requires Bureau of Foods support either through in-house research or through the contract or grants mechanism.

Although a copy of the Research Plan is on display in the office of the Hearing Clerk, Food and Drug Administration, persons wanting individual copies can obtain them from John Tisler, Industry Program Branch (HFA–303), Bureau of Foods, Food and Drug Administration, 200 C St. SW., Washington, DC 20204.

Written comments on the draft Bureau of Foods Research Plan should be sent on or before May 20, 1980 to the office of the Hearing Clerk (HFA–303), Food and
FOR FURTHER INFORMATION CONTACT:

SUPPLEMENTARY INFORMATION: In the Federal Register of June 17, 1977 (42 FR 30884), FDA announced the availability of a questionnaire and invited the participation of all interested parties in a comprehensive industry survey of the use of ingredients in food. The survey was administered by NAS under contract to FDA (Contract No. 223-77-2255). Items covered in the survey included the following: direct food additives, color additives used in food, certain flavors and spices not previously surveyed in the NAS 1971-1972 Phase II GRAS Survey, and miscellaneous ingredients comprised principally of GRAS and/or prior sanctioned substances for which more specific information was needed.

Information received in response to the questionnaire was analyzed by the Committee on the GRAS List Survey—Phase III, Food and Nutrition Board, National Research Council/National Academy of Sciences; and a summary report of aggregate data has been submitted to FDA. FDA now announces the availability of this final report. The report consists of three volumes, each presenting a discussion of, and data from, the 1977 survey. Volume 1 provides an overview of the entire survey: Background information, nature of the questionnaire and the rationale behind its development, and procedures for processing the data. The survey questionnaire document is reproduced as Appendix A to volume 1. Volume 2 contains aggregated food additive data from the survey including annual pointage, reported technical effects, and use levels in more than 200 food subcategories. Volume 3 contains estimates of consumer daily intake of substances reported in the survey. Both volumes 2 and 3 contain sections on methodology and discussions concerning limitations on the interpretation of the data.

Copies of the report may be purchased from the National Technical Information Service, 5285 Port Royal Rd., Springfield, VA 22151. Ordering information is as follows:

<table>
<thead>
<tr>
<th>Title</th>
<th>Order No.</th>
<th>Code</th>
<th>Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>&quot;The 1977 Survey of Industry on the Use of Food Additives&quot;</td>
<td>P8 80-113418.</td>
<td>E05</td>
<td>12.50</td>
</tr>
<tr>
<td><em>Price subject to change.</em></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857.

Dated: March 12, 1980.
Sanford A. Miller,
Director, Bureau of Foods.

[FR Doc. 80-2537 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-03-M

[Docket No: 79P-0444(CP)]

Tomato Juice Deviating From Identity Standard; Temporary Permit for Market Testing

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: This notice announces that a temporary permit has been issued to the Campbell Soup Co. to market test tomato juice from concentrate to which ascorbic acid is added to attain a vitamin C level of 3 milligrams per fluid ounce. The purpose of the temporary permit is to permit the applicant to measure consumer acceptance of the food.

EFFECTIVE DATE: This permit is effective for 15 months, beginning on the date the new food is introduced into or caused to be introduced into interstate commerce, but no later than June 19, 1980. However, the permit may terminate sooner, depending upon the final action on the Food and Drug Administration's proposal to amend the standard of identity for tomato juice published in the Federal Register of May 9, 1978 (43 FR 19864). If the proposal is affirmed, the permit will terminate on the effective date of the final regulation. If the proposal is rejected, the permit will expire 30 days after such negative ruling on the proposal.

FOR FURTHER INFORMATION CONTACT:

SUPPLEMENTARY INFORMATION: In accordance with § 130.17 (21 CFR 130.17) concerning temporary permits to facilitate market testing of foods varying from the requirements of the standards of identity promulgated under section 401 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 341), notice is given that a temporary permit has been issued to the Campbell Soup Co., Camden, NJ 08102. This permit covers interstate marketing tests of tomato juice that deviates from the standard of identity prescribed in § 156.145 (21 CFR 156.145). The permit provides for the temporary marketing of 112,500 cases of twelve 46-ounce cans and 175,000 cases of forty-eight 6-ounce cans of the product to be distributed in the eastern half of the State of Washington; ten counties in western Montana; four counties in northeastern Oregon; three counties in northwestern Pennsylvania; the State of New York, excluding metropolitan New York City; and in the State of Arizona. The product is prepared from tomato paste that complies with the requirements of § 156.191(a)(1) (21 CFR 156.191(a)(1)). The test product will be equivalent to a single-strength tomato juice normally found in the market place. The finished product will contain not less than 5.5 percent tomato soluble solids. In addition, ascorbic acid will be added to attain a level of 3 milligrams per fluid ounce of vitamin C in the finished product.

The principal display panel of the label will state the product name as "tomato juice from concentrate". Each of the ingredients used will be stated on the label as required by the applicable sections of 21 CFR Part 101, except that the tomato ingredient complying with the requirements of § 156.191(a)(1) will be declared as "tomato concentrate." This permit is effective for 15 months, beginning on the date the new food is introduced or caused to be introduced into interstate commerce, but no later than June 19, 1980. However, the permit may terminate sooner, depending upon the final action on FDA's proposal to amend the standard of identity for tomato juice published in the Federal Register of May 9, 1978 (43 FR 19864). If the proposal is affirmed, the permit will terminate on the effective date of the final regulation. If the proposal is rejected, the permit will expire 30 days after the negative ruling on the proposal.

Dated: March 12, 1980.
William F. Randolph,
Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-2074 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-03-M

[Docket No. 77N-0130]

1977 Survey of Industry on the Use of Food Additives; Availability

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The agency announces the availability, through the National Technical Information Service (NTIS), of the final report of the 1977 survey of industry on the use of food additives. The survey was conducted by the National Academy of Sciences (NAS) under contract to the Food and Drug Administration (FDA).
Office of Human Development Services

White House Conference on Aging National Advisory Committee; Meeting; Correction

AGENCY: Office of Human Development Services, DHHS.

ACTION: Correction.

SUMMARY: This document corrects the room number for the White House Conference on Aging National Advisory Committee meeting, published at 45 FR 15266, March 7, 1980.


SUPPLEMENTARY INFORMATION: In FR Doc. 80-1722, appearing in the issue of Friday, March 10, 1980, on page 15266, make the following correction:
The room number for the White House Conference on Aging National Advisory Committee meetings on Monday, March 24, 1980, and Tuesday, March 25, 1980, should read 800F Hubert Humphrey Building, 330 Independence Avenue, S.W., Washington, D.C. 20201, respectively.

Approved: March 18, 1980.

Arnold Sampson,
Human Development Services, Committee Management Officer.

Office of the Assistant Secretary for Planning and Evaluation

Revised Notice of Intent To Initiate National Long-Term Care Channeling Demonstration Program

Introduction

On December 21, 1979, the Department of Health, Education and Welfare published a Notice in the Federal Register setting forth the intent of the Department to initiate the National Long-Term Care Channeling Demonstration Program. The principal purposes of the Program are:

1. To stimulate system level changes in the organization of the delivery system, the relationship among service providers, and in the way existing long-term care dollars are allocated;

2. To create at the community level the structures that are necessary to coordinate, manage and arrange for the provision of appropriate and efficient long-term care services on behalf of the clients who need such services; and

3. To collect comparable information across the demonstration projects that will assist HEW in the development of a comprehensive long-term care policy including the legislative and administrative specifications required to implement policy objectives.

In response to comments to the December 21st Notice of Intent as well as additional staff planning, the Department has further refined several of the specifications included in the original Notice. This Revised Notice of Intent does not supersede the originally published Notice. Rather, it outlines specific refinements in the areas of the procurement strategy, program design and solicitation schedule. It should be noted that The Following Information Relates Only to Demonstration Project Activities and Not to the Evaluation or Technical Assistance Aspects of the National Channeling Demonstration Program. No further specifications will be made available prior to the issuance of the official solicitation, now scheduled to be announced in April, 1980.

Procurement Strategy

The Department has developed a procurement strategy which acknowledges the significant role of state government in the financial, administrative and programmatic decisions about current and future long-term care services and mechanisms of service delivery. To reinforce this critical role and insure the active participation of state governments in the demonstration effort, the Department has determined that Proposals for Channeling Demonstration Awards will be Accepted Only From State Level Units or Agencies of State Government. For purposes of the National Channeling Demonstration Program, all references to state government include the governing structures of the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa and the Trust Territory of the Pacific Islands.

In Fiscal Year 1980, HEW expects to make two types of awards to states:
1. Contracts will be awarded for channeling demonstration projects in response to a Request for Proposals (RFP), and
2. Grants will be awarded for state level long-term care system development projects in response to a Grants Announcement to be issued concurrently with the RFP.

A state may submit one proposal for each type of award. However, since channeling demonstration projects will be expected to incorporate tasks at the state level which are comparable to those to be performed under the system development grants, those states that will be awarded channeling demonstration project contracts will not receive a system development grant.

If a state chooses to respond to either or both solicitations with a proposal, the Governor of that state will be expected to designate a program agency or administrative unit of state government to assume lead responsibility for proposal preparation and, if successful, for administering the award. The Department expects that the agency/unit designated as the lead is knowledgeable about long-term care services in the state, including community-based as well as institutional long-term care concerns.

The designated lead agency/unit will be expected to establish a working group to provide active support to proposal efforts and if successful, to the planning, development and implementation of the award. The Department will require that members of the working group will include, at a minimum, the principal official or a senior staff person from the state units having responsibility for preparing and administering the State Plans for Medical Assistance (Title XIX/SSA), Social Services (Title XX/SSA) and Aging (Title III/OAA). If one of these agencies is designated as the lead, the remaining two will constitute the core of the working group. The Department also will require that the principal officials of at least these three units of state government will sign off on the proposal(s) being submitted.

A. Channeling Demonstration Project Awards

States receiving channeling demonstration project contracts will be expected to support the development and implementation of projects at the community level which place particular emphasis on case management as a method for organizing care to meet individual client needs. The term "channeling" is used to refer to organizational structures and operating systems to coordinate available long-term care resources and manage them effectively on behalf of clients.

Each state applicant will be expected to propose, in their proposal submission, no more than two community sites for locating the channeling project.
general, the catchment area(s) for the proposed site(s) should be of sufficient size to generate an active caseload of no fewer than 300 clients for care management services. In addition, each proposed site should have the capacity to provide a range of services that can be characterized as appropriate to respond to long-term care needs. Finally, the proposed site(s) should have the support of community political leadership, service providers and the community at large.

For each proposed channeling demonstration project site, offerors will be expected to identify an agency or organization to operate the project at the community level. The agency or organization proposed by the state shall be either a public entity (e.g., sub-state unit of state government, multi-county or county organization, general purpose local government, special purpose public authority), or a non-profit voluntary organization. It should be noted that at least one of the sites proposed for the channeling demonstration project must be operated by a public agency other than a state or sub-state unit of government or by a non-profit voluntary agency or organization.

Although two sites may be proposed, in FY 1980, contracts will be awarded to support only one site per state. The Department reserves the right to approve final selection of each state's channeling demonstration project site, including the agency/organization to operate the demonstration project at the site.

The Department is very interested in supporting channeling demonstration projects located in rural areas as well as more heavily populated and "service-rich" communities. Offerors proposing rural projects may suggest innovative means for providing long-term care services where the range of relevant formally organized services currently is limited or non-existent.

**B. System Development Project Awards**

In addition to the channeling demonstration projects described above, the Department is expected to solicit proposals from state governments for long-term care system development grants. A Separate Grants Announcement Will Be Issued for This Purpose.

The intent of the Department in awarding long-term care system development grants is to stimulate state level planning and development of more effective systems for coordinating and delivering long-term care services. The activities to be performed under these grants include the preparation of a State-of-the-Art Assessment of the current characteristics and status of long-term care services in the state and the development of a State Plan for Long-Term Care, which can be used to guide long-range system changes at the state and sub-state levels.

It should be noted that the Department expects that state level activities supported by the system development grants will have significant impact on the extent to which and manner by which states plan for and develop their long-term services and systems of service delivery. Activities supported by system development grants also are expected to stimulate the development of channeling demonstration project proposals in FY 1981.

**Program Design for Channeling Demonstration Projects**

The Department has developed an overall design for the National Channeling Demonstration Program that will permit the evaluation of several approaches to organizing and managing long-term care resources within a community. The FY 1980 solicitation will focus on basic channeling functions, which include intake and client screening, comprehensive assessment, referral and case management (services planning, acquisition, coordination and monitoring). Demonstration funds will be used primarily to support these basic channeling functions, and associated administrative, review and data collection activities.

A sub-set of channeling demonstration projects funded in FY 1980 also will receive some additional resources to support the innovative provision of gap-filling services. Except for these discretionary service funds, however, each channeling demonstration project is expected to rely on resources which exist or can be generated within the state to support the provision of direct services to their clients. It should be noted that the basic channeling model does not include any alteration of existing federally-regulated benefit, eligibility or reimbursement requirements. Requests for waivers of such federal requirements to expand the basic channeling model will not be considered by the Department until a project has been fully operational and serving its maximum client caseload for at least one year.

In FY 1981, the Department expects to seek additional state contractors to implement other channeling design variations, some of which are expected to necessitate Social Security Act 1115 and/or 222 waivers. Waivers are expected to cover alterations in federal benefit and/or eligibility requirements and various reimbursement strategies. These channeling demonstration projects will be solicited by a separate Request for Proposals, expected to be issued by the end of the second quarter of FY 1981. States receiving either channeling demonstration project contracts or system development grants in FY 1980 will be eligible to compete for the FY 1981 channeling demonstration project solicitation.

**Solicitation Schedule**

The Department anticipates that the channeling demonstration project RFP and the system development Grant Announcement will be issued in April, 1980. Contract and grant awards in response to these two solicitations will be made no later than September 30, 1980.

FY 1980 contract awards for channeling demonstration projects will be made for a period of two years, with a three year option to renew. Consideration of renewal options will be based on performance, continuing evaluation requirements and the continued availability of federal funds. Grant awards for state level system development projects will be made for a period of one year. No continuation grants for system development projects beyond the one year period are contemplated.

The Department anticipates awarding up to ten Channeling Demonstration Project contract awards in FY 1980 and up to an additional ten Channeling Demonstration contracts for implementing other channeling approaches in FY 1981, if sufficient funds are available. Except for those states awarded Channeling Demonstration Project contracts in FY 1980, all states are eligible to receive system development project grants.

It should be noted that Fiscal Year 1980 contract awards are intended to support new projects. The Department expects that continuation requests for existing federally-funded (or waiver only) demonstration projects which have a channeling focus will be submitted to the agency currently supporting the project, and that continuation support will be negotiated directly with that agency. In Fiscal Year 1981, the Department may consider including such federally supported projects in the National Channeling Demonstration Program.

**Requests for Fiscal Year 1980 Solicitations**

As stated previously, both the channeling demonstration project FRP and the long-term care system development Grant Announcement are
expected to be issued in April, 1980. It currently is anticipated that the RFPs relating to the national evaluation and technical assistance aspects of the Program also will be issued in April. Notices of the issuance of the RFPs and Grant Announcement will be placed in the Commerce Business Daily or the Federal Register, as appropriate.

Copies of this revised notice and of the Channeling Demonstration Project RFP are being sent automatically to all individuals and organizations that requested to be placed on the Department's mailing list as a result of the December 21st Notice. Copies of the Long-Term Care System Development Grant Announcement also will be sent automatically to Governor's Offices and other Relevant State Agencies. Other organizations or individuals wishing to receive the Grant Announcement and/or the Channeling Demonstration Project RFP may request a copy from the appropriate issuing agency after notices of their issuance are published in the Federal Register or the Commerce Business Daily.


[FR Doc. 80-747 Filed 3-20-80; 8:45 am] BILLING CODE 4110-12-M


AGENCY: Office of the Assistant Secretary for Planning and Evaluation (ASPE), DHEW.

ACTION: Notification of New System of Records.

SUMMARY: In accordance with 5 U.S.C. 552a(e)(4), we are issuing public notice of our intent to establish a new system of records: Michigan Longitudinal Study (also called the Panel Study of Income Dynamics), HEW/OS/ASPE, 09-90-0051. We are proposing also to include routine uses with the system in accordance with 5 U.S.C. 552a(e)(11). The proposed new system will permit the continuation of the conduct of a longitudinal study of a nationally representative sample of households, the validation of data collected from respondents, the following from year-to-year of respondents. Non-individually identifiable data are used for analysis by the contractor for this study, any agency of government, and any member of the public who wishes to conduct statistical analysis of such data.

We will collect data on about 6300 families containing about 16000 individuals. Because we follow persons who leave home to establish households of their own, the number of families interviewed each year grows. This is the minimum number of families that are necessary in a study of this type to yield statistically reliable national estimates for cross-sectional and longitudinal analyses.

This system of records has existed since 1968 when the Privacy Act of 1974 took effect. A legal opinion of the General Counsel of the Department of Health, Education, and Welfare determined that this system did not fall under the Privacy Act of 1974. Accordingly, we deleted the system of records for this study from the Department's inventory of systems of records by a notice in the Federal Register (41 FR 55207, September 20, 1978). A recent, legal opinion by the General Counsel of the Office of Management and Budget determined that study which they cited in the Federal Register (Vol. 45, No. 63, October 1, 1980). We propose to issue a public notice of our intent to establish a new system of records in accordance with this legal opinion. We invite public comments on these proposals, including the routine use disclosures described in the routine use section of the notice.

DATES: We filed a report of new system of records with the President of the Senate, the Speaker of the House of Representatives, and the Director, Office of Management and Budget (OMB) on March 4, 1980. This system will become effective, except for the routine use disclosures, on March 21, 1980. The proposed routine use disclosures we receive will become effective April 21, 1980, comments which would result in a contrary determination.

ADDRESS: Address comments to the ASPE Privacy Officer, Department of Health, Education, and Welfare, 200 Independence Ave., S.W., Washington, D.C. 20201. We will make comments received available for public inspection in Room 432E, Hubert Humphrey Building, at the above address.

FOR FURTHER INFORMATION CONTACT: Mr. Gordon P. Goodfellow, Project Monitor, Office of the Assistant Secretary for Planning and Evaluation, 200 Independence Ave., S.W., Washington, D.C. 20201, telephone (202) 245-7181.

SUPPLEMENTARY INFORMATION: We are proposing to establish this system to permit the continuation of a longitudinal data collection from a nationally representative sample of households. In order to conduct a study which returns year-after-year to the same individuals it is necessary to maintain a list of the names, current addresses and telephone numbers of respondents in the sample. Furthermore, because the study design calls for following persons who leave households to establish households of their own, it is necessary to add new names, addresses and telephone numbers to the list of names, addresses and telephone numbers, as well as updating the list from information provided by respondents. Also, it is necessary to link current data collected from respondents through interviews with data previously collected from the same respondent. This is made possible by unique identification numbers common to the list of names, addresses, and telephone numbers and to non-individually identifiable data that are collected from respondents through interviews. Thus, the file that contains the names of respondents also contains each of the yearly identification numbers assigned to individuals in the study. The link between this year's and previous years' non-individually identifiable data is through these identification numbers. Because we pay respondents for their participation, we maintain certain accounting information on the file that contains the names and addresses of respondents. Hereafter, access to this file by the name of a respondent is only to check a report by a respondent that he has not received payment. This system is neither designed nor intended to be used to measure the behavior of any specific individual with respect to any data that are collected as part of this study through the interviews.

The Privacy Act of 1974 allows us to disclose information without the consent of the individual for "routine uses", that is, disclosure for purposes which are compatible with the purposes for which the data are collected. Accordingly, we are establishing two routine uses of information in this system. These routine uses provide for disclosure in response to congressional inquiries made at the request of any individual included in the system of records and to the Department of Justice to defend any employee or component of DHEW who may be involved in litigation that is likely to directly affect the operations of DHEW, provided the disclosures are compatible with the purposes for which we collected the information.

Individually identifiable data are maintained at the University of Michigan, the constructor for this study. The contractor safeguards the identifiable data according to the Department's ADP Systems Manual, "Part 5: ADP System Security." Identifiable data on other than magnetic tape of disk are maintained by the contractor in locked cabinets in a
limited access area. Access to identifiable data is obtained only with the specific permission of the contractor's project monitor and only for purposes consistent with the conduct of a longitudinal study. We further protect magnetic data with special account numbers and passwords.

Since we propose to establish this system in accordance with the requirements of the Privacy Act, we anticipate no untoward effect on the privacy or other personal rights of individuals.

Dated: March 4, 1980.

John L. Palmer,
Assistant Secretary for Planning and Evaluation, Designate.

00-90-0081

SYSTEM NAME:
Michigan Longitudinal Study (also called the Panel Study of Income Dynamics) HEW/OS/ASPE.

SECURITY CLASSIFICATION:
None.

SYSTEM LOCATION:
Institute for Social Research, Survey Research Center, University of Michigan, Ann Arbor, Michigan 48106.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:
A national sample of approximately 6500 households containing about 18,000 individuals with information relating to all household members.

CATEGORIES OF RECORDS IN THE SYSTEM:
The contractor maintains two separate sets of files. One contains identifiable information necessary to conduct the study. The second contains the data the study was designed to collect.

The identifiable information is a list of names, addresses, and current telephone numbers of heads of household and the names of other family members. This file also contains unique identification numbers used to link this year's non-individually identified data with similar data from past years. In addition, because we pay respondents for their participation in the study, we keep certain accounting information on the file—a record of the issuance and cancellation of a check. Heretofore, access by the contractor to the identifiable data by name and address has been only to check a report by a respondent that he has not been paid.

Non-individually identifiable information includes demographic characteristics, sources and amounts of income, amount and kind of work, education of household head and other family members, changes in family composition through the years, residential mobility, attitudes toward self and work, and life style.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:
Section 232 of the Community Services Act of 1974.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:
Disclosure may be made:
1. To a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.
2. In the event of litigation where one of the parties is (a) the Department, any component of the Department, or any employee of the Department in his or her official capacity; (b) the United States where the Department determines that the claim, if successful, is likely to directly affect the operations of the Department or any of its components; or (c) any Department employee in his or her official capacity where the Justice Department has agreed to represent such employee, the Department may disclose such records as it deems desirable or necessary to the Department of Justice to enable that Department to effectively represent such party, provided such disclosure is compatible with the purposes for which such records were collected.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:
Magnetic tape and disk, paper and card records.

RETRIEVABILITY:
The contractor performs linkage between the data sets through a number common to both the identified data and the non-individually identified statistical data for purposes of validating and matching the year-to-year data. Heretofore, except for checking on the payment to a respondent, linkage between data sets is always from the identified data to the non-individually identified data to the identified data for purposes of validation of data and management of data collection.

SAFEGUARDS:
The contractor stores cover sheets containing individual identifiers separately from the questionnaires that contain other non-individually identifiable information. Magnetic tapes that contain individually identifiable information are stored in a locked safe, and the cover sheets are stored in locked file cabinets in a limited access area. Magnetic data are further protected by special account numbers and passwords.

The contractor conforms to the Department's ADP Systems Manual, "Part 6, ADP System Security." Access to the numbers associated with the identifiable data and the non-individually identified statistical data (which could be used to link the identifiable data—a list of names, addresses, and telephone numbers—with the data collected on the questionnaires) is subject to the specific approval of the Project Director at the Institute for Social Research.

Institute for Social Research: Non-individually identifiable data are used for statistical analysis of changes in economic status through time. Additional research topics include, but are not limited to, residential mobility, family breakup, labor supply, and the effects of government programs.

Information with individual identifiers is used to validate data and to locate respondents from year-to-year. These uses are performed only by persons employed by the Institute for Social Research and only on a "need to know" basis. The data without personal identifiers is available to any member of the public or to any agency of government.

Assistant Secretary for Planning and Evaluation: The Office of the Assistant Secretary for Planning and Evaluation conducts analysis of non-individually identifiable data similar to those conducted by the Institute for Social Research.

The government project monitor may have access to the identifiable records only to monitor the contractor's performance of the study.

RETENTION AND DISPOSAL:
It is anticipated that the cover sheets and magnetic files that contain individually identifiable information will be destroyed by shredding or degaussing three years after field work on this study ceases or at such time as it is determined that no further validation of the data will be undertaken.

There are no plans for the destruction of the non-individually identifiable statistical data that have been collected on respondents over the life of this study.

SYSTEM MANAGER(S) AND ADDRESS:
NOTIFICATION PROCEDURE:

Dr. James N. Morgan, Institute for Social Research, University of Michigan, Ann Arbor, Michigan 48106.

An individual requesting notice as to whether the system of records contains information pertaining to him or her should write to the Institute for Social Research project director at the above address, indicating his or her full name, current address and other addresses used since December 31, 1967.

RECORD ACCESS PROCEDURES:

Individuals who, through the notification procedure set out above, have established that the system of records contains information pertaining to them may inspect and copy those portions of the records pertaining to them at the Institute for Social Research project officer's place of business, or the project officer will establish a date after which the record will be available at a place requested by the individual.

CONTESTING RECORD PROCEDURES:

Contact the project officer at the Institute for Social Research, reasonably identify the record, specify the information being contested, and supply the correct information.

RECORD SOURCE CATEGORIES:

Information is obtained either from telephone or face-to-face interviews each year since 1968.

[FR Doc. 80-7222 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-12-M

Office of Education

National Demonstration Projects, Upward Bound and Special Services for Disadvantaged Students

AGENCY: Office of Education, HEW.

ACTION: Notice of Dates and Location for Application Preparation Workshops.

SUMMARY: Two Application Preparation Workshops will be conducted to aid prospective applicants in developing applications for the National Demonstrations for the New Concept Special Services and the Special Emphasis Upward Bound projects.

DATES: Application Preparation Workshops will be conducted on April 14, 1980 and again on April 18, 1980.

ADDRESS: Auditorium, First Floor, Hubert H. Humphrey Building, 200 Independence Ave. SW., Washington, D.C.

FOR FURTHER INFORMATION CONTACT: Program Development Branch, Division of Student Services and Veterans Programs, Room 5514, ROB-3, U.S. Office of Education, 400 Maryland Avenue SW., Washington, D.C. 20222.

Phone: (202) 245-2511.

SUPPLEMENTARY INFORMATION: Each Application Preparation Workshop will be one day in length. Registration for each workshop will begin at 8:30 a.m. Presentations are scheduled from 9:00 a.m. to 5:00 p.m.

The presentations will include a review of the requirements for filing applications for grants under the Special Programs for Students from Disadvantaged Backgrounds and a review of the regulations and the concept papers for the New Concept Special Services and the Special Emphasis Upward Bound programs. Time will be provided throughout the day for questions and answers.

(Catalog of Federal Domestic Assistance Numbers; 13.482 Special Services for Disadvantaged Students; and 13.492 Upward Bound)

Dated: March 12, 1980.

William L. Smith,

U.S. Commissioner of Education.

[FR Doc. 80-7222 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-12-M

Office of the Secretary

New System of Records


ACTION: Notification of a New System of Records.

SUMMARY: The Secretary's Advisory Committee Candidate File (#09-90-0080) supports the staffing and personnel requirements of the Department for encumbent, and vacant positions on Advisory Committees. We invite interested parties to submit comments on routine uses of the system on or before April 21, 1980.

DATES: The new system report was sent to OMB and the Congress on March 17, 1980. The new system will be operational sixty days after this date (May 16, 1980) unless we receive comments on the routine uses which would result in a contrary determination.

ADDRESS: Public comments should be sent to the Department of Health, Education, and Welfare, Special Assistant to the Secretary for Advisory Committees (HEW-OS-IOS), Room 633F, Hubert Humphrey Building, 200 Independence Avenue, SW., Washington, D.C. 20201.

FOR FURTHER INFORMATION CONTACT: Contact Dr. Elizabeth Johns, Special Assistant to the Secretary, Room 633F, 200 Independence Avenue, S.W., Washington, D.C. (202) 245-6891.

SUPPLEMENTARY INFORMATION: The Secretary's Advisory Committee Candidate File system is used within the Department to catalogue those individuals who wish to be considered for vacancies on Advisory Committees. Access to the computer files is controlled through a unique identification and password system, and these controls are only available to those individuals assigned to the Advisory Committee staff.

DHEW will modify the current system concept to add the capability to interactively create and maintain individual records on the computer file for each candidate wishing to be considered for a position on an Advisory Committee, plus the interactive capability to retrieve records from the file based on specific requirements of a position on an Advisory Committee.

For this new system report all entries reflect the addition of state-of-the-art computer technology under the management of the Immediate Office of the Secretary, i.e., the use of an interactive, on-line computer system for individual record creations and updates plus a flexible query capability.

Each candidate record will also contain an indicator of physical disability. All information in the system will be safeguarded with unique identification numbers and passwords. These procedures will deny access to unauthorized use of the system.

Dated: March 17, 1980.

Randolph S. Kinder,

Executive Secretary to the Department.

09-90-0080

SYSTEM NAME:

The Secretary's Advisory Committee Candidate Files HEW-IOS.

SECURITY CLASSIFICATION:

None.

SYSTEM LOCATION:

Department of Health, Education, and Welfare, Immediate Office of the Secretary, Special Assistant to the Secretary for Advisory Committees, Room 633F Humphrey Building, 200 Independence Avenue SW., Washington, D.C. 20201.

Computer site and location: ADP Network Services, Inc., 40 2nd Avenue, Waltham, Mass. 02154.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who are being considered for membership on advisory committees.
within the jurisdiction of the Department of Health, Education, and Welfare.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information maintained on individuals recommended as members of Advisory Committees, subject to this notice consists of one or more of the following:

- name, title, sex, physically disabled indicator, place and date of birth, home address, business address,
- organizational affiliation, ethnic background, resume; curriculum vitae, dates of term(s) on advisory committee,
- current status on advisory committee, reason for leaving advisory committee, previous or current membership on other advisory committees, special qualifications of the individual for the advisory committee membership, source who recommended the individual for membership on advisory committee and miscellaneous correspondence.

Additionally, letters of recommendation are included in the file.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

5 U.S.C. 3301.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In accordance with Appendix B, Departmental Regulations (45 CFR Part 5b) Items 01, 3.

In the event of litigation where one of the parties is (a) the Department, any component of the Department or, any employee of the Department in his or her official capacity; (b) the United States where the Department determines that the claim, if successful, is likely to directly affect the operations of the Department or any of its components; or (c) any Department employee in his or her individual capacity where the Justice Department has agreed to represent such employee, the Department may disclose such records as it deems desirable or necessary to the Department of Justice to enable that Department to effectively represent such party, provided such disclosure is compatible with the purpose for which the records were collected.

Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

POLICIES AND PRACTICES FOR STORING, RETRIEVALABILITY:

For the most part records are maintained in an alphabetical index by name of the individual. Certain files are based on other factors, e.g., Advisory Committee name, with a cross index based on an alphabetical listing of individuals. Certain other records are retrievable by individually identifiable computer identification codes, last name, first name, sex, handicapped indicator, professional expertise and ethnic background. All fields within the individual computer record can be accessed by the computer system language, and are available for administrative purposes via on-line, interactive terminals either in hard-copy or electronic visual display devices for reports. Records of candidates for Advisory Committees will be created and maintained on the data base which is accessible by on-line, interactive computer technology. Uses include special administrative reports, quarterly alphabetical listings of past, present, and recommended members of Advisory Committees, computer lists of vacancies, appointments, separations, active members, statistical reports by sex, youth, geographical location, etc.; documentation of nominations; and other administrative needs.

SAFEGUARDS:

Direct access to records is restricted to authorized personnel through locked files, rooms, and buildings as well as building pass and security guard sign-in systems. Certain facilities are also protected by closed circuit television systems. Computer systems are secured through locked magnetic tape libraries as well as lockword-password computer access systems.

RETENTION AND DISPOSAL:

Retention is variable from one year to permanent retention depending upon the type of record, e.g., names of former members of advisory committees are retained permanently. Records are disposed of as trash by the system manager or Office of Security depending on the confidentiality of the information contained on the record.

SYSTEM MANAGER(S) AND ADDRESS:

Dr. Elizabeth Johns, Room 633 F
Humphrey Building, 200 Independence Avenue, S.W., Washington, D.C. 20201

NOTIFICATION PROCEDURE:

Write to the Systems Manager at the above address.

Verification of identification of individuals inquiring as to information contained in this system of records will be required by the submission of full last name, first name, and address of residence.

RECORD ACCESS PROCEDURES:

Same as notification procedures.

Requests should also reasonably specify the record contents being sought. (These access procedures are in accordance with the Department Regulations (45 CFR, Section 5b.5[a](2) Federal Register, October 8, 1975, page 47410).

CONTESTING RECORD PROCEDURES:

Contact the official at the address specified under notification procedures above, and reasonably identify the record and specify the information to be contested. (These procedures are in accordance with Department Regulations (45 CFR Section 5b.7) Federal Register, October 8, 1975, page 47411).

RECORD SOURCE CATEGORIES:

The vast majority of information contained in records on individuals is obtained directly from the individual. Other information in the form of references and recommendations is obtained from other private individuals, program personnel, biographical reference books, private organizations, former employers, regional offices of HEW, Members of Congress, and other government sources.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT: None.

Office of the Secretary, Dr. Elizabeth Johns, Room 633 F, Humphrey Building, 200 Independence Avenue, S.W., Washington, D.C. 20201

[FR Doc. 80-8784 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-12-M

Office of Public Affairs; Statement of Organization, Functions, and Delegations of Authority

Part A. Office of the Secretary, Chapter AP, Office of Public Affairs (43 FR 52062, November 8, 1978 as amended 44 FR 49310, August 21, 1979) is replaced. The following is inserted in its place reflecting a new statement of organization and functions.

Replace Chapter AP with the following:

Section AP.00. Mission. The mission of the Office of Public Affairs is to serve as the Secretary's principal public affairs policy advisor; to provide centralized professional leadership, communications services, and continuous monitoring and evaluation of Departmentwide policies, procedures and operating practices regarding public affairs activities; and to administer the...
Freedom of Information and Privacy Acts.

Section AP.10. Organization. The Office of Public Affairs—headed by the Assistant Secretary for Public Affairs, who reports to the Secretary—consists of the following organizations:

The Office of the Assistant Secretary for Public Affairs
The Office of the Deputy Assistant Secretary for Operations Management, News Division, Regional Liaison Division, Freedom of Information/Privacy Division
The Office of the Deputy Assistant Secretary for Communication Planning, Communication Services Division, Management, Planning and Evaluation Division
The Office of the Deputy Assistant Secretary for Issue and Policy Analysis, Policy Analysis Division

Section AP.20. Functions.

A. The Office of the Assistant Secretary for Public Affairs:
Provides executive leadership, policy direction, and management strategy for the Department's public affairs programs and activities. Counsels and acts for the Secretary and the Department in carrying out responsibilities under statutes, Presidential directives, and Secretarial orders for informing the general public, specialized audiences, HEW employees, and other Federal employees about the programs, policies and services of the Department. Establishes and enforces policies and practices which produce an accurate, clear, efficient and consistent flow of information to the general public and other audiences about Departmental programs and activities.
Maintains channels and procedures for transmitting to the Departmental policy officials information on how the Department's programs and proposals are viewed by both the general and specialized publics.
Consults on the selection of key public affairs personnel in the Principal Operating Components (POCs) and the Regions. Coordinates and advises on various public affairs personnel matters throughout the Department. Concurs in all public affairs plans and budgets for the POCs and regional offices and otherwise exercises functional supervision over their public affairs activities.
Insures coordination among public affairs components. Manages public affairs issues and special activities that cut across POC lines.
Reviews and approves all news releases and other public affairs materials produced with Departmental funds. Reviews and approves contracts for public affairs services.

B. Office of the Deputy Assistant Secretary for Operations Management:
Is responsible for policies and activities related to providing the public information about the Department's policies and programs through the news media, editorial services, field liaison, citizen participation and information access and privacy protection.
Is responsible for management oversight of OASPA administration.
Provides administrative and budgetary services for the Office of Public Affairs.
Provides advice and assistance on all public affairs matters to the Offices of Planning and Evaluation, Inspector General and Refugee Affairs in the Office of the Secretary.

B.1. News Division:
Plans, directs and coordinates the issuance of public information from HEW to the news media.
Prepares news releases and other news material for the Secretary and other top Department officials. Reviews all news releases and other news materials prepared by HEW components.
Coordinates and arranges news conferences, briefings, interviews and appearances by the Secretary and other key HEW officials with the press and broadcast media.
Directs the preparation of the Green Sheet, a daily compilation of news concerning HEW programs and activities.

B.2. Freedom of Information/Privacy Division:
Administers information access and privacy protection laws and HEW regulations implementing these laws to insure Department-wide consistency in information disclosure/confidentiality policies, practices and procedures. Such laws include the Freedom of Information Act and the Privacy Act, as well as the open meetings provisions of the Federal Advisory Committee Act, the Government in the Sunshine Act and the disclosure provisions of the Ethics in Government Act.
In concert with Office of General Counsel staff, assists in development of regulations implementing these statutes and develops policy interpretations and guidelines as well as procedural materials and training programs for all Department components.
Provides responses to requests made under the Freedom of Information Act and determines the availability of records and information under the law and HEW regulations.
Directs operation of the HEW Public Reading Room, and the visitors information and telephone locator services. Provides policy guidance on and maintains the index of materials required by FOIA.

B.3. Regional Liaison Division:
Serves as liaison between regional staff and headquarters agencies.
Coordinates public affairs activities among regions.
Provides technical assistance and general information to public affairs staff in the regions.
Advises the ASPA on all regional public affairs issues and procedures.
Provides briefing materials for Presidential and Secretarial travel to the regions.
Coordinates, assists and maintains liaison with all elements of the Department, including the regions in outreach activities.
Reviews, and approves or disapproves, proposals for citizen participation by all elements of the Department. Acts as the central resource within HEW for information on citizen participation techniques and procedures.
Insures that Spanish-speaking communities have access to information about the Department's programs and activities.
Conducts the HEW Speakers Bureau and coordinates the scheduling of speaking engagements of policy-level officials of the Department.

C. Office of the Deputy Assistant Secretary for Communication Planning:
Is responsible for policies and activities related to managing the process by which the Department communicates directly with the public through multi-media information campaigns. This includes communication planning, evaluation, review, approval and program support services.

C.1. Communication Services Division:
Exercises management oversight of all audiovisual activities in and for the Department.
Establishes Departmental policy and procedures for the procurement, design, production, distribution and quality control of audiovisual products and exhibits.
Reviews and approves all audiovisual products and exhibits.
Reviews audiovisual aspects of HEW components' public affairs plans to insure they are supportive of HEW policy.
Monitors, coordinates and provides technical assistance on the development of audiovisual products and exhibits.
Reviews and approves all HEW publications.
Establishes Departmental policy and procedures for the design, production,
assures coordination and review by appropriate elements within OASPA on issues that have public participation and disclosure aspects.

Attends regulation panel meetings and coordinates public affairs involvement in regulations with public affairs directors throughout the Department.

Prepare publications with Department-wide implications. Serves as a resource for preparing, reviewing, and editing written materials. Prepares statements, articles, and related material for the Secretary and other top Departmental officials.

Drafts other materials for non-Departmental publications.

Edits Congressional testimony of Departmental officials.

Dated: March 14, 1980.

Patricia Roberts Harris, Secretary.

[FR Doc. 80-8028 Filed 3-20-80; 8:45 am] BILLING CODE 4110-12-M

Public Health Service

Office of Health Research, Statistics, and Technology, National Center for Health Care Technology; Notification of New Policy Notice

The National Center for Health Care Technology (Center) is responsible for providing, for the Public Health Service (PHS), medical and scientific advice to the Health Care Financing Administration (HCFA) regarding the appropriateness of coverage (reimbursement) of particular health care technologies under the Health Insurance for the Aged program (Medicare). The Center announces that it will be publishing in the Federal Register, on a trial basis, a notice regarding the evaluation of each technology for which the HCFA has requested PHS assistance in establishing Medicare coverage regulations. The notice will provide an opportunity for any person or group wishing to provide data or comment on the subject technology, 30 days to do so. These data and comments will be considered by the Center in its development of recommendations to the HCFA on the safety and efficacy of the subject technology, and the appropriateness of the technology's coverage under Medicare.

The Center requests that any information relevant to an evaluation be presented in writing, and that a written summary no longer than 10 pages accompany any literature references or analyses of clinical data that are submitted.

FOR FURTHER INFORMATION CONTACT:

O. B. Towery, M.D., Associate Director for Medical and Scientific Assessment, National Center for Health Care Technology, Room 17A–29 Parklawn Building, Rockville, Maryland 20857, (301) 443–4900.

Dated: March 12, 1980.

Seymour Perry, Director, National Center for Health Care Technology.

[FR Doc. 80-8029 Filed 3-20-80; 8:45 am] BILLING CODE 4110-85-M

Health Resources Administration

Grants to State Health Planning and Development Agencies, Determination of Population of the States

This notice provides the population figures that the Department will use when it determines the amount of grants to State Health Planning and Development Agencies (State Agencies).

Section 1525 of the Public Health Service Act authorizes the Secretary of Health, Education, and Welfare to make grants to State Agencies to assist them to meet their operating costs. The amount of each grant is determined by a formula which is based in part on a determination by the Secretary of the population of the States. This formula is provided in the regulations governing grants to State Agencies (42 CFR Part 123, Subpart C). Section 123.204(b) of these regulations provides that the Secretary will determine the population of each State based upon the latest available estimate from the Department of Commerce, and will publish annually in the Federal Register a list of all States and their populations.

Today's list is based primarily on the July 1, 1979 provisional estimates in the report of the Bureau of the Census, Series P-25, No. 868. However, the population figures for Puerto Rico, the Virgin Islands, Guam, the Trust Territory of the Pacific Islands and the Northern Mariana Islands are based on the July 1, 1978 estimates, the latest available for these jurisdictions.

The 65 population figures for Hawaii, Rhode Island, and the District of Columbia in this listing vary slightly from those in our January 2 publication, "Determination of Population of Health Service Areas," (45 FR 64), Section 1530 of the Act precludes certain States and Territories from establishing health service areas and designating health systems agencies (HSAs) and authorizes these States to receive a grant to perform HSA functions. For purposes of computing this grant to these 1530 States their population must be determined on
DEPARTMENT OF THE INTERIOR
Bureau of Land Management

Eastern Gulf of Alaska Outer Continental Shelf; Availability of Final Environmental Statement Regarding Proposed Eastern Gulf of Alaska OCS Oil and Gas Lease Sale No. 55

Pursuant to Section 102(2)(C) of the National Environmental Policy Act of 1969, the Bureau of Land Management has prepared a final environmental statement relating to a proposed Outer Continental Shelf (OCS) oil and gas lease sale of 350 tracts consisting of 729,085 acres (1,952,242 acres) of submerged Federal lands off the coast of the eastern Gulf of Alaska (OCS Sale No. 55).

Single copies of the final environmental statement can be obtained from the Office of Manager, Alaska Outer Continental Shelf Office, Bureau of Land Management, 620 E. 10th Avenue, P.O. Box 1159, Anchorage, Alaska 99510, and from the Office of Public Affairs, Bureau of Land Management (109), Washington, D.C. 20240.

Copies of the final environmental statement will also be made available for inspection in the following public libraries:
- Alaska Federation of Natives, 670 W. Fireweed Lane, Anchorage, Alaska 99501; Department of Interior, Alaska Resources Library, 733 W. 4th Avenue, Anchorage, Alaska 99510; and from the Office of Public Affairs, Bureau of Land Management (109), Washington, D.C. 20240.

Notice is hereby given that pursuant to Section 28 of the Mineral Leasing Act of 1920, as amended (30 U.S.C. 185), the Montana-Dakota Utilities Company of Bismarck, North Dakota filed an application to amend their right-of-way grant to construct a 12 inch loop line within the existing right-of-way for the purpose of transporting natural gas across the following described public lands in Big Horn County:

Sixth Principal Meridian, Wyoming

T. 49 N., R. 92 W., Sec. 30, lots 5, 6, 9, 13, 16, and 17; T. 49 N., R. 93 W., Sec. 3, lots 4, 5, NW 1/4, NE 1/4 SW 1/4, W 1/2 E, and SE 1/4 SE 1/4; Sec. 4, lot 1; Sec. 10, NW 1/4 NW 1/4; Sec. 11, NW 1/4 NW 1/4, SE 1/4 SW 1/4, and SW 1/4 SE 1/4; Sec. 13, SW 1/4 SE 1/4; Sec. 14, NE 1/4 NE 1/4, SE 1/4 SW 1/4, and E 1/4 SE 1/4; Sec. 24, NW 1/4, NE 1/4 SW 1/4, W 1/4 SE 1/4, and SE 1/4 SE 1/4; Sec. 25, SE 1/4 NW 1/4; Sec. 50 N., R. 93 W., Sec. 5, lots 4, 5, NW 1/4 SW 1/4, and SE 1/4 SW 1/4, Sec. 8, W 1/2 NE 1/4, SE 1/2 NW 1/4 W 1/2 SE 1/4, and SE 1/2 SE 1/4; Sec. 17, NE 1/4 NE 1/4; Sec. 21, W 1/2 NW 1/4, and SE 1/2 SW 1/4; Sec. 28, E 1/2 SW 1/4, and SW 1/4 SE 1/4; Sec. 33, SW 1/4 NW 1/4, NE 1/4 NW 1/4, N 1/2 NW 1/4, and SE 1/2 SE 1/4; Sec. 51 N., R. 93 W., Sec. 18, lots 1 thru 4; Sec. 19, lots 1, 2, SE 1/4 NW 1/4, and E 1/2 SW 1/4; Sec. 30, SW 1/4 NE 1/4, NE NW 1/4, and W 1/2 SE 1/4; Sec. 31, N 1/4 NE 1/4, SE 1/2 NE 1/4, and NW 1/2 SW 1/4; Sec. 32, W 1/2 SW 1/4.
Minnesota: Final Initial Wilderness Inventory Decision and Commencement of Intensive Inventory

This notice announces the final initial wilderness inventory decision and the commencement of intensive inventory of public lands and islands (excluding Koochiching Bog Units 42 and 45) administered by the Bureau of Land Management (BLM) in Minnesota. The decision is announced under the authority of Section 603 of the Federal Land Policy and Management Act of 1976, the BLM Wilderness Inventory Handbook, and Organic Act Directive 78-61, Change 2.

The initial wilderness inventory proposals for Minnesota and a 90-day public review and comment period were announced in the Federal Register September 28, 1979. The comment period ended December 27, 1979. (As the result of a separate accelerated intensive inventory of Koochiching Bog Units 42 and 45, the final decision was announced in the February 22, 1980 Federal Register to drop those areas from further wilderness review. The decision becomes effective March 24, 1980 unless timely protests are received.) This notice relates to the initial wilderness inventory for all remaining public lands and islands by BLM in Minnesota.

Final Initial Inventory Decision

Intensive inventory will be conducted on 172 islands originally recommended for study in the report "Initial Inventory Proposals for Public Lands and Islands in Minnesota" (September 1979), plus two additional islands (Carlton #003 and #004) located in the Kettle River in Carlton County, Minnesota. The remaining public lands and islands administered by BLM are dropped from any further wilderness review because they do not meet the wilderness criteria as specified in the Wilderness Inventory Handbook, September 27, 1978. The restrictions imposed by Section 603 of the Federal Land Policy and Management Act no longer apply on those lands dropped from review.

Report of Public Response

During the public review and comment period, four public meetings were held; media coverage encouraged response; and reports, maps and inventory records were made available. All public comments, whether presented verbally or in writing, were equally considered.

For the units NOT RECOMMENDED for further study in the initial inventory proposals, one public comment identified possible outstanding opportunities for solitude or primitive recreation on two islands located in the Kettle River in Carlton County. T. 46N., R. 20W., Sec. 21 (#003) and Sec. 32 (#004).

Of the units RECOMMENDED for intensive inventory in the above report, no public comments identified outstanding opportunities for solitude or primitive and unconfined recreation for any of the units. Supplemental values were identified for some units, but no comments were received on other wilderness characteristics.

Commencement of Intensive Inventory

The intensive wilderness inventory is now underway in Minnesota on 174 islands, totalling 701.21 acres. Upon completion, inventory recommendations will be published and a 90-day public review and comment period will follow.

Additional Information

For additional information on the wilderness review process, or for copies of inventory reports, maps or public comments, contact:

Director, Eastern States, Bureau of Land Management, 350 South Pickett Street, Alexandria, Virginia 22334, telephone (703) 235-2840; or

Manager, Lake States Office, Bureau of Land Management, 125 Federal Building, Duluth, Minnesota 55802, telephone (218) 727-6652, Ext. 376.

Pieter J. Van Zande, Acting Director, Eastern States.

Fish and Wildlife Service

Endangered and Threatened Species Permit; Receipt of Application

Applicant: Cape Cod Museum of Natural History, R.B. 1, Route 6-A, Brewster, Massachusetts 02631.

The applicant requests a permit to salvage deceased specimens of leatherback (Dermochelys coriacea), Atlantic ridley (Lepidochelys kempi), green (Chelonia mydas) and loggerhead (Caretta caretta) sea turtles for scientific purposes. No animals will be killed for this work.

Documents and other information submitted with this application are available to the public during normal business hours in Room 601, 1000 N. Glebe Road, Arlington, Virginia, or by writing to the Director, U.S. Fish and Wildlife Service (WPO), Washington, D.C. 20240.

This application has been assigned file number PRT 2-0939. Interested persons may comment on this application within 30 days of the date of this publication by submitting written data, views, or arguments to the Director at the above address. Please refer to the file number when submitting comments.

Dated: March 12, 1980.

Donald G. Donahoo,


Receipt of Application for Permit

Notice is hereby given that an Applicant has applied in due form for a Permit to take walrus as authorized by the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361-1407), and the Regulations Governing the Taking and Importing of Marine Mammals (50 CFR Part 18).

1. Applicant:

   a. Name: Director, National Fish & Wildlife Laboratory.


2. Type of permit: Scientific Research.

3. Name and Number of Animals:

   Walrus (Odobenus rosmarus)---330.

4. Type of Activity: Taking, tagging and observation.

5. Location of Activity: Western Coast of Alaska and on pack ice in Bering and Chukchi Seas.


The purpose of this application is to determine best marking techniques, study haul-out behavior, obtain behavioral and population characteristics, develop techniques to determine the condition of walrus.
Concurrent with the publication of this notice in the Federal Register the Federal Wildlife Permit Office is forwarding copies of this application to the Marine Mammal Commission and the Committee of Scientific Advisors.

The application has been assigned file number PRT 2-6330. Written data or views, or requests for copies of the complete application or for a public hearing on this application should be submitted to the Director, U.S. Fish and Wildlife Service (WFSO), Washington, D.C. 20240, within 30 days of the publication of this notice. Those individuals requesting a hearing should set forth the specific reasons why a hearing on this particular application would be appropriate. The holding of such hearing is at the discretion of the Director.

All statements and opinions contained in this application are summaries of those of the Applicant and do not necessarily reflect the views of the United States Fish and Wildlife Service.

Documents submitted in connection with the above application are available for review during normal business hours in Room 534, 1717 H Street NW., Washington, D.C.

Dated: March 18, 1980.
Fred L. Bolwahnn, Acting Chief, Permit Branch, Federal Wildlife Permit Office.

FOR FURTHER INFORMATION CONTACT: David A. Nystrom, Manager, OCS Oil and Gas Information Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092.

Boston National Historical Park;
Advisory Commission Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act, Pub. L. 92-463, that a meeting of the Boston National Historical Park Advisory Commission will be held April 10, 1980 at Building 5, the former Officers' Club, Charlestown Navy Yard, Boston, Massachusetts, beginning at 11 a.m.

The Commission was established by Pub. L 93-431 to advise the Secretary of the Interior on matters relating to the development of the Boston National Historical Park.

The members of the Advisory Commission are as follows:

Mr. Richard A. Berenson, Chairman, Brookline
Mr. Carl E. Seybold, Boston
Mr. Guy A. Bennati, Boston
Mr. Byron D. Rushing, Boston
Mr. Maurice F. O'Shea, Charlestown
Mr. Katharine D. Kane, Boston
Mr. William B. Osgood, Boston
Mr. Richard A. Kendall, Boston

The matters to be discussed at this meeting include:

1. Review of General Management Plan for Charlestown Navy Yard
2. Report on General Management Plan for downtown historic sites and Bunker Hill
3. Transportation Plans and Concerns
4. Freedom Trail Maintenance and Improvement
5. Jubilee 350 plans
6. Reports on Water-Chelsea Connector and Gate 4 roadway projects at the Navy Yard
7. Dorchester Heights plans
8. Boston NHP Cooperating Association
9. Report on rehabilitation projects underway at Old South Meeting House, Bunker Hill Monument, and Navy Yard Piers and Bulkheads
10. Cooperative Agreements
11. Park Administration and Operations

The meeting will be open to the public. However, space and facilities are limited, and it is expected that not more than 50 persons will be able to attend the session. Any member of the public may file with the committee a written statement concerning the matters to be discussed.

Persons wishing further information about this meeting, or who wish to attend and participate in these discussions, are invited to:

OCS Information Program has also published a "Directory to Federal, State, and Local OCS-Related Activities and Contacts." The Directory reviews the Federal OCS agencies involved, explains agency responsibilities, and provides a listing (with addresses and telephone numbers) of major Federal agencies. The Directory also reviews major State OCS programs, provides information on State agency involvement in responding to Federal initiatives, describes the functions where States generally act autonomously, and provides a listing (with addresses and telephone numbers) of major State agencies. The Directory covers a total of 23 coastal States that are, or could be, involved in OCS-related activities.

**EFFECTIVE DATE:** March 3, 1980.

**ADDRESSES:** Copies of both documents may be obtained upon request from the Chief, RALI Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092.

**FOR FURTHER INFORMATION CONTACT:** David A. Nystrom, Manager, OCS Oil and Gas Information Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092, (703) 660-7166.

**Gene A. Thorley, Acting Chief, Land Information and Analysis Office.**

Boston National Historical Park;
Public Meetings

Notice is hereby given that two Public Meetings concerning the Navy Yard portion of the Boston National Historical Park will be held April 1, 1980 in the Bunker Hill Museum, Monument Square, at 7:30 p.m. and the second on April 2, 1980 in the Hall Room of Building 5, Charlestown Navy Yard at 3:00 p.m.

Boston National Historical Park includes eight historic sites within the City of Boston: Bunker Hill, Paul Revere House, Old North Church, Old South Meeting House, Old State House, Dorchester Heights Monument, Bunker Hill Monument, and a 30 acre portion of the Charlestown Navy Yard. At the public meetings the Draft General Management Plan, Part II (Charlestown Navy Yard), which addresses the general development, management zoning and the interpretation of the resource, will be discussed. The meetings will concern themselves primarily with the Navy Yard. Future meetings will deal with the other historic sites in the park.

All interested persons are invited to attend and participate in these discussions. They may also address their comments in writing to the Superintendent, Boston National Historical Park, 15 State Street, Boston, Massachusetts 02109.

Dated: March 5, 1980.
Richard L. Stanton, Regional Director, North Atlantic Region.

**Boston National Historical Park;**
**Advisory Commission Meeting**

Notice is hereby given in accordance with the Federal Advisory Committee Act, Pub. L. 92-463, that a meeting of the Boston National Historical Park Advisory Commission will be held April 10, 1980 at Building 5, the former Officers' Club, Charlestown Navy Yard, Boston, Massachusetts, beginning at 11 a.m.

The Commission was established by Pub. L 93-431 to advise the Secretary of the Interior on matters relating to the development of the Boston National Historical Park.

The members of the Advisory Commission are as follows:

Mr. Richard A. Berenson, Chairman, Brookline
Mr. Carl E. Seybold, Boston
Mr. Guy A. Bennati, Boston
Mr. Byron D. Rushing, Boston
Mr. Maurice F. O'Shea, Charlestown
Mr. Katharine D. Kane, Boston
Mr. William B. Osgood, Boston
Mr. Richard A. Kendall, Boston

The matters to be discussed at this meeting include:

1. Review of General Management Plan for Charlestown Navy Yard
2. Report on General Management Plan for downtown historic sites and Bunker Hill
3. Transportation Plans and Concerns
4. Freedom Trail Maintenance and Improvement
5. Jubilee 350 plans
6. Reports on Water-Chelsea Connector and Gate 4 roadway projects at the Navy Yard
7. Dorchester Heights plans
8. Boston NHP Cooperating Association
9. Report on rehabilitation projects underway at Old South Meeting House, Bunker Hill Monument, and Navy Yard Piers and Bulkheads
10. Cooperative Agreements
11. Park Administration and Operations

The meeting will be open to the public. However, space and facilities are limited, and it is expected that not more than 50 persons will be able to attend the session. Any member of the public may file with the committee a written statement concerning the matters to be discussed.

Persons wishing further information about this meeting, or who wish to attend and participate in these discussions, are invited to:

OCS Information Program has also published a "Directory to Federal, State, and Local OCS-Related Activities and Contacts." The Directory reviews the Federal OCS agencies involved, explains agency responsibilities, and provides a listing (with addresses and telephone numbers) of major Federal agencies. The Directory also reviews major State OCS programs, provides information on State agency involvement in responding to Federal initiatives, describes the functions where States generally act autonomously, and provides a listing (with addresses and telephone numbers) of major State agencies. The Directory covers a total of 23 coastal States that are, or could be, involved in OCS-related activities.

**EFFECTIVE DATE:** March 3, 1980.

**ADDRESSES:** Copies of both documents may be obtained upon request from the Chief, RALI Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092.

**FOR FURTHER INFORMATION CONTACT:** David A. Nystrom, Manager, OCS Oil and Gas Information Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092, (703) 660-7166.

**Gene A. Thorley, Acting Chief, Land Information and Analysis Office.**

Boston National Historical Park;
Public Meetings

Notice is hereby given that two Public Meetings concerning the Navy Yard portion of the Boston National Historical Park will be held April 1, 1980 in the Bunker Hill Museum, Monument Square, at 7:30 p.m. and the second on April 2, 1980 in the Hall Room of Building 5, Charlestown Navy Yard at 3:00 p.m.

Boston National Historical Park includes eight historic sites within the City of Boston: Bunker Hill, Paul Revere House, Old North Church, Old South Meeting House, Old State House, Dorchester Heights Monument, Bunker Hill Monument, and a 30 acre portion of the Charlestown Navy Yard. At the public meetings the Draft General Management Plan, Part II (Charlestown Navy Yard), which addresses the general development, management zoning and the interpretation of the resource, will be discussed. The meetings will concern themselves primarily with the Navy Yard. Future meetings will deal with the other historic sites in the park.

All interested persons are invited to attend and participate in these discussions. They may also address their comments in writing to the Superintendent, Boston National Historical Park, 15 State Street, Boston, Massachusetts 02109.

Dated: March 5, 1980.
Richard L. Stanton, Regional Director, North Atlantic Region.
submit written statements, may contact the Superintendent, Boston National Historical Park, at 617-242-5844.

Dated: March 12, 1980.
Duane Pearson,
Acting Regional Director, North Atlantic Region.

[FR Doc. 80-8705 Filed 3-20-80; 8:45 am]
BILLING CODE 4310-70-M

UPPER DELAWARE CITIZENS ADVISORY COUNCIL

Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act that a meeting of the Upper Delaware Citizens Advisory Council will be held at 7:00 P.M., Friday, April 11, 1980, at the Arlington Hotel, Narrowsburg, New York. The Advisory Council was established by Pub. L. 92-625, Section 704(f) to encourage maximum public involvement in the development and implementation of plans and programs authorized by the Act and section noted above. The Council is to meet and report to the Delaware River Basin Commission, to the Secretary of the Interior and to the Governors of New York and Pennsylvania on the preparation of a management plan and on programs which relate to land and water use in the Upper Delaware region.

The matter to be discussed at this meeting is:

Adoption of the Upper Delaware Citizens Advisory Council's comments and recommendations on the Draft General Guidelines for Land and Water Use Control Measures.

The meeting will be open to the public. However, facilities and space to accommodate members of the public are limited, and persons will be accommodated on a first-come, first-served basis. Any member of the public may file with the Council a written statement concerning the matters to be discussed.

Persons wishing further information concerning this meeting, or who wish to submit written statements, may contact David A. Kimball, Chief Planner, Mid-Atlantic Region, National Park Service, 143 South Third Street, Philadelphia, Pennsylvania 19106, area code 215-242-5844.

Minutes of the meeting will be available for inspection four weeks after the meeting at the Mid-Atlantic Regional Office.

Dated: March 10, 1980.
James W. Coleman, Jr.,
Acting Regional Director,
Mid-Atlantic Region.

[FR Doc. 80-8705 Filed 3-20-80; 8:45 am]
BILLING CODE 4310-70-M

LeConte Lodge, Inc.; Intention To Extend Concession Contract

Pursuant to the provisions of Section 5 of the Act of October 8, 1965 (79 Stat. 959; 16 U.S.C. 293), public notice is hereby given that on or before April 21, 1980, the Department of the Interior, through the Regional Director, Southeast Region, National Park Service, proposes to extend the concession contract with LeConte Lodge, Inc., authorizing it to continue to provide concession facilities and services for the public at Great Smoky Mountains National Park for a period of two (2) years from January 1, 1980, through December 31, 1981.

It has been determined that the proposed extension of this contract does not have potential for causing significant environmental impact and therefore preparation of an environmental assessment is not required.

The foregoing concessioner has performed its obligations to the satisfaction of the Secretary under an existing contract which expired by limitation of time on December 31, 1979, and therefore, pursuant to the Act of October 8, 1965, as cited above, is entitled to be given preference in the renewal of the contract and in the negotiation of a new contract. This provision, in effect, grants LeConte Lodge, Inc., as the present satisfactory concessioner, the right to meet the terms of responsive offers for the proposed new contract and a preference in the award of the contract, if, thereafter, the offer of LeConte Lodge, Inc., is substantially equal to others received. The Secretary is also required to consider and evaluate all proposals received as a result of this notice. Any proposal to be considered and evaluated must be submitted on or before April 21, 1980.

Interested parties should contact the Regional Director, Southeast Region, National Park Service, 73 Spring Street, SW., Atlanta, Georgia 30303, for information as to the requirements of the proposed contract.

Joe Brown,
Regional Director, Southeast Region,
National Park Service.

[FR Doc. 80-8703 Filed 3-20-80; 8:45 am]
BILLING CODE 4310-70-M

Gateway National Recreation Area; Gateway Advisory Commission Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act that a meeting of the Gateway National Recreation Area Advisory Commission will be held commencing at 4 p.m., Wednesday, April 16, 1980 at Building No. 204, at Fort Wadsworth, Staten Island, New York.

The Commission was established by Pub. L. 92-592 to meet and consult with the Secretary of the Interior on general policies and specific matters relating to the development of Gateway National Recreation Area.

The members of the Commission are:

1. Marian Heiskell, Chairman, New York, New York
3. Paul Larson, Lakewood, New Jersey
4. Robert C. Holmes, Newark, New Jersey
5. Orin Lehman, Albany, New York
6. Gordon Litwin, Newark, New Jersey
7. Sheldon Pollack, New York, New York
8. Jose A. Sanchez, Jersey City, New Jersey
9. Peter M. Rivera, Bronx, New York

The matters to be discussed at this meeting include:

1. Sandy Hook Decontamination
2. Floyd Bennett Field Development Concept Plan
3. Gateway Transportation Access
4. Fort Mason Foundation
5. Land Acquisition Plan
6. Superintendent's Report

The meeting will be open to the public. However, facilities and space to accommodate members of the public are limited, and persons will be accommodated on a first-come, first-served basis. Any member of the public may file with the Commission a written statement concerning the matters to be discussed.

Persons wishing further information concerning this meeting, or who wish to submit written statements, may contact Herbert S. Cables, Jr., Superintendent, Gateway National Recreation Area, Headquarters, Building 99, Floyd Bennett Field, Brooklyn, New York 11234, Area Code (212) 630-0353.

Minutes of the meeting will be available for inspection four weeks after the meeting at the Gateway National Recreation Area Headquarters Building.

Dated: March 11, 1980.
Duane Pearson,
Acting Regional Director, North Atlantic Region.

[FR Doc. 80-8632 Filed 3-20-80; 8:45 am]
BILLING CODE 4310-70-M
INTERSTATE COMMERCE COMMISSION

Assignment of Hearings

March 13, 1980.

Cases assigned for hearing, postponement, cancellation or oral argument appear below and will be published only once. This list contains prospective assignments only and does not include cases previously assigned hearings dates. The hearings will be on the issues as presently reflected in the Official Docket of the Commission. An attempt will be made to publish notices of cancellation of hearings as promptly as possible, but interested parties should take appropriate steps to insure that they notified of cancellation or postponements of hearings in which they are interested.

MC 58549 (Sub-29F), General Motor Lines, Inc., now being assigned for hearing on April 21, 1980 (1 week) at Roanoke, VA location of hearing will be designated later.

MC 145588 (Sub-9F), Gulf Midwestern, Inc., and MC 145588 (Sub-10F), Gulf Midwestern, Inc., now assigned for hearing on February 26, 1980 (2 days) at Denver, CO, application dismissed.

MC 142792 (Sub-29F), Transportation Lines, Inc.—Purchase—Jackson Distribution Corp. and MC 142948 (Sub-7F), now assigned for hearing on March 6, 1980 at Buffalo, NY is postponed indefinitely.

MC 1083 (Sub-3F), Bower Transportation Services, Inc., now assigned for hearing on April 10, 1980 will be held in Room No. 300, National Labor Relations Board, 610 South West Broadway, Portland, OR.

MC 107912 (Sub-22F), Rebel Motor Freight, Inc., now assigned for hearing on April 2, 1980 will be held in the Petit Jury Room No. 535, U.S. Post Office-Corner of Capitol & South West Jackson, MS.

MC 138174 (Sub-8F), J & J Lines Corporation, d/b/a Jet Air Freight Service, now assigned for hearing March 25, 1980 will be held in Room No. 300, National Labor Relations Board, 610 South West Broadway, Portland, OR.

MC 140623 (Sub-217F), Cargo, Inc., now assigned for hearing June 16, 1980 at Boston, MA, is canceled and transferred to Modified Procedure.

MC 76677 (Sub-13F), Hallamore Motor Transportation, Inc., now being assigned for hearing on June 16, 1980 (1 Day), at Boston, MA, in a hearing room to be designated later.

MC 119777 (Sub-373F), Ligon Specialized Hauler, Inc., now being assigned for hearing on May 9, 1980 (1 Day), at Chicago, IL in a hearing room to be designated later.

MC 142523 (Sub-61F), Commercial Lovelace Motor Freight, Inc., now being assigned for prehearing conference on April 23, 1980 at the Offices of the Interstate Commerce Commission in Washington, DC.

MC 124092 (Sub-26F), Sammons Trucking, now being assigned on March 16, 1980 (4 days) at Little Rock, AR, will be held at Camelot Hotel, Markham & Broadway.

MC 138108 (Sub-1F), Gulf States Trucking Service, Inc., now being assigned for hearing on March 24, 1980 (5 days) at Jackson, MS, in a hearing room to be later designated.

MC 146583 (Sub-2F), Terra T. Towak, Inc., now being assigned for hearing April 7, 1980 at Portland, OR will be held in Room No. 103, Pioneer Court House, 555 S.W. Yamhill Street.

MC 8457 (Sub-10F), Milwaukee Transfer & Fuel Co., & MC 123001 (Sub-124F), Leatham Brothers Inc., now being assigned for hearing on April 9, 1980 (3 days) at Portland, OR, will be held in Room No. 103, Pioneer Court House, 555 S.W. Yamhill Street.

MC 141532 (Sub-37F), Pacific States Transport, Inc., now being assigned for hearing on April 14, 1980 (1 week) at Portland, OR, will be held in Room No. 103, Pioneer Court House, 555 S.W. Yamhill Street.

MC 136558 (Sub-7F), Gay Trucking Company, now assigned for hearing on March 18, 1980 (2 days) at Atlanta, GA, in Room No. 401—4th Floor, 1770 Peachtree Street, N.W.

MC 12602 (Sub-26F), Sammons Trucking, now assigned for hearing March 18, 1980 at the Camelot Hotel, Markham & Broadway, Little Rock, AR, is transferred to March 16, 1980, at the Convention Center, Room 202, Markham & Broadway, Little Rock, AR.

MC 47432 (Sub-100F), East Texas Motor Freight Lines, Inc., now assigned for hearing on April 8, 1980 will be held at the Discount Plaza Hotel, 869 Stemmons Freeway, Dallas, TX, and continued to April 14, 1980 at the Holiday Inn West, Moridien Street and 1-40, Oklahoma City, OK, and continued to April 28, 1980 (4 Days), at Atlanta, GA, continued to May 5, 1980 (5 Days), at Kansas City, MO, continued to June 3, 1980 (4 Days), at Minneapolis, MN, and continued to June 9, 1980 (5 Days), at San Francisco, CA, in a hearing room to be designated later.

MC 141004 (Sub-181F), Western Express, Division of Interstate Rental, Inc., now assigned for hearing on March 12, 1980 at San Francisco, CA, is canceled and transferred to Modified Procedure.

Ex Parte 365, In the Matter of James N. Clay, III, now assigned for prehearing conference in Washington, DC, is postponed to April 17, 1980 prehearing conference at the Office of the Interstate Commerce Commission in Washington, DC.

MC 143713 (Sub-7F), Agricultural Transportation Association of Illinois, A Corporation, transferred to Modified Procedure.

MC 139395 (Sub-3F), Bulk Transit Corporation, transferred to Modified Procedure.

MC 11207 (Sub-46F), Boston, Inc., now assigned for hearing on March 29, 1980 at Birmingham, AL in the Social Security Administration, Southeastern Programs Service Center 2001—12th Avenue, North.

MC 121690 (Sub-66F), Hornady Truck Line, Inc., now assigned for hearing on March 20, 1980 at Birmingham, AL in the Social Security Administration, Southeastern Programs Service Center, 2001—12th Avenue, North.

Agatha L. Mergenovich, Secretary.

[FR Doc. 80-8060 Filed 3-20-80; 8:45 am]
BILLING CODE 7535-01-M

Decision-Notice

As indicated by the findings below, the Commission has approved the following applications filed under 49 U.S.C. 10924, 10926, 10931 and 10932.

We find: Each transaction is exempt from section 11343 (formerly section 5) of the Interstate Commerce Act, and complies with the appropriate transfer rules.

This decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975.

Petitions seeking reconsideration must be filed on or before April 10, 1980. Replies must be filed within 20 days after the final date for filing petitions for reconsiderations; any interested person may file and serve a reply upon the parties to the proceeding. Petitions which do not comply with the relevant transfer rules at 49 CFR 1132.4 may be rejected.

If petitions for reconsideration are not timely filed, and applicants satisfy the conditions, if any, which have been imposed, the application is granted and they will receive an effective notice. The notice will indicate that consummation of the transfer will be presumed to occur on the 20th day following service of the notice, unless either applicant has advised the Commission that the transfer will not be consummated or that an extension of time for consummation is needed. The notice will also recite the compliance requirements which must be met before the transferee may commence operations.

Applications must comply with any conditions set forth in the following decision-notices within 30 days after publication, or within any approved extension period. Otherwise, the decision-notice shall have no further effect.
By the Commission, Review Board Number 5, The Motor Carrier Board, Members Knock, Phoebet, and Taylor.

Agatha L. Mergenovich,
Secretary,
Federal Register Summary for Certificate or Permit

MC-FC-78359 By decision of February 13, 1980 issued under 49 U.S.C. 10928 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to Star Motor Freight, Inc. of Certificate No. MC-78359 issued July 2, 1973 to Abrams Hardware, Inc., acquired by Bruce M. Smith & Karen E. Smith, pursuant to MC-FC-77524, consummated May 30, 1978, authorizing the transportation of general commodities, except commodities of excessive value, those requiring refrigeration, petroleum products, in bulk, and articles which exceed the capacity of a vehicle, between Clarkson, Waeb, and Kendrick, Idaho, serving all intermediate points; and those off-route points within 15 miles of Kendrick. From Clarkson over U.S. Highway 12 (formerly U.S. Highway 410) to junction U.S. Highway 95, thence over U.S. Highway 95 to junction Idaho Highway 3 (formerly Idaho Highway 42), and thence over Idaho Highway 3 to Kendrick, and return over the same route. Applicant's representative is: James A. Pingree, 1004 Idaho Street, Lewiston, ID 83501.

MC-FC-78361, MC-FC-78362, and MC-147784. By decision of February 21, 1980 issued under 49 U.S.C. 10926 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to TRANS-WAY, INC., Aurora, IL, issuing MC-FC-78361, issued under 49 U.S.C. 10926, between East Fishkill and Poughkeepsie, NY, serving all intermediate points, (5) between Albany, and Bro Corners, NY, serving all intermediate points, and (6) between junction NY Hwys 23 and 9G and Poughkeepsie, NY, serving all intermediate points of Saugerties, Kingston and Highland, NY; General commodities (except those of unusual value, Class A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), over specified regular routes, (1) between New York, NY and Albany, NY, serving all intermediate points, and the off-route points of Englewood, North Bergen, West New York, Hoboken, Paterson, Passaic, Clifton, Bloomfield, East Orange, Newark, and Elizabeth, NJ, (2) between Peekskill and Poughkeepsie, NY, serving all intermediate points and the off-route points of Englewood, North Bergen, West New York, Hoboken, Paterson, Passaic, Clifton, Bloomfield, East Orange, Newark, and Elizabeth, NJ, and those off-route points of Englewood, North Bergen, West New York, Hoboken, Paterson, Passaic, Clifton, Bloomfield, East Orange, Newark, and Elizabeth, NJ. General commodities (except those of unusual value, classes A and B explosives, commodities in bulk, commodities requiring special equipment and those injurious or corrosive or contaminated to other lading), between points within the territory (inclusive of the points specified) bounded by a line beginning at New York, NY and extending in a westerly direction along NY Hwy 4 to Paterson, NJ, then along U.S Hwy 46 to junction U.S Hwy 202, then along U.S Hwy 202 to junction U.S Hwy 206 to Princeton, NJ, then in a southeasterly direction along an unnumbered highway to Hightstown, NJ, then along NJ Hwy 33 to Princeton, NJ, then in an easterly direction along an unnumbered highway to New York, NY. Floor covering, and materials supplies and equipment, used or useful in the installation of floor covering, from Philadelphia, PA, to Camden, Harrison, and Kearny, NJ, New York, NY, Wilmington, DE, and Baltimore, MD; general commodities (except those of unusual value, classes A and B explosives, household goods as defined by the Commission, commodities in bulk, commodities requiring special equipment and those injurious or contaminating to other lading), between points in Philadelphia, PA, in MC-147784, a matter directly related to MC-FC-78361 and MC-FC-78362, the Board denied the application for elimination of the gateway at Trenton, NJ. Applicant's representative is Robert B. Pepper, 168 Woodbridge Avenue, Highland Park, NJ 08904.

By decision of February 13, 1980 issued under 49 U.S.C. 10928 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to Cartage, Inc., Goshen, IN, of Certificates MC 13307, and MC 13307 (Sub-Nos. 2, 4, 5, 7, 8, 9, and 13), issued October 25, 1957, August 10, 1961, May 11, 1982, October 24, 1982, May 10, 1983, May 1, 1989, March 11, 1984, October 2, 1984, and October 7, 1989, respectively to Robert Merley, and transferred to Hoosier Haulers, Inc., Goshen, IN, pursuant to MC-FC-75147, effective September 17, 1974, authorizing the transportation of meat scrap, tankage, and dried blood, from points in IN and the lower peninsula of MI, to Milwaukee, WI; Meat scrap, tankage, and dried blood, not fit for human consumption, from Milwaukee, WI, to points in IL, OH, and the lower peninsula of MI; grain, over a specified route, between Wakarusa, IN and Chicago, IL serving intermediate and off-route points within 25 miles of Wakarusa, restricted to pick-up only; fertilizer, from Calumet City, IL, to points in IN on and north of U.S. Hwy 40; dry manufactured fertilizer, in bulk, from the plant site of Michiana Chemical Co., near Niles, MI, to points in Adams, Allen, Benton, Blackford, Boone, Carroll, Call, Clinton, DeKalb, Delaware, Elkhart, Fountain, Fulton, Grant, Hamilton, Hancock, Hendricks, Henry, Howard, Huntington, Jasper, Jay, Kosciusko, Lagrange, Lake, LaPorte, Madison, Marion, Marshall, Miami, Montgomery, Newton, Noble, Parke, Porter, Pulaski, Putnam, Randolph, Saint Joseph, Starke, Steuben, Tippecanoe, Wabash, Warren, Wayne, Wells, White, and Whitley Counties, IN; Feed, including meat scrap and tankage, from Riverdale and Chicago, IL to points in IN on and north of U.S. Hwy 40; oats, from points in IL on and east of a line beginning at Chicago and extending along U.S. Hwy 60 to junction U.S. Hwy 120, then along IL Highway 120 to Plainfield, then along IL Hwy 59, to junction U.S. Hwy 60, then along U.S. Hwy 60 to junction U.S. Hwy 129, then along IL Hwy 129 via Braidwood, to junction U.S. Hwy 60, then along U.S. Hwy 60 to Bloomington, then along U.S. Hwy 51 to Decatur, and on and north of U.S. Hwy 36 from Decatur to the IL-IN State line, to points in Elkhart, Lagrange, LaPorte, and St. Joseph Counties, IN; meat scraps, tankage, and dried blood, from points in IL and those parts of MO and IA on and
east of a line beginning at Birds Point, MO, and extending along U.S. Hwy 60 to junction MO Hwy 77, approximately two miles south of Diehlsstadt, MO, then along MO Hwy 77 to junction U.S. Hwy 61 at Benton, MO, then along U.S. Hwy 61 to junction U.S. Hwy 67 at Davenport, IA, then along U.S. Hwy 67 to junction U.S. Hwy 52, at Dubuque, IA, and then along U.S. Hwy 52 to the IA–MN State line, to Milwaukee, WI; [fertilizer, from points in the Chicago, IL Commercial zone as defined by the Commission, to Charlotte, MI and points in Kalamazoo, Branch, Van Buren, Berrien, Calhoun, Cass, and St. Joseph Counties, MI; [fruits, and vegetables, from points in Berrien, Van Buren, and Cass Counties, MI, to Chicago, IL; livestock, from points in Berrien County, MI, to Chicago, IL; [frozen fruits, frozen berries, and frozen vegetables, from parts of Berrien and Van Buren Counties, MI within 20 miles of Coloma, MI, to Cleveland, OH, Chicago, IL, and Milwaukee, WI, and from Cleveland, OH, to Milwaukee, WI; dry fertilizer, in bulk, in vehicles equipped with pneumatic unloading equipment, from the plant site of Swift and Company, At Calumet City, IL, to points in Allegan, Ottawa, Muskegon, Oceana, Newaygo, and Eaton (Except Charlotte, MI) Counties, MI; fertilizer, dry, in bulk, from Plymouth, IN, to points in Allegan, Berrien, Branch, Cass, Kalamazoo, St. Joseph, Van Buren, Kalkaska, Mecosta, Missaukee, Muskegon, Newaygo, Osceola, and Ottawa Counties, MI, restricted against the tracking of this authority with any other authority now held by carrier for the purpose of performing a through service; and fertilizer and fertilizer materials (except anhydrous ammonia, and fertilizer or fertilizer materials derived from petroleum products and except in dump vehicles), from Joliet, IL (except from the plant site of Blockson Chemical Division of Olin Mathieson Corporation), to points in IN, MI, and OH, restricted to the transportation of shipments having an immediately prior movement by water or rail. Applicant's representative is: Gregory A. Hartzler, 130 N. Main St., Goschen, IN. 

Note—Transferees have leased transferee rights under authority in MC-FC-35478, effective December 7, 1978.

Federal Register Summary for Certificate of Registration


Federal Register summary for certificate of registration

MC-FC-78440. By decision of February 4, 1980, issued under 49 U.S.C. 10931 or 10932 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to Bobby W. Guinn, 3341 A West 19th Street, Scottsbluff, NB 69361. [FR Doc. 80-8067 Filed 3-20-80; 8:45 am]

Decision-Notice

The following applications seek approval to consolidate, purchase, merge, lease operating rights and properties, or acquire control of motor carriers pursuant to 49 U.S.C. 11943 or 11944. Also, applications directly related to these motor finance applications (such as conversions, gateway eliminations, and securities issuances) may be involved.

The applications are governed by Special Rule 240 of the Commission's rules of practice (49 CFR 1100.240). These rules provide, among other things, that opposition to the granting of an application must be filed with the Commission within 30 days after the date of notice of filing of the application is published in the Federal Register. Failure seasonally to oppose will be construed as a waiver of opposition and participation in the proceeding.

Opposition under these rules should comply with Rule 240(c) of the rules of practice which requires that it set forth specifically the grounds upon which it is made, and specify with particularly the facts, matters and things relied upon, but shall not include issues or allegations phrased generally.

Opposition not in reasonable compliance with the requirements of the rules may be rejected. The original and one copy of any protest shall be filed with the Commission, and a copy shall also be served upon applicant's representative or applicant if no representative is named. If the protest includes a request for oral hearing, the request shall meet the requirements of Rule 240(d) of the special rules and shall include the certification required.

Section 240(c) further provides, in part, that an applicant who does not intend timely to prosecute its application shall promptly request its dismissal.

Further processing steps will be by Commission notice or order which will be served on each party of record. Broadening amendments will not be accepted after March 21, 1980 except for good cause shown.

Any authority granted may reflect administratively acceptable restrictive amendments to the transaction proposed. Some of the applications may have been modified to conform with Commission policy.

Francis J. Trombly, Sr., James F. Trombly, Francis J. Trombly, Jr., and Michael J. Trombly, persons in control of Trombly, seek to acquire control of said rights through the transaction. Trombly is purchasing those portions of the interstate operating rights of Gray that are contained in MC-69394 and MC-69394 (Sub-No. 8) which authorize the transportation, over irregular routes, of (1) passengers and their baggage, in round-trip or one-way charter operations, with no seasonal restriction; beginning and ending at those points in Essex, Suffolk, and Middlesex Counties, MA, which are north of U.S. Hwy 20 and within 20 miles of the Slate House, Boston, MA; (2) passengers and their baggage, restricted to traffic originating at the points indicated, in round trip or one-way charter operations, beginning and ending at those points in Essex, Suffolk, and Middlesex Counties, MA, which are north of U.S. Hwy 20 and within 20 miles of the city limits of Boston, MA (except Boston, Cambridge, Chelsea, and Winthrop), and extending to points in CT, NH, RI, CT, NY, and DC, and the ports of entry on the International Boundary line between the United States and Canada in VT; and (2) passengers and their baggage, restricted to traffic originating at the points indicated, in round trip or one-way charter operations, with no seasonal restriction, beginning and ending at Boston, Cambridge, Chelsea, and Winthrop, MA and extending to points in ME, NH, RI, CT, NY, and DC, and the ports of entry on the International Boundary line between the United States and Canada in VT; and (2) passengers and their baggage, restricted to traffic originating at the points indicated, in round trip or one-way charter operations, beginning and ending at Boston, Cambridge, Chelsea, and Winthrop, MA and extending to points in ME, NH, RI, CT, NY, and DC, and the ports of entry on the International Boundary line between the United States and Canada in VT; and (2) passengers and their baggage, restricted to traffic originating at the points indicated, in round trip or one-way charter operations, beginning and ending at Boston, Cambridge, Chelsea, and Winthrop, MA and extending to points in ME, NH, RI, CT, NY, and DC, and the ports of entry on the International Boundary line between the United States and Canada in VT.

In the absence of legally sufficient protests as to the finance application or any application directly related thereto filed on or before April 21, 1980 (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with impediments) upon compliance with certain requirements which will be set forth in a notification of effectiveness of this decision notice. To the extent that the authority sought below may duplicate an applicant's existing authority, the duplication shall not be construed as conferring more than a single operating right. Applicant(s) must comply with all conditions set forth in the grant or grants of authority within the time period specified in the notice of effectiveness of this decision notice, or the application of a non-complying applicant shall stand denied.

Decided: March 7, 1980.

By the Commission, Review Board Number 5, Members Krock; Taylor, and Williams.

Agatha Mergenovich Secretary.

MC-F-14227F, filed December 14, 1979. [Correction] [Previously published in the Federal Register on February 28, 1980.] TROMBLY MOTOR COACH SERVICE, INC. [Trombly] (Route 125 By-Pass, North Andover, MA 01845)—
Violated under Section 210a(b). 

Representative: Railroad Company of Dearborn, MI.

Toledo & Ironton Railroad Company with the Detroit, Toledo & Ironton located in OH and MI, restricted to a 

as follows:

142243, Sub No. 1, issued March 

Transferor, as set forth in Permit No. MC 

Transferor. JACK 

13459 Rose, Gibralter, 

business as 

AND 

Transferee: ROBERT 

W. Gibralter, MI 48173. 

35x354, By 

the Commission.

Unless otherwise specified, the signed original and six copies of the protest shall be filed with the Commission. All protests must specify with particularity the factual basis, and the section of the Act, or the applicable rule governing the proposed transfer which protestant believes would preclude approval of the application. If the protest contains a 

request for oral hearing, the request shall be supported by an explanation as to why the evidence sought to be presented cannot reasonably be submitted through the use of affidavits.

The operating rights set forth below are in synopses form, but are deemed sufficient to place interested persons on 

notice of the proposed transfer.

By the Commission.

Agatha L. Mergenovich, 

Secretary.

MC-FC-78115 filed April 16, 1979. Transferee: ROBERT G. SEXSMITH AND ROBERT G. SEXSMITH, JR. doing business as SEN-JUR SERVICE CO., 13459 Rose, Gibralter, MI 48173. Transferee: JACK L. SOWARDS doing business as GLOBE GUARD SERVICE, P.O. Box 1093, Southgate, MI 48195. Authority sought for purchase by Transferees of the operating rights of Transferor, as set forth in Permit No. MC 142243, Sub No. 1, issued March 28, 1977, as follows: Passengers and their 

baggage, in the same vehicle with passengers, between the terminals and railroad rights-of-way of the Detroit, Toledo & Ironton Railroad Company located in OH and MI, restricted to a transportation service to be performed under a continuing contract or contracts with the Detroit, Toledo & Ironton Railroad Company of Dearborn, MI. Transferees presently holds no authority from this Commission. Application has not been filed for Temporary Authority under Section 210a(b). Representative: William B. Elmer, 21635 East Nine Mile Road, St. Clair Shores, MI 48080.

BILING CODE 7033-01-M 

[Vol. No. 4] 

Petitions for Modification, Interpretation or Reinstatement of Motor Carrier Operating Rights Authority; Notice 

Dated: March 7, 1980. 

The following petitions seek modification or interpretation of existing motor carrier operating rights authority, or reinstatement of terminated motor carrier operating rights authority. 

All pleadings and documents must clearly specify the suffix numbers (e.g., M1 F, M2 F) where the docket is so identified in this notice. 

The following petitions, filed on or after March 1, 1978, are governed by Special Rule 247 of the Commission's general rules of practice (49 CFR 1100.247). These rules provide, among other things, that a petition to intervene 

either with or without leave must be 

filed with the Commission within 30 days after the date of publication in the Federal Register with a copy being furnished the applicant. Protests to these applications will be rejected. 

A petition for intervention without leave must comply with Rule 247(k) which requires petitioner to demonstrate that if (1) holds operating authority permitting performance of any of the service which the applicant seeks 

authority to perform, (2) has the 

necessary equipment and facilities for 

performing that service, and (3) has performed service within the scope of the application either (a) for those 

supporting the application, or, (b) where the service is not limited to the facilities of particular shippers, from and to, or 

between, any of the involved points. 

Persons unable to intervene under Rule 247(k) may file a petition for leave to intervene under Rule 247(l). 

In deciding whether to grant leave to 

intervene, the Commission considers, among other things, whether petitioner has (a) solicited the traffic or business of these persons supporting the application, or, (b) where the identity of 

those supporting the application is not included in the published application notice, has solicited traffic or business 

identical to any part of that sought by 

applicant within the affected 

marketplace. Another factor considered is the effects of any decision on 

petitioner's interests. 

Samples of petitions and the text and 

explanation of the intervention rules can 

be found at 43 FR 50908, as modified at 43 FR 60277. 

Petitions not in reasonable compliance with these rules may be rejected. Note that Rule 247(e), which is not inconsistent with the intervention rules, still applies. Especially refer to Rule 

247(e) for requirements as to supplying a copy of conflicting authority, serving the petition on applicant's representative, and oral hearing requests. 

MC 730 (Sub-272) [MEF] (notice of filing of petition to modify certificate), filed July 6, 1979. Petitioner: PACIFIC INTERMOUNTAIN EXPRESS CO., a corporation. P.O. Box 8004, Walnut Creek, CA 94596. Representative: A. G. Krebs (same address as petitioner). Petitioner holds a motor 

common carrier certificate in MC 730 (Sub-272), issued October 27, 1966, authorizing 

transportation over irregular routes, as pertinent, of general commodities (except those of unusual value, classes A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment) between all authorized points in OH located on the 

first five regular routes described in Part (A) under the second commodity description in Part (A), including off-route points, and on the one hand, and, on the other, all other points in OH located on or north of a line extending from Cincinnati, OH, along US Hwy 42 to junction US Hwy 40, then along US Hwy 40 to 

junction OH Hwy 440 at or near Kirksville, OH, then along OH Hwy 440 to junction US Hwy 40 near Gratiot, OH, then along US Hwy 40 to junction US Hwy 22, then along US Hwy 22 via Norwich, OH to junction US Hwy 40, then along US Hwy 40 to the OH-WV State line, with service limited to 

the pickup of eastbound shipments and the delivery of westbound shipments moving over the five regular routes referred to above described in Part (A). By the instant petition, petitioner seeks to modify the above authority by 

deleting the language of "the pickup of 

eastbound shipments and the delivery of westbound". 

MC 15401 (Sub-1) [MEF] (Notice of filing of petition to modify certificate), filed July 20, 1979. Petitioner: STORER TRANSPORTATION SERVICE, INC., 3519 McDonald ave.,Modesto, CA 95351. Representative: Raymond A. Greene, Jr., 100 Pine St., Suite 2550, San Francisco, CA 94111. Petitioner holds a motor 

common carrier certificate in MC 15401 (Sub-1), issued June 30, 1977, authorizing transportation, over 

irregular routes, of (1) passengers and 

their baggage, in the same vehicle with passengers, in special and charter
operations, in round-trip pleasure and sightseeing tours, beginning and ending at points in Mariposa and Tuolumne Counties, CA, and extending to points in the United States (except AK and HI), and (2) passengers and their baggage, in the same vehicle with passengers, in special operations, in round-trip pleasure and sightseeing tours, beginning and ending at points in Stanislaus County, CA, and extending to points in the United States (except AK and HI). By the instant petition, petitioner seeks to modify the above authority by deleting the language "in round-trip pleasure and sightseeing tours" from the commodity description in (1) and (2) above.

MC 42011 (MIF) (Notice of filing of petition to modify certificate), filed June 22, 1979. Petitioner: D. Q. WISE & CO., INC., P.O. Drawer I, Tulsa, OK 74112. Representative: J. G. Dail, Jr., P.O. Box LL, McLean, VA 22101. Petitioner holds a motor common carrier certificate in MC 42011, issued April 1, 1965, authorizing transportation, over irregular routes, as pertinent, of heavy machinery and parts thereof, in truckload lots, (1) from Chicago, IL, Milwaukee, WI, and Indianapolis and Evansville, IN, to points in OK, and (2) between points in OK, on the one hand, and, on the other, points in AR and KS, those in that part of MO on and south of US Hwy 54, and those in that part of TX on and north of US Hwy 80. By the instant petition, petitioner seeks to modify the commodity description to authorize, within the same territory, the transportation of a commodities, the transportation of which because of size or weight requires the use of special equipment; (b) related articles and supplies when their transportation is incidental to the transportation of commodities which because of size or weight require special equipment; (c) parts of commodities which because of size or weight require special equipment; and (d) self-propelled articles each weighing 15,000 lbs. or more restricted to commodities which are transported on trailers.

MC 68101 (MIF) (Notice of filing of petition to modify certificate), filed July 25, 1979. Petitioner: APT SERVICES, INC., 303 South St., Newark, NJ 07114. Representative: George A. Olsen, 69 Tonele Ave., Jersey City, NJ 07308. Petitioner holds a motor common carrier certificate in MC 68101, issued November 19, 1974, authorizing transportation, over irregular routes, of general commodities, (except those of unusual value, classes A and B explosives, livestock, household goods, as defined by the Commission, and commodities requiring dump or tank trucks, between points in that part of the New York, NY Commercial Zone, as defined in Commercial Zones and Terminal Areas, 53 M.C.C. 451, within which local operations may be conducted pursuant to the partial exemption of section 309(b)(2) of the Act (the "terminal areas") authorized, within the same territory, the "tours" from the commodity description in (1) and (2) above.

MC 85970 (Sub-8)(MIF) (Notice of filing of petition to modify certificate), filed August 15, 1979. Petitioner: SARTAIN TRUCK LINE, INC., 1354 N. Second St., Memphis, TN 38107. Representative: Warren A. Goff, 2008 Clark Tower, 5100 Poplar Ave., Memphis, TN 38137. Petitioner holds a motor common carrier certificate in MC 85970 (Sub-8), issued September 26, 1977, authorizing transportation, over irregular routes, as pertinent, in summary, of general commodities, with the usual exceptions, between Memphis, TN and St. Louis, MO, serving numerous intermediate points subject to the following restrictions: (A) Service at Memphis, TN is restricted to that portion of Memphis and its commercial zone as defined by the Commission lying wholly within TN. (B) Service at Memphis, TN and its commercial zone is restricted against the handling of traffic originating at, destined to, or interchanged at Dyersburg, TN and its commercial zone as defined by the Commission. (C) Service at the St. Louis, MO and East St. Louis, IL commercial zone is restricted against the handling of traffic originating at, destined to, but not against traffic interchanged at Dyersburg and/or, Newbern, TN and their respective commercial zones as defined by the Commission. (D) Said operations are restricted against the transportation of traffic moving between points in the St. Louis, MO-East St. Louis, IL commercial zone, on the one hand, and, on the other, Memphis and Jackson, TN, and points in their commercial zones as defined by the Commission. By the instant petition, petitioner seeks to modify the above authority by deleting the above restrictions.

MC 95540 (Sub-1004F)(MIF) (Notice of filing of petition to modify certificate), filed August 9, 1979. Petitioner: WATKINS MOTOR LINES, INC., 1144 West Griffin Rd., Lakeland, FL 33801. Representative: Clyde W. Carver, P.O. Box 7720434, Atlanta, GA 30323. Petitioner holds a motor common carrier certificate in MC 95540 (Sub-1004F), issued July 25, 1979, authorizing transportation, over irregular routes, of frozen foods (except in bulk), from the facilities utilized by Termicold Corporation, and the facilities utilized by Idco Foods, Inc., at or near Plover, WI, to points in AL, AR, FL, GA, LA, MS, NC, SC, TN, and TX, restricted to the transportation of traffic originating at the named origin facilities and destined to the named destinations. By the instant petition, petitioner seeks to modify the above authority by deleting the language "in the facilities utilized by Termicold Corporation," and "the facilities utilized by Idco Foods, Inc." from the territorial description.

MC 95540 (Sub-1025F)(MIF) (Notice of filing of petition to modify certificate), filed August 14, 1979. Petitioner: WATKINS MOTOR LINES, INC., 1144 West Griffin Rd., Lakeland, FL 33801. Representative: Clyde W. Carver, P.O. Box 7720434, Atlanta, GA 30323. Petitioner holds a motor common carrier certificate in MC 95540 (Sub-1025F), issued July 2, 1979, authorizing transportation, over irregular routes, of drugs, toilet preparations, health care products, magnesium hydroxide, and alumina calcined (except commodities in bulk), in vehicles equipped with mechanical refrigeration. (1)(a) from Philadelphia, PA, and (b) from Lakewood, NJ, and Lowell, DE, to points in the United States (except AK, CT, DE, HI, ME, MD, MA, NH, NJ, PA, RI, VA, VT, WV, and DC). (2) from Friendship, NC, to points in the United States (except AK, HI, NC, SC, and VA). (3) from Reno, NV, to points in PA, and (4) from San Leandro, CA, to points in GA, IN, and PA, restricted in (1) through (4) above to the transportation of traffic originating at the named origins and destined to the named destinations, and further restricted in (1)(a) above to traffic originating at the facilities of SmithKline Corp.; Merck, Sharpe & Dohme, Division of Merck & Co., Inc.; Wyeth Laboratories, Division of American Home Products Corporation; Vicks Health Care Division of Richardson-Merrell, Inc.; Wm. H. Rorer, Inc.; or McNeil Labs., Inc. By the instant petition, petitioner seeks to modify the authority by deleting the language "in vehicles equipped with mechanical refrigeration".

MC 108340 (Sub-28) (MIF) (Notice of filing of petition to modify certificate), filed August 6, 1979. Petitioner: HANEY TRUCK LINE, #1 Haney Lane, P.O. Box 225, Bakersfield, CA 93301. Representative: Richard H. Haney, P.O. Box 225, Bakersfield, CA 93301. Petitioner holds a motor common carrier certificate in MC 108340 (Sub-28), issued August 6, 1979, authorizing transportation, over irregular routes, of general commodities, (except those of unusual value, classes A and B explosives, livestock, household goods, as defined by the Commission, and commodities requiring dump or tank trucks, between points in that part of the New York, NY Commercial Zone, as defined in Commercial Zones and Terminal Areas, 53 M.C.C. 451, within which local operations may be conducted pursuant to the partial exemption of section 309(b)(2) of the Act (the "terminal areas") authorized, within the same territory, the "tours" from the commodity description in (1) and (2) above.
Middlesex, and Union Counties, except those of unusual value, classes A interstate or foreign commerce, over 1975, Gladstone, description. The instant petition, petitioner seeks to and, on the other, points in that part of the language “in bags” from the commodity description.

MC 109821 (Sub-5) M4F, Notice of filing of petition to modify certificate, filed August 13, 1979. Petitioner: TAYNTON FREIGHT SYSTEM, INC., 40 Main St., Wellsboro, PA 16901.

Representative: Dewey T. Whiford (same address as petitioner). Petitioner holds a motor common carrier certificate in MC-109821 Sub 5, issued March 3, 1978, authorizing transportation, over irregular routes, as pertinent, of manufactured glass products and commodities and equipment used in the manufacture, sale, and shipment of manufactured glass products, between Buffalo, Corning, Elmira, and Horseheads, NY, Boyertown, Bradford, Charleroi, Port Allegheny, Wellsboro, and Dale Summit, PA, and Fairmont and Parkersburg, WV, on the one hand, and, on the other, points in CT, IN, KY, MA, NJ, NY, OH, RI, and WV (except that no traffic shall be transported between Bradford and Port Allegheny, PA, on the one hand, and, on the other, points in that part of NY on and west of a line beginning at Oswego, NY, and extending along NY Hwy 57 to Syracuse, NY, and then along US Hwy 11 to the NY-PA State line). By the instant petition, petitioner seeks to add Harrodsburg, KY, Greenville, OH, Greensville, PA, and Martinsburg, WV, as base points to the territorial description.

MC 113531 (Sub-2)(M1F), (Notice of filing of petition to modify certificate), filed August 17, 1979. Petitioner: UNION TRUCKING CO., INC., P.O. Box 294, Kenilworth, NJ 07033. Representative: George A. Olsen, P.O. Box 357, Gladstone, NJ 07934. Petitioner holds a motor common carrier certificate in MC-113531 Sub 2 issued November 21, 1975, authorizing transportation in interstate or foreign commerce, over irregular routes, General commodities except those of unusual value, classes A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), between points in Hudson, Bergen, Essex, Passaic, Middlesex, and Union Counties, NJ, on the one hand, and, on the other points in that portion of the NY, NY Commercial Zone as defined in Commercial Zones and Terminal Areas, 53 M.C.C. 451 (1951), within which local operations may be conducted pursuant to the partial exemption of Section 203(b)(6) of the Act (the “exempt” zone), points in NJ within the New York, NY Commercial Zone as defined in Commercial Zones and Terminal Areas, 53 M.C.C. 451 and 496 (1951) and points in Nassau, Suffolk, Westchester, Rockland, and Orange Counties, NY. Restriction: The operations authorized herein are subject to the following conditions: Said operations are restricted against the transportation of traffic originating at or destined to the facilities of MacMillan Bloedel Containers, Inc., at Union, NJ. The authority granted herein shall be subject to the right of the Commission, which is hereby expressly reserved, to impose such terms, conditions, or limitations in the future as it may find necessary in order to insure that carrier’s operations shall conform to the provisions of Section 210 of the Act. By the instant petition, petitioner seeks to modify the above authority by deleting the phrase “by the use of wrecked equipment only”. By the instant petition, petitioner seeks to delete the restrictive phrase “by the use of wrecked equipment only”.

MC 138425, M1F, filed August 24, 1978. Notice of filing of petition to modify certificate. Petitioner: HELMCRING TOWING SERVICE, 4450 Marlton Pike, Pennsauken, NJ 08110. Representative: H. Alfred King, Sr. (same address as petitioner). Petitioner holds common carrier authority in MC 138425, served January 11, 1974, over irregular routes, transporting wrecked, disabled, and inoperative motor vehicles and trailers and replacement motor vehicles and trailers in toaway service by wrecker equipment, between points in NJ, on the one hand, and, on the other, points in CT, DE, MD, NY, Off, PA, VA, and DC. By the instant petition, petitioner seeks to delete “in towaway service by wrecker equipment”.


Representative: David M. Marshall, 101 State St—Suite 304, Springfield, MA 01103. Petitioner holds a motor contract carrier Permit in MC 143384 issued May 17, 1978, authorizing transportation, over irregular routes, of refined petroleum products, in bulk, in tank vehicles, from Chelsea, Revere, and Boston, MA, to points in Rockingham, Hillsborough, Cheshire, Sullivan, Merrimack, Strafford and Belknap Counties, NH. Restriction: The operations authorized herein are limited to a transportation service to be performed under a continuing contract(s) with Northeast Petroleum Corp, P.C. Petroleum, Inc., and Old Colony Petroleum Company, Inc., all located at Chelsea, MA. By the instant Petition, Petitioner seeks to add Mobil Oil Corporation, Fellows Oil Co. Inc. and Chevron U.S.A. Inc. as contracting shippers.
Republications of Grants of Operating Rights Authority Prior to Certification Notice

The following grants of operating rights authorities are republished by order of the Commission to indicate a broadened grant of authority over that previously noticed in the Federal Register.

An original and one copy of a petition for leave to intervene in the proceeding must be filed with the Commission on or before April 21, 1980. Such pleading shall comply with Special Rule 247(e) of the Commission's General Rules of Practice (49 CFR 1100.247) addressing specifically the issue(s) indicated as the purpose for republication, and including copies of intervenor's conflicting authorities and a concise statement of intervenor's interest in the proceeding setting forth in detail the precise manner in which it has been prejudiced by lack of notice of the authority granted. A copy of the pleading shall be served concurrently upon the carrier's representative, or carrier if no representative is named.

MC 126118 (Sub-128F) (Republication), filed February 5, 1979, published in the Federal Register issue of March 27, 1979, and republished this issue. Applicant: CRETE CARRIER CORPORATION, P.O. Box 81228, Lincoln, NE 68501. Representative: Duane W. Acklie (same address as applicant). A Decision of the Commission—Review Board Number 3, decided January 18, 1980, and served. February 13, 1980, finds that the present and future public convenience and necessity require operations by applicant in interstate or foreign commerce as a common carrier, by motor vehicle, over irregular routes, transporting such commodities as are used by and dealt in by metal fabricators and manufacturers and distributors of containers and hoists (except liquid commodities in bulk) (1) between Beatrice, NE, and Dyersburg, TN, on the one hand, and, on the other, points in the United States (except AK and HI), (2) from Lewisport, KY, Davenport, IA, Alcoa, TN, Louisville, KY, Sandow, TX, and Spokane, WA, to Kansas City, MO, and (3) from Massillon, OH, Detroit, MI, Midland, PA, and Chicago, IL, to Sedalia, MO, that applicant is fit, willing, and able properly to perform such service and to conform to the requirements of the Interstate Commerce Act and the Commission's rules and regulations. The purpose of this republication is to indicate applicant's actual grant of authority.

Permanent Authority Decisions, Decision-Notice, Substitution Applications: Single-Line Service for Existing Joint-Life Service


The following applications, filed on or after April 1, 1979, are governed by the special procedures set forth in § 1062.2 of Title 49 of the Code of Federal Regulations (49 CFR 1062.2). The rules provide, in part, that carriers may file petitions with this Commission for the purpose of seeking intervention in these proceedings. Such petitions may seek intervention either with or without leave as discussed below. However, all such petitions must be filed in the form of verified statements, and contain all of the information offered by the submitting party in opposition. Petitions must be filed with the Commission on or before April 21, 1980.

Petitions for intervention without leave (i.e. automatic intervention), may be filed only by carriers which are, or have been, participating in the joint-line service sought to be replaced by applicant's single-line proposal, and then only if such participation has occurred within the one-year period immediately proceeding the application's filing. Only carriers which fall within this filing category can base their opposition upon the issue of the public need for the proposed service.

Petitions for intervention with leave may be filed by any carrier. The nature of the opposition; however, must be limited to issues other than the public need for the proposed service. The appropriate basis for opposition, i.e. applicant's fitness, may include challenges concerning the veracity of the applicant's supporting information, and the bona-fides of the joint-line service sought to be replaced (including the issue of its substantiality). Petitions containing only unsupported and undocumented allegations will be rejected.

Petitions not in reasonable compliance with the requirements of the rules may be rejected. An original and one copy of the petition to intervene shall be filed with the Commission, and a copy shall be served concurrently upon applicant's representative, or upon applicant if no representative is named.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record. Broadening amendments will not be accepted after March 21, 1980.

Any authority granted may reflect administratively acceptable restrictive amendments to the service proposed below. Some of the applications may have been modified to conform to the Commission's policy of simplifying grants of operating authority.

Findings: With the exception of those applications involving noted problems (e.g., unresolved common control, unresolved fitness questions, and jurisdictional problems) we find, preliminarily, that each applicant has demonstrated that its proposed service is required by the present and future public convenience and necessity. Each applicant is fit, willing, and able properly to perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission's regulations. Except where specifically noted, this decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975.

In those proceedings containing a statement or note that dual operations are or may be involved we find, preliminarily and in the absence of the issue being raised by a petitioner, that the proposed dual operations are consistent with the public interest and the transportation policy of 49 U.S.C. 10101 subject to the right of the Commission, which is expressly reserved, to impose such terms, conditions or limitations as it finds necessary to insure that applicant's operations shall conform to the provisions of 49 U.S.C. 10930(a) (formerly section 210 of the Interstate Commerce Act).

In the absence of legally sufficient petitions for intervention, filed on or before April 21, 1980 (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of the decision-notice. To the extent that the authority sought below may duplicate an applicant's other authority, such duplication shall be construed as conferring only a single operating right.

Applicants must comply with all specific conditions set forth in the grant of grants of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 4, Members Fitzpatrick, Fisher, and Felder. Member Felder not participating.

To operate as a common carrier, by motor vehicle, in interstate or foreign commerce, over irregular routes, and to transport such commodities, which, because of size or weight, require the use of special equipment or special handling, between points in OH, IN, MI, and those in Lake, Cook, and DuPage Counties, IL, on the one hand, and, on the other, points in AL, AR, CO, CT, DE, FL, GA, ID, IL, IA, KY, LA, ME, MD, MA, MT, MN, MS, MO, NE, NH, NJ, NY, NC, ND, OK, OR, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY, and DC. (Hearing site: Chicago, IL.)

Note.—The sole purpose of this application is to substitute single-line for joint-line operations.

MC 119656 (Sub-61F), filed June 27, 1979. Applicant: NORTH EXPRESS INC., 219 Main St., Winamac, IN 46996. Representative: Donald W. Smith, P.O. Box 40240, Indianapolis, IN 46240. To operate as a common carrier, by motor vehicle, in interstate or foreign commerce, over irregular routes, transporting iron and steel articles, from the facilities of Bethlehem Steel Corporation, at Lackawanna, NY, to points in CO, IA, KS, MN, MO, MT, NE, and SD, restricted to the transportation of traffic originating at points in MT, and those in Lake, Cook, and DuPage Counties, IL, on the one hand, and, on the other, points in NM, and (3) between Pueblo, CO, on the one hand, and, on the other, Boise City, OK, and points in KS. (Hearing site: Los Angeles or San Francisco, CA.)

Note.—The sole purpose of this application is to substitute single-line for joint-line operations.

Motor Carrier Operating Rights Applications; Notice

The following applications, filed on or after March 1, 1979, are governed by Special Rule 247 of the Commission's general rules of practice (49 CFR 1100.247). These rules provide, among other things, that a petition to intervene either with or without leave must be filed with the Commission within 30 days after the date of publication in the Federal Register of a copy being furnished the applicant. Protests to these applications will be rejected.

A petition for intervention without leave must comply with Rule 247(k) which requires petitioner to demonstrate that it (1) holds operating authority permitting performance of any of the service which the applicant seeks authority to perform, (2) has the necessary equipment and facilities for performing that service, and (3) has performed service within the scope of the application either (a) for those supporting the application, or (b) where the service is not limited to the facilities of particular shippers, from and to, or between, any of the involved points. Persons unable to intervene under Rule 247(k) may file a petition for leave to intervene under Rule 247(l). In deciding whether to grant leave to intervene, the Commission considers, among other things, whether petitioner has (a) solicited the traffic or business of those persons supporting the application, or (b) where the identity of those supporting the application is not included in the published application notice, has solicited traffic or business identical to any part of that sought by applicant within the affected marketplace. Another factor considered is the effects of any decision on petitioner's interests.

Samples of petitions and the text and explanation of the intervention rules can be found at 43 FR 58904, as modified at 43 FR 60472. Petitions not in reasonable compliance with these rules may be rejected. Note that Rule 247(e), where not inconsistent with the intervention rules, also applies. Especially refer to Rule 247(e) for requirements as to supplying a copy of conflicting authority, serving the petition on applicant's representative, and oral hearing requests.

MC 13300 (Sub-82F), filed February 26, 1979, under the provisions of the Superhighway Rules—Motor Common Carriers of Passengers, 49 CFR 1042.1. Applicant: CAROLINA COACH COMPANY, d.b.a. CAROLINA TRAILWAYS, a corporation, 1201 S. Blount St., P.O. Box 20866, Raleigh, NC 27611. Representative: Lawrence E. Lindeman, 1032 Pennsylvania Bldg., Pennsylvania Ave. & 13th St., N.W., Washington, DC 20004. Authority sought to operate as a common carrier, by motor vehicle, in interstate or foreign commerce, over regular routes, transporting passengers and their baggage, and express and newspapers in the same vehicle with passengers, between Junction Interstate Hwy 85 and Interstate Hwy 85 access route near Gold Rock, NC and junction U.S. Hwy 530, and Interstate Hwy 85 near Kenly, NC, over Interstate Hwy 95, serving all intermediate points. Conditions: (1) this grant of authority shall not be severable, except upon this Commission's authorization, by sale or otherwise, from the appurtenant underlying authorized service routes between termini set forth in the grant of authority herein, and (2) applicant is required to maintain reasonable and adequate service over the said appurtenant service routes.

MC 149921F, filed June 4, 1979, including Motion to Dismiss Application. Applicant: TRI-COUNTY ENTERPRISES, INC., 21282 Telegraph Road, Southfield, MI 48075. Representative: Martin J. Leavitt, 22375 Haggerty Road, P.O. Box 400, Northville, MI 48167. Authority sought to operate as a common carrier, by motor vehicle, in interstate or foreign commerce, over irregular route, transporting salt, in bulk, in dump vehicles, from dock facilities located in MI to points in MI, restricted to the transportation of traffic having a prior movement by water. (Hearing site: Detroit, MI, or Washington, DC.)

Permanent Authority Decisions; Decision-Notice

Decided: March 5, 1980.

The following broker, freight forwarder or water carrier applications are governed by Special Rule 247 of the Commission's Rules of Practice (49 CFR 1100.247). These rules provide, among other things, that a protest to the
granting of an application must be filed with the Commission within 30 days after the date notice of the application is published in the Federal Register. Failure to file promptly will be considered as a waiver of opposition to the application. A protest under these rules shall comply with Rule 247(e)(3) of the rules of practice which requires that it set forth specifically the grounds upon which it is made, contain a detailed statement of the protestant's interest in the proceeding, as specifically noted below, and specify with particularity the facts, matters, and things relied upon. The protest shall not include issues or allegations phrased generally. A protestant shall include a copy of the specific portion of its authority which it believes to be in conflict with that sought in the application, and describe in detail the method—whether interline, or other means—by which protestant would use this authority to provide all or part of the service proposed. Protests not in reasonable compliance with the requirements of the rules may be rejected. The original and one copy of the protest shall be filed with the Commission. A copy shall be served concurrently upon applicant's representative, or upon applicant if no representative is named. If the protest includes a request for oral hearing, the request shall meet the requirements of section 247(e)(4) of the special rules and shall include the certification required in that section.

Section 247(f) provides, in part, that an applicant which does not intend timely to prosecute its application shall promptly request that it be dismissed, and that failure to prosecute an application under the procedures of the Commission will result in its dismissal.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record. Broadening amendments will not be accepted after the date of this publication.

Any authority granted may reflect the findings of a protest if the proposed service is either (a) required by the public convenience and necessity, or, (b) will be consistent with the public interest and the transportation policy of 49 U.S.C. 10101. Each applicant is fit, willing, and able properly to perform the service proposed and to conform to the requirements of Title IV, United States Code, and the Commission's regulations. Except where specifically noted, this decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975. In the absence of legally sufficient protests, filed within 30 days of publication of this decision-notice (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of this decision-notice. To the extent that the authority sought below may duplicate an applicant's existing authority, such duplication shall not be construed as conferring more than a single operating right. Applicants must comply with all specific conditions set forth in the grant of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 1, Members Carlton, Joyce, and Jones.

Brokers

MC 130680F, filed September 27, 1979.
Applicant: ELIZABETH B. MOOREFIELD, d.b.a. ASHEVILLE TOURS, 164 Hillside St., Asheville, NC.
Representative: William P. Farthing, Jr., 1100 Cameron-Brown Bldg., Charlotte, NC 28204. To engage in operations, in interstate or foreign commerce, as a broker, at Asheville, NC, for the transportation of passengers and their baggage, in special or charter operations, beginning and ending at points in NC and extending to points in the United States, (except AK and HI). (Hearing site: Asheville or Charlotte, NC.)

MC 1306810F, filed January 8, 1980.
Applicant: RICHARD JETT, d.b.a. RICHARD JETT TOURS, P.O. Box 67, Campion, KY 41301. Representative: William L. Willis, 708 McClure Bldg., Frankfort, KY 40601. To engage in operations, in interstate or foreign commerce, as a broker, at Campion, KY, in arranging for the transportation, by motor vehicle, of passengers and their baggage, in special and charter operations, beginning and ending at points in Breathitt, Lee, Magoffin, Menifee, Morgan, Powell and Wolfe Counties, KY, and extending to points in the United States (except AK and HI). (Hearing site: Lexington or Campion, KY.)

MC 130768F, filed January 17, 1980.
Applicant: MARTZ TRAVEL, INC., 239 Old River Rd., Wilkes-Barre, PA 18702. Representative: S. Berne Smith, P.O. Box 1166, (100 Pine St.), Harrisburg, PA 17108. To engage in operations, in interstate or foreign commerce, as a broker, at Wilkes-Barre, PA, to arrange for the transportation by motor vehicle, of passengers and their baggage, in special or charter operations, between points in the United States, including AK and HL. (Hearing site: Wilkes-Barre or Philadelphia, PA.)

Applicant: WILLIAM NEWLAND AND RICHARD WASHINGTON, d.b.a. NEW HORIZON TOURS, 16 Moeller St., Binghamton, NY 13904. Representative: Jan L. Shephard, 29 Riverside Dr., P.O. Box 1116, Binghamton, NY 13902. To engage in operations in interstate or foreign commerce, as a broker, at Binghamton, NY, to arrange for the transportation by motor vehicle, of passengers and their baggage, in special or charter operations, beginning and ending at points in NY, and extending to points in NJ. (Hearing site: Washington, DC.)

Applicant: COLLEGE EVALUATION TOURS, INC., 9 Knowing Place, West Orange, NJ 07052. Representative: Linda Warner (same address as applicant). To engage in operations, in interstate or foreign commerce, as a broker, at West Orange, NJ, in arranging for the transportation, by motor vehicle, of passengers and their baggage, in special or charter operations, between points in ME, VT, NH, RI, CT, NY, PA, NJ, DE, MD, VA, NC, and DC. (Hearing site: Newark, NJ.)

Permanent Property Broker Authority

Decisions

Decision-Notice

Decided: March 5, 1980.

The following applications are governed by 49 CFR Part 1045A.
Applicants seek to obtain authority to operate as brokers of motor carrier transportation of general commodities [except household goods] between all points in the United States. The full text and explanation of the rules are published at 44 FR 36527.

The sole issue upon which these applications can be protested is the
fitness of applicant (or business associates) to perform the service. Protests (an original and one copy) must be filed with the Commission within 30 days of the Federal Register publication. The protest must contain the specific facts being relied upon to challenge fitness, and must contain a certification that it has been served concurrently upon applicant's representative, or, if none is listed, upon the applicant. Applicant may file a reply statement to any protests. The filing of these statements will complete the record, unless it is later determined that more evidence must be supplied.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record.

Findings: With the exception of those applications involving duly noted problems (e.g., unresolved fitness questions), we find, preliminarily, that each applicant has demonstrated that its proposed service is consistent with the public interest and the transportation policy of 49 U.S.C. 10101, and that each applicant is fit, willing, and able properly to perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission's regulations. Except where specifically noted, this action will not significantly affect the quality of the human environment or conservation of energy resources.

In the absence of legally sufficient protests, filed on or before April 21, 1980, or, if the application later becomes unopposed) appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of the decision-notices. To the extent that the authority sought below may duplicate an applicant's other authority, such duplication shall be construed as conferring only a single operating right. Applicants must comply with all specific conditions set forth in the grant or grants of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 1, Members Carleton, Joyce, and Jones.

Applicant's name and address are TRANS-SAVE, INC., 6114 Bent Tree Court, Charlotte, NC 28212. The name under which operations will be performed is TRANS-SAVE, INC. Applicant is represented by Robert D. Weart in this proceeding whose address is 6114 Bent Tree Court, Charlotte, NC 28212. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners) and first five principal shareholders, with their appropriate titles: Kenneth W. Maxfield, Director, Martin A. Weissert, Director, E. Wade Monroe, Director and Treasurer, Kenneth W. Maxfield, President, Dennis Pressler, Vice President, Margaret S.
Vegeler, Secretary. All business addresses are P.O. Box 988, Fort Wayne, IN 46801. The daily operations will be managed by Dennis Pressler whose business address is P.O. Box 411, New Haven, IN 46774. Applicant is affiliated with the following shipper or warehouse: None.

MC 130740F, filed December 17, 1979. Applicant's name and address are SHOLEN, INC., 10689 Drury Lane, Lynnwood, CA 98262. The name under which operations will be performed is PACIFIC TRANSPORTATION. Applicant is represented by Milton W. Flack, 4311 Wilshire Blvd., Suite 300, Los Angeles, CA 90010. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: C. E. Shore, Jr., President; Donald R. Shorea, Vice President, Director, and shareholder; Margaret K. Shores, Secretary, Treasurer and Director, and shareholder, 111 Oakwood Drive, New Britain, CT 06051. The daily operations will be managed by Donald R. Shorea, Jr., whose business address is same as applicant. Applicant is affiliated with the following shipper or warehouse: None.

MC 130765F, filed December 21, 1979. Applicant's name and address are AMODIO MOVING, INC., 600 East St., New Britain, CT 06051. The name under which operations will be performed is AMODIO MOVING, INC. Applicant is represented by Robert J. Gallagher, in this proceeding whose address is Suite 1200, 1000 Connecticut Ave., N.W., Washington, DC 20036. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Frank E. Amadio, president, treasurer, and shareholder, 600 East St., New Britain, CT, Debra L. Norman, Vice President and shareholder, 111 Oakwood Drive, New Britain, CT, Jayne Amadio, Vice President and shareholder, 111 Oakwood Drive, New Britain, CT, Louis G. Amadio, Jr., Vice President and shareholder, 120 Barbour Road, New Britain, CT, and Lenore T. Amadio, secretary, Vice President, and shareholder, 120 Barbour Road, New Britain, CT. The daily operations will be managed by Frank E. Amadio whose business address is 600 East St., New Britain, CT 06051. Applicant is affiliated with the following shipper or warehouse: North American Warehouses.

MC 130770F, filed January 22, 1980. Applicant's name and address are THOMAS D. HYNES, 78 Caledia Road, Pueblo, CO 81001. Applicant is represented by Charles J. Kimball, in this proceeding whose address is 350 Capitol Life Center, 1600 Sherman St., Denver, CO. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Thomas D. Hynes, sole owner. The daily operations will be managed by Thomas D. Hynes whose business address is 78 Caledia Road, Pueblo, CO 81001. Applicant is affiliated with the following shippers and carriers: A. P. Green Refractories, Summit Brick, Pueblo Army Depot, Timberline Pipe, Western Pipe & Tube, Front Range Supply, Colorado Steel & Iron, and Spoken Iron & Metal, Inc., whose business address is 3240 H & H Steel & Wire, Rocky Ford, CO, Mulock Welding, Colorado Springs, CO, Roadrunner Trucking, Albuquerque, NM, Newman Bros. Trucking, Ft. Worth, TX, Sammons Trucking, Missoula, MT, Glass Trucking, Newkirk, OK, Getter Trucking, Billings, MT, Belger Cartage, Kansas City, MO, Red Ball Motor Freight, Dallas, TX, Ringsby Truck Lines, Denver, CO, Mercy Express, Longmont, MO, Mercer Transportation, Louisville, KY, T.I.M.E. DC, Jackson, MI, and E. L. Murphy Trucking, St. Paul, MN.

MC 130778F, filed January 22, 1980. Applicant's name and address are ACTION CENTER BROKERAGE, INC., Suite 811, Metcalf Bldg., 100 South Orange Ave., Orlando, FL 32801. The name under which operations will be performed is ACTION CENTER BROKERAGE, INC. Applicant is represented by James E. Wharton in this proceeding whose address is Suite 811, Metcalf Bldg., 100 S. Orange Ave., Orlando, FL 32801. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: James E. Wharton, President, and Judith Gallagher, President, and Allen M. Marchand, Director, and sole shareholder, 170 Barbour Rd., New Britain, CT, and Lenore T. Amadio, secretary, Vice President, and shareholder, 120 Barbour Road, New Britain, CT. The daily operations will be managed by James E. Wharton whose business address is Suite 811, Metcalf Bldg., 100 S. Orange Ave., Orlando, FL 32801. Applicant is affiliated with the following shipper or warehouse: None.

MC 130777F, filed January 22, 1980. Applicant's name and address are CALIFORNIA TERMINAL CO., INC., 3240 E. Bandini Blvd., Los Angeles, CA 90023. The name under which operations will be performed is CALIFORNIA TERMINAL CO., INC. Applicant is represented by Robert J. Gallagher. In this proceeding whose address is Suite 1200, 1000 Connecticut Ave., NW, Washington, DC 20036. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Lynda L. Wall, President and Director (shareholder), 3240 E. Bandini Blvd., Los Angeles, CA 90023; Sherri L. Wall, Vice President and Director (shareholder), 3240 E. Bandini Blvd., Los Angeles, CA 90023; and Judith A. Mylan, Secretary/Treasurer and Director (shareholder), 3240 E. Bandini Blvd., Los Angeles, CA 90023. The daily operations will be managed by Lynda L. Wall, whose business address is 3240 E. Bandini Blvd., Los Angeles, CA 90023. Applicant is affiliated with various shippers or warehouses in the area.

MC 130782F, filed February 11, 1980. Applicant's name and address are D. R. CURTIS COMPANY, P.O. Box 1208, Burley, ID 83318. The name under which operations will be performed is D. R. CURTIS COMPANY. Applicant is represented by William Parsons in this proceeding whose address is 137 West 13th St., Burley, ID 83318. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: D. R. Curtis who is a wholly-owned subsidiary of The Amalgamated Sugar Company, who is the sole principal shareholder, whose business address is First Security Bank Bldg., Box 1903, Ogden, UT 84402. D. R. Curtis, President, David Martin, V. Pres., Robert S. Lehman, Asst. Secretary-Treasurer, Dennis R. Curtis, Director, whose business address is P.O. Box 1208, Burley, ID 83318, and Alan Lipman, Director, William Ludwig, Director, and Larry Corry, Director, whose business address is First Security Bank Bldg., Box 1903, Ogden, UT 84402. The daily operations will be managed by Randy Curtis whose business address is P.O. Box 1208, Burley, ID 83318. Applicant is affiliated with the following shipper or warehouse: None.

MC 130783F, filed December 11, 1979. Applicant's name and address are LEANDER E. TUTTLE, Houlton Rd., P.O. Box 791, Presque Isle, ME 04779. The name under which operations will be performed is MAINE TRUCKER'S EXCHANGE, INC. Applicant is represented by himself in this proceeding whose address is Houlton
From Chicago, IL over Interstate Hwy 94 over deviation routes as follows:

1. From Chicago, IL over US Hwy 66 to junction US Hwy 66A, then over US Hwy 66A to junction US Hwy 66, then over US Hwy 66 to junction IL Hwy 116, then over IL Hwy 119 to Peoria, IL, and
2. From Toledo, OH over OH Hwy 23 to junction US Hwy 20, then over US Hwy 20 to Chicago, IL and return over the same route.

Motor Carrier Alternate Route Deviations Notice

The following letter-notices to operate over deviation routes for operating convenience only have been filed with the Commission under the Deviation Rules—Motor Carrier of Property (49 CFR 1042.4(c)(11)).

Motor Carrier of Property

MC 79035 (Deviation Route No. 2), filed February 27, 1980. Applicant: FAIRBANKS EXPRESS, INC., North Hoosick Road, Hoosick Falls, NY 12090. Applicant's Representative: David M. Marshall, Esq., Marshall and Marshall, 101 State Street—Suite 304, Springfield, MA 01103. Carrier proposes to operate as a common carrier by motor vehicle, of general commodities, with certain exceptions, over a deviation route as follows: From Chicago, IL over US Hwy 66 to junction US Hwy 66A, then over US Hwy 66A to junction US Hwy 66, then over US Hwy 66 to junction IL Hwy 116, then over IL Hwy 119 to Peoria, IL, and
2. From Toledo, OH over OH Hwy 23 to junction US Hwy 20, then over US Hwy 20 to Chicago, IL and return over the same route.

Motor Carrier Intrastate Application(s)

The following application(s) for motor common carrier authority to operate in intrastate commerce seek concurrent motor carrier authorization in interstate or foreign commerce within the limits of the Intrastate authority sought, pursuant to Section 10831 (formerly Section 206(a)(6) of the Interstate Commerce Act. These applications are governed by Special Rule 245 of the Commission's general rules of practice (49 CFR 1100.245), which provides, among other things, that protests and requests for information concerning the time and place of State Commission hearings or other proceedings, any subsequent changes therein, and any other related matters shall be directed to the State Commission with which the application is filed and shall not be addressed to or filed with the Interstate Commerce Commission.

New York Docket No. T-3984, filed September 4, 1979. Applicant: FRANK ANICETO, d.b.a., COLONIAL HIGHWAY EXPRESS, 100 Elmhurst Place, Buffalo, NY 14216. Representative: William J. Hirsch, 43 Court Street, Buffalo, NY 14202. Certificate of Public Convenience and Necessity sought to operate a freight service, as follows: Transportation of iron and steel articles, between all points in the State, except the Counties of Columbia, Dutchess, Nassau, Orange,
The following applications are governed by 49 CFR 1062.3. Applicants seek to obtain motor carrier common authority to perform service within the commercial zone of port cities where the shipment has a prior or subsequent movement by maritime carrier. The full text and explanation of the rules are contained at 49 FR 7985, as corrected at 49 FR 37230.

The sole issue upon which these applications can be protested is the applicant’s fitness to perform the service. Protests (an original and one copy) must be filed with the Commission on or before April 21, 1980. The protest must contain the specific facts being relied upon to challenge fitness, and must contain a certification that it has been served concurrently upon applicant’s representative, or, if none is listed, upon the applicant. Applicant may file a reply statement to any protest. The filing of these statements will complete the record, unless it is later determined that more evidence must be supplied.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record. Broadening amendments will not be accepted after the date of this publication.

Any authority granted may reflect administratively acceptable restrictive amendments to the service proposed below. Some of the applications may have been modified to conform to the Commission’s policy of simplifying grants of operating authority.

**Findings:** With the exception of those applications involving duly noted problems (e.g., unresolved common control, unresolved fitness questions, and jurisdictional problems) we find, preliminarily, that each common carrier applicant has demonstrated that its proposed service is required by the present and future public convenience and necessity.

Each applicant is fit, willing, and able to properly perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission’s regulations. Except where specifically noted, this decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1977.

In those proceedings containing a statement or note that dual operations are or may be involved we find, preliminarily and in the absence of the issue being raised by a protestant, that the proposed dual operations are consistent with the public interest and the transportation policy of 49 U.S.C. 10101 subject to the right of the Commission, which is expressly reserved, to impose such terms, conditions or limitations as it finds necessary to insure that applicant’s operations shall conform to the provisions of 49 U.S.C. 10930 (formerly section 210 of the Interstate Commerce Act).

In the absence of legally sufficient protests, filed within 30 days of publication of this decision-notice or, if the application later becomes unopposed, appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of the decision-notice. To the extent that the authority sought below may duplicate an applicant’s other authority, such duplication shall be construed as conferring only a single operating right.

Applicants must comply with all specific conditions set forth in the grant of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 1, Members Carleton, Joy6e, and Jones.

**Decision:**

Applicant: LILE INTERNATIONAL COMPANIES, a corporation, 15605 SW 72nd, Tigard, OR 97223. Representative: Jack R. Davis, 1100 IBM Bldg., Seattle, WA 98101. Transporting general commodities (except classes A and B explosives), between points in the commercial zone of Norfolk, VA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Norfolk, VA.)

**Applicant:** AQUA GULF CORPORATION, 1032 Julia St., Elizabeth, NJ 07201. Representative: Roy A. Jacobs, 550 Mamaroneck Ave., Harrison, NY 10528. Transporting general commodities (except classes A and B explosives), between points in the commercial zone of New York, NY, restricted to traffic having a prior or subsequent movement by water. (Hearing site: New York, NY.)

MC 136322 (Sub-20F), filed December 17, 1979. Applicant: BHY TRUCKING, INC., 9231 Whitmore, El Monte, CA 91733. Representative: John W. Carlisle, P.O. Box 967, Missouri City, TX 77459. Transporting general commodities (except classes A and B explosives), between points in the commercial zone of (a) Houston, TX, (b) Galveston, TX, (c) Texas City, TX, (d) Baytown, TX, (e) Beaumont, TX, (f) Port Arthur, TX, (g) Orange, TX, (h) La Porte, TX, (i) Port Lavaca, TX, (j) Corpus Christi, TX, (k) Bay City, TX, (l) Port O’Connor, TX, (m) Brownsville, TX, (n) Port of Brownsville, TX, (o) New Orleans, LA, (p) Baton Rouge, LA, (q) Lake Charles, LA, (r) Los Angeles, CA, (s) San Diego, CA, (t) San Francisco, CA, (u) Oakland, CA, and (v) Port of Stockton, CA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Houston, TX.)

MC 141140 (Sub-3F), filed November 16, 1979. Applicant: DIPETRO TRUCKING CO., a coporation, 2201 Sixth Ave, South, Seattle, WA 98144. Representative: George H. Hart, 1100 IBM Building, Seattle, WA 98101. Transporting general commodities (except classes A and B explosives), between points in the commercial zones of (a) Seattle, WA and (b) Tacoma, WA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Seattle, WA.)

Note.—Dual operations may be involved. MC 142399 (Sub-9F), filed November 14, 1979. Applicant: PORT EAST TRANSFER, INC., 1404 S. Clinton St, Baltimore, MD 21224. Representative: Mel P. Booker, Jr., 110 S. Columbus, St, Alexandria, VA 22314. Transporting general commodities (except classes A and B explosives), between points in the commercial zone of Norfolk, VA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Norfolk, VA.)

MC 145833 (Sub-2F), filed January 14, 1980. Applicant: AOL EXPRESS, INC., 6441 G St, Anchorage, AK 99502. Representative: Michael B. Crutcher, 2000 IBM Bldg, Seattle, WA 98101. Transporting general commodities (except classes A and B explosives), between points in the commercial zone of Anchorage, AK, restricted to traffic
having a prior or subsequent movement by water. (Hearing site: Anchorage, AK, or Seattle, WA.)

MC 146971F, filed November 1, 1979.

Transporing general commodities (except classes A and B explosives) between points in the commercial zone of Baltimore, MD, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Baltimore, MD, or Washington, DC.)

Note—Dual operations may be involved.

Irregular-Route Motor Common Carriers of Property—Elimination of Gateway Letter Notices

The following letter-notices of proposals to eliminate gateways for the purpose of reducing highway congestion, alleviating air and noise pollution, minimizing safety hazards, and conserving fuel have been filed with the Interstate Commerce Commission under the Commission's Gateway Elimination Rules (49 CFR Part 1065), and notice thereof to all interested persons is hereby given as provided in such rules.

An original and two copies of protests against the proposed elimination of any gateway herein described may be filed with the Interstate Commerce Commission on or before March 31, 1980. A copy must also be served upon applicant or its representative. Protests against the elimination of a gateway will be held to stay commencement of the proposed operation.

Successfully filed letter-notices of the same carrier under these rules will be number consecutively for convenience in identification. Protests, if any, must refer to such letter-notices by number.

The following applicants seek to operate as a common carrier, by motor vehicles, over irregular routes.

MC 83539 (Sub-No. E90), filed May 31, 1977. Applicant: C & H TRANSPORTATION, P.O. Box 5976, Dallas, TX 75222. Representative: H. N. Cunningham, III (same as above).
Commodities, the transportation of which, because of size or weight, require the use of special equipment, and parts thereof, when moving in connection with such commodities, between (A) points in FL in and west of Holmes, Washington, and Bay Counties, on the one hand, and, on the other, points in NY, and (B) points in FL, on the one hand, and, on the other, points in NY, in north and west of Chautauqua, Erie, Wyoming, Livingston, and Monroe Counties. (Gateway eliminated: points within a 50-mile radius of Nashville, TN, and points in KY and Philadelphia, PA.)

MC 83539 (Sub-No. E403), filed May 31, 1977. Applicant: C & H TRANSPORTATION, P.O. Box 5976, Dallas, TX 75222. Representative: H. N. Cunningham, III (same as above).
Commodities, the transportation of which, because of size or weight, require the use of special equipment, and related machinery, parts, materials, and supplies when moving in connection with such commodities, between (A) points in IL in, west and south of McHenry, Kane, Kendall, Grundy, Livingston, and Vermilion Counties, on the one hand, and, on the other, points in TN in and east of Montgomery, Houston, Humphreys, Perry, and Wayne Counties; and (B) points in IL, on the one hand, and, on the other, points in TN in, east and south of Sumner, Davidson, Williamson, Hickman, Louis, and Lawrence Counties, and in, west and south of Macon, Jackson, Putnam, Cumberland, Morgan, Anderson, Knox, Jefferson, Hamblen, Greene, Washington and Cartier Counties. Restriction: The service authorized here is subject to the following restriction: no service shall be performed in the stringing or picking up of any of the above commodities in connection with main or trunk pipelines. (Gateway eliminated: points within a 50-mile radius of Nashville, TN, and points in KY.)

MC 83539 (Sub-No. ES55), filed May 31, 1977. Applicant: C & H TRANSPORTATION, PO Box 5976, Dallas, TX 75222. Representative: H. N. Cunningham, III (same as above).
(A) Such self-propelled articles (except in driveway service) each weighing 15,000 pounds or more which may be included in heavy machinery, and related machinery, tools, parts, and supplies moving in connection therewith, between points in MO in and west of St. Charles, Warren, Gasconade, Phelps, Dent, Shannon, Carter, Butler, Dunklin, and Pemiscot Counties, on the one hand, and, on the other, points in KY and points in AR. (Gateway eliminated: (A) points in AR and KY, and (B) points in IA, IL, IN.)

MC 104996 (Sub-E5), filed June 4, 1974.
Applicant: W Omeldorf, Inc., P.O. Box G, Knox, PA 16223. Representative: Robert L. Womeldorf (same as above).
Prepared food products, (A) between points in NJ, on the one hand, and, on the other, Altoona, Clearfield, St. Marys, and Smethport, PA; and (B) from Baltimore, MD, to Altoona, Clearfield, St. Marys, and Smethport, PA; and (C) from Allegheny County, PA, to Baltimore, MD. (Gateways eliminated: (A) points in PA, on US Hwy 219, and (B) and (C) Pittsburgh, PA.)

MC 113666 (Sub-E20), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1750 M St., NW, Washington, DC 20036. Refractory products (except in bulk), (e) from points in KY to points in NY except points on or south of a line beginning at Hancock extending along NY Hwy 17 to junction NY Hwy 30, then along NY Hwy 30 to junction NY Hwy 23, then along NY Hwy 23 to junction NY Hwy 23A, then along NY Hwy 23A to junction NY Hwy 23, then along NY Hwy 23 to the NY-MA State line, and (b) from points in KY and west of a line beginning at Covington extending along I-71 to junction KY Hwy 75 to junction KY Hwy 27, then along KY Hwy 27 to the KY-TN State line, to points in NY excluded under part (a). (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E21), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1750 M St., NW, Washington, DC 20036. Refractory products (except in bulk), from points in KY to points in NY except points on or south of a line extending along US Hwy 33 to junction I-71 196, then along I-196 to junction I-71 94, then along I-94 to and including Wayne County. (Gateway eliminated: Detroit, MI.)


MC 11366 (Sub-E31), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in WV to points in MI on and north of a line beginning at Grand Haven, MI, extending along US Hwy 31 to junction MI Hwy 40, then along MI Hwy 40 to junction MI Hwy 89, then along MI Hwy 89 to junction US Hwy 131, then along US Hwy 131 to junction I Hwy 94, then along I Hwy 94 to and including Wayne County. (Gateway eliminated: Detroit, MI.)

MC 11366 (Sub-E32), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA to points in MI. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Woman Township, Clearfield County, Pa., and brick and structural title from Clearfield, PA, and points within 25 miles thereof. (Gateway eliminated: Detroit, MI.)

MC 11366 (Sub-E33), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA to points in MI. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Woman Township, Clearfield County, PA, and points within 25 miles thereof. (Gateway eliminated: Detroit, MI.)

MC 11366 (Sub-E34), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA to points in MI. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Woman Township, Clearfield County, PA, and points within 25 miles thereof. (Gateway eliminated: Detroit, MI.)

MC 11366 (Sub-E35), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA to points in MI. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Woman Township, Clearfield County, PA, and points within 25 miles thereof. (Gateway eliminated: Buffalo, NY.)

MC 11366 (Sub-E36), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA to points in MI. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Woman Township, Clearfield County, PA, and points within 25 miles thereof. (Gateway eliminated: Buffalo, NY.)
MC 113666 (Sub-E38), filed December 31, 1979. Applicant: FREIGHT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shaw, Suite 501, 1730 M St., NW., Washington, DC 20036. Refractory products (except in bulk), (A) from points in OH, to points in and north of Chester, Pennsylvania, and north of the Line extending along US Hwy 62 to junction US Hwy 68, then along US Hwy 68 to junction US Hwy 25, then along US Hwy 25 to junction I Hwy 475, then along I Hwy 475 to the OH-MI State line (including Springfield, Belleville, and Findlay and Toledo), to points in MI on and north of I Hwy 66 (including Lansing and Grand Rapids). (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E41), filed December 31, 1979. Applicant: FREIGHT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shaw, Suite 501, 1730 M St., NW., Washington, DC 20036. Refractory products (except in bulk), (A) from points in OH, to points in and north of Lanett, AL (including Chambersburg, Harrisburg, and Allentown, Bethlehem and Easton), to points in NY on and west of a line beginning at the OH-KY State line extending along US Hwy 62 to junction US Hwy 68, then along US Hwy 68 to junction US Hwy 25, then along US Hwy 25 to junction I Hwy 475, then along I Hwy 475 to the OH-MI State line (including Springfield, Bellefontaine, and Findlay and Toledo), to points in MI on and north of I Hwy 66 (including Lansing and Grand Rapids). (Gateway eliminated: Detroit, MI.)
the GA-TN State line extending along TN Hwy 5 to junction I Hwy 24, then along I Hwy 24 to junction US Hwy 127, then along US Hwy 127 to the TN-KY State line; (b) points in VA on and north of a line beginning at the TN-VA State line extending along US Hwy 23 to junction US Hwy 421/58, then along US Hwy 421/58 to junction I Hwy 81, then along I Hwy 81 to Staunton, then along I Hwy 64 to junction US Hwy 60, then along US Hwy 60 to the Atlantic Ocean; and (c) points in MO, NE, SD, MN, IA, WI, IL, IN, KY, MI, OH, WV, MD, PA, NJ, NY, MA, VT, NH, and ME. (Gateways eliminated: Chattanooga or Kingsport, TN, or Richmond or Norfolk, VA.)

Transportation of "Waste" Products for Reuse or Recycling

Special Certificate Letter Notice(s)

The following letter notices request participation in a Special Certificate of Public Convenience and Necessity for the transportation of "waste" products for reuse or recycling in furtherance of a recognized pollution control program under the Commission's regulations (49 CFR Part 1082) promulgated in "Waste Products.

Ex Parte No. MC-85, 124 M.C.C. 583 (1979). Requests are processed as seeking authority between all points in the United States.

An original and one copy of protests (including protestant's complete argument and evidence) against applicant's participation may be filed with the Interstate Commerce Commission on or before April 10, 1980. A copy must also be served upon applicant or its representative. Protests against the applicant's participation will not operate to stay commencement of the proposed operation.

If the applicant is not otherwise informed by the Commission, operations may commence within 90 days of the date of its notice in the Federal Register, subject to its tariff publication effective date.


By the Commission.
Agatha L. Mergenovich,
Secretary.

DEPARTMENT OF LABOR
Employment and Training Administration

Federal-State Unemployment Compensation Program; New Extended Benefit Period in the State of Oregon

This notice announces the beginning of a new Extended Benefit Period in the State of Oregon, effective on March 16, 1980.

Background

The Federal-State Extended Unemployment Compensation Act of 1970 (26 U.S.C. 3304 note) established the Extended Benefit Program as a part of the Federal-State Unemployment Compensation Program. The Extended Benefit Program takes effect during periods of high unemployment in a State or the nation, to furnish up to 13 weeks of additional benefits to eligible individuals who have exhausted their rights to regular benefits under permanent State and Federal unemployment compensation laws. Part 615 of Title 20, Code of Federal Regulations, implements the statute (20 CFR Part 615). In accordance with section 203(e) of the Act, the Oregon unemployment compensation law provides that there is a State "on" indicator in the State for a week if the head of the State employment security agency determines, in accordance with 20 CFR 615.12(e), that, for the period consisting of that week and the immediately preceding 12 weeks, the rate of insured unemployment under the State unemployment compensation law equaled or exceeded the State trigger rate. 20 CFR 615.12(b) or (c). The Extended Benefit Period actually begins with the third week following the week for which there is an "on" indicator. A benefit period will be in-effect for a minimum of 13 consecutive weeks, and will end the third week after there is an "off" indicator.

Determination of "on" Indicator

The head of the employment security agency of the State of Oregon has determined that, for the period consisting of the week ending on March 1, 1980, and the immediately preceding 12 weeks, the rate of insured unemployment in the State equalled or exceeded the State trigger rate.

Therefore, an Extended Benefit Period commenced in that State with the week beginning on March 16, 1980.

Information for Claimants

The duration of Extended Benefits payable in the Extended Benefit Period, and the terms and conditions on which they are payable, are governed by the Act and the State unemployment compensation law. The State employment security agency will furnish a written notice of potential entitlement to Extended Benefits to each individual who has exhausted a benefit year in the State that will expire after the new Extended Benefit Period begins, and who has exhausted all rights under the State unemployment compensation law to regular benefits before the beginning of the new Extended Benefit Period. 20 CFR 615.13(d)(1). The State employment security agency also will provide such notice promptly to each individual who exhausts all rights under the State unemployment compensation law during the Extended Benefit Period, including exhaustion by reason of the expiration of the individual's benefit year. 20 CFR 615.13(d)(2).

Persons who believe they may be entitled to Extended Benefits in the State of Oregon, or who wish to inquire about their rights under the Extended Benefit Program, should contact the nearest State Employment Office of the Oregon Employment Division in their locality.

Signed at Washington, D.C., on March 14, 1980.

Ernest G. Green,
Assistant Secretary for Employment and Training.

[FR Doc. 80-8099 Filed 3-20-80; 8:45 am]
BILLING CODE 4510-01-M
Mine Safety and Health Administration

[Docket No. M-80-31-M]

Ideal Basic Industries, Inc.; Petition for Modification of Application of Mandatory Safety Standard

Potash Company of America Division of Ideal Basic Industries, Inc., P.O. Box 31, Carlsbad, New Mexico 88220, has filed a petition to modify the application of 30 CFR 57.11-52(d) (Escapeways) to its Potash Company of America Mine located in Eddy County, New Mexico, in accordance with section 101(c) of the Federal Mine Safety and Health Act of 1977.

The substance of the petition follows:
1. The miner mined is sylvinite, a non-flammable mechanical mixture consisting principally of potassium and sodium chloride crystals. It is neither toxic nor corrosive, the petitioner states, and of itself is an excellent fire extinguisher. The ore does not emit any noxious gas into the mine.
2. The mine covers a seven by eight mile area. It is ventilated by 360,000 cubic feet per minute of air with separated air intake and return using five shafts. Four of the shafts are equipped with hoists.
3. The petitioner states that “all areas of the mine can be evacuated from the working place to the surface using the normal exit method to the shaft bottoms and either of the separate escapeways (shafts) to the surface within one hour.”
4. A refuge chamber has been constructed but there are no compressed air lines and waterlines. Petitioner alleges that the presence of such lines would constitute a diminution of safety for the following reasons:
   a. Fresh water will dissolve potash and salt, causing structural failures.
   b. Compressed air may contain a high level of contaminants.
   c. Fresh water and compressed air lines could create a variety of electrical hazards.
5. As an alternative to 30 CFR 57.22-52(d), the petitioner proposes to provide the following:
   a. A refuge chamber with not less than 2,000 cubic feet volume per miner per day of maximum stay. If less than 2,000 cubic feet of air should be available in the refuge chamber, oxygen cylinders and carbon dioxide absorbent would be added.
   b. Two quarts of water per man per day of maximum stay in sealed containers in the refuge area.
   c. Suitable hand tools and stopping (sealing) materials in the refuge area.
   d. A telephone circuit to the surface that is independent of the main power supply.
6. The petitioner alleges that the proposed alternative to application of 30 CFR 57.11-52(d) will result in no less than the same measure of safety as that provided by the standard.

Request for Comments

Persons interested in this petition may furnish written comments on or before April 21, 1980. Comments must be filed with the Office of Standards, Regulations and Variances, Mine Safety and Health Administration, Room 627, 4015 Wilson Boulevard, Arlington, Virginia 22203. Copies of the petition are available for inspection at that address.


Frank A. White, Director, Office of Standards, Regulations and Variances.

[FR Doc. 80-900 Filed 3-20-80; 8:45 am]
BILLING CODE 4510-45-M

(Docket No. M-80-20-C)

Renee Energy, Ltd.; Petition for Modification of Application of Mandatory Safety Standard

Renee Energy, Limited, P.O. Box 1516, Bristol, Virginia 24201 has filed a petition to modify the application of 30 CFR 75.1719 (illumination) to its Mine No. 1 located in Buchanan County, Virginia. The petition is filed in accordance with section 101(c) of the Federal Mine Safety and Health Act of 1977.

A summary of the petitioner’s statements follows:
1. Petitioner is mining coal seams ranging from 29 to 34 inches in height.
2. Use of lighting fixtures on the sides of petitioner’s self propelled mining equipment would cause temporary blindness to the operators and other miners affected by requiring them to constantly adjust to changes in illumination.
3. Installation of stationary lights would also create additional, debilitating heat in the confinedly small areas in which the miners must work.
4. Due to low seam conditions, lighting fixtures installed on the top or sides of the equipment would be sheared off or the lamps frequently broken, diminishing the safety to the operator by increasing the prospect of more serious equipment failure, wedging, jamming or upset.
5. As lighting fixtures are sheared off, roof bolts, cross beams and straps will also be sheared off, thereby damaging or destroying roof support.
6. As an alternative method, petitioner proposes to use lighting fixtures on the front and rear of all equipment reasonably calculated to insure safety of the operator and others.
7. For these reasons, petitioner requests a modification of application of the standard for its mine.

Request for Comments

Persons interested in this petition may furnish written comments on or before April 21, 1980. Comments must be filed with the Office of Standards, Regulations and Variances, Mine Safety and Health Administration, Room 627, 4015 Wilson Boulevard, Arlington, Virginia 22203. Copies of the petition are available for inspection at that address.


Frank A. White, Director, Office of Standards, Regulations and Variances.

[FR Doc. 80-900 Filed 3-20-80; 8:45 am]
BILLING CODE 4510-45-M

Office of the Secretary

Investigations Regarding Certifications of Eligibility To Apply for Worker Adjustment Assistance

Petitions have been filed with the Secretary of Labor under section 221(a) of the Trade Act of 1974 ("the Act") and are identified in the Appendix to this notice. Upon receipt of these petitions, the Director of the Office of Trade Adjustment Assistance, Bureau of International Labor Affairs, has instituted investigations pursuant to section 221(a) of the Act and 29 CFR 90.12.

The purpose of each of the investigations is to determine whether absolute or relative increases of imports of articles like or directly competitive with articles produced by the workers' firm or an appropriate subdivision thereof have contributed importantly to an absolute decline in sales or production, or both, of such firm or subdivision and to the actual or threatened total or partial separation of a significant number or proportion of the workers of such firm or subdivision.

Petitioners meeting these eligibility requirements will be certified as eligible to apply for adjustment assistance under Title II, Chapter 2, of the Act in accordance with the provisions of Subpart B of 29 CFR Part 90. The investigations will further relate, as appropriate, to the determination of the date on which total or partial separations began or threatened to begin and the subdivision of the firm involved.

Pursuant to 29 CFR 90.13, the petitioners or any other persons showing a substantial interest in the subject...
matter of the investigations may request a public hearing, provided such request is filed in writing with the Director, Office of Trade Adjustment Assistance, at the address shown below, not later than March 31, 1980. Interested persons are invited to submit written comments regarding the subject matter of the investigations to the Director, Office of Trade Adjustment Assistance, at the address shown below, not later than March 31, 1980.


Signed at Washington, D.C., this 17th day of March 1980.

Marvin M. Foeks,
Director, Office of Trade Adjustment Assistance.

---

### Appendix

<table>
<thead>
<tr>
<th>Petitioner Union/Workers or former workers of---</th>
<th>Location</th>
<th>Date received</th>
<th>Date of petition</th>
<th>Petition No.</th>
<th>Articles produced</th>
</tr>
</thead>
<tbody>
<tr>
<td>International Steel De F.R., Inc. (company)</td>
<td>San German, P.R.</td>
<td>2/22/80</td>
<td>2/21/80</td>
<td>TA-W-7,350</td>
<td>Bearing silver hardware.</td>
</tr>
<tr>
<td>E &amp; R Clothing, Inc. (workers)</td>
<td>New York, N.Y.</td>
<td>2/26/80</td>
<td>2/25/80</td>
<td>TA-W-7,355</td>
<td>Metal stamping of parts, heavy duty truck, also sheet metal components for trucks and original equipment, etc.</td>
</tr>
<tr>
<td>Wallace Murney Corp., Schweitz Engineering Companies (UAW)</td>
<td>Osseo, Minn.</td>
<td>2/19/80</td>
<td>2/14/80</td>
<td>TA-W-7,359</td>
<td>Corests and brassieres.</td>
</tr>
<tr>
<td>Clinton Pattern (The Pattern, Mold &amp; Model Makers)</td>
<td>Toledo, Ohio</td>
<td>2/25/80</td>
<td>2/19/80</td>
<td>TA-W-7,364</td>
<td>Patterns and molds.</td>
</tr>
<tr>
<td>Maumee Pattern (The Pattern, Mold &amp; Model Makers)</td>
<td>Toledo, Ohio</td>
<td>2/25/80</td>
<td>2/19/80</td>
<td>TA-W-7,365</td>
<td>Production and prototype pattern equipment (wood and metal).</td>
</tr>
<tr>
<td>Akron Pattern (The Pattern, Mold &amp; Model Makers)</td>
<td>Toledo, Ohio</td>
<td>2/25/80</td>
<td>2/19/80</td>
<td>TA-W-7,366</td>
<td>Patterns and molds.</td>
</tr>
<tr>
<td>Jeep Corporation (The Pattern, Mold &amp; Model Makers)</td>
<td>Toledo, Ohio</td>
<td>2/25/80</td>
<td>2/19/80</td>
<td>TA-W-7,367</td>
<td>Patterns and molds.</td>
</tr>
<tr>
<td>Skeway Pattern Works (The Pattern, Mold &amp; Model Makers)</td>
<td>Toledo, Ohio</td>
<td>2/25/80</td>
<td>2/19/80</td>
<td>TA-W-7,368</td>
<td>Wood and metal patterns and molds.</td>
</tr>
<tr>
<td>The Enter Corp. (The Pattern, Mold &amp; Model Makers)</td>
<td>Toledo, Ohio</td>
<td>2/25/80</td>
<td>2/19/80</td>
<td>TA-W-7,369</td>
<td>Patterns (used in auto industry).</td>
</tr>
<tr>
<td>Firestone Tire &amp; Rubber Co. (UAW)</td>
<td>Pottstown, Pa.</td>
<td>2/19/80</td>
<td>2/12/80</td>
<td>TA-W-7,374</td>
<td>Passenger car tires, some truck tires.</td>
</tr>
<tr>
<td>Royal Park, Inc. (workers)</td>
<td>Coleman, Tex.</td>
<td>2/16/80</td>
<td>2/16/80</td>
<td>TA-W-7,377</td>
<td>Ladies' jackets and blouses.</td>
</tr>
<tr>
<td>Cuesor Corp. (IBT)</td>
<td>Dayton, N.Y.</td>
<td>2/21/80</td>
<td>2/19/80</td>
<td>TA-W-7,380</td>
<td>Distributes auto exhaust systems, also shocks suspension parts, etc.</td>
</tr>
<tr>
<td>Allegh Shoe Company (Boot &amp; Shoe Workers Independent Union)</td>
<td>Little Falls, N.Y.</td>
<td>2/25/80</td>
<td>2/12/80</td>
<td>TA-W-7,381</td>
<td>Ladies' cement shoes and boots.</td>
</tr>
<tr>
<td>U.S. Steel Corp., Steel Supply Div. (Teamsters)</td>
<td>Indianapolis, Ind.</td>
<td>2/26/80</td>
<td>2/22/80</td>
<td>TA-W-7,382</td>
<td>Distributes steel products produced by company's steel mills.</td>
</tr>
<tr>
<td>Cambell Manufacturing Co., Inc. (United Textile Workers of America)</td>
<td>Knoxville, Tennes.</td>
<td>2/21/80</td>
<td>2/14/80</td>
<td>TA-W-7,385</td>
<td>Tents.</td>
</tr>
<tr>
<td>Masonstown Manufacturing Company (UGWU)</td>
<td>Masontown, Pa.</td>
<td>2/19/80</td>
<td>2/19/80</td>
<td>TA-W-7,388</td>
<td>Contractor for ladies' blouses.</td>
</tr>
<tr>
<td>Brown Shoe Company (ACTWU)</td>
<td>Potoshontas, Ark.</td>
<td>2/19/80</td>
<td>2/12/80</td>
<td>TA-W-7,389</td>
<td>Ladies' dress shoes.</td>
</tr>
</tbody>
</table>
Affirmative Determinations Regarding
Eligibility To Apply for Worker
Adjustment Assistance

In accordance with Section 223 of the
Trade Act of 1974 (29 U.S.C. 2273) the
Department of Labor herein presents
summaries of certifications of eligibility
to apply for worker adjustment
assistance issued during the period
March 10–14, 1980.

In order for an affirmative
determination to be made and a
certification of eligibility to apply for
adjustment assistance to be issued, each
of the group eligibility requirements of
Section 223 of the Act must be met.

In the following cases it has been
concluded that all of the criteria have
been met.

TA-W-6742 Aretta Hall Manufacturing
Co., New York, N.Y.

The investigation was initiated on
January 10, 1980 in response to a petition
which was filed by the Amalgamated
Clothing and Textile Workers Union
on behalf of workers at Aretta Hall
Manufacturing Company, Incorporated,
New York, New York. The workers
produce men's tailored coats.

U.S. imports of men's and boys
tailored dress coats and sportcoats
increased absolutely and relative to
domestic production in 1978 compared
to 1977. U.S. imports of men's and boys
tailored suits are estimated to have
increased relative to domestic
production in 1979 compared to 1978.

A Department survey revealed that
some manufacturers decreased
purchases of men's tailored coats from
Aretta Hall and increased their reliance
on imported men's tailored coats in 1979
compared to 1978.

In this case, therefore, the certifying
officer has determined that: “All workers
of Aretta Hall Manufacturing who
became totally or partially separated
from employment on or after December
11, 1978 are eligible to apply for
adjustment assistance under Section 223
of the Trade Act of 1974.”

TA-W-6767; Bennett Importing,
Rumford, Maine; TA-W-6767A;
Livermore Shoe Co., Livermore Falls,
Maine

The investigation was initiated on
January 14, 1980 in response to a worker
petition which was filed on behalf of
workers at the Rumford, Maine facility
of Bennett Importing (TA-W-6767). The
investigation was expanded to include
Livermore Shoe Company (TA-W-
6767A). The workers produce women's
boots and shoes.

U.S. imports of women's nonrubber
footwear, except athletic increased
absolutely and relative to domestic
production from 1977 to 1978, and in the
first nine months of 1979 compared to
the same period in 1978.

Company imports by Bennett
Importing of women's boots and sandals
increased from 1977 to 1978. Import
purchases in 1979 were a significant
proportion of the total company sales.

A Department survey revealed that
surveyed customers increased
purchases of imported women's boots
and shoes, while decreasing purchases
from Bennett Importing from 1978 to
1979.

In this case, therefore, the certifying
officer has determined that:

“All workers at Bennett Importing,
Rumford, Maine and Livermore Shoe
Company, Livermore Falls, Maine who
became totally or partially separated
from employment on or after December
12, 1978 are eligible to apply for
adjustment assistance under Section 223
of the Trade Act of 1974.”

TA-W-6228; Brown Shoe Co.,
Leachville, Ark.

The investigation was initiated on
October 18, 1979 in response to a
petition which was filed by the Shoe
Division of the Amalgamated Clothing
Textile Workers on behalf of workers at
the Leachville, Arkansas plant of Brown
Shoe Company. The workers primarily
produce children's shoes.

U.S. imports of children's nonrubber
footwear, except athletic increased in
quantity both absolutely and relative to
domestic production in January–
September 1979 compared to January–
September 1978.

The Leachville, Arkansas plant of
Brown Shoe Company increased its
purchases of imported children's shoes

In this case, therefore, the certifying
officer has determined that:

“All workers of the Leachville, Arkansas
plant of Brown Shoe Company who became
totally or partially separated from
employment on or after March 1, 1979 are
eligible to apply for adjustment assistance
under Section 223 of the Trade Act of 1974.”

TA-W-6655; CAR-FI International, Inc.,
Burbank, Calif.

The investigation was initiated on
January 28, 1980 in response to a petition
which was filed on behalf of workers at
Car-Fi International, Incorporated,
Burbank, California. The workers
produce amplifiers, preamplifiers,
graphic equalizers and miscellaneous
accessories for car stereo systems.

Total U.S. imports of auto tape
players, AM radios, AM/FM radios and
tape player-radio combinations like or
directly competitive with products
manufactured by Car-Fi International,
Incorporated increased absolutely and
relative to domestic production from
1977 to 1978. U.S. imports of these
products remained stable in the first
three quarters of 1979 compared to the
same period of 1978.

In a survey conducted by the
Department of Commerce, customers
accounting for a significant proportion
of Car-Fi International's sales declines
indicated that they had decreased
purchases from Car-Fi International.
while increasing purchases of like or directly competitive car stereo products from foreign sources. The Department of Commerce, on December 28, 1979, certified Car-Fi International, Incorporated as eligible to apply for firm adjustment assistance.

In this case, therefore, the certifying officer has determined that:

"All workers of Car-Fi International, Incorporated, Burbank, California who became totally or partially separated from employment on or after July 28, 1979 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-6830; Morris Levitz and Sons; New York, N.Y.

The investigation was initiated on January 22, 1980 in response to a petition which was filed by the International Ladies' Garment Workers Union on behalf of workers at Morris Levitz and Sons, New York, New York. The worker petition which was filed on behalf of the same group of workers (TA-W-6911).

Since the identical group of workers is the subject of the ongoing investigation TA-W-6911, a new investigation would serve no purpose. Consequently, the investigation has been terminated.

Signed at Washington, D.C., this 13th day of March 1980.

Marvin M. Foote, Acting Director, Office of Trade Adjustment Assistance.

[FR Doc. 80-6022 Filed 3-20-80; 8:45 am] BILLING CODE 4510-28-M

[TA-W-7237]

Allied Products Corp., South Bend Stamping Division, South Bend, Ind.; Termination of Investigation

Pursuant to Section 221 of the Trade Act of 1974, an investigation was initiated on March 3, 1980 in response to a petition filed on behalf of workers and former workers producing automobile stampings at Allied Products Corporation, South Bend Stamping Division, South Bend, Indiana.

On January 22, 1980, a petition was filed on behalf of the same group of workers.

Signed at Washington, D.C., this 13th day of March 1980.

Marvin M. Foote, Director, Office of Trade Adjustment Assistance.

[FR Doc. 80-6022 Filed 3-20-80; 8:45 am] BILLING CODE 4510-28-M

[TA-W-4184]

Avtex Fibers, Inc., Front Royal, Va.; Amended Certification of Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 223 of the Trade Act of 1974, the Department of Labor issued a Certification of Eligibility to Apply for Worker Adjustment Assistance on January 12, 1979, applicable to all workers at the Front Royal, Virginia plant of Avtex Fibers, Inc. The Notice of Certifications was published in the Federal Register on January 19, 1979 (44 FR 4038). On the basis of additional information, the Office of Trade Adjustment Assistance, on its own
motion, reviewed the certification. Following this review, the Department removed the Research and Development and Technical Service personnel from the certification on the understanding they would be covered by an earlier certification, TA-W-2504. The Notice of Amended Certification was published in the Federal Register on March 7, 1980, (55 FR 5146).

It has now come to the Department's attention that these workers were already receiving trade adjustment assistance benefits under TA-W-4184. Under the circumstances and to avoid duplicate certification coverage of the Research and Development and Technical Service workers, certification TA-W-4184 is amended further to restore coverage to those workers. Consequently, Research and Development and Technical Service workers are only covered by TA-W-4184. They are not covered by TA-W-2504.

The certification applicable to TA-W-4184 as further amended is hereby issued as follows:

“All workers at the Front Royal, Virginia, plant of Avtex Fibers, Inc., who became totally or partially separated from employment on or after March 5, 1978, are eligible to apply for adjustment assistance under Title II, Chapter 2 of the Trade Act of 1974.”

Signed at Washington, D.C., this 12th day of March 1980.

James F. Taylor,
Director, Office of Management, Administration and Planning.

BILLING CODE 4510-26-M

---

Negative Determinations Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 223 of the Trade Act of 1974 (19 U.S.C. 2273) the Department of Labor herein presents summaries of negative determinations regarding eligibility to apply for worker adjustment assistance issued during the period March 10-14, 1980.

In order for an affirmative determination to be made and a certification of eligibility to apply for adjustment assistance to be issued, each of the group eligibility requirements of Section 222 of the Act must be met.

(1) That a significant number or proportion of workers in the worker's firm, or an appropriate subdivision thereof, have become totally or partially separated, or are threatened to become totally or partially separated.

(2) That sales or production, or both, of the firm or subdivision have decreased absolutely.

(3) That increases of imports of articles like or directly competitive with articles produced by the firm or appropriate subdivision have contributed importantly to the separations, or threat thereof, and to the absolute decline in sales or production.

In each of the following cases it has been concluded that at least one of the above criteria has not been met.

TA-W-6769; Eaton Corp., Climate Control Division, Harrodburg, Ky.

The investigation was initiated on January 14, 1980 in response to a petition which was filed on behalf of workers at the Climate Control Division of Eaton Corporation, Harrodburg, Kentucky. The workers produce vehicle air conditioning systems and electric shift mechanisms for two-speed axles.

The investigation revealed that criterion (3) has not been met. U.S. imports of mobile vehicle air conditioning systems are negligible.

Air conditioning systems for cars, trucks and off-highway vehicles account for the largest percentage of sales for the Climate Control Division, and for the total sales decline in 1978 compared with 1978.

Petitioners allege that increased imports of automobiles and trucks have caused decreases in production and employment at the Climate Control Division of Eaton Corporation. Although imported automobiles and trucks may incorporate air conditioning systems, imports of the whole product are not “like or directly competitive” with their component parts. Imports of automotive seals must be considered in determining eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.


The investigation was initiated on January 14, 1980 in response to a petition which was filed on behalf of workers at James R. Jarrett, Inc., Kokomo, Indiana. The workers at James R. Jarrett, Inc. are engaged in providing the service of transportation by truck.

The investigation revealed that workers of James R. Jarrett, Inc., do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for services from a parent firm, a firm otherwise related to James R. Jarrett, Inc. by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification.

James R. Jarrett, Inc. and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers engaged in providing transportation services at James R. Jarrett, Inc. are employed by that firm. All personnel actions and payroll transactions are controlled by James R. Jarrett, Inc. All employee benefits are provided and maintained by James R. Jarrett, Inc. Workers are not, at any time, under employment or supervision by customers of James R. Jarrett, Inc. Thus, James R. Jarrett, Inc., and not any
of its customers, must be considered to be the "workers' firm."

In this case, therefore, the certifying officer has determined that all workers of James R. Jarrett, Inc., Kokomo, Indiana are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.


The investigation was initiated on January 16, 1980 in response to a petition which was filed on behalf of workers at J and R Manufacturing Company, Incorporated, Newark, New Jersey. The workers at J and R Manufacturing Company, Incorporated produce women's coats and raincoats.

The investigation revealed that criterion (b) has not been met. U.S. imports of women's and children's coats and raincoats decreased absolutely in 1979 compared to 1978.

A departmental survey of the subject firm's customers revealed that most customers either increased purchases or did not import.

In this case, therefore, the certifying officer has determined that all workers of J and R Manufacturing Company, Incorporated, Newark, New Jersey are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

(TA-W-6893) J.C. Sportswear, West New York, N.J.

The investigation was initiated on February 1, 1980 in response to a petition which was filed by the International Ladies' Garment Workers' Union on behalf of workers at J.C. Sportswear, West New York, New Jersey. The workers produce primarily ladies dresses.

The investigation revealed that criterion (b) has not been met.

A Departmental survey was conducted with the manufacturers from whom J.C. Sportswear received contract work for ladies' dresses during the 1977 through 1979 period. The survey revealed that the manufacturers do not import ladies' dresses nor do they use overseas contractors. A survey of the major customers of the manufacturers revealed that imports declined as a percentage of the customers' total purchases of dresses from 1977 to 1978 and in the first six months of 1979 compared to the same period of 1978.

Random sample of the manufacturers' customers was also conducted. This survey revealed that imported dresses represented a constant and small proportion of the customers' total dress demand during the first eight months of 1979 compared to the same period of 1978.

In this case, therefore, the certifying officer has determined that all workers of J.C. Sportswear, West New York, New Jersey are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

(TA-W-6894) P.D.A. Coat Corp., Jersey City, N.J.

The investigation was initiated on January 30, 1980 in response to a petition which was filed by the International Ladies' Garment Workers' Union on behalf of workers at P.D.A. Coat Corporation, Jersey City, New Jersey.

The investigation revealed that criterion (b) has not been met.

A Departmental survey of the coat manufacturers from whom P.D.A. Coat Corporation received contract work in 1978 and 1979 revealed that the manufacturers decreased their utilization of foreign sources in 1979 compared to 1978. During the same period, work with other domestic sources increased, as did the manufacturers' sales.

In this case, therefore, the certifying officer has determined that all workers of P.D.A. Coat Corporation, Jersey City, New Jersey are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.


The investigation was initiated on January 30, 1980 in response to a worker petition which was filed on behalf of
workers of Specialty Cartage, Incorporated, Detroit, Michigan. The workers at Specialty Cartage, Incorporated are engaged in providing the service of transporting automotive parts.

The investigation revealed that workers of Specialty Cartage, Incorporated did not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to Specialty Cartage, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for service must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

Specialty Cartage, Incorporated and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers of Specialty Cartage, Incorporated are employed by that firm. All personnel actions and payroll transactions are controlled by Specialty Cartage, Incorporated. All employee benefits are provided and maintained by Specialty Cartage, Incorporated. Workers are not, at any time, under employment or supervision by customers of Specialty Cartage, Incorporated. Thus, Specialty Cartage, Incorporated and not any of its customers, must be considered to be the "workers' firm".

In this case, therefore, the certifying officer has determined that all workers of the Specialty Cartage, Incorporated are not, at any time, under employment or supervision by a parent firm, a firm otherwise related to Specialty Cartage, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

The investigation revealed that workers of United Trucking Service, Incorporated do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

United Trucking Service, Incorporated and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers engaged in transporting auto parts and finished products at United Trucking Service, Incorporated are employed by that firm. All personnel actions and payroll transactions are controlled by United Trucking Service, Incorporated. All employee benefits are provided and maintained by United Trucking Service, Incorporated. Workers are not, at any time, under employment or supervision by customers of United Trucking Service, Incorporated. Thus, United Trucking Service, Incorporated and not any of its customers, must be considered to be the "workers' firm".

In this case, therefore, the certifying officer has determined that all workers of United Trucking Service, Incorporated, Kokomo, Indiana are not, at any time, under employment or supervision by a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

The investigation revealed that workers of United Trucking Service, Incorporated did not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

The investigation was initiated on February 19, 1980, in response to a petition which was filed by the Teamsters on behalf of workers at United Trucking Service, Incorporated, Kokomo, Indiana. The workers at United Trucking Service, Incorporated are employed by that firm. Specialty Cartage, Incorporated are not any of its customers, must be considered to be the "workers' firm".

The investigation revealed that workers of United Trucking Service, Incorporated do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

United Trucking Service, Incorporated and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers engaged in transporting auto parts and finished products at United Trucking Service, Incorporated are employed by that firm. All personnel actions and payroll transactions are controlled by United Trucking Service, Incorporated. All employee benefits are provided and maintained by United Trucking Service, Incorporated. Workers are not, at any time, under employment or supervision by customers of United Trucking Service, Incorporated. Thus, United Trucking Service, Incorporated and not any of its customers, must be considered to be the "workers' firm".

In this case, therefore, the certifying officer has determined that all workers of United Trucking Service, Incorporated, Kokomo, Indiana are not, at any time, under employment or supervision by a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

The investigation revealed that workers of United Trucking Service, Incorporated do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.
Success Leather, Inc., New York, N.Y.; and Shandora Fashions, New York, N.Y.; Certification Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 229 of the Trade Act of 1974 (19 U.S.C. 2229) the Department of Labor herein presents the results of an investigation regarding certification of eligibility to apply for worker adjustment assistance. In order to make an affirmative determination and issue a certification of eligibility to apply for adjustment assistance, each of the group eligibility requirements of Section 222 of the Act must be met.

The investigation was initiated on January 8, 1980 in response to a worker petition received on December 20, 1979 which was filed by the Amalgamated Clothing and Textile Workers Union on behalf of workers and former workers producing women's leather coats at Success Leather, Incorporated, New York, New York (TA-W-6720). Another investigation was initiated on January 8, 1980 in response to a worker petition received on December 27, 1979 which was filed by the Amalgamated Clothing and Textile Workers Union on behalf of workers and former workers producing women's leather coats at Shandora Fashions, New York, New York (TA-W-6730).

Success Leather and Shandora Fashions are jointly owned and operated. It is concluded that all of the requirements have been met.

U.S. imports of leather coats and jackets—men's, boys', women's, misses', juniors', and children's—increased absolutely and relative to domestic production in 1978 compared to 1977.

A Department survey revealed that customers decreased purchases from Shandora Fashions and increased purchases of imported women's leather coats during 1979 compared to 1978.

Conclusion

After careful review of the facts obtained in the investigation, I conclude that increases of imports of articles like or directly competitive with women's leather coats produced at Success Leather, Incorporated, New York, New, York, and Shandora Fashions, New York, New York, contributed importantly to the decline in sales or production and to the total or partial separation of workers of those firms. In accordance with the provisions of the Act, I make the following certification:

All workers of Success Leather, Incorporated, New York, New York (TA-W-6720) who became totally or partially separated from employment on or after December 31, 1978 and all workers of Shandora Fashions, New York, New York (TA-W-6730) who became totally or partially separated from employment on or after December 20, 1978 are eligible to apply for adjustment assistance under Title II, Chapter 2 of the Trade Act of 1974.

Signed at Washington, D.C., this 13th day of March 1980.

James F. Taylor,
Director, Office of Management Administration and Planning.

Pension and Welfare Benefit Programs

Proposed Exemption for Certain Transactions Involving the Security National Corp. Retirement Plan Located in Sioux City, Iowa

AGENCY: Department of Labor.

ACTION: Notice of Proposed Exemption.

SUMMARY: This document contains a notice of pendency before the Department of Labor (the Department) of a proposed exemption from certain of the prohibited transaction restrictions of the Employee Retirement Income Security Act of 1974 (the Act) and from certain taxes imposed by the Internal Revenue Code of 1954 (the Code). The proposed exemption would exempt the cash sale of the Sioux Car Park by the Security National Corporation Retirement Plan (the Plan) to the Security National Bank of Sioux City, Iowa (the Employer), a party in interest. The proposed exemption, if granted, would affect participants and beneficiaries of the Plan, the Employer and other persons participating in the proposed transaction.

DATES: Written comments and requests for a public hearing must be received by the Department of Labor on or before April 30, 1980.

EFFECTIVE DATE: If the proposed exemption is granted, the exemption will be effective September 1, 1979.

ADDRESS: All written comments and requests for a hearing (at least three copies) should be sent to the Office of Fiduciary Standards, Pension and Welfare Benefit Programs, Room C-4526, U.S. Department of Labor, 200 Constitution Avenue NW., Washington, D.C. 20210, Attention: Application No. D-1510. The application for exemption and the comments received will be available for public inspection in the Public Documents Room of Pension and Welfare Benefit Programs, U.S. Department of Labor, Room N-4977, 200 Constitution Avenue NW., Washington, D.C. 20216.

FOR FURTHER INFORMATION CONTACT: Alan H. Levitas, of the Department of Labor, telephone (202) 523-4894. (This is not a toll-free number.)

SUPPLEMENTARY INFORMATION: Notice is hereby given of the pendency before the Department of an application for exemption from the restrictions of section 406(a) and 409(b)(1) and (b)(2) of the Act and from the taxes imposed by section 4975 (a) and (b) of the Code, by reason of section 4975(c)(1)(A) through (E) of the Code. The proposed exemption was requested in an application filed by the Employer in its capacity as Plan trustee, pursuant to section 408(a) of the Act and section 4975(c)(2) of the Code, and in accordance with procedures set forth in ERISA Procedure 76-4 (40 FR 18471, April 28, 1975).

Effective December 31, 1978, section 103 of Reorganization Plan No. 4 of 1970 (43 FR 47713, October 17, 1978) transferred the authority of the Secretary of the Treasury to issue exemptions of the type requested to the Secretary of Labor. Therefore, this notice of pendency is issued solely by the Department.

Summary of Facts and Representations

The application contains representations with regard to the proposed exemption which are summarized below. Interested persons are referred to the application on file with the Department for the complete representations of the applicant.

1. On July 20, 1958, the Plan purchased property for the erection of a parking facility, located at 819 Pierce Street, Sioux City, Iowa, for $100,000. Improvements were made by the Plan and it became commonly known as the Sioux Car Park (the Property). A four-story reinforced concrete and heavy steel frame ramp parking garage. Until August 31, 1979, the Plan leased the Property to Ronald W. Smith, an unrelated party. The Plan received 55% of the gross receipts derived from monthly storage rentals and 50% of all other gross receipts.

2. An appraisal by an independent appraiser, dated January 1, 1979, valued the Property at $430,000. As of December 31, 1978, the Property had a book value of $235,408.02. For the five
Employer purchased the Property from the Plan for $430,000 in cash. This transaction date was set in order to allow the Employer adequate time to contract and coordinate the repair work.

7. The applicant believes that the sale of the Property by the Plan to the Employer was beneficial because it removed the bonus to the Plan of making the necessary repairs. The cost of the repairs exceeded the aggregate net income generated by the Property over the last five years and since the repairs would not increase the storage capacity of the Property, it could not be expected that the expenditure would have had a positive impact on either the future income of the facility or its market value.

8. As of January 1, 1979, the Plan had 230 participants and assets valued at $2,961,469.88 and the transaction represents approximately 14.42% of the Plan's assets.

9. In summary, the applicant represents that the sale of the Property meets the statutory criteria for an exemption under section 408(a) of the Act because (1) it is a one time transaction for cash; (2) the selling price for the Property was determined by an independent appraiser and will allow the Plan to receive a substantial profit over the Property's present book value; (3) the necessity of having to make substantial repairs on the Property will be removed from the Plan; and (4) the trustee has determined that the transaction was appropriate for the Plan and was in the best interests of the Plan's participants and beneficiaries.

Notice to Interested Persons

Notice of this request for an exemption shall be hand delivered to all interested persons at their place of employment within ten days after notice of the proposed exemption is published in the Federal Register. Non-employed interested persons will receive notice by first-class mail within ten days after publication in the Federal Register. The notice shall contain a copy of the proposed exemption as published in the Federal Register and shall inform the interested persons of their right to comment and request a hearing within the period set forth in the notice of proposed exemption.

General Information

The attention of interested persons is directed to the following:

1. If the transaction is the subject of an exemption under section 408(a) of the Act and section 4975(c)(2) of the Code does not relieve a fiduciary or other party in interest or disqualified person from certain other provisions of the Act and the Code, including any prohibited transaction provisions to which the exemption does not apply and the general fiduciary responsibility provisions of section 404 of the Act, which among other things require a fiduciary to discharge his duties respecting the plan solely in the interest of the participants and beneficiaries of the plan and in a prudent manner in accordance with section 404(a)(1)(B) of the Code; nor does it affect the requirement of section 401(a) of the Code that the plan must operate for the exclusive benefit of the employees of the employer maintaining the plan and their beneficiaries:

2. The proposed exemption, if granted, will not extend to transactions prohibited under section 406(b)(3) of the Act and section 4975(c)(1)(F) of the Code.

3. Before an exemption may be granted under section 408(a) of the Act and section 4975(c)(2) of the Code, the Department must find that the exemption is administratively feasible, in the interests of the plan and its participants and beneficiaries and protective of the rights of participants and beneficiaries of the plan; and

4. The proposed exemption, if granted, will be supplemental to and not in derogation of, any other provisions of the Act and the Code, including statutory or administrative exemptions and transitional rules. Furthermore, the fact that a transaction is subject to an administrative or statutory exemption is not dispositive of whether the transaction is in fact a prohibited transaction.

Written Comments and Hearing Requests

All interested persons are invited to submit written comments or requests for a hearing on the pending exemption to the address above, within the time period set forth above. All comments will be made a part of the record. Comments and requests for a hearing should state the reasons for the writer's interest in the pending exemption. Comments received will be available for public inspection with the application for exemption at the address set forth above.

Proposed Exemption

Based on the facts and representations set forth in the application, the Department hereby proposed exemption under the authority of section 408(a) of the Act and section 4975(c)(2) of the Code and in accordance with the procedures set forth in ERISA Procedure 75-1 (40 FR 18471, April 28, 1975). If the
exemption is granted, the restrictions of section 408(a) and 406(b)(1) and (b)(2) of the Act and the taxes imposed by section 4975(a) and (b) of the Code, by reason of section 4975(c)(1)(A) through (E) of the Code shall not apply to the cash sale by the Plan of the Property to the Employer, provided that the price was not less than the fair market value of the Property on the date of sale. The proposed exemption, if granted, will be subject to the express conditions that the material facts and representations contained in the application are true and complete, and that the application accurately describes all material terms of the transaction which is the subject of the proposed exemption.

Signed at Washington, D.C., this 14th day of March, 1980.

Ian D. Lanoff,
Administrator for Pension and Welfare Benefit Programs, Labor-Management Services Administration, U.S. Department of Labor.

[FR Doc. 80-7634 Filed 3-20-80; 8:45 am]
BILLING CODE 4510-29-M

(Application No. D-856)


AGENCY: Department of Labor.

ACTION: Notice of Proposed Exemption.

SUMMARY: This document contains a notice of the pendency before the Department of Labor (the Department) of a proposed exemption from certain of the prohibited transaction restrictions of the Employee Retirement Income Security Act of 1974 (the Act) and from certain taxes imposed by the Internal Revenue Code of 1954 (the Code). The proposed exemption would exempt the leasing of real property by the S & C Profit-Sharing Retirement Plan (the Plan) to S & C Motors, Inc. (the Employer). The lease was entered into before the effective date of the Act, but after July 1, 1974, the date specified in the transition rules contained in sections 414 and 203 of the Act. The proposed exemption, if granted, would affect the Employer and participants and beneficiaries of the Plan.

DATES: Written comments and requests for a public hearing must be received by the Department of Labor on or before May 2, 1980.

EFFECTIVE DATE: If the proposed exemption is granted, the exemption will be effective from January 1, 1975 until January 25, 1980.

ADDRESS: All written comments and requests for a hearing (at least three copies) should be sent to the Office of Fiduciary Standards, Pension and Welfare Benefit Programs, Room C-4528, U.S. Department of Labor, 200 Constitution Avenue, N.W., Washington, D.C. 20216, Attention: Application No. D-856.

FOR FURTHER INFORMATION CONTACT:
Gary H. Lefkowitz, of the Department of Labor, telephone (202) 357-0040. (This is not a toll-free number.)

SUPPLEMENTARY INFORMATION: Notice is hereby given of the pendency before the Department of Labor of an application for exemption from the restrictions of section 408(a) and 406(b)(1) and (b)(2) of the Act and from the taxes imposed by section 4975(a) and (b) of the Code, by reason of section 4975(c)(1)(A) through (E) of the Code. The proposed exemption was requested in an application filed by the trustee for the Plan, pursuant to section 408(a) of the Act and section 4975(c)(2) of the Code, and in accordance with procedures set forth in ERISA Procedure 75-1 (40 FR 18471, April 20, 1975). The application was filed with both the Department and the Internal Revenue Service. However, effective December 31, 1978, section 102 of Reorganization Plan No. 4 of 1978 (43 FR 47713, October 17, 1978) transferred the authority of the Secretary of the Treasury to issue exemptions of the type requested to the Secretary of Labor. Therefore, this notice of pendency is issued solely by the Department.

Summary of Facts and Representations

The application contains representations with regard to the proposed exemption which are summarized below. Interested persons are referred to the application on file with the Department for the complete representations of the applicant.

1. The Employer is an automobile dealership maintaining extensive sales and repair facilities in San Francisco. The subject parcel of real property (the Property) is unimproved real property located at 145-162 Guerrero Street in San Francisco.

2. The Property was originally purchased by a third party, Mary's Help Hospital (the Hospital), for use as a parking lot. In 1968, the Employer began leasing the Property from the Hospital for the storage of cars left with the Employer's service department for repairs. On July 24, 1974, the Hospital sold the Property to the Plan for $90,000.

3. On July 22, 1974, the Employer and the Plan entered into a five-year lease of the Property beginning August 1, 1974, and ending July 31, 1979. The rent for the Property was $900 per month, payable in advance. The lease was a triple net lease, calling for all expenses, including annual property taxes, to be paid by the Employer.

4. The rental rate specified in the lease was designed to produce an annual return to the Plan equal to 12 percent of its cost for the Property. This twelve percent figure proved to be the highest return on any of the Plan's assets for the first three years of the lease. An independent appraisal prepared in 1978 by Glenn and Hamilton Associates concluded that an 11 percent return on the current fair market value would be a fair rental value for the Property.

5. The original lease expired on July 31, 1979, and it was extended on a month-to-month basis at the same rental rate while the Plan attempted to find a third party purchaser for the Property. The Property was sold in a cash sale to a third party purchaser on January 25, 1980, and the lease between the Plan and the Employer terminated on that date. All lease payments were made in a timely manner.

6. In summary, the applicant represents that the statutory criteria contained in section 408(a) of the Act have been satisfied as follows: (1) the lease provided the Plan with a better rate of return than an independent appraiser would have concluded for the Property; (2) all payments were made in full and on schedule in accordance with the terms of the lease; and (3) the lease was terminated on January 25, 1980.

Finally, the applicant represents that the lease was entered into prior to the effective date of the Act without knowledge that the transaction would become prohibited on January 1, 1975. As soon as the applicant realized that the lease had become a prohibited transaction, the applicant submitted a good faith request for an exemption instead of terminating the lease transaction.

Notice to Interested Persons

Notification of all interested persons shall be made on or before March 31, 1980, and shall include a copy of this proposed exemption. All interested persons will also be notified of their right to comment and request a hearing. The notification will be posted at the Employer's office, and any interested persons who are no longer employed by.
Requests for inspection with the application should state the reasons for the writer's interest in the pending exemption.

Comments received will be available for public inspection with the application for exemption at the address set forth above.

Proposed Exemption

Based on the facts and representations set forth in the application, the Department is considering granting the requested exemption under the authority of section 406(a) of the Act and section 4975(c)(2) of the Code and in accordance with the procedures set forth in ERISA Procedure 75-1 (40 FR 18471, April 28, 1975). If the exemption is granted, the restrictions of section 406(a), 406(b)(1) and 406(b)(2) of the Act and the taxes imposed by section 4975(e) and (b) of the Code, by reason of section 4975(c)(1)(A) through (E) of the Code shall not apply to the leasing of the Property from the Plan to the Employer for the rental amount stated in the lease, for the period from January 1, 1975, until January 25, 1980. The proposed exemption, if granted, will subject to the express conditions that the material facts and representations contained in the application are true and complete, and that the application accurately describes all material terms of the transaction which is the subject of this proposed exemption.

Signed at Washington, D.C., this 14th day of March, 1980.

Ian D. Lanoff,
Administrator, Pension and Welfare Benefit Programs, Labor-Management Services Administration, U.S. Department of Labor.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

Final Environmental Impact Statement; Public Notice of Availability

Notice is hereby given of the public availability of the final Environmental Impact Statement for the National Aeronautics and Space Administration (NASA) Kennedy Space Center. This document supersedes two previous final statements on the Kennedy Space Center published in 1971 and 1973.

Comments on the draft Environmental Impact Statement were previously solicited from Federal, state, and local agencies and members of the public through distribution of the draft and a notice in the Federal Register of April 18, 1979.

Copies of this final statement have been furnished to the Environmental Protection Agency; the Departments of Agriculture, Air Force, Army, Commerce, Defense, Energy, Health, Education, and Welfare; Housing and Urban Development; Interior; Navy; State; Transportation; and Treasury; the Advisory Council on Historic Preservation; the Advisory Council on Intergovernmental Relations; Agency for International Development; Council on Environmental Quality; Federal Aviation Administration; Federal Communications Commission; Federal Energy Administration; Federal Power Commission; General Services Administration; National Academy of Sciences; National Science Foundation; Office of Management and Budget; Smithsonian Institution; appropriate state and local agencies; and to numerous private organizations.

Copies of the final statement may be obtained or examined at any of the following locations:

(a) National Aeronautics and Space Administration, Public Documents Room (Room 126), 600 Independence Avenue, SW, Washington, D.C. 20546.

(b) Ames Research Center, NASA (Building 201, Room 177), Moffett Field, Calif. 94035.

(c) Hugh L. Dryden Flight Research Center, NASA (Building 4800, Room 1017), P.O. Box 273, Edwards, Calif. 93523.

(d) Goddard Space Flight Center, NASA (Building 8, Room 150), Greenbelt, Md. 20771.

(e) Johnson Space Center, NASA (Building 1, Room 130), Houston, Tex. 77058.

(f) John F. Kennedy Space Center, NASA (Headquarters Building, Room 1207), Kennedy Space Center, Fla. 32899.

(g) Langley Research Center, NASA (Building 1219, Room 304), Hampton, Va. 23665.

(h) Lewis Research Center, NASA (Administration Building, Room 120), 2100 Brookpark Road, Cleveland, Ohio 44135.

(i) George C. Marshall Space Flight Center, NASA (Building 4200, Room G-11), Huntsville, Ala. 35812.

(j) National Space Technology Laboratories, NASA (Building 1100, Room A-215), Bay Saint Louis, Miss. 38602.

(k) Jet Propulsion Laboratory, (Building 140, Room 600) 4800 Oak Grove Drive, Pasadena, Calif. 91103.

(l) Wallops Flight Center, NASA (Library Building, Room E-105), Wallops Island, Va. 23337.

Done at Washington, D.C., this 14th day of March 1980.

By the direction of Administrator.

Russell Riche, Deputy Associate Administrator for External Relations.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION, NASA Advisory Council (NAC), Space and Terrestrial Applications Advisory Committee (STAAC); Meeting Notice

The Ad Hoc Informal Advisory Subcommittee on Agriculture, Land...
Cover and Hydrology of the NAC-STAAC will meet on April 7, 1980, from
8:30 a.m. to 4:30 p.m. and from 8:30 a.m. to 3:00 p.m. on April 8, 1980, at NASA
Headquarters, Room 226A, Federal Building 10B, 600 Independence Avenue.
SW., Washington, D.C. 20546. The
meeting is open to the public. Members of
the public will be admitted to the
meeting at 8:30 a.m. on both days on a
first-come, first-served basis and will be
required to sign a visitor’s register. The
seating capacity of the meeting room is
for 35 persons.

This Subcommittee, chaired by Dr.
Robert M. Ragan, is comprised of eleven
members of the NAC-STAAC and will
review and discuss the progress and
status of research activities in the
Renewable Resources Program. These
activities will include the Fundamental
Research Program, AgRISTARS
Program, Radar Research Program
Planning, and Soil Moisture Integrated
Research Program Planning. In addition,
the Subcommittee will discuss the use of
remote sensing by agribusiness.

The approved agenda for the meeting
is as follows:

April 7, 1980

Time and Topic
8:00 a.m.—Status of Subcommittee
Recommendations
9:30 a.m.—Fundamental Research Program
Planning Status
10:30 a.m.—Agriculture and Resources
Inventory Surveys Through Aerospace
Remote Sensing (AgRISTARS) Status
11:00 a.m.—AgRISTARS from the Department
of Agriculture Perspective
1:30 p.m.—Radar Research Program

April 8, 1980

8:30 a.m.—Review of Integrated Soil Moisture
Plan
10:00 a.m.—Use of Remote Sensing by
Agribusiness
1:30 p.m.—Discussion
2:30 p.m.—Conclusions and
Recommendations
3:30 p.m.—Adjourn.

For further information regarding this
meeting, please contact Mr. Michael A.
Calabrese, Resource Observation
Division, Office of Space and Terrestrial
Applications, National Aeronautics and
Space Administration, Washington, D.C.
at (202) 755-1201.

Russell Ritchie,
Deputy Associate Administrator for External
Relations.

March 14, 1980.

BILLING CODE 7510-01-M

NUCLEAR REGULATORY
COMMISSION

(Docket Nos. STN 50-463A and STN 50-464A)

Union Electric Co.; Receipt of Information for Antitrust Review of Operating License Application

The Union Electric Company filed
Information for Antitrust Review of Operating License Application on January 21, 1980. This information
was filed pursuant to § 2.101 of the
Commission’s Rules and Regulations and is in connection with Union Electric
Company’s plans to operate two
pressurized water reactors on a site
located in Callaway County, Missouri.
The two reactors have been designated
as Callaway Plant, Units 1 and 2.

The portion of the application filed
contains antitrust information for review pursuant to NRC Regulatory Guide 9.3 to
determine whether there have been any
significant changes since the completion
of the antitrust review at the
construction permit stage.

On completion of staff antitrust
review of the above-named application,
the director of Nuclear Reactor
Regulation will issue an initial finding as
to whether there have been "significant
changes" under section 106(a)(2) of the
Act. A copy of this finding will be
published in the Federal Register and
will be sent to the Washington and local
public document rooms and to those
persons providing comments on or
information in response to this notice. If
the initial finding concludes that there
have not been any significant changes,
request for reevaluation may be
submitted for a period of 60 days after
the date of the Federal Register. The
results of any reevaluations that are
requested will also be published in the
Federal Register and copies sent to the
Washington and local public document
rooms.

A copy of the Information for
Antitrust Review of Operating License
Application is available for public
examination and copying for a fee at the
Commission’s Public Document Room,
1717 H Street, NW, Washington, DC and
at the local public document rooms in
the Fulton City Library, 709 Market
Street, Fulton, Missouri 65251 and in the
Olin Library of Washington University,
Skinker and Lindell Boulevard, St. Louis,
Missouri 63130.

Any person who desires additional
information regarding the matter
covered by this notice or who wishes to
have his views considered with respect to
significant changes related to
antitrust matters which have occurred in
the licensee’s activities since the
construction permit antitrust reviews for
the above-named plant should submit
such requests for information or views to
the U.S. Nuclear Regulatory
Commission, Washington, DC 20555,
Attention: Chief, Antitrust and
Indemnity Group, Office of Nuclear
Reactor Regulation, on or before May 5, 1980.

Dated at Bethesda, Md., this 20th day of
February 1980.

For the Nuclear Regulatory Commission.

Olan D. Parr,
Chief, Light Water Reactors Branch No. 3,
Division of Project Management.

FEDERAL REGULATORY COMMISSION

OFFICE OF PERSONNEL
MANAGEMENT

Federal Employees Part-Time Career
Employment Act of 1976; Proposed
OPM Internal Implementation Rules

AGENCY: Office of Personnel
Management.

ACTION: Notice.

SUMMARY: The Office of Personnel
Management (OPM) is proposing
internal rules to implement the Federal
Employees Part-Time Career

COMMENT DATE: Comments will be
considered if received on or before May
20, 1980.

ADDRESS: Written comments should be
addressed to the Director of Personnel,
Office of Personnel Management, Room
1R54, 1900 E Street, NW., Washington,
DC 20415.

FOR FURTHER INFORMATION CONTACT:
Cynthia Simpson, 202-632-7484.

SUPPLEMENTARY INFORMATION: Pub. L.
95-437, the Federal Employees Part-Time
Career Employment Act of 1978, requires
Federal agencies to publish
proposed internal rules in the Federal
Register for public comment. After
comments are received, considered, and
necessary changes are made, OPM will
adopt these rules as Chapter 340 of the
OPM Personnel Manual.

Office of Personnel Management.
Beverly M. Jones,
Issuance System Manager.

Accordingly, OPM proposes to add
OPM Personnel Manual Chapter 340 to
read as follows:

Chapter 340—Part-Time Employment

1-1. Introduction
1-2. Policy
1-3. Definition
1-4. Statutory Exceptions
1-5. Ceilings

BILLING CODE 7510-01-M
Subchapter 2. Program Implementation.

2-1. Goals and Timetables

2-2. Establishment and Conversion of Part-time Positions

2-3. Review of Vacancies

2-4. Notifying the Public of Part-time Vacancies

2-5. Expansion Existing Programs

2-6. Reporting


3-1. Purpose and Coverage

3-2. Procedures

3-3. Criteria

3-4. Increasing Hours of Part-time Tour of Duty/Converting Back to Full-time Work

3-5. Recordkeeping


4-1. Introduction

4-2. Tenure

4-3. Earnings

4-4. Crediting Experience for Promotion

4-5. Overtime/Compensatory Time

4-6. Leave

4-7. Holidays

4-8. Training

4-9. Life Insurance Coverage

4-10. Health Insurance Coverage

4-11. Retirement Date Eligibility

4-12. Retirement Annuity

4-13. Returning to Full-time Duty

4-14. Work Schedule Change

4-15. Flextime

Appendix A—Form: Report on Part-time Employment Goals and Timetables

Appendix B—Form: Employee Request for Change to Part-time Employment

Subchapter 1—General Provisions

1-1 Introduction

This subchapter contains regulations to implement Pub. L. 95–437, the "Federal Employees Part-time Career Employment Act of 1978", and establishes a continuing program to provide career part-time employment opportunities

1-2 Policy

The policy of OPM is to provide part-time employment opportunities to the maximum extent possible consistent with agency resources and mission requirements in positions through GS-15 or equivalent.

1-3 Definition

Part-time career employment is regularly scheduled work of from 16 to 32 hours per week performed by employees serving under competitive or excepted appointments in tenure groups I and II effected on or after April 8, 1979.

Excluded from this definition are Schedule C, intermittent, and temporary employees. Also excluded are career employees who were working part-time on April 7, 1979 for as long as they continue to work part-time.

1-4 Statutory Exceptions

These regulations do not apply to positions: (a) In the Senior Executive Service or others at GS–16 or above.

(b) Where a collective bargaining agreement establishes the number of hours of employment per week.

(c) For which a work schedule of from 1 to 15 hours per week is necessary for OPM mission accomplishment.

1-5 Ceilings

Effective October 1, 1980, an employee holding a part-time career position will be counted as a fraction of a person. This is determined by dividing 40 hours into the average number of hours of the employee’s regularly scheduled workweek.

Subchapter 2—Program Implementation

2-1 Goals and Timetables

Each major organization is required to set annual goals for establishing and converting positions for part-time employment based on considerations such as: (a) Agency mission (e.g., to administer various examinations) Part-time examiners used to administer examinations during peak periods.

(b) Workload fluctuations (e.g., cost-of-living increase) Part-time employees to process the large volume of retirement claims generated during a compressed period.

(c) Turnover rate (e.g., promotions, resignations, reassignments) Two part-time employees to fill a position vacated by one full-time employee.

(d) Affirmative action. Employing housewives, handicapped, and/or elderly people who may wish part-time work.

(e) Current employees interested in part-time employment. Provide a means which permits employees to request and receive consideration.

Regional Directors and Office Heads should submit part-time employment goals to the Director, Office of Personnel by the last workday of October using OPM Form 1424, Report on Part-Time Employment Goals and Timetables (Appendix A).

2-2 Establishment and Conversion of Part-Time Positions

(a) Office Heads and Regional Directors shall establish or convert a sufficient number of part-time career positions to meet their established goals. Positions occupied by full-time employees may not be abolished in order to create part-time positions; but, full-time positions may be converted to part-time when requested by employees or when positions are vacated.
Office Heads and Regional Directors will report twice annually to the Director, Office of Personnel using OPM Form Part-Time Employment Goals and Time Tables (Appendix A). Reports covering the period October through March should be submitted by the last work day of April. Reports covering April through September are due by the last work day of October. Reports should include: (a) Specific information on the organization’s progress in meeting the part-time permanent employment goals established.

(b) An explanation of any impediments encountered in meeting such goals or in carrying out the provisions of Pub. L. 95-437 and a description of measures taken to overcome such impediments.

(c) Evidence that opportunities for part-time employment have been extended to older individuals, the handicapped, students and parents with family responsibilities.

(d) A copy of any internal issuance on part-time permanent employment.

(e) The number of new part-time permanent jobs established during the reporting period.

Subchapter 3—Changing from Full-time to Part-time Employment

3-1 Purpose and Coverage

This Guide provides OPM policy and procedures for processing employee requests to change from full-time to part-time employment.

3-2 Procedures

An employee’s request for change from full-time to part-time employment should be processed in accordance with the procedures set forth below: (a) An employee desiring a change in employment from full-time to part-time should consult with the immediate supervisor to determine the effects such a change will have on his/her rights and benefits (Subchapter 4). If the employee desires to proceed, he/she should make a formal request by giving the immediate supervisor OPM Form 1412, Employee Request for Change to Part-Time Employment (Appendix B).

(b) Upon receipt of a formal request, the supervisor should evaluate the request in terms of the criteria stated in 3-3 and after consulting with the administrative officer on how ceiling would be affected. A decision should be made within 5 workdays from the time the employee files a formal request with the immediate supervisor.

3-3 Criteria

The employee’s request and management’s needs should be weighed against the following criteria: (a) Employment ceilings—this may be to the advantage of the organization when it is over its full-time permanent ceiling but has slots in the “all other” category; (b) Workloads—regular and peak workloads which might lend themselves to part-time schedules; (c) Special space and equipment requirements, if any; (d) Benefits to employee, e.g., would alleviate child care concerns for parents; would lessen pressure of full day’s work on those with health problems; would allow those near retirement to discontinue work gradually; (e) Retention of valuable employees—this employee working part-time would be more valuable to your office than having to train and develop a new employee.

3-4 Increasing Hours of Part-time Tour of Duty/Converting Back to Full-time Work

If it is necessary for the accomplishment of OPM’s mission approving official can:

(1) Increase the hours of work of part-time employees to the maximum prescribed by law (32 hours), or,

(2) Reassign part-time employees to their former full-time position.

When such a decision is made, an affected employee must be given written notice no less than 2 calendar weeks in advance.

3-5 Recordkeeping

(a) Statistical, Record

The selecting official approving a request should forward copies of all decisions to the Part-time Employment Coordinator, OPM, who will maintain a statistical record of the decisions.

(b) Retention of Records

(1) Approved Requests.—The employee’s request and any other documents (arising because of the request) used in reaching the decision are to be forwarded to the Part-Time Employment Coordinator in the Office of Personnel. These records will be retained for 1 year.

Subchapter 4—Personnel Policies and Procedures Relating to Part-time Employment

4-1 Introduction

This subchapter contains information on the pay, schedules, benefits and rights associated with part-time permanent employment.

4-2 Tenure

Completion of probationary period and the period for conversion from career-conditional to career status are the same as for full-time employment. Service Computation Date (SCD) is undisturbed by part-time work. Since part-time employment constitutes a separate competitive level from full-time employment, competition is with other part-time employees during a reduction-in-force (RIF).

4-3 Earnings

Pay received is proportionate to the time scheduled to work. The hourly pay rate is the same for full-time and part-time employees. Waiting periods for within-grade increases are not affected; they are based on calendar weeks of credible service.

4-4 Crediting Experience for Promotion

Part-time experience is credited on a pro-rated basis according to the relation it bears to a full workweek.

4-5 Overtime/Compensatory Time

Under Title 5 U.S.C., hours of work must be in excess of 40 hours in an administrative workweek or 8 hours in a day to be considered overtime. Under the Fair Labor Standards Act, overtime begins after the completion of 40 hours of work in a week, excluding holidays and paid leave.

Compensatory time may be earned at the discretion of the approving official.

4-6 Leave

(a) Annual leave is earned on a prorata basis at the rate determined by years of service. For example, a part-time employee with:

Less than 3 years—1 hour for each 20 hours worked

3 to 14 years—1 hour for each 13 hours worked

15 years or more—1 hour for each 10 hours worked.
Maximum carryover at the end of the leave year remains the same.

(b) Sick leave is earned at the rate of one hour for every 20 hours in pay status regardless of years of service.

(c) No leave (annual or sick) is earned for hours worked in excess of 80 in a pay period.

(d) Other leave categories (e.g., absence without leave, leave without pay, court leave, funeral leave, excused absences) are not affected.

4-7 Holidays
Holiday pay is received only for regularly scheduled work on the holiday, and only for those hours regularly scheduled.

4-8 Training
Part-time permanent employees should receive the same consideration as full-time employees for training, and developmental assignments. Procedures for nomination, selection, etc. apply to both full-time and part-time employees. At the discretion of the supervisor, part-time employees may temporarily increase their work schedules to attend training courses or adjust their work schedules as described in section 3-4.

4-9 Life Insurance Coverage
The amount of insurance carried will automatically decrease whenever annual salary is decreased by an amount sufficient to lower pay to a different $1000 bracket (except that it cannot be lower than a $10,000 minimum insurance amount).

4-10 Health Insurance Coverage
The employee’s cost for premiums is greater because the Government’s contribution is pro-rated according to the number of hours the part-time employee is scheduled to work.

4-11 Retirement Date Eligibility
No effect. Service is credited by calendar weeks.

4-12 Retirement Annuity
The computation is based on the highest average annual basic pay for any three consecutive years. Therefore, if years of part-time service are among the high three, the employee’s annuity will be affected to the extent earnings were limited in those years.

4-13 Returning to Full-time Duty
If the position held immediately before converting to part-time or a position of equal or lower grade elsewhere is vacant, and the employee meets the basic requirements for the job, he/she can be placed in the position non-competitively.

If the position being sought requires a promotion or has promotion potential, there must be competition with other employees who meet the basic requirements for the position.

4-14 Work Schedule Change
To meet workload demands, supervisors may increase the number of hours worked by part-time permanent employees. Increases above 33 hours per week must be for a limited period unless the affected employee was part-time prior to the effective date of the law. Temporary variations in the arrangement of days and/or hours of part-time permanent employees must be approved by the employee and his/her supervisor through a written request and response. An amended SF-50 should be issued when the variation will continue longer than 2 pay periods.

4-15 Flextime
Part-time employees may work flextime depending on the need of the organization.

SECURITIES AND EXCHANGE COMMISSION
[Release No. 34-16656; File No. SR-BSE-79-4]

Boston Stock Exchange, Inc.; Self-Regulatory Organizations; Proposed Rule Change
Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), ("Act") as amended by Pub. L. No. 94-29, 94-29, 10 June 4, 1975, notice is hereby given that on February 13, 1980, the Boston Stock Exchange Inc. ("BSE" or "Exchange") filed with the Securities and Exchange Commission a proposed rule change. On February 25, 1980, the BSE filed Amendment No. 1 to the proposed rule change which, as amended, would add two paragraphs and supplementary material to Chapter XI, Section 1 of the BSE Rules as follows:

Text of the Proposed Rule Change
"No member who is not a regular dealer maintaining a primary specialist operation on the floor shall be eligible for appointment as an alternate specialist. There shall be two categories of alternates, Class A and Class B. Any dealer who is appointed to either category shall maintain, in addition to the minimum equity required in his primary specialist account, a minimum equity of $25,000 for activity as an alternate. The Committee on Business Conduct may appoint a Class A Alternate in a number of issues not greater than are assigned to his primary account. Dealers appointed by the Committee as Class B Alternates must establish any position either long or short only on the floor of the Exchange, in order to promote greater depth and liquidity. The Committee may appoint more than one Class A Alternate in a particular issue."

Supplementary Material
Alternate specialists are required generally to engage in a course of dealings which is consistent with the maintenance, so far as reasonably practicable, of a fair and orderly market on the Exchange. Purchases and sales by alternate specialists must constitute a course of dealings reasonably calculated to contribute toward the maintenance of price continuity with reasonable depth and to the minimization of the effects of any temporary disparity between supply and demand. Alternate specialists have an obligation to make a bid or an offer at the request of a floor broker holding an executed public customer's order. Such bid or offer must be reasonably calculated to contribute to the maintenance of a fair and orderly market in the stock to which it relates.

Bids and offers by alternate specialists must either (a) improve the price or (b) the depth of an existing quote.

BSE's Statement of the Purpose of the Proposed Rule Change
The Exchange believes that an alternate specialist who initiates the purchase or sale of securities while on the Exchange floor will be "acting in the capacity of a market-maker" within the meaning of Section 11(a)(1)(A) of the Act. The proposal justifies the Alternate Specialist's claim to the exemption from Section 11(a)(1) provided by Subparagraph (A) thereof because, overall, the course of dealings required of the Alternate Specialist by the rule will be beneficial to the market by increasing depth and liquidity and by enhancing competition within the market-making function. Thus, the proposed rule change is consistent with the purposes of the Act and the protection of investors.

BSE's Statement of the Basis Under the Act for the Proposed Rule Change
The proposed new rule relates to Sections 11(a) and 6(b)(1) of the Act in that it would assist the Exchange to carry out the purposes of the Act, and to comply and to enforce compliance by its members with the provisions of the Act and the rules thereunder. The proposed new rule also relates to Section 6(b)(c) of the Act in that it will aid in the protection of investors and the public interest by providing a course of dealings reasonably calculated to contribute toward the maintenance of price continuity with reasonable depth and liquidity.
BSE's Statement on Comments Received From Members, Participants or Others on the Proposed Rule Change

No comments were received with respect to the proposed rule change.

BSE's Statement of Burden on Competition

No burden on competition is perceived by adoption of the proposed amendment. The Exchange believes the proposed rule would enhance competition in market making on the Floor of the Exchange.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above mentioned self-regulatory organization consents, the Commission will

(A) By order approve such proposed rule change, or
(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submission should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions, other than those which may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Public Reference Room, 1100 L Street, NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,
Secretary.
March 14, 1980.

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices

U.S.C. 78a(b)[1], as amended by Pub. L. No. 94-28, 16 [June 4, 1975], notice is hereby given that on February 25, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change, as follows:

Statement of the Terms of Substance of the Proposed Rule

Bradford Securities Processing Services, Inc. (the "Corporation") proposes to amend its rules to add the following:

**Balance Order Service**

1. As used in this Rule the following terms shall have the following meanings:
   (a) "BOS" shall mean the Corporation's Balance Order Service;
   (b) "Corporation", "Participant", "Designated Security", "BOS Eligible Transaction", "Comparison Interface", "Clearing Interface" and "Local Time" shall have the meanings provided in paragraph 1 and "Transaction Information" shall have the meaning provided in paragraph 4 of the Corporation's Trade Comparison and Reporting Service Rule;
   (c) "TCRS" shall mean the Corporation's Trade Comparison and Reporting Service;
   (d) "Electing Participant" shall mean a Participant which has submitted a BOS Eligible Transaction to the Corporation for clearance through BOS;
   (e) "BOS Transaction" shall mean any BOS Eligible Transaction, submitted to the Corporation for Clearance through BOS, appearing as compared on a Participant's Initial or Final Report in TCRS or reported as compared by a registered clearing agency with a Comparison Interface or compared by a registered clearing agency;
   (f) "Settlement Price" shall mean, with respect to any BOS Transaction, the price at which the transaction was compared; and
   (h) "Business Day" shall mean any day on which banks in the State of New York are open for business.

2. (a) The Corporation will offer BOS for the clearance of BOS Transactions. BOS will net by Designated Security all BOS Transactions submitted to BOS by or for Electing Participants and will allot the resulting netted deliver and receive obligations.
   (b) On each Business Day preceding a Business Day on which a transaction submitted to BOS by an Electing Participant is to settle ("Settlement Day"), BOS will prepare and issue to the Electing Participant, as applicable: (i) A deliver security balance order ("DBO") for each delivery of each Designated Security to be delivered on the Settlement Day, showing the Settlement Price in respect thereof; (ii) a receive security balance order ("RBO") for each Designated Security to be received on the Settlement Day, showing the Settlement Price in respect thereof; and (iii) a clearing cash adjustment statement ("CCAS") of the total amount of money due to or from the Electing Participant on the Settlement Day, consisting of: (A) The difference between the aggregate of all Contract Prices and the aggregate of all Settlement Prices of the Electing Participant's BOS Transactions settling on the Settlement Day; and (B) if Settlement Day is also the day on which the Corporation bills Participants for services utilized, any other payments due to the Corporation from the Electing Participant ancillary to its BOS Transactions.

(c) On the morning of any Business Day on which BOS is holding for an Electing Participant a BOS Transaction not settling that day, the Corporation may, at its election, prepare and issue to the Electing Participant, a settlement cash statement ("SCS") of any mark-to-the-market payments due to or from the Participant with respect to any unsettled transactions in BOS and, if the Business Day is also a Settlement Day, the amount shown on any CCAS issued on the preceding Business Day.

(d) The obligations of Electing Participants to receive and pay for securities and to deliver securities pursuant to RBOs and DBOs and to pay the amounts shown on the CCASs and SCSs shall be fixed and determined at the time such DBOs, RBOs, CCASs and SCSs are made available to the Electing Participants, although such orders and statements may not in fact have been received by or delivered to such Electing Participants; provided the amount payable or receivable by an Electing Participant according to any CCAS shall be superseded by the amount payable or receivable according to any SCS issued to the Electing Participant on the morning of a Settlement Day to which the CCAS pertains.

3. (a) Any Participant, and any non-Participant which is otherwise qualified to be a Participant and executes a BOS Agreement, thereby becoming a Participant in BOS, may, pursuant to this Rule, submit BOS Transactions for clearance through BOS. Each Participant...
submitting BOS Transactions to BOS for clearance by so doing agrees to be bound by this Rule for each transaction submitted to BOS and to pay the fees therefor as set forth in the Fee Schedule for BOS (Section IX of the Corporation's Fee Schedule).

(b) If a Participant fails to comply with or fulfill its obligations under this or any other Rule of the Corporation, or if the Corporation believes the Participant is experiencing financial difficulties, the Corporation, in its sole discretion, may refuse the use of BOS to the Participant. Should transactions involving a Participant to whom the Corporation refuses use of BOS have already been submitted to BOS, the Corporation may delete from BOS information pertaining to any transaction to which Participant is a party and for which no BDOs, DBOs and CCASs have been generated by BOS.

(c) The Corporation may require any Participant to make or receive mark-to-market or similar payments with respect to any potential losses or gains arising from changes between the Contract Price and the then current market value, as determined by the Corporation, of any unsettled BOS Transactions.

4. A BOS Transaction may be submitted to BOS through TCRS or pursuant to a Comparison Interface under TCRS, and shall be submitted to the Corporation by noon, Local Time, on the second Business Day preceding the Business Day on which the BOS Transaction is to be settled.

5. (a) The Corporation will make DBOs, RBOs and CCASs available to Electing Participants at the office of the Corporation which the Electing Participant has designated as its location for making settlements ("Settlement Office") by 11:30 a.m. Local Time on the Business Day preceding Settlement Day. The Corporation will make SCSs available to Electing Participants at the Participants' Settlement Office by 10:00 a.m. Local Time on the Business Day on which an SCS is issued.

(b) On each Settlement Day or on each Business Day on which an SCS is issued, each Electing Participant shall pay to or receive from the Corporation at the Electing Participant's Settlement Office, by 11:30 a.m. Local Time, the amounts, in cash, certified check or cashier's check, called for by the Participant's CCAS or SCS.

(c) The obligations of Electing Participants to deliver and pay for Designated Securities in accordance with the RBOs and DBOs issued by the Corporation shall be discharged on Settlement Day in accordance with the procedures set forth in the rules of the Municipal Securities Rulemaking Board with respect to transactions in municipal securities and of the National Association of Securities Dealers, Inc. with respect to transactions in other Designated Securities.

5. A BOS Eligible Transaction as to which the contra party is a participant in a registered clearing agency which has a Clearing Interface with the Corporation may be submitted to BOS by an Electing Participant provided that, in addition to agreeing to be bound by this Rule, by submitting the transaction, the electing Participant: (A) Agrees to indemnify and hold the Corporation harmless for all costs, losses, liabilities or claims on the part of, except any of the foregoing directly caused by the negligence of the Corporation, that the Corporation may suffer or be exposed to by reason of or relating to the transaction, any assignment, transfer and set over thereof and any DBOs, RBOs, CCASs and SCSs resulting therefrom; (B) agrees, in connection with any transaction effected through the Clearing Interface, to pay to the Corporation promptly upon demand, any mark-to-market or other payments and to deliver promptly such securities as the Corporation may be required to make or deliver to the other registered clearing agency; and (C), to the extent necessary to implement the Clearing Interface, authorizes the Corporation to stand in the place of the contra side as regards the Electing Participant and assigns, transfers and sets over to the Corporation all of the Electing Participant's right, title and interest to and in the transaction.

Fee Schedule

IX. Balance Order Service. The maximum fees for this Service shall be:

(a) 12 cents per balance order generated.

(b) 25 cents per transaction entered.

(c) 50 cents per transaction entered on a when-issued basis.

Procedures of Self-Regulatory Organization

Adoption of this Rule has been approved by the Corporation's Chief Executive Officer.

Purpose of Proposed Rule Change

The proposed Rule, in conjunction with the Corporation's proposed Trade Comparison and Reporting Service ("TCRS"), will enable Participants to use the Corporation's service to compare, clear and settle transactions in municipal and government securities. Although transactions compared through TCRS need not be submitted to the proposed Balance Order Service ("BOS") for clearance and BOS can be used to clear transactions compared other than through TCRS, TCRS and BOS have been designed to operate as an integrated transaction completion system.

Historically, transactions in municipal and government securities have been compared by the parties to the transaction and cleared and settled on a trade-by-trade basis. The steady growth in the number and trading volume in these securities experienced in the last two decades, however, has impaired the effectiveness of traditional methods of transaction completion and suggested the need for an improved comparison capability and a mechanism for netting settlement obligations.

TCRS is designed to provide an accurate and efficient comparison mechanism both as a necessary service in its own right and as the front end of a transaction completion system permitting the netting of settlement obligations. TCRS has been amended from the form in which it was originally submitted to be operationally compatible with the BOS netting system.

The development of BOS reflects the Corporation's growing recognition that, while the availability of a netting mechanism is not critical in the case of all transactions in municipal bonds and government securities, there are a number of instances in which the availability of a netting system can provide significant benefits. In particular, it has become increasingly clear that a netting system is needed for "when-issued" trading and situations in which heavy trading in a particular issue has led to a proliferation of fails.

In the case of "when-issued" trading, compared transactions would be submitted to BOS and would be held in BOS until two days before the transactions in the when-issued security were to be settled. At that time, the when-issued trades would be netted and the DBOs, RBOs, and CCASs would be issued by the Corporation. In a failed-trade clearance, the Corporation, another self-regulatory organization or an industry association would identify a security in which there was an undue concentration of outstanding fails and would specify a date by which all transactions in the security had to be submitted to BOS. Immediately following that date, unless the date was extended by the Corporation, the Corporation would net all transactions cleared through BOS on the next day, issue DBOs, RBOs and CCASs. In both instances, settlement would occur in accordance with the provisions of the proposed rule on the day following the
day on which the DBOs, RBs and 
CCAs were made available to the 
participants.

Together, TCRS and BOS will relieve 
participants of much of the time and 
paperwork burden of comparing trades 
and preparing receive and deliver 
tickets. Additionally, by netting 
participants' delivery and receipt 
obligations, BOS will significantly 
decrease the number of deliveries and 
receipts of securities that participants 
are required to make and will both 
reduce fails and minimize the adverse 
net capital and other regulatory 
consequences of fails.

It is self-evident that the efficiencies 
provided by comparison and netting 
systems will be only partially realized if 
multiple comparison and clearing 
systems are permitted to come into 
existence without being required to 
interface with each other. For this reason 
the Corporation undertakes with the 
Commission to establish 
Comparison Interfaces and Clearing 
Interfaces on mutually acceptable terms 
with any registered clearing agency 
offering comparison and clearing 
services for transactions in municipal 
and government securities. Despite 
the Corporation's desire to implement this 
rule at the earliest possible date, the 
Corporation believes that the 
Commission should not approve this 
rule proposal or similar rule proposals 
unless the Commission has satisfactory 
assurances that Clearing Interfaces and 
Comparison Interfaces between all 
registered clearing agencies offering 
comparison or clearing services for 
transactions in those securities will 
become operational concurrently with 
the initiation of clearing operations 
under the rule proposals.

Basis Under the Act for Proposed Rule 
Change

The proposed Rule would simplify the 
clearance and settlement process for 
the Corporation's participants and would 
provide an efficient and inexpensive 
means for settling transactions in 
municipal and government securities, 
particularly when they are traded on a 
when-issued basis or when a Designated 
Security is subject to a high volume of 
trading and fails. Consequently, the 
proposed Rule contributes to the 
removal of impediments to, and the 
perfection of, a national system for the 
prompt and accurate clearance and 
settlement of transactions.

By contemplating the establishment of 
clearing level interfaces with other 
registered clearing agencies providing 
clearing services for municipal and 
government securities, the proposed 
Rule would promote the linking of all 
clearance and settlement facilities and 
secure the efficiencies and safety 
afforded by new data processing and 
communication techniques and would 
thereby reduce unnecessary costs and 
increase the protection of investors and 
persons facilitating transactions by and 
acting on behalf of investors.

Comments Received From Members, 
Participants, or Others on Proposed Rule 
Change

No formal comments were solicited or 
received from participants or others 
with respect to the proposed Rule. The 
Corporation has, however, received a 
number of written and oral requests to 
establish a netting system for 
transactions in municipal securities and 
to interface the system with any other 
registered clearing agencies which 
propose to offer a similar service. 
Copies of letters to this effect have 
been placed in the Commission's public file 
relating to this Rule.

Burden on Competition

The Corporation does not believe that 
the proposed Rule would impose any 
burden on competition among broker-
dealers or registered clearing agencies. 
Rather, the Corporation believes that the 
implementation of TCRS and BOS, 
together with the establishment of 
appropriate interfaces between the 
Corporation and other registered 
clearing agencies offering comparable 
services for municipal and government 
securities, would provide broker-dealers 
with a meaningful choice of clearing 
facilities and, at the same time, would 
foster competition among registered 
clearing agencies in the clearance and 
settlement of transactions in these 
securities.

On or before April 25, 1980, or within 
such longer period (i) as the Commission 
may designate up to 90 days of such 
date if it finds such longer period to be 
appropriate and publishes its reasons 
for so finding or (ii) as to which the 
above-mentioned self-regulatory 
organization consents, the Commission 
will:

(a) By order approve such proposed 
rule change, or

(b) Institute proceedings to determine 
whether the proposed rule change 
should be disapproved.

Interested persons are invited to 
submit written data, views and 
arguments concerning the foregoing. 
Persons desiring to make written 
submissions should file six copies 
thereof with the Secretary of the 
Commission, Securities and Exchange 

Copies of such filings will also be 
available for inspection and copying at 
the principal office of the above-
mentioned self-regulatory organization. 
All submissions should refer to the file 
number referenced in the caption and 
should be submitted on or before April 
11, 1980.

For the Commission, by the Division of 
Market Regulation, pursuant to delegated 
authority.

George A. FitzSimmons, 
Secretary.

March 14, 1980.
in the Corporation's Book of Designated Securities.

(d) "BOS" shall mean the Corporation's Balance Order Service;
(e) "BOS Eligible Transaction" shall mean a transaction in a Designated Security between two Participants or between a Participant and a participant in a registered clearing agency which has established a Clearing Interface with the Corporation;
(f) "Clearing Interface" shall mean an interface with another registered clearing agency which shall be the means whereby the Corporation and the other clearing agency will exchange the information necessary to enable them to compare a transaction in a Designated Security between a Participant and a participant in the other clearing agency;
(g) "Comparison Interface" shall mean an interface with another registered clearing agency which shall be the means whereby the Corporation and the other clearing agency will exchange the information necessary to enable each of them to clear a compared transaction in a Designated Security between a Participant and a participant in the other registered clearing agency; and
(h) "Local Time" shall mean the time in effect at the office of the Corporation to which a Participant submits information or at which a Participant receives information or makes settlements.

(2) As used in this Rule, "Business Day" shall mean any day on which the New York Stock Exchange is open for trading.

(3) The Corporation shall offer its Participants a Trade Comparison and Reporting Service ("TCRS"), in accordance with this Rule, for transactions in all Designated Securities effected by or for that Participant. Each Participant may, to the extent it so desires, use TCRS by submitting data, as provided for herein, for transactions in any Designated Security effected by or for the participant, including transactions executed on an "as of" or delayed delivery basis, and by so doing agrees to be bound by this Rule for the transaction as to which information is submitted to TCRS and to pay the fees therefor as set forth in Section VI to the Corporation's Fee Schedule.

(4) For each transaction utilizing TCRS or utilizing both TCRS and BOS, a Participant shall submit, individually by trade, the information specified by the Corporation in the form or format specified therefor, which form or format shall include the identification of the participant, the subject Designated Security, the contra side, the quantity, the unit price, the aggregate price, the trade date, the settlement date, and, if the transaction is a BOS Eligible Transaction and the Participant wishes to utilize BOS, an instruction for the transaction to be submitted to BOS (the "Transaction Information"). When the contra side to a transaction is a Participant which has submitted the transaction to TCRS or is a participant in another registered clearing agency with a Comparison Interface and has submitted the transaction to that other registered clearing agency the Corporation shall forward the TCRS to its Participant as set forth herein.

(5) A Participant shall submit the Transaction Information for any transaction for which the Participant utilizes TCRS or BOS, or both TCRS and BOS, to the Corporation by 12:00 noon Local Time of the first Business Day after a transaction at any office of the Corporation which the Participant wishes to use.

(6) By 12:00 noon Local Time on the Business Day following the Business Day on which a Participant has submitted Transaction Information to the Corporation, the Corporation shall make available to the Participant a report (the "Initial Report") setting forth:
(a) The terms of each transaction submitted by the Participant which compares, including interest accumulated through the settlement date for the transaction; (b) the terms of each transaction (i) reported by the Participant and not reported by the contra side ("Uncompared Transaction"); or (ii) reported by the contra side and not reported by the Participant ("Advisory Transaction").

(7)(a) Participants may delete transactions submitted to TCRS if both parties to the transaction submit deletion instructions, in the form or format specified by the Corporation, by 12:00 noon Local Time on the Business Day by which Corrected Transaction Information must be submitted.

(b) Transaction Information not submitted to the TCRS by the time specified in paragraph (5) of this Rule may be submitted, for a comparison cycle already in progress, by 12:00 noon Local Time on the Business Day on which Corrected Transaction Information for that cycle must be submitted, and will be included in the Final Report for that cycle.

(c) Transactions which remain Uncompared Transactions and Advisory Transactions in the Final Report will not be submitted to BOS, even though they may otherwise be BOS Eligible Transactions, and will not appear in the Initial or Final Reports of subsequent comparison cycles unless resubmitted pursuant to this Rule.

Fee Schedule

VI. Trade Comparison and Reporting Service

The maximum fees for this service shall be:

(a) Basic TCRS Fee—Monthly charge for any month in which a Participant utilizes TCRS—$40.00 per month.

(b) Box Rental—Monthly charge for rental of a box, where the participant may pick up his output—$5.00.

(c) Comparison With Participants Or Through A Comparison Interface—Any fees charged by the other registered clearing agency for the Comparison Interface with respect to the item, plus the following:
(i) Fee for all transactions (regular, as of, withholding buy or sell)—$0.25 per transaction; and
(ii) Fee for receive or deliver tickets—$0.12 per ticket.

(d) Non Machine Readable Input—Charge for Key Entry (regular, as of, withholding buy or sell)—$0.10 per item.

(e) Machine Readable Input—No charge.

(i) Machine Readable Output (Tape)–Tape supplied by Participant. $0.0050 per item, with a maximum charge of $200.00 per month.

(g) Machine Readable Output (Cards)–$0.0150 per item, with a maximum charge of $500.00 per month.
(h) **Stationery—**Forms usage charged back to the participant at 100 percent (100%) of cost.

(i) **Additional Expense—**Any additional expenses such as printing, forms, communications, etc., will be charged to the Participant at 100 percent (100%) of cost.

(j) **Master Book of Designated Securities—**First copy is free; additional copies are billed at 100 per cent (100%) of cost.

(k) **Research Charge—**$5.00 per request.

Procedures of Self-Regulatory Organization

The Chief Executive of the Corporation authorized the Corporation to establish and offer a Trade Comparison and Reporting Service and authorized the filing of SR-BSPS-77-5 and of this amendment to SR-BSPS-77-5.

**Purposes of Proposed Rule Change and Amendment**

The purpose of SR-BSPS-77-5 is to enable the Corporation to offer to its participants a Trade Comparison and Reporting Service ("TCRS"); the purposes of this amendment are to limit the transactions which may be submitted to TCRS to transactions in municipal and government securities, to provide for the establishment of a Comparison Interface with any registered clearing agency offering a comparison service in those securities, to permit transactions compared through TCRS to be submitted to the Corporation's Balance Order Service ("BOS") for clearance and to respond further to comments made by the Commission's staff.

At present no clearing agency offers its participants a full trade comparison and reporting service for municipal and government securities. TCRS will provide a comprehensive comparison and reporting service for the full range of municipal and government securities any time outstanding or trading on a "when-issued" basis and, at the same time, will enable Participants to compare transactions in those securities with other Participants and, to the extent comparison interfaces are established with other registered clearing agencies, with participants in those clearing agencies.

**Basis under the Act for Proposed Rule Change**

By providing participants a non-exclusive means through which they can compare transactions in municipal and government securities and thereby expediently effect the consummation thereof, the proposed rule change relates to prompt and accurate clearance and settlement of securities transactions, fostering cooperation and coordination with persons engaged in the clearance and settlement of securities transactions and removal of impediments to and perfection of the mechanisms of a national system for the prompt and accurate clearance and settlement of securities. The Corporation believes that the approval of TCRS concurrently with the establishment of comparison interfaces with all other registered clearing agencies offering comparison services for transactions in municipal and government securities, will facilitate the establishment of a national system for the clearance and settlement of those securities.

**Comments Received From Members, Participants or Others on Proposed Rule Change**

In the course of conversations with its Participants, the Corporation was advised of the need for a trade comparison and reporting service for transactions in municipal and government securities. Besides correspondence with the Commission's staff, the publication of SR-BSPS-77-5 by the Commission in the Federal Register, and communications from the Corporation in which Participants were invited to submit written comments to the Commission, no written comments were solicited or received from Participants or others on the proposed rule change.

**Burden on Competition**

TCRS is non-exclusive. A Participant is not compelled to use TCRS for any of its transactions, but may use it if the cost of doing so compares favorably with the cost of other comparison services or alternative methods of comparison. In addition, TCRS is available for transactions, both between Participants and between Participants and participants in other registered clearing agencies which have established a Comparison Interface with the Corporation. The Corporation is prepared to establish Comparison Interfaces with any registered clearing agency providing a trade comparison service for municipal and government securities.

The Corporation believes that the availability of TCRS will increase competition among entities trading in municipal and government securities by making available at low cost comparison capability available to large numbers of brokers, dealers and others located both within and outside major financial centers. The Corporation believes that if Comparison Interfaces with other registered clearing agencies are established concurrently with the Commission's approval of TCRS and, like the Corporation, other registered clearing agencies offer their services on a non-exclusive basis, implementation of TCRS will enhance competition among registered clearing agencies in the provision of comparison services for transactions in municipal and government securities.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(a) By order approve such proposed rule change, or

(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of such filings will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption and should be submitted on or before April 11, 1980.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons, Secretary.

March 14, 1980.

[FR Doc. 80-407 Filed 3-20-80; 04:45 pm]
BILLING CODE 8010-01-M

Midwest Stock Exchange, Inc.; Self-Regulatory Organization; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-39, 16 [June 4, 1975], notice is hereby given that on March 5, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission the following proposed rule change:
Exchange's Statement of the Terms of Substance of the Proposed Rule Change

Article I, Rules 11, 14, 15, and 16; Article II, Rule 31; Article III, Rule 31; Article IV, Rules 1 and 9; Article V, Rule 2; Article VI, Rules 1, 2, and 9; Article VII, Rules 1, 2, and 4; Article XI, Rules 3 and 9; Article XII, Rules 1, 2, 3, 4, 5, and 7; Article XIV, Rules 10, 11, and 13; Article XVII, Rule 2; Article XVIII, Rules 2, 3, and 4; Article XXX, Rules 1, 4, 7, and 8; and, Article XXXI, Rules 12 and 14 are hereby amended as follows:

All of the amendments merely substitute the word 'Chairman' for 'President' except two. Article IV, Rule 3 provides that the Vice Chairman, rather than the Chairman shall appoint committees and designate their Chairmen. Article XI, Rule 9 provides that, in the event that a member organization is unable to maintain operational capacity forcing the MSE Chairman to take action to correct the situation, the action must be reported to the Vice Chairman of the Board.

Exchange's Statement of Basis and Purpose

The basis and purpose of the foregoing proposed rule change is as follows:

These amendments recognize the need for continuity of executive leadership to guide the Exchange as it moves into the national market system environment. It has become increasingly apparent in the last few years that the demands of administering the Exchange, negotiating with regulatory bodies and providing testimony to the SEC and Congressional Committees, as well as leading the Exchange's transition to national market system trading require the continuity of a full-time Chairman. In addition, the operation of a clearing corporation, a depository and increasing complexity in data processing in all areas of the Exchange's operations require full-time efforts in leadership and communication with the membership. Similar conclusions have been reached by the other national exchanges which have responded by adopting structures similar to that proposed for the Exchange.

These rule amendments are consistent with Section 6(b)(1) of the Act since they relate to the organization of the Exchange and the capacity of the Exchange to be able to carry out the purposes of the Securities Exchange Act of 1934 as amended.

Comments have neither been solicited nor received from members or others on the proposed rule changes. The Midwest Stock Exchange, Incorporated does not believe that the proposed amendments impose any burden on competition.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding, or (ii) as to which the above-mentioned self-regulatory organization consents the Commission will:

(a) By order approve such proposed rule change, or
(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file 6 copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons, Secretary.

March 17, 1980.

[FR Doc. 80-4650 Filed 3-30-80; 8:45 am]
BILLING CODE 8011-01-M

[Released No. 34-16657; File No. SR-MSE-80–1]

Midwest Stock Exchange, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78c(b)(1) as amended by Pub. L. No. 94-29, 93 (June 4, 1975), notice is hereby given that on February 25, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change as follows:

Exchange's Statement of Terms of Substance

The interpretation which is the subject matter of this filing informs the membership and other interested parties about how the Committee on Specialist Assignment and Evaluation will make assignments and re-assignments of issues to specialists, co-specialists, relief specialists, and odd-lot dealers. The interpretation describes the events which may lead to assignment proceedings, assignment procedures, and guidelines for assignment of issues to co-specialists.

Exchange's Statement of Basis and Purpose

The basis under the act for the proposed rule change is Section 6(b)(5) since it is believed such rule change will work toward a more competitive national market system in the public interest enabling the Exchange to process assignments and re-assignments of issues to specialists, co-specialists, relief specialists, and odd-lot dealers in an efficient manner.

The Midwest Stock Exchange, Incorporated does not believe that the proposed rule change will impose any burden on competition.

The Midwest Stock Exchange, Incorporated does not consent to any extension of the time periods applicable under the Act.

The Midwest Stock Exchange has neither solicited nor received any comments.

At any time within sixty days of the date of filing of these proposed rule changes, the Commission summarily may abrogate the change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Securities Exchange Act of 1934.

Interested persons are invited to submit written data, views and arguments covering the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal offices of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.
For the Commission by the Division of Market Regulation pursuant to delegated authority.

George A. FitzSimmons,
Secretary.

March 14, 1980.

[FR Doc. 80-9562 Filed 3-20-80; 9:45 am]
BILLING CODE 8010-01-M

[Release 34-16659; File No. SR-NASD-77-8]
National Association of Securities Dealers, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29-16 (June 4, 1976), notice is hereby given that on July 7, 1976, the above mentioned self-regulatory organization filed with the Securities and Exchange Commission (the "Commission") a proposed rule change relating to the matters set forth below and on March 14, 1980, filed an amended notice of proposed rule change as follows:

NASD's Statement of the Terms of Substance of the Proposed Rule Change

Text of Proposed Rule Change

The following is the full text of the proposed rule change, Article III, Section 35 of the Rules of Fair Practice of the National Association of Securities Dealers, Inc. (the "NASD" or "Association"). The proposed rule change is sometimes referred to hereinafter as "Section 35". Section 35 authorizes the Board of Governors of the Association to adopt certain rules as described below; each of those rules will be filed with the Commission and be subject to public notice. The text of Section 35 is as follows:

Article III, Section 35

(a) A member or a person associated with a member shall not underwrite or participate in any way in the distribution to the public of units of a direct participation program, or sponsor a direct participation program, the provisions of which are inconsistent with rules, regulations and procedures prescribing standards of fairness and reasonableness in respect thereof adopted by the Board of Governors pursuant to the authorization granted in subsection (b) hereof.

(b) The Board of Governors is authorized, for the purpose of preventing fraudulent and manipulative acts and practices, promoting just and equitable principles of trade, providing safeguards against unreasonable profits or unreasonable rates of commissions or other charges, and for the protection of investors and the public interest, to adopt rules, regulations and procedures prescribing standards of fairness and reasonableness for direct participation programs relating to:

1. The underwriting or other terms and conditions concerning, directly or indirectly, the distribution of units of such programs to the public, including, but not limited to:
   a. The right of participants in such programs;
   b. Conflicts or potential conflicts of interest of sponsors thereof, or others;
   c. The financial condition of sponsors of such programs;
   d. All elements of sponsor's compensation including but not limited to working interests, net profit interests, promotional interests, program management fees, overriding royalty interests, sharing arrangements, interests in program revenues, and overriding interests in all other kinds, general and administrative expenses and organization and offering expenses;
   e. The minimum unit value which may be offered and the minimum subscription amount per investor;
   f. The retention and/or exchange of units of the program held by participants;
   g. The assessments, mandatory, optional or otherwise, to be made on participants in a program in addition to the unit price;
   h. The reinvestment of revenues derived from the operation of the program;
   i. The duty of the program to render operational and financial reports to participants;
   j. The liquidation of units in a program; and
   k. Any other terms, conditions or arrangements relating to the operation of the program which the Board of Governors determines are required for the protection of investors and the public interest;

(3) The standards of suitability for investment in such programs by investors;

(4) The content and filing with the Association of advertising and sales literature to be used in connection with the distribution of direct participation programs; and

(5) The definitions of words commonly used in connection with such programs including words used in this section unless they are otherwise defined herein.

(c) The rules, regulations and procedures authorized by subsection (b) hereof shall be incorporated into Appendix F to be attached to and made a part of these Rules of Fair Practice. The Board of Governors shall have the power to adopt, alter, amend, supplement or modify the provisions of Appendix F from time to time without recourse to the membership for approval, as would otherwise be required by Article VII of the By-Laws, and Appendix F shall become effective as the Board of Governors may prescribe unless disapproved by the Securities and Exchange Commission.

(d) For the purposes of this section, the following terms shall have the stated meanings:

(1) AFFILIATE—when used with respect to a member or sponsor, shall mean any person which controls, is controlled by, or is under common control with, such member or sponsor, and includes:

a. Any partner, officer or director (or person performing similar functions) of (a) such member or sponsor or (b) a person which beneficially owns 50 percent or more of the equity interest in, or has the power to vote 50 percent or more of the voting interest in, such member or sponsor.

b. Any person which beneficially owns or has the right to acquire 10 percent or more of the equity interest in or has the power to vote 10 percent or more of the voting interest in (a) such member or sponsor, or (b) a person which beneficially owns 50 percent or more of the equity interest in, or has the power to vote 50 percent or more of the voting interest in, such member or sponsor.

c. Any person with respect to which such member or sponsor, the persons specified in subparagraph a. or b., and the immediate families of partners, officers or directors (or persons performing similar functions) specified in subparagraph a., or other persons specified in subparagraph b., in the aggregate beneficially owns 50 percent or more of the equity interest or has the right to acquire 10 percent or more of the equity interest or has the power to vote 10 percent or more of the voting interest.

d. Any person an officer of which is also a person specified in subparagraph a. or b. or any person a majority of the board of directors of which is comprised of persons specified in subparagraph a. or b.; or

1 Notice of filing of the proposed rule change was originally published on July 21, 1977. (42 FR 37461.)
e. Any person controlled by a person or persons specified in subparagraphs a., b., c. or d. 

(2) DIRECT PARTICIPATION PROGRAM (PROGRAM)—a program which provides for the flow-through tax consequences regardless of the structure of the legal entity or vehicle for distribution including, but not limited to, oil and gas programs, real estate programs, agricultural programs, cattle programs, condominium securities, Subchapter S corporate offerings and all other programs of a similar nature, regardless of the industry represented by the program, or any combination thereof. A program may be composed of one or more legal entities or programs but when used herein and in any rules or regulations adopted pursuant hereto the term shall mean each of the separate entities or programs making up the overall program and/or the overall program itself. Excluded from this definition are investment trusts, tax qualified pension and profit sharing plans pursuant to Sections 401 and 403(a) of the Internal Revenue Code and individual retirement plans under Section 408 of that Code, tax sheltered annuities pursuant to the provisions of Section 403(b) of the Internal Revenue Code, and any company, including separate accounts, registered pursuant to the Investment Company Act of 1940.

(3) EQUITY INTEREST—when used with respect to a corporation, means common stock and any security convertible into, exchangeable or exercisable for common stock, and, when used with respect to a partnership, means an interest in the capital or profits or losses of the partnership.

(4) SPONSOR—a person who directly or indirectly provides management services for a direct participation program whether as general partner, pursuant to contract or otherwise.

NASD's Statement of Basis and Purpose 

The basis and purpose of the foregoing proposed rule change are as follows:

Purpose of Proposed Rule Change 

The purpose of the proposed rule change is to establish a system of regulation in connection with the distribution of direct participation programs by members of the Association and the sponsorship of such programs by members of their affiliates. Subsection [a] of proposed Section 35 would prohibit a member or person associated with a member or member's corresponding entity, participating in the distribution of, or sponsoring a direct participation program which does not comply with rules, regulations and procedures prescribing standards of fairness and reasonableness adopted by the Board of Governors of the Association (the "Board"). Subsection (b) would give the Board authority to adopt rules, regulations and procedures. More specifically, subsection (b)(2) would give the Board authority to adopt rules, regulations and procedures regarding such matters as the management, structure and operation of direct participation programs in which a member or an affiliate of a member is a sponsor. Subsections (b)(1), (3) and (4) would give the Board authority in such areas as underwriting compensation, investment suitability and form and content of sales literature of programs distributed by a member of a person associated with a member. Subsection (b)(5) would give the Board authority to define words commonly used in connection with direct participation programs.

Subsection (c) would give the Board authority to adopt, alter, amend, supplement or modify the substantive rules, regulations and procedures authorized by Subsection (b) and contained in Appendix F hereto, without recourse to the membership for approval. While a vote of the membership would not be necessary to effect a change in Appendix F, any substantive changes would be made only after being sent to the membership for comment.

Subsection (d) would define the following terms that are used in Section 35: "Affiliate," "direct participation program," "program," "equity interest," and "sponsor."

More particularly, the purpose of the proposed rule change is to prevent abuses by members, persons associated with members, and affiliates of members in the distribution or sponsorship of direct participation programs. Subsections (b)(1), (3), (4) and (5) of Section 35 would apply to a member or person associated with a member participating in the public distribution of any direct participation program. Subsection (b)(2) of Section 35 would apply to a member or person associated with a member participating in the public distribution of a direct participation program sponsored by a member or an affiliate of a member. Subsection (b)(2) would also apply to a member who sponsors a program and, in most instances, to an affiliate of a member who sponsors a program. Subsection (b)(2) would apply in all instances in which a program's securities are distributed by the member who is sponsoring the program or who is an affiliate of the sponsor. Subsection (b)(2) also would apply even though the member with whom the sponsor is affiliated may not be directly participating in the distribution, should that member have reason, e.g., "selling purpose", to influence the creation or distribution of a program's securities in a manner contrary to just and equitable principles of trade, the protection of investors and the public interest generally.

The Association has concluded that the application of the proposed rule change described above is mandated by the statutory requirements imposed upon the Association under Section 15A(b)(6) of the Securities and Exchange Act of 1934 (the "Act"). This conclusion rests on the Association's experience in reviewing direct participation programs and its understanding of practices surrounding direct participation programs.

The Association concluded that it was necessary to apply subsection (b)(3) only to members or affiliates sponsoring but not distributing programs because in most such cases there is a close identity of interest between the member's securities-related activity and the activity of the member or its affiliate in sponsoring a program. Often, the same personnel are engaged in both activities. It is particularly important to note the existence of affiliations between sponsors and members. A review of a significant sample of the public direct participation programs filed with the Association during the 1978 indicates that there was such an affiliation in 65.2 percent of the programs. It is common for a sponsor to employ one or more persons whose primary, if not exclusive, function is to "wholesale" interests in a series of programs. Given this pattern, sponsors soon become subject to the broker/dealer registration requirements of the Act. Membership in the Association often follows registration as a broker/dealer. Conversely, an existing member may create an affiliation to sponsor programs for distribution to existing and future customers.

In those situations in which an affiliation exists between a sponsor and a member, the affiliation may take a variety of forms. At one extreme, the member and the sponsor may be the same legal entity. In more common situations, however, the member is controlled by, in control of, or under common control with the sponsor. This was the form of affiliation in virtually all of the public programs where any affiliation was found in the study referred to above. In that study, the Association found that 65.2 percent of all public programs filed with the
Section 15A(b)(6) of the Act provides that Association rules shall not be designed to regulate "matters not related to the purposes of (the Act)." The Association will be mindful of this provision in interpreting and applying the proposed rule change. The Association believes, however, that in virtually all instances those activities subject to the proposed rule change, including the sponsorship of programs, are within the purview of the Act. The sponsoring of a "direct participation program" is itself a securities related activity in that the structuring and management of the program enterprise must affect its value. Sponsors are often in the continuing business of creating and selling partnership interests. This sales activity, whether accomplished by the sponsor registered as a broker/dealer, by a wholly-owned broker/dealer subsidiary, or by a broker/dealer controlling the sponsor, is a related activity subject to Association jurisdiction where a member is involved. The Association is of the view that subsection (b)(2) of Section 35 should be applied wherever a member or an affiliate of a member is in a position to affect the activities related to a program, particularly where such member or affiliate may have an incentive to encourage sales of program interests. Thus, if a wholly-owned subsidiary of a member is a participant in a program and is in a position to structure the program to make its interests readily salable but to conceal conflicts disadvantageous to investors, subsection (b)(2) should apply even though the member is not participating in the distribution. At the other extreme, if a member which never sells direct participation programs is controlled by a holding company which also controls a real estate appraisal firm, the work of that appraisal firm in appraising properties for a real estate program would not normally be seen as a related matter subject to Association jurisdiction.

The effect of the above-referenced statutory provision on the applicability of the terms "affiliate" and "sponsor" should be noted. The Association expects to interpret the term "affiliate" in such a manner that the proposed rule change will be applied only to situations in which the involvement of members or persons associated with members in related activities is such that the purposes of the Act dictate such application. The term "sponsor" will be interpreted to apply only where a member or its affiliate is performing some of the functions of a general partner or otherwise acting in a managerial capacity.

Basis Under the Act for Proposed Rule Change

Section 15A(b)(2) of the Act provides that an association of brokers and dealers such as the Association shall not be registered as a national securities association unless the Commission determines that the association's rules provide it with the capacity to carry out the purposes of the Act, to enforce compliance with the Act and the rules and regulations thereunder by its members and persons associated with its members, and to protect investors and the public interest. Further, Section 15A(b)(6) of the Act requires that the rules of the association be designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, and in general to protect investors and the public interest. In addition, Section 15A(b)(7) of the Act requires that the rules of the association provide that its members and persons associated with its members shall be appropriately disciplined for violation of any provisions of the Act or the rules of the association, by imposition of appropriate penalties.

Section 35 has been adopted by the Association to further the purposes of the Act by prohibiting identified abuses in the distribution and sponsorship of direct participation programs. The factual basis for the Association's action is set forth above in the discussion of the purpose of the proposed rule change. The Association believes it is important to apply Section 35 so as to affect not only the distribution of direct participation programs by members or their associated persons but also the sponsorship of such programs by members or their affiliates.

The basis under the Act for the Association's exercise of jurisdiction in the case of members' affiliates rests largely on the finding that there is frequently an identity of interest between a member and its affiliate which is sponsoring a direct participation program.

In some instances, the proposed rule change will impose requirements on Association members, unaffiliated with a program's sponsor, who distribute interests in a program which has another member or affiliate as its sponsor. The Association has concluded that it is necessary to extend certain responsibilities to such a member to facilitate enforcement by assuring that there is a further check on potential conflicts of interest and excessive compensation. The responsibility on the unaffiliated member also assures an objective check on the degree of affiliation between any other member or an affiliate and the program sponsor. NASD's Statement Concerning Comments Received From Members, Participants or Others on the Proposed Rule Change

Antecedents to the proposed rule change were published by the Association for comment by members and other interested parties in 1972 and 1973 and the proposed rule change was approved by a vote of the Association's members. A total of 177 comments were received and filed with the Commission. A detailed analysis of comments directed to the Association was also filed with the Commission. (See File No. SR-NASD-77-6, Exhibit 2.) A summary of that analysis is presented here.

The Association first published an earlier version of the proposed rule change for comments in a Notice to Members on May 9, 1972. The comments received may be categorized into those addressing two general policy questions and those suggesting changes to specific sections.

Several of the comments in the first category were addressed to the question of the delegation to the Association's Board of Governors of authority to amend, without a vote of Association members, the provisions of Appendix F of the Association's Rules of Fair Practice. The question was raised as to whether this practice was permissible under Article VII, Section 1 of the By-Laws of the Association, which requires membership approval for amendments to the Rules of Fair Practice. Section 3 of Article VII of the By-Laws delegates to the Board the power to make and issue interpretations in administration and enforcement of the Rules of Fair Practice. The Association was of the view that since the substantive provisions of the Appendix implement authority in proposed Section 35 which was to be approved by the membership, the Board held the power under Article VII, Section 3 to function in the manner indicated in proposed Section 35. Subsequent to this first publication, similar delegations of authority have been approved by the Commission with respect to other Association rules. (See, e.g. NASD Rules of Fair Practice, Article
The other general policy issue addressed by the comments related to the Association's asserted attempt to regulate the issuers of offerings distributed by members. The proposal published in 1972 specified standards to be met by the issuers of any direct participation program distributed by an Association member including those sponsored by persons other than members or their affiliates. Several commentators questioned the Association's jurisdiction in seeking to regulate activities of program sponsors which were not affiliated with Association members.

In 1973, the Commission invited public comment on enumerated policy questions relating to the Association's authority to adopt rules affecting sponsors not affiliated with Association members. (See Sec. Exch. Act. Rel. No. 10260 (July 2, 1973).) Contemporaneously, the Association issued a revised version of the proposed rule change which left unchanged the earlier approach with respect to sponsors unaffiliated with Association members. See Notice to Members 73-50 (July 12, 1973). Comments on that proposal were directed to the Commission.

As a result of correspondence received from the Commission in 1974, the Association revised the scope of those sections of the rule proposal which would directly affect the activities of program sponsors. As revised and subsequently filed with the Commission, those sections would apply only to programs "in which a member or an affiliate of a member is a sponsor." By limiting the scope of those sections, the Association was seeking to respond to those earlier comments which questioned the Association's jurisdiction over sponsors who are not affiliated with members. The Association was also seeking to protect investors from potential abuses that could be obscured in the structure of direct participation programs, and conflicts of interest that may be present, especially where a member distributes securities of a program sponsored by its affiliate.

The remaining comments received on the 1972 proposal were addressed to the scope of the definition of "tax shelter program," the applicability of other proposed definitions, and many of the specific details of the rule proposal. As noted above, a detailed analysis of these comments and actions taken by the Association in response thereto may be found in Exhibit 2 filed with the Commission.

The proposed rule change as submitted to the Association's membership for approval on January 21, 1977 (see Notice to Members 77-3) contained changes, as alluded to above, adopted in response to earlier comments received. No comments were solicited or received in connection with the 1977 submission to the membership.

The proposed rule change was filed with the Commission on July 7, 1977. The Commission published the proposal for comment on July 21, 1977 (see 42 F.R. 37461 (1977)). The Commission received one letter of comment, from Robert D. Strahota, an attorney in Washington, D.C. Mr. Strahota raised a procedural question, suggesting that the Association should republish Appendix F for comment. As explained below, that has now been accomplished.

Mr. Strahota also questioned the Association's authority to enact subsection (b)(2) of Section 35. He assumed that the subsection would be applied to any sponsor affiliated with an Association member. As explained above, the association intends that subsection (b)(2) not be applied solely on the basis of a technical affiliation but rather on other bases as dictated by the Act.

Subsequent to the filing of the proposed rule change with the Commission, the Association solicited comments from members on the substantive regulations, contained in proposed Appendix F to Section 35, which the Association would be authorized to adopt pursuant to subsection (b) of the proposed rule change. (See Notice to Members 78-12 (March 10, 1978).) The comments received in response to that notice have been analyzed and various changes to Appendix F are pending. The Association is awaiting Commission approval of the proposed rule change prior to adopting Appendix F. Appendix F must be filed with the Commission for approval before it becomes effective. To the extent that Appendix F may reflect the intended application of authority provided in the proposed rule change, interested persons may wish to study the Appendix in formulating comments on the proposed rule change. Copies of Appendix F may be obtained from the National Association of Securities Dealers, Inc., 1735 K Street, N.W., Washington, D.C. 20006.

On or before April 10, 1978 or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(a) By order approve such proposed rule change, or

(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549.

Copies of the filing with respect to the foregoing and all written submissions will be available for inspection and copying in the Public Reference Room 1100 L Street, N.W., Washington, D.C.

Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 10, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons, Secretary.
March 17, 1980.

(See Notice to Members 78-12 (March 10, 1978).) Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 10, 1980.

1 Notice of filing of the proposed rule change was given by publication of a Commission release (Securities Exchange Act Release No. 15575, April 26, 1980) and by publication in the Federal Register (45 FR 1964, January 9, 1980).
proposed rule changes from interested persons.

I. Self-Regulatory Organization's Statement of Terms of Substance of the Proposed Rule Changes

The following is a summary of the rule changes proposed by the NASD. The text of the proposed rule changes is attached as Exhibit A to this notice, with brackets used to indicate words to be deleted and italics used for words to be added.

Article III, Section 33, Appendix E

Section 16. This section is proposed to be amended to require customer account statements to disclose any special account charges that are not itemized and disclosed on confirmations. The proposed revision would also require disclosure on margin account statements sent to options customers of the market-to-market and market value of each security position in the account, the outstanding balance in the account, and account equity. Under the proposed rule, these statements must also contain a legend indicating that further information concerning options commissions and similar execution charges will be provided on request. A description of how to calculate margin account equity is also provided.

Section 17. This Section is proposed to be amended to require customer account records to reflect whether the account has been approved for discretionary orders.

Section 18. This Section is proposed to be amended to require that copies of options-related complaints be maintained at the branch office which is the subject of such complaints.

Section 19. This Section is proposed to be amended to require that all discretionary options accounts be approved in writing by a Registered Options Principal.

Section 21. This Section is proposed to be amended to require members to develop and implement a written headquarters account review program under the direct supervision of the Senior Registered Options Principal.

This Section also is proposed to be amended to require each member firm to maintain, at the principal supervisory office having jurisdiction over the office servicing the customer's account, sufficient information to permit a timely review of each such account. The proposed program would require that statements sent to such customers reflect the market-to-market price and market value for all security positions in the account, the total market value of all positions in the account, the debit (or credit) balance, and account equity. We have defined general (margin) account equity as the difference between the total of long security values, including any credit balance, and the total of short security values, including any debit balance. We have also proposed that interest and any special charges assessed against an account be specifically delineated on the account statement only to the extent, however, that such charges have not already been itemized on transaction confirmations.

In addition, we propose to require a legend on account statements to the effect that information concerning commissions and other charges has been included in confirmations, and will be furnished to customers upon request.

Appendix E, Section 17

The minimum information that must be reflected in customers' account records has been expanded to include whether the account is approved for discretionary orders.

Appendix E, Section 18

This Section would require that in addition to maintaining a firm-wide file of all options-related complaints, copies of options-related complaints must also be maintained at the branch office which is the subject of such complaints.

Appendix E, Section 19

Each discretionary options account opened by a member would have to be approved, in writing, by a Registered Options Principal.

Appendix E, Section 21

Options Study recommendation I.A.2.e. calls for the adoption of rules requiring that the headquarters office of every options broker-dealer be in a position to timely review each customer's account to determine the extent of commissions and realized and unrealized losses relative to account equity, the existence of unusual credit extensions and unusual account risks or trading patterns.

Members also would be required to develop and implement a written headquarters account review program and place such a program under the direct supervisory control of the Senior Registered Options Principal.

In response to this recommendation, we propose to add a new subsection (d) to Section 21 of Appendix E which would require members to maintain, at the principal supervisory office having jurisdiction over customers' accounts, sufficient information to enable them to make a number of compliance-related determinations with respect to the options activity in each such account.

Schedule C (Disciplinary Actions)

Members would be required to inform the Association of any disciplinary actions taken by any national securities exchange or association, clearing corporation, commodity futures market or government regulatory body against them or their associated persons.

(B) Self-Regulatory Organization's Statement on Burden on Competition.
The Association recognizes that certain of the proposed rule changes will increase the costs to members in handling customers' options transactions, which, in turn, may place smaller members at a competitive disadvantage. The Commission will have to determine whether the possible competitive burden of these rule changes is necessary or appropriate in furtherance of the Act in deciding whether to approve these rule changes.

(C) Self-Regulatory Organization's Statement on Comments Received from Members, Participants, or Others on Proposed Rule Changes. Comments on proposed rule changes presented herein for the first time were solicited in response to preliminary drafts of the proposals which were circulated to member firms in a joint notice dated August 17, 1979. The following is a summary of those comments that are relevant to the proposed rule changes in their present form.

Recommendation I.A.2.e. (Revisions to Customer Account Statements)

The proposals involving changes in customer account statements generated by far the greatest volume of member comment. However, most of the comments were directed toward the items which are not included in the proposal as filed (e.g., disclosure regarding total commissions and aggregate account profit or loss). Most commentators opposed including information on the account statement that is already stated on confirmations.

Members also criticized the mark-to-the-market requirement on the grounds that current prices may be unavailable in certain instances and, in any event, will be out of date at the time the statement is received. Small- and medium-sized firms criticized the cost involved with putting the proposed account statement requirements into effect.

Recommendation I.A.2.e. (Headquarters Review of Accounts)

The requirement that each principal supervisory office be in a position to make certain determinations with respect to options customer's accounts was generally supported in members' comments. Several commentators indicated that the proposal is consistent with existing review programs. However, certain commentators criticized its cost and sought more detailed standards for the inquiries required by the provision.

III. Date of Effectiveness of Proposed Rule Change and Timing for Commission Action

On or before April 25, 1980, or within such longer period (if) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the Association consents, the Commission will:

(A) By order approve such proposed rule changes, or
(B) Institute proceedings to determine whether the proposed rule changes should be disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 500 North Capitol Street, Washington, DC 20549.

Copies of the submission, all subsequent amendments all written statements with respect to the proposed rule changes that are filed with the Commission, and of all written communications relating to the proposed rule changes between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. Section 522, will be available for inspection and copying in the Commission's Public Reference Section, 1200 L Street, N.W., Washington, DC. Copies of such filings will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization.

All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority:

George A. Fitzsimmons, Secretary.
March 17, 1980.

Exhibit A

Article III, Section 33, Appendix E

Sections 1 through 15—No change.

Section 16—Statements of Account (Recommendation I.A.2.e.)

Statements of account showing security and money positions [and], entries, interest charges and any special charges that have been assessed against such account during the period covered by the statement shall be sent no less frequently than once every month to each customer in whose account there has been an entry during the preceding month with respect to an option contract and quarterly to all customers having an open option position or money balance. Interest charges and any special charges assessed during the period covered by the statement need not be specifically delineated if they are otherwise accounted for in the statement and have been itemized on transaction confirmations. With respect to options customers having a general (margin) account, such statements shall also provide the mark-to-market price and market value of each option position and other security position in the general (margin) account, the total market value of all positions in the account, the outstanding debit or credit balance in the account, and the general (margin) account equity. The statements shall bear a legend stating that further information with respect to commissions and other charges related to the execution of option transactions has been included in confirmations of such transactions previously furnished to the customer, and that such information will be made available to the customer promptly upon request.

The statements shall also bear a legend requesting the customer promptly to advise the member of any material change in the customer's investment objectives or financial situation.

For purposes of this Section, general (margin) account equity shall be computed by subtracting the total of the "short" security values and any debit balance from the total of the "long" security values and any credit balance.

Section 17—Opening of Accounts

Subsections (a) through (d)—No change.

Interpretation of the Board of Governors

.01 In fulfilling their obligations pursuant to subsection (b) of this Section, with respect to options customers who are natural persons, members shall seek to obtain the following information at a minimum (Information shall be obtained for all participants in a joint account):

1. Investment objectives (e.g., safety of principal, income, growth, trading profits, speculation);
2. Employment status (name of employer, self-employed or retired);
3. Estimated annual income from all sources;
4. Estimated net worth (exclusive of family residence);
5. Estimated liquid net worth (cash, securities);
6. Marital status; number of dependents;
7. Age; and,
Section 19—Discretionary Accounts (Recommendations I.A.2.c. and d.)

(a) Authorization and Approval—No member and no person associated with a member shall exercise any discretionary power with respect to trading in option contracts in a customer’s account, or accept orders for option contracts for an account from a person other than the customer, except in compliance with the provisions of Section 15 of the Rules of Fair Practice and unless:

1. The written authorization of the customer required by Section 15 shall specifically authorize options trading in the account; and
2. The account shall have been accepted in writing by a Registered Options Principal. The remainder of this Section is unchanged.

Section 20—No change.

Section 21—Supervision of Accounts (Recommendation I.A.2.e.)

(a) Duty to Supervise: Senior Registered Options Principal—Every member shall develop and implement a written program providing for the diligent supervision of all its customer accounts, and all orders in such accounts, to the extent such accounts and orders relate to options contracts, by a general partner (in the case of a partnership) or officer (in the case of a corporation) of the member who is a Registered Options Principal and who has been specifically identified to the Corporation as the member’s Senior Registered Options Principal. A Senior Registered Options Principal, in meeting his responsibilities for supervision of customer accounts and orders, may delegate to qualified employees (including other Registered Options Principals) responsibility and authority for supervision of accounts and control over such employees.

Section 22 through 24—No change.

Article I, Section 2(d), Schedule C

VIII—Disciplinary Actions (Recommendation I.A.1.h.)

Every member shall promptly notify the Corporation in writing of any disciplinary action, including the basis therefor, taken by any national securities exchange or association, clearing corporation, commodity futures market or government regulatory body against the member or its associated persons, and shall similarly notify the Corporation of any disciplinary action taken by the member itself against any of its associated persons involving suspension, termination, the withholding of commissions or imposition of fines in excess of $2,500, or any other significant limitation on activities.

Uniform Practice Code

Section 63—No change.

Effectiveness Timetable

NASD Rule and Number of Days Following SEC Approval

1. Appendix E

Section 16—180 days.
Section 17—30 days (60 days in the case of subsequent verification of suitability information required under Section 17(e))
Section 18(a)—60 days.
Section 18(b)—90 days.
Section 19—60 days.
Section 20—30 days.
Section 21—90 days.

1 On a case-by-case basis, where circumstances warrant, members may be granted an additional period of time to comply with such rule changes. Under no circumstances, however, will the additional time granted for compliance with any rule exceed a period of six months beyond its proposed effective date.

2 Within 60 days of the effectiveness of this Section, members shall be required to provide to existing options accounts a notice informing such accounts that they may request and receive a copy of all background and financial information currently on file with the member in order to review and update such information as deemed appropriate. (For purposes of this Section, an existing account is one which is qualified to purchase or write options without additional ROP approval).
New York Stock Exchange, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act"); 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29 (June 4, 1975), notice is hereby given that on March 11, 1980 the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change as follows:

NYSE's Statement of the Terms of Substance of the Proposed Rule Change

The proposed rule change would implement operational procedures which the Exchange proposes to employ in connection with a new automated system to be known as the Opening Automated Report Service (OARS). The text of the proposed rule change will be initially embodied within a circular to Exchange members during the pilot program for OARS. It is expected that, as the pilot progresses, amendments to existing rules of the Exchange will be developed and filed with the Commission for its approval. The operational characteristics of OARS are described under "Purpose of Proposed Rule Change", below.

NYSE's Statement of Basis and Purpose

The basis and purpose of the proposed amendment are as follows:

Purpose of Proposed Rule Change

The purpose of the proposed rule change is to facilitate the implementation and operation of OARS.

On Friday, March 14, 1980, the Exchange will begin a pilot phase program for OARS in ten (10) stocks.

OARS is a new automated system specifically designed to facilitate more efficient and more accurate reporting of certain orders received by the Exchange prior to the opening of trading in an individual stock.

The Exchange believes OARS will provide three distinct benefits to the member firm community and the NYSE Floor:

1. Effective automation of several clerical tasks at the trading post for the critical period just prior to the opening of trading in a stock.
2. Immediate dissemination of execution reports on eligible orders upon the opening of trading.
3. Substantial reduction of potential "Questioned Trade (QT)" problems on eligible pre-opening orders.

When a stock is assigned to OARS, the new system will apply to normal openings, delayed openings and re-openings in that stock.

Any member firm already using the Exchange's Designated Order Turnaround (DOT) system for the stocks assigned to OARS will participate in this pilot, since 100 to 299 share pre-opening market orders transmitted to the Exchange through the Common Message Switch will automatically be directed to OARS.

The pilot is designed to provide for the testing of OARS' five basic functions under actual trading conditions. These five basic functions are:

1. OARS will store—but not deliver to the trading post—individual preopening market orders of 100 to 299 shares.
2. OARS will continuously tabulate these orders; matching buy and sell interest and calculating any remaining imbalance.
3. OARS will inform the specialist of the tabulated buy/sell interest and imbalance; both at predetermined intervals and upon request.
4. OARS will electronically receive the opening price in a stock via a single marked-sense card from the specialist; at which point 100-299 share market orders transmitted to the Exchange through CMS will no longer be directed to OARS, but will be directed to the DOT printers on the Floor as usual.
5. OARS will automatically—upon receipt of the opening price—disburse machine-generated reports to member firms for each stored order in that stock.

Machine-generated execution reports are expected to reduce the incidence of erroneous and omitted reports. Furthermore, new universal contra party clearing names—OPN and OPC—will be used.

These universal contra party clearing names will be used as follows:

1. Execution reports sent to Exchange member firms by OARS will provide "OPN" as the contra-side of the trade.
2. The OARS log will indicate each order transmitted by, and each "OPN" report issued to, a member firm. Thus, if a firm that is on the log as a contra party to an OPN trade fails to submit the trade for comparison, or submits it incorrectly, the information on the log will be relied on to prepare a final contract sheet on T+2 (i.e., the second business day following the trade date).
3. If the specialist pairs off orders he is holding against a buy/sell imbalance in OARS, the members with whom the orders with the specialist will receive "OPC" from the specialist as the contra-side of the trade.

4. During the day, the specialist will provide SIAC (the Securities Industry Automation Corporation) with the names of the clearing members that traded with "OPG".

5. If a clearing name that the specialist supplied to SIAC as the contra-side of an "OPG" trade does not submit the trade for comparison by T+2, the name of the specialist will be substituted automatically on T+4 as the contra-side of the trade.

Such a case, the specialist should pursue the matter with the clearing firm that he knows as the contra-side of the trade.

NYSE's Statement of Basis Under the Act for Proposed Rule Change

Arranging the opening transaction in an Exchange listed stock is often the most difficult and sensitive process of the entire trading day, involving as it often does the matching of many pre-opening buy and sell orders and the need to absorb or supply any imbalance in either they buy or sell side that may exist. The Opening Automated Report Service is expected to introduce important new systems efficiencies in this process which will not only materially assist the specialist in arranging the opening transaction itself but will result in fewer "questioned trades" at the opening and will cause the comparison and settlement process to be conducted in a more efficient and accurate manner. OARS should better enable the Exchange to carry out the purposes of the Securities Exchange Act of 1934 (the "Act") which include the maintenance of fair and orderly markets, the fostering of cooperation and coordination with persons engaged in regulating, clearing, settling and processing information with respect to transactions in securities and facilitating transactions in securities (see Sections 6(b) and 11A(a) of the Act). OARS will also introduce new data processing and communications techniques which should result in a more efficient and effective market operation (see Section 11A(a)(1)(B) of the Act). Finally, OARS is consistent with and should advance the purpose of the Act set forth in Section 17A(a)(4), including the prompt and accurate clearance and settlement of securities transactions and the introduction of more efficient procedures for the clearance and settlement operation.

NYSE's Statement of Comments Received From Members, Participants or Others on Proposed Rule Change

The Exchange has not solicited comment on this proposed change and it has not received any.
NYSE's Statement of Burden on Competition

The Exchange does not perceive any burden on competition that will be imposed by OARS.

The foregoing rule change has become effective, pursuant to Section 19(b)(3) of the Securities Exchange Act of 1934. At any time within sixty days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Securities Exchange Act of 1934.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 21, 1980.

By the Commission.

George A. Fitasimmons,
Secretary.
March 13, 1980.

[FR Doc. 80-8033 Filed 3-20-80; 8:45 am]
BILLING CODE 8010-01-M

[Release No. 34-16558; File No. SR-PSE-70-14]

Pacific Stock Exchange Inc., Self-Regulatory Organizations; Proposed Rule Change


Exchange's Statement of the Terms of Substance of the Proposed Rule Change

Rule XX; DISCIPLINARY PROCEEDINGS, Disciplinary Jurisdiction

Sec. 1(a). A member, member organization or a person associated with such member or member organization who is alleged to have violated or aided and abetted a violation of any provision of the Securities Exchange Act of 1934, as amended, the rules and regulations promulgated thereunder, or any provision of the Exchange's Constitution or Rules or any commentary thereof or resolution of the Board of Governors of the Exchange regulating the conduct of business on the Exchange, or policy or procedure of the Exchange shall be subject to the disciplinary jurisdiction of the Exchange under this Rule, and, after notice and opportunity for a hearing, may be appropriately disciplined by expulsion, suspension, limitation of activities, functions, and operations, suspension or bar from association with a member, or member organization, fine, censure or any other fitting sanction, in accordance with the provisions of this Rule. A member organization, may be charged with any violation committed by its employees or a member or other person who is associated with such member organization, as though such violation were its own.

Sec. 1(b). Any member, member organization, or person associated with a member shall continue to be subject to the disciplinary jurisdiction of the Exchange following such person's termination of membership or association with a member with respect to matters that occurred prior to such termination; provided that written notice of the commencement of an inquiry into such matters is given by the Exchange to such former member, member organization or associated person within one year of receipt by the Exchange of written notice of the termination of such person's status as a member, member organization, or person associated with a member.

Investigations

Sec. 2. The Exchange shall investigate possible violations within the disciplinary jurisdiction of the Exchange upon order of the Board of Governors, the Executive Committee, or the Floor Trading Committee or upon receipt of a complaint alleging such violations filed by a member or by any other person. All such complaints should specify in reasonable detail the facts constituting the violation, including the specific statutes,Exchange Constitutional provisions, Rules, commentaries, resolutions, policies or procedures allegedly violated. No member or person associated with a member shall impede or delay an Exchange investigation with respect to possible violations within the disciplinary jurisdiction of the Exchange nor refuse to furnish testimony, documentary materials or such information requested by the Exchange during the course of its investigation.

A member or person associated with a member is entitled to be represented by counsel during any Exchange investigation.

Complaints and Answers

Sec. 3. Whenever it shall appear to the Board of Governors, the Executive Committee or any standing committee designated by the Board of Governors to review disciplinary proceedings that there is probable cause for finding a violation within the disciplinary jurisdiction of the Exchange and that further proceedings are warranted, the Exchange ("the Complainant") shall initiate a disciplinary action by preparing a statement of charges ("the Complaint") against the person or organization alleged to have committed a violation ("the Respondent") specifying the acts in which the Respondent is charged to have engaged in or which the Respondent is alleged to have omitted and setting forth the specific provisions of the Securities Exchange Act of 1934, as amended, rules and regulations promulgated thereunder, Exchange Constitutional provisions, Rules, commentaries, resolutions, policies or procedures, of which such acts or omissions are in violation. A copy of the charges shall be served upon the Respondent.

The Respondent shall have fifteen business days after service of the charges to file a written answer thereto. The answer shall specifically admit or deny each allegation contained in the charges, and the Respondent shall be deemed to have admitted any allegation not specifically denied. The answer may also contain any defense which the Respondent wishes to submit and may be accompanied by documents in support of his answer or defense. In the event the Respondent fails to file an answer, the charges shall be considered to be admitted.

The time period to file any answer may be extended for such further periods as may be granted by the Exchange, if such request for extension of the filing period is received by the Exchange within five business days
prior to the date on which the answer is due.

Hearing

Sec. 4. Upon Respondent's filing an answer, the Respondent may request a hearing. An appropriate Committee of the Exchange ("the Hearing Committee") shall appoint one or more members to hear the matter ("the Panel"). Parties shall be given at least 15 days notice of the time and place of the hearing and a statement of the matters to be considered therein.

At the hearing, both the Complainant and the Respondent shall be entitled to be heard in person and to present any relevant matter. Any witnesses, testimony or evidence offered by the Complainant or the Respondent shall be subject to cross-examination by the other party. The Panel shall determine all questions concerning the admissibility of evidence and shall otherwise regulate the conduct of the hearing. Formal rules of evidence shall not apply. The charges shall be presented by one or more representatives of the Exchange, who along with Respondent and any other party, may present evidence and produce witnesses who shall testify under oath and are subject to being questioned by the Panel and other parties. The Respondent and intervening parties are entitled to be represented by counsel who may participate fully in the hearing. A transcript of the hearing shall be made and shall become part of the record.

Any person not otherwise a party may intervene as a party to the hearing upon demonstrating to the satisfaction of the Panel that he has an interest in the subject of the hearing and that the disposition of the matter, may, as a practical matter, impair or impede his ability to protect that interest. Also, the Panel may in its discretion permit a person to intervene as a party to the hearing when the person's claim or defense and the main action have questions of law or fact in common. Any person wishing to intervene as a party to a hearing shall file with the Panel a notice requesting the right to intervene, stating the grounds therefor, and setting forth the claim or defense for which intervention is sought. The Panel, in exercising its discretion concerning intervention shall take into consideration whether the intervention will unduly delay or prejudice the adjudication of the rights of the original parties.

Except in writing, with copies to the other parties, neither the Complainant, the Respondent, nor any interested party may discuss with the Panel any matter concerning the facts or allegations in the complaint unless the other parties to the action are given sufficient notice and an opportunity to be heard.

Summary Proceedings

Sec. 5. Notwithstanding the provision of Section 4 of this Rule, the Hearing Committee may make a determination without a hearing and may impose a penalty as to such charges which the Respondent has admitted or has failed to answer or which otherwise do not appear to be in dispute. Notice of such summary determination, specifying the violations and penalty, shall be served upon the Respondent, who shall have 10 days from the date of service of such notice to notify the Hearing Committee that he desires a hearing upon all or a portion of any such charges or upon the penalty. Failure to so notify the Hearing Committee shall constitute admission of the violations and acceptance of the penalty as determined by such Committee and a waiver of all rights of review. If the Respondent requests a hearing, the matters which are the subject of the hearing shall be handled as if the summary determination had not been made.

Offers of Settlement

Sec. 6(a). At any time prior to decision by the Panel the Respondent may submit to the Hearing Committee a written offer of settlement which shall contain a proposed stipulation of facts and shall consent to a specified penalty. Where the Hearing Committee accepts an offer of settlement it shall issue a decision, including findings and conclusions and impose a penalty, consistent with the terms of such offer. Where the Hearing Committee rejects an offer of settlement, it shall notify the Respondent and the matter shall proceed as if such offer had not been made, and the offer and all documents relating thereto shall not become part of the record. A decision of the Hearing Committee issued upon acceptance of an offer of settlement as well as the determination of the Committee whether to accept or reject such an offer shall be final, and the Respondent may not seek review thereof.

Sec. 6(b). If there is more than one Respondent in a proceeding and one or more of said Respondents submits an offer of settlement, the Hearing Committee may accept or reject such offers from any one or all of the Respondents submitting such offers. The proceedings shall be dismissed as to those Respondents whose offers have been accepted, and the proceedings shall continue as scheduled for the remaining Respondents.

Decision

Sec. 7. Within thirty days after the date of a hearing conducted pursuant to Section 4 of this Rule, the Panel shall prepare a decision in writing determining whether the Respondent has committed a violation and imposing the penalty, if any, therefor. The decision shall include a statement specifying the acts or practices in which the Respondent has been found to have engaged, or which the Respondent has been found to have omitted, and setting forth the specific provisions of the Securities Exchange Act of 1934, as amended, rules and regulations promulgated thereunder, the Exchange Constitution, the Rules of the Board of Governors, commentaries, resolutions, policies or procedures which the act or omission to act are deemed to violate. The Respondent shall be promptly sent a copy of the decision. The determination of the Panel and any penalty imposed shall become final fifteen days after notifying the Respondent; provided, however, that if a request for review of such determination and penalty, or both, is filed as hereinafter provided, the penalty shall be stayed pending outcome of such review.

Review

Sec. 8(a). Either the Complainant or the Respondent may request a review of the decision by petitioning the Board of Governors for such review within 15 days after service of notice of a decision made pursuant to Section 7 of this Rule. Such petition shall be in writing and shall specify the findings and conclusions to which exceptions are taken together with reasons for such exceptions. Any objections to a decision not specified by written exception shall be considered to have been abandoned.

Sec. 8(b). The Board of Governors may appoint one or more Appeals Committees to conduct reviews of disciplinary proceedings. The Board of Governors may delegate authority to the Executive Committee to make such appointments or may decide to conduct review proceedings on its own. An Appeals Committee may be composed of persons who are members of the Board of Governors or of persons who are not members of the Board of Governors, or partly of persons who are members of the Board of Governors and partly of persons who are not members.
of the Board of Governors. The Executive Committee shall determine the size of any Appeals Committee appointed by it, and an Appeals Committee may be composed of only one member. The body conducting the review, whether the Board of Governors itself or an Appeals Committee, is referred to herein as "the Review Board". Unless the Review Board shall decide to open the record for the introduction of new evidence or to hear argument, such review shall be based solely upon the record and the written exceptions filed by the parties. Based upon such review, the Review Board may affirm, reverse or modify in whole or in part, the decision of the Panel. Such modification may include an increase or decrease of the sanction.

The decision of the Review Board shall be in writing and, except as set forth in Section 8(c) below, shall be final.

Each Review Board member shall be required to disclose to the Board of Governors any interests which might preclude such Review Board member from rendering an objective and impartial determination. Prior to the commencement of the first hearing session, the Board of Governors may remove a Review Board member who discloses such information. The Board of Governors shall also inform the parties of any information disclosed pursuant to this section, if the Review Board member who disclosed the information is not removed.

In the event that any Review Board member, after the commencement of the Review, but prior to the rendition of the decision should become disqualified, resign, die, refuse or be unable to perform or to continue to perform the duties of the Review Board, the Board of Governors, upon such proof as they deem satisfactory, shall either (a) appoint a new member to the Review Board to replace such member; or (b) direct that the Review proceed without the substitution of a new member.

Sec. 8(c). Notwithstanding anything else contained in this Rule, the Board of Governors may, on its own initiative, order review of a decision made pursuant to Section 5 or 7 of this Rule within 30 days after notice of the decision has been served on the Respondent. If such review is held, it shall be conducted in accordance with the procedure set forth in Section 8(b) of this Rule as if the Board of Governors had conducted the initial review, except that the Board does not include the decision of the Appeals Committee and any exceptions filed by the parties to such decision. If the Board of Governors does not order review of a decision of an Appeals Committee within the period specified in this paragraph, the decision of the Appeals Committee shall become final.

Nothing contained in this Rule affects any right which a Respondent may have to seek review of an Exchange decision by the Securities and Exchange Commission.

Judgment and Penalty
Sec. 8(a). A member, member organization or a person associated with such member or member organization shall be subject to appropriate discipline by the Exchange for violations under this Rule including expulsion, suspension, limitation of activities, functions and operations, suspension or bar from association with a member, or member organization, fine, censure, or any other fitting sanction.

Sec. 8(b). Penalties imposed under this Rule shall not become effective until the Exchange review process is completed or the decision otherwise becomes final.

Sec. 8(c). Notwithstanding anything contained in this Rule to the contrary, the Exchange may impose such conditions and/or restrictions on the activities of the Respondent as the Exchange deems reasonably necessary for the protection of investors and of the Exchange.

Miscellaneous Provisions
Sec. 10(a). Any charges, notices or other documents may be served upon the Respondent either personally or by leaving the same at his place of business or by deposit in the United States Post Office, postage prepaid via registered or certified mail addressed to the Respondent at his address as it appears on the books and records of the Exchange.

Sec. 10(b). Any time limits imposed under this Rule for the submission of answers, petitions or other materials may be extended only by prior written approval of the Exchange.

Commentaries:

01 The Board of Governors may designate any Standing or Special Committee of the Exchange as the Hearing Committee in any given proceeding or type of proceeding.

02 The procedures set forth in Sections 4 and 8(a)–8(d) of this Rule shall not apply in cases where Floor Citations are issued for violations of Rules, policies or procedures adopted by the Exchange and the fine or fines imposed are five hundred dollars ($500.00) or less. (Reference is made to Rule VI, Section 59 and 84 and to Equity Floor Procedure Advice 1–A for review procedures which apply to Floor Citations.)

The basis and purpose of the foregoing proposed rule change is as follows:

The purpose of the adoption of Rule XX is to provide for a uniform and cohesive rule which applies to all disciplinary proceedings at the PSE applicable to members, member organizations, allied members and other associated persons.

The proposed rule will enable the PSE to carry out its responsibilities as a national securities exchange more effectively by providing a written procedure whereby the PSE can enforce compliance by its members and persons associated with its members, with the Securities Exchange Act of 1934, the rules and regulations thereunder, and the rules, policies, and procedures of the PSE. This rule has been adopted to further promote just and equitable principles of trade. The proposed rule relates, specifically, to Sections 6(b)(1) and 6(b)(5) of the Securities Exchange Act of 1934.

Comments have neither been solicited nor received from members, participants or others on the proposed rule change.

The proposed rule change imposes no burden on competition, and it is proposed to become effective on or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(A) By order approve such proposed rule change; or

(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing.

Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities & Exchange Commission, Washington, D.C. 20549.

Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room,
SMALL BUSINESS ADMINISTRATION

[Proposed License No. 07/07-0083]

A. G. Capital Corp.; Application for a License to Operate as a Small Business Investment Company

Notice is hereby given that an application has been filed with the Small Business Administration pursuant to Section 107.102 of the Regulations governing small business investment companies (13 C.F.R. Section 107.102 (1979)), under the name of A. G. Capital Corporation, 701 S.S. Shurfine Drive, Ankeny, Iowa 50021, for a license to operate as a small business investment company (SBIC) under the provisions of the Small Business Investment Act of 1958, as amended (the Act), (15 U.S.C. 661 et seq.), and the Rules and Regulations promulgated thereunder.

The proposed officers, directors and sole shareholder of the Applicant are as follows:

Robert W. Metje, president, director, 4005 Pommel Place, West Des Moines, Iowa 50265
Herbert J. Schares, vice president, director, 1155 8th Street, Jesup, Iowa 50648
Keith E. Jarman, secretary, treasurer, director, 718 N.W. Greenwood, Ankeny, Iowa 50021
Associated Grocers of Iowa Cooperative, Inc., sole shareholder.

There will be one class stock authorized: one hundred thousand shares of common stock. Initially only 50,500 shares will be issued with a resultant private capital of $505,000. Applicant proposes to conduct its operations principally in the State of Iowa.

Applicant intends to provide financing mostly to independent retail grocery stores in Iowa, southern Minnesota, and northern Missouri.

Matters involved in SBA’s consideration of the application include the general business reputation and character of shareholders and management, and the probability of successful operation of the new company in accordance with the Act and Regulations.

Notice is further given that any person may, not later than (fifteen days from the date of publication of this notice), submit to SBA, in writing, comments on the proposed licensing of this company. Any such communications should be addressed to: Associate Administrator for Finance and Investment, Small Business Administration, 1441 “L” Street, NW., Washington, D.C. 20418.

A copy of this notice shall be published by the Applicant in a newspaper of general circulation in Ankeny, Iowa.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies)

Dated: March 14, 1980.

Peter F. McNielz,
Deputy Associate Administrator for Finance and Investment.

BILLING CODE 8010-01-M

[Declaration of Disaster Loan Area No. 1804; Amendment No. 1]

Arizona; Declaration of Disaster Loan Area

The above-numbered Declaration (See 45 F.R. 13243) is amended in accordance with the President’s declaration of February 19, 1980, to Include Mohave County and Fort Apache Indian Reservation and San Carlos Apache Indian Reservation in the State of Arizona. The Small Business Administration will accept applications for disaster relief loans from disaster victims in the above named county and the above named Indian Reservations within the State of Arizona. All other information remains the same, i.e., the termination dates for filing applications for physical damage is close of business on April 21, 1980, and for economic injury until the close of business on November 19, 1980.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008)

Dated: March 17, 1980.

A. Vernon Weaver,
Administrator.

BILLING CODE 8025-01-M

[Proposed License No. 02/02-5363]

Capital Investors & Management Corp.; Issuance of a License to Operate as a Small Business Investment Company

On October 12, 1979, a notice was published in the Federal Register (44 FR 59032), stating that Capital Investors & Management Corporation, located at 3 Pell Street, Suite 2, New York, New York 10013, has filed an application with the Small Business Administration pursuant to 13 CFR 107.102 (1979), for a license to operate as a small business investment company under the provisions of Section 301(d) of the Small Business Investment Act of 1958, as amended.

The period for comment expired on October 27, 1979, and no significant comments were received.

Notice is hereby given that having considered the application and other pertinent information, SBA has issued License No. 02/02-5363 to Capital Investors & Management Corporation.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies)

Dated: March 14, 1980

Peter F. McNielz,
Deputy Associate Administrator for Finance and Investment.

BILLING CODE 8025-01-M

[Proposed License No. 06/06-5232]

Diversified Investments, Inc.; Application for a License to Operate as a Section 301(d) Licensee

Notice is hereby given that an application has been filed with the Small Business Administration pursuant to § 107.102 of the Regulations governing Section 301(d) Licensees (13 CFR 107.102 (1979)), under the name of Diversified Investments, Inc., Suite 104, 1801 Staring Lane, Baton Rouge, Louisiana 70810, for a license to operate as a Section 301(d) Licensee under the provisions of the Small Business Investment Act of 1958, as amended (the Act), (15 U.S.C. 661 et seq.), and the Rules and Regulations promulgated thereunder.

The proposed officers, directors and shareholders of the Applicant are as follows:

Willie Charles Edwards, D.C., president, director (10 percent), 1801 Staring Lane, Baton Rouge, Louisiana 70810.
Carl Ronald Stewart, vice president, director (3 percent), 12571 Sherbrook Avenue, Baton Rouge, Louisiana 70815.
Ralph Delano Beal, secretary, director (1.5 percent), 3367 Charlotte Drive, Baton Rouge, Louisiana 70814.
Dated: March 14, 1980.
Peter F. McNeish,
Deputy Associate Administrator for Finance and Investment.

[FR Doc. 80-767 Filed 3-20-80; 8:45 am]
BILLING CODE 6225-01-M

[Declaration of Disaster Loan Area No. 1806]

Pennsylvania; Declaration of Disaster Loan Area

The area of 4th and Pine Street in the City of Williamsport, Lycoming County, Pennsylvania, constitutes a disaster area because of damage resulting from a fire which occurred on January 12, 1980. Eligible persons, firms and organizations may file applications for loans for physical damage until the close of business on May 16, 1980, and for economic injury until the close of business on December 17, 1980, at: Small Business Administration, District Office, East Lobby—Suite 400, One Bala Cynwyd, Plaza, 231 St. Asaphs Road, Bala Cynwyd, Pennsylvania 19004 or other locally announced locations.

(Catalog of Federal Domestic Assistance Program Nos. 59000.)

Dated: March 17, 1980.
A. Vernon Weaver,
Administration.

[FR Doc. 80-771 Filed 3-20-80; 8:45 am]
BILLING CODE 6225-01-M

[Declaration of Disaster Loan Area No. 1808]

Washington; Declaration of Disaster Loan Area

Clark County and adjacent counties within the State of Washington constitute a disaster area as a result of damage caused by heavy snowfall, blizzard conditions and followed by heavy rainfall which occurred on January 11-12, 1980. Eligible persons, firms and organizations may file applications for loans for physical damage until the close of business on May 16, 1980, and for economic injury until the close of business on December 17, 1980, at: Small Business Administration, District Office, Federal Building—Room 676, 1220 S.W. Third Avenue, Portland, Oregon 97204 or other locally announced locations.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008.)

Dated: March 17, 1980.
A. Vernon Weaver,
Administrator.

[FR Doc. 80-772 Filed 3-20-80; 8:45 am]
BILLING CODE 6225-01-M

DEPARTMENT OF THE TREASURY

Bureau of Alcohol, Tobacco and Firearms

[Notice No. 80-2; Reference: ATF O 1100.122]

Delegation Order—Delegation by the Director of Certain Authorities Relating to the Right to Financial Privacy Act of 1978

Delegation Order

1. Purpose. This order delegates certain authorities now vested in the Director by Treasury Department Directive TD 90-22.A to Regulatory Enforcement and administrative personnel.

2. Scope. This directive applies to the Office of Regulatory Enforcement and the Office of Administration.

3. Background. The Right to Financial Privacy Act of 1978, 12 U.S.C. 3401-3422, Title XI of the Financial Institutions Regulatory and Interest Rate Control Act of 1978, Public Law No. 95-639, 92 Stat. 3641, impose new requirements on Government access to financial information from financial institutions and other Government agencies. At present, all functions under the Act are being performed by the Director. This order allows certain functions to be performed by those officials responsible for related actions.

4. Delegations. Under the authority vested in the Director by Treasury Department Directive TD 90-22.A, dated March 28, 1979, the authority to take final action on the following matters is delegated to:

a. Regional Regulatory Administrators. The following acts should be carried out with the advice of regional counsel.

(1) To apply to the appropriate U.S. attorney who may petition in the United States district court for delay in notice to the customer and to issue post-notification to the customer that there has been a court-ordered delay under 12 U.S.C. 3409.

(2) To certify that delay in obtaining access to customer financial records as required by the special procedures provision in 12 U.S.C. 3414(b) would create imminent danger of (a) physical injury to any person, (b) serious property damage, or (c) flight to avoid prosecution, so that governmental access to customer account records may lawfully be provided by the financial institution.

(3) To issue administrative summonses, otherwise within the regional regulatory administrator's authority, and proper notice to the customer under 12 U.S.C. 3405.
OFFICE OF THE U.S. TRADE REPRESENTATIVE

Trade Policy Staff Committee

International Copper Market Stabilization Issues; Hearing

Date: April 11, 1980.
Place: Room 730, 1800 G Street N.W., Washington, D.C. 20500.
Time: 9:00 am-12:00 noon; 1:30 pm-4:30 pm.
Contact: Hiram Lawrence—(202) 205-7203.

Subject: The effect of Copper Price Stabilization Measures on U.S. Consumers and Industry.

Since 1976, the United States has participated in a series of international discussions on a price stabilization arrangement for copper conducted at Geneva, Switzerland, under the auspices of the United Nations. Proposals have been made by some national delegations that a conference be convened to negotiate an international agreement which would seek to stabilize the price of copper between agreed floor and ceiling prices. Some delegations present at the discussions have advocated price stabilization by means of a buffer stock alone, while others favor a buffer stock in conjunction with controls on production or exports. Other delegations have indicated that they do not believe a copper price stabilization agreement is desirable.

In order to assist in formulating the position of the United States Government on these issues, the Trade Policy Staff Committee will hold a hearing to obtain views and comments from the U.S. public, particularly consumers and industry, on the desirability and feasibility of international price stabilization measures for copper.

Any member of the public may file a written statement in 20 copies with the Office of the United States Trade Representative in accordance with Chapter 20, section 2003.2 of Title 15 of the Code of Federal Regulations. To the extent that time permits, the Hearing Chairman will allow public presentation of oral statements at the hearing, summarizing the points made in the written submission mentioned above. Those wishing to present an oral summary of their statement should submit a written request along with their statement no later than April 4, 1980, to Carolyn Frank, Secretary, Trade Policy Staff Committee, Room 735, 1800 G Street, N.W., Washington, D.C. 20500.

Ann Hughes,
Acting Chairman, Trade Policy Staff Committee.
effective January 1, 1980 (93 Stat. 151). Pakistan has assumed obligations with respect to the United States which are substantially equivalent to obligations under the Agreement and will be considered a "country under the Agreement" in accordance with the provisions of that section.

2. With respect to the Agreement on Implementation of Article VI of the General Agreement on Tariffs and Trade, Brazil was previously determined to have accepted the Agreement with respect to the United States (45 FR 1181). However, Brazil's acceptance provided that it would be effective only after certain conditions were fulfilled. Because those conditions have not yet been fulfilled, the determination that Brazil has accepted the Agreement is hereby revoked.

3. With respect to the Agreement on technical Barriers to Trade, the following countries have also accepted the Agreement with respect to the United States and should not otherwise be denied the benefits of the Agreement:

Denmark, except with respect to the Faroe Islands
Norway

4. With respect to the Agreement on import Licensing Procedures, the following countries have also accepted the Agreement with respect to the United States and should not otherwise be denied the benefits of the Agreement:

Brazil
Norway

5. With respect to the International Dairy Arrangement, the following countries have also accepted the Agreement and should not otherwise be denied the benefits of the Agreement:

Denmark, except with respect to the Faroe Islands
Norway
South Africa

6. With respect to the Agreement on Bovine Meat, the following countries have also accepted the Agreement and should not otherwise be denied the benefits of the Agreement:

Australia
Brazil

Reubin O'D. Askew,
Assistant United States Trade Representative.
March 17, 1980.

[FR Doc. 79-8086 Filed 3-20-80; 8:45 am]
BILLING CODE 3190-01-M

VETERANS ADMINISTRATION

National Memorial Cemetery of the Pacific; Availability of Revised Draft Environmental Impact Statement

Notice is hereby given that a document entitled "Revised Draft Environmental Impact Statement for the National Memorial Cemetery of the Pacific, Honolulu, Hawaii," dated March 1980, has been prepared as required by the National Environmental Policy Act of 1969.

The preferred course of action is to develop the existing National Cemetery as conceptually delineated in a revised master plan study. Elements of this revised conceptual master plan include: an administrative/visitor center; garden crypt; columbarium facilities; expanded service facility; and site improvements at the Punchbowl Overlook. There are several additional minor projects, but the above listing is the most significant.

The Veterans Administration is issuing this revised draft Environmental Impact Statement in response to comments received on the original draft EIS dated July 1979. As a result of

filament yarn and polyamid (nylon) carpet yarns into the United Kingdom. Quotas of 9,053 tons on polyester filament yarn and 7,500 tons on polyamid carpet yarn were set to apply to imports from certain third countries during 1980. Exports from the United States into the United Kingdom that have traditionally accounted for a significant portion of such imports, will be subject to those quotas.

The United States has begun consultations with the European Communities as provided for under the General Agreement on Tariffs and Trade (GATT). These consultations are aimed at resolving differences and restoring the balance of concessions at the highest possible level. The United States requested that the European Communities reduce import duties on certain products of interest to the United States in order to maintain the balance of trade concessions. These consultations continue. If an appropriate resolution of the issues involved in the consultations is not forthcoming, the United States is authorized under the GATT to suspend, with respect to United Kingdom or European Community trade, substantially equivalent concessions or other obligations under the GATT the suspension of which the Contracting Parties to the GATT do not disapprove.

Under Section 125 of the Trade Act of 1974 (19 U.S.C. 2125) the President is authorized whenever any foreign country or instrumentality withdraws, suspends, or modifies the application of trade agreement obligations of benefit to the United States without granting adequate compensation, to withdraw, suspend or modify the application of substantially equivalent trade agreement obligations of benefit to such foreign country or instrumentality and proclaim such increased duties or import restrictions as are appropriate to effect adequate compensation from such foreign country or instrumentality. Before taking any such action to restore the balance of obligations the President is required to provide for public hearings at which time interested persons will be given a reasonable opportunity to be present, to produce evidence and to be heard, unless he determines that prior hearings will be contrary to the national interest because of the need for expeditious action.

The United States Trade Representative will conduct public hearings in accordance with Section 125 of the Trade Act of 1974 beginning at 10:00 a.m. on Thursday, April 3, 1980 in room 730, 1800 G Street, N.W., Washington, D.C. Actions which are under consideration to restore the balance of concessions and obligations should adequate compensation not be forthcoming and should such withdrawal be determined to be appropriate and necessary will be available from Mr. Peter Murphy, Office of the USTR, Room 712, 1800 G Street, N.W., Washington, D.C. 20508.

Interested persons are invited to submit views on the actions under consideration and other possible actions, bearing in mind that to the maximum extent feasible it is desired to consider actions that would restore the balance of concessions between the United Kingdom/European Communities and the United States within the sector affected by the subject quotas.

The hearings will be conducted in accordance with regulations codified at 15 CFR 2003.2-2003.6. Written briefs in 20 copies must be submitted no later than 12:00 noon, Tuesday, April 1, 1980 to: Carolyn Frank, Office of the United States Trade Representative, Room 735, 1800 G Street, N.W., Washington, D.C. 20508. Written requests to present oral testimony at the hearings must be submitted by or before the close of business Friday, March 28, 1980. Oral testimony will be limited to a summary of the written submission.

John E. Ray,
Assistant United States Trade Representative.

[FR Doc. 80-6805 Filed 3-20-80; 8:45 am]
BILLING CODE 3190-01-M

Public Hearings on Synthetic Fiber Quotas

On February 19, 1980 the European Communities gave notice that it was imposing quotas on imports of polyester filament yarn and polyamid (nylon) carpet yarns into the United Kingdom. Quotas of 9,053 tons on polyester filament yarn and 7,500 tons on polyamid carpet yarn were set to apply to imports from certain third countries during 1980. Exports from the United States into the United Kingdom that have traditionally accounted for a significant portion of such imports, will be subject to those quotas.

The United States has begun consultations with the European Communities as provided for under the General Agreement on Tariffs and Trade (GATT). These consultations are aimed at resolving differences and restoring the balance of concessions at the highest possible level. The United States requested that the European Communities reduce import duties on certain products of interest to the United States in order to maintain the balance of trade concessions. These consultations continue. If an appropriate resolution of the issues involved in the consultations is not forthcoming, the United States is authorized under the GATT to suspend, with respect to United Kingdom or European Community trade, substantially equivalent concessions or other obligations under the GATT the suspension of which the Contracting Parties to the GATT do not disapprove.

Under Section 125 of the Trade Act of 1974 (19 U.S.C. 2125) the President is authorized whenever any foreign country or instrumentality withdraws, suspends, or modifies the application of trade agreement obligations of benefit to the United States without granting adequate compensation, to withdraw, suspend or modify the application of substantially equivalent trade agreement obligations of benefit to such foreign country or instrumentality and proclaim such increased duties or import restrictions as are appropriate to effect adequate compensation from such foreign country or instrumentality. Before taking any such action to restore the balance of obligations the President is required to provide for public hearings at which time interested persons will be given a reasonable opportunity to be present, to produce evidence and to be heard, unless he determines that prior hearings will be contrary to the national interest because of the need for expeditious action.

The United States Trade Representative will conduct public hearings in accordance with Section 125 of the Trade Act of 1974 beginning at 10:00 a.m. on Thursday, April 3, 1980 in room 730, 1800 G Street, N.W., Washington, D.C. Actions which are under consideration to restore the balance of concessions and obligations should adequate compensation not be forthcoming and should such withdrawal be determined to be appropriate and necessary will be available from Mr. Peter Murphy, Office of the USTR, Room 712, 1800 G Street, N.W., Washington, D.C. 20508.

Interested persons are invited to submit views on the actions under consideration and other possible actions, bearing in mind that to the maximum extent feasible it is desired to consider actions that would restore the balance of concessions between the United Kingdom/European Communities and the United States within the sector affected by the subject quotas.

The hearings will be conducted in accordance with regulations codified at 15 CFR 2003.2-2003.6. Written briefs in 20 copies must be submitted no later than 12:00 noon, Tuesday, April 1, 1980 to: Carolyn Frank, Office of the United States Trade Representative, Room 735, 1800 G Street, N.W., Washington, D.C. 20508. Written requests to present oral testimony at the hearings must be submitted by or before the close of business Friday, March 28, 1980. Oral testimony will be limited to a summary of the written submission.

John E. Ray,
Assistant United States Trade Representative.

[FR Doc. 80-6805 Filed 3-20-80; 8:45 am]
BILLING CODE 3190-01-M

VETERANS ADMINISTRATION

National Memorial Cemetery of the Pacific; Availability of Revised Draft Environmental Impact Statement

Notice is hereby given that a document entitled "Revised Draft Environmental Impact Statement for the National Memorial Cemetery of the Pacific, Honolulu, Hawaii," dated March 1980, has been prepared as required by the National Environmental Policy Act of 1969.

The preferred course of action is to develop the existing National Cemetery as conceptually delineated in a revised master plan study. Elements of this revised conceptual master plan include: an administrative/visitor center; garden crypt; columbarium facilities; expanded service facility; and site improvements at the Punchbowl Overlook. There are several additional minor projects, but the above listing is the most significant.

The Veterans Administration is issuing this revised draft Environmental Impact Statement in response to comments received on the original draft EIS dated July 1979. As a result of
serious public concern about the parking facility, this element of the master plan was deleted. The revised master plan also eliminates the mini-bus/train element.

The revised Draft Statement discussed the potential environmental impact of the revised master plan for the existing National Cemetery as identified above and the impact of the alternatives to this action. The document is being placed for public examination in the Veterans Administration office in Washington, D.C. Persons wishing to examine this report or to request a copy can do so at the following office: Mr. Willard Sitter, P.E., Director, Office of Environmental Affairs (004A), Room 1018, Veterans Administration, 810 Vermont Avenue, N.W., Washington, D.C. 20420.

Dated: March 14, 1980.

By direction of the Administrator.

Maury S. Cralle, Jr.,
Assistant Deputy Administrator for Financial Management and Construction.

BILLING CODE 8020-16-M

---

Veterans Administration Medical Center, Bath, N.Y., 208-Bed

Domiciliary; Proposed Action

The Veterans Administration proposed to construct a 208-bed domiciliary at the Veterans Administration Medical Center (VAMC), Bath, New York. The domiciliary will consist of two, two-story bedroom units and a one-story support unit with a total net square footage of 93,362.

The VA has determined that this project is a critical action as defined by E.O. 11988. As such, it should be outside the 500 year floodplain. However, the 500 year floodplain has not been established at the project location. The VA has consulted with the Corps of Engineers, Baltimore District and the Federal Emergency Management Agency, Regional Director for Federal Insurance and Hazard Mitigation in determining the known limits of the 500 year floodplain and determining the probable flood hazard and impact of the proposed project on the floodplain. Available information indicates that there is a possibility that the project site is near the limit of the 500 year floodplain. However, if the project does fall within the floodplain, it is estimated that it will not adversely affect the floodplain. As part of the floodplain management program of the facility, consideration has been given to protection of life and property during major floods. The potential for flooding will be considered in the design of the building. The proposed project is construction of the new domiciliary between the existing domiciliary (building 26) which is to be demolished, and Gettysburg Avenue. It is planned to have a first floor elevation of 1127 feet above mean sea level. Functionally, the building must be connected to the adjacent Recreation and Theater building no. 92 and the other existing domiciliary building no. 34. Since other locations are functionally impractical, it was decided to site the building in the proposed location and consider flood hazard mitigation in the design.

In view of the above mentioned planning actions and the remote location of the project from the river channel (400 + feet), it is the conclusion of the VA that there will be no significant increase in the elevation of flood waters due to this project.

The VA is soliciting comments from state and local levels. The comment period will be open until April 21, 1980.

This Notice of Proposed Action is in compliance with the announcement requirements of Executive Order 11988, Floodplain Management Guidelines (February 1978).

Comments on this action should be addressed to:
Mr. William A. Salmon, Acting Assistant Administrator for Construction (08), Veterans Administration, 810 Vermont Avenue, N.W., Washington, D.C. 20420.

Dated: March 11, 1980.

By direction of the Administrator.

Maury S. Cralle, Jr.,
Assistant Deputy Administrator for Financial Management and Construction.

BILLING CODE 8020-16-M

---

Medical Research Service Merit Review Boards; Meetings

The Veterans Administration gives notice pursuant to Public Law 92-463 of meetings of the following Merit Review Boards:

<table>
<thead>
<tr>
<th>Merit review board</th>
<th>Date</th>
<th>Time</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neurobiology</td>
<td>Apr. 3, 1980</td>
<td>7 p.m. to 11 p.m.</td>
<td>Presidential Room, Holiday Inn 1</td>
</tr>
<tr>
<td>Do.</td>
<td>Apr. 4, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Alcoholism and drug dependence</td>
<td>Apr. 8, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Behavioral sciences</td>
<td>Apr. 9, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Do.</td>
<td>Apr. 10, 1980</td>
<td>do</td>
<td>Do.</td>
</tr>
<tr>
<td>Surgery</td>
<td>Apr. 20, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>International Hotel, New Orleans</td>
</tr>
<tr>
<td>Do.</td>
<td>Apr. 22, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Basic sciences</td>
<td>Apr. 24, 1980</td>
<td>7 p.m. to 11 p.m.</td>
<td>Clinton Room, Holiday Inn</td>
</tr>
<tr>
<td>Do.</td>
<td>Apr. 25, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Do.</td>
<td>Apr. 26, 1980</td>
<td>7 p.m. to 11 p.m.</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Immunology</td>
<td>Apr. 30, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Do.</td>
<td>May 1, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Do.</td>
<td>May 2, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Oncology</td>
<td>May 2, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Cardiology</td>
<td>May 5, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Do.</td>
<td>May 8, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Endocrinology</td>
<td>May 9, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Hematology</td>
<td>May 10, 1980</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
<tr>
<td>Infectious diseases</td>
<td>May 15, 1980</td>
<td>12 noon to 7 p.m.</td>
<td>Room E-30, Shoreham Hotel</td>
</tr>
<tr>
<td>Cardiovascular studies</td>
<td>May 13, 1980</td>
<td>8 a.m. to 5 p.m.</td>
<td>Room A-35, VA Central Office</td>
</tr>
<tr>
<td>Respiratory</td>
<td>do</td>
<td>do</td>
<td>Room A-53, VA Central Office</td>
</tr>
</tbody>
</table>

1 Holiday Inn, Rhode Island Avenue NW, Washington, DC 20005.
2 VA Central Office, 810 Vermont Avenue NW, Washington, DC 20420.
3 International Hotel, 500 Canal Street, New Orleans, LA 70130.
4 Shoreham Hotel, 2500 Calvert Street NW, Washington, DC 20008.

These meetings will be for the purpose of evaluating scientific merit of research conducted in each specialty by Veterans Administration investigators working in Veterans Administration hospitals and clinics.

The meetings will be open to the public up to the seating capacity of the rooms at the start of each meeting to discuss the general status of the program. All of the Merit Review Board meetings will be closed to the public after approximately one-half hour from the start, for the review, discussion and evaluation of initial, and renewal research projects.

The closed portion of the meetings involve: discussion, examination, reference to, and oral review of site visits, staff and consultant critiques of research protocols, and similar documents. During this portion of the meeting, discussion and recommendations will deal with qualifications of personnel conducting the studies, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy, as well as research information, the premature disclosure of which would be likely to significantly frustrate implementation of proposed agency action regarding such research projects. Closure of these meetings is in accordance with subsection 10(d) of Public Law 92-463, as amended by Public Law 94-409, and
subsections 552b(c)(6) and (9)(B) of title 5, United States Code.

Because of the limited seating capacity of the rooms, those who plan to attend should contact Mr. Howard M. Berman, Acting Chief, Program Development and Review Division, Medical Research Service, Veterans Administration, Washington, DC, (202-389-5065) at least five days prior to each meeting. Minutes of the meeting and rosters of the members of the Boards may be obtained from this source.

Dated: March 12, 1980.

By direction of the Administrator.

Rufus H. Wilson,
Deputy Administrator.
Sunshine Act Meetings

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94-409) 5 U.S.C. 552b(e)(5).

Contents

Civil Aeronautics Board ........................................ 1-6
Commodity Credit Corporation ................................ 7
Federal Election Commission ................................. 8
Federal Energy Regulatory Commission .................. 9
Federal Home Loan Bank Board ......................... 10
Federal Maritime Commission .......................... 11
Federal Reserve System ................................. 12
Federal Trade Commission .............................. 13
National Credit Union Administration ............. 14
Nuclear Regulatory Commission .................... 15, 16
Securities and Exchange Commission .......... 17

1

[5-8-80 Filed 3-18-80; 2:32 p.m.]

BILLING CODE 6320-01-M

2

[S-274; Amdt. 2, Apr. 18, 1980]

CIVIL AERONAUTICS BOARD.

Closure and addition of item to the March 20, 1980, meeting agenda.

TIME AND DATE: March 20, 1980.

PLACE: Room 1027 Open), Room 1012 (Closed), 125 Connecticut Avenue, NW., Washington, D.C. 20428.

SUBJECT: Consultations with Ireland scheduled to begin March 25, 1980 in Washington.

STATUS: Open (items 1-24), Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, [202] 673-5068.

SUPPLEMENTARY INFORMATION: There are upcoming consultations with Ireland and in order to formulate a coordinated U.S. Government position, the Board Members have voted that agency business requires that the Board meet on this item on less than seven days' notice because staff work was not completed at this time and that no earlier announcement of the meeting was possible:

Chairman, Marvin S. Cohen
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

This memo concerns strategy and positions that have or may be taken by the United States in negotiations with Ireland. Public disclosures, particularly to foreign governments, of opinions, evaluations, and strategies relating to the issues could seriously compromise the ability of the United States to achieve agreements which would be in the best interest of the United States. Accordingly, the following Members have voted that the meeting on this subject would involve matters the premature disclosure of which would be likely to significantly frustrate implementation of proposed agency action within the meaning of the exemption provided under 5 U.S.C. 552b(c)(9)(B) and 14 CFR Section 3106.5(f)(2) and that any meeting on this item should be closed:

Chairman, Marvin S. Cohen
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

Persons Expected To Attend

Board Members—Chairman, Marvin S. Cohen; Member, Elizabeth E. Bailey; and Member, George A. Dalley.

Assistant to Board Members—Mr. David Kirstein, Ms. Vance Pert, and Mr. Stephen H. Lachter.

Managing Director—Mr. Cressworth Lander.

Executive Assistant to the Managing Director—Mr. John R. Haneock.

Bureau of International Aviation—Mr. Daniel M. Kasper, Mr. Douglas V. Leister, Mr. Joseph R. Chesen, Mr. Francis Murphy, Mr. Daniel Hedges, Mr. Herbert P. Aswall, and Mr. Keith Shangraw.

Office of the General Counsel—Ms. Mary Schuman and Mr. Michael Schopf.

Bureau of Domestic Aviation—Mr. Mark S. Kahn.

Office of Economic Analysis—Mr. Robert H. Frank and Mr. David Sibley.

Bureau of Consumer Protection—Mr. John T. Golden and Ms. Patricia J. Kennedy.

General Counsel Certification

I certify that this meeting may be closed to the public under 5 U.S.C. 552b(c)(9)(B) and 14 CFR Section 3106.5(f)(2) and that the meeting may be closed to public observation:

Mary Schuman, General Counsel

[S-552-80 Filed 3-19-80; 2:32 p.m.]

BILLING CODE 6320-01-M

3

[S-274; Amdt. 3, Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

Deletion of item from the March 20, 1980, meeting agenda.

TIME AND DATE: 1 p.m., March 20, 1980.

PLACE: Room 1027 [Open], Room 1012 [Closed], 125 Connecticut Avenue NW., Washington, D.C. 20428.

SUBJECT: 18. Docket 23080-2 and 37294, Priority and Nonpriority Domestic Service Mail Rates Investigation. [BDA]

STATUS: Open (items 1-24). Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, [202] 673-5068.

SUPPLEMENTARY INFORMATION: Item 18 is being deleted in order to provide the Board members adequate time to consider the issues involved in this matter. Accordingly, the following Members have voted that Item 18 be deleted from the March 20, 1980 agenda and that no earlier announcement of this deletion was possible:

Chairman, Marvin S. Cohen

[5-8-80 Filed 3-18-80; 2:32 p.m.]

BILLING CODE 6320-01-M
4

[M-274; Amdt. 4, Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

Deletion of item from the March 20, 1980, meeting agenda.

TIME AND DATE: 1 p.m., March 20, 1980.

PLACE: Room 1027 (Open), Room 1012 (Closed), 1825 Connecticut Avenue NW, Washington, D.C. 20428.

SUBJECT: 22. Docket 36916, Application of Redcoat Air Cargo Limited for Foreign charter air carrier permit to carry cargo between the United States and the United Kingdom. (BIA, OGC, BALJ)

STATUS: Open (items 1–24). Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673–5068.

SUPPLEMENTARY INFORMATION: Item 22 is being deleted because of the necessity for additional review by the staff. Changes are required due to recent diplomatic negotiations with the United Kingdom. Accordingly, the following Members have voted that Item 22 be deleted from the March 20, 1980, agenda and that no earlier announcement of this deletion was possible:

Chairman Marvin S. Cohen,
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

[5-569-80 Filed 3-19-80: 2:52 pm] BILLCODE 6320-01-M

5

[M-274; Amdt. 5, Mar. 19, 1980]

CIVIL AERONAUTICS BOARD.

Change of time* for the March 20, 1980, meeting.

TIME AND DATE: 12:30 p.m., March 20, 1980.

PLACE: Room 1027 (Open), Room 1012 (Closed), 1825 Connecticut Avenue NW, Washington, D.C. 20428.


STATUS: Open (items 1–24). Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673–5068.

SUPPLEMENTARY INFORMATION: *Closed. Item (item 25) will be taken up first instead of last.

[5-569-80 Filed 3-19-80: 2:52 pm] BILLCODE 6320-01-M

6

[M-275; Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

TIME AND DATE: 9:30 a.m., March 25, 1980.

PLACE: Room 1027, 1825 Connecticut Avenue, N.W., Washington, D.C. 20428.

SUBJECT:

1. Ratification of Items adopted by notation.

2. Dockets 37336 and 37337, Applications of Texas International Airlines and Dockets 37455 and 37458, Continental Air Lines for certificate and exemption authority on certain San Antonio-Mexico routes. (BIA, OGC, BALJ)

3. Dockets 36473 and 38175, Excess baggage charges filed by Qantas Airways, Ltd., for passenger baggage moving between San Francisco and Honolulu on international flights (BIA, OGC, BALJ)

4. Docket 32660, IATA agreements readopting a piece-related baggage system for use between the U.S./Canada and South America and a weight related system for use in other world areas except to/from U.S. territories. (Memo #9546, BIA)


December 18, 1979, suspending its Boston-Milan normal economy fare increases. (Memo #9300-B, BIA)

6. Transatlantic fare increases proposed by Aerline and British Airways. (BIA, OGC)


February 1, 1980, concerning fare increases. (Memo #6730, BIA, OGC)

8. Merger applications. (OGC)


10. Docket 32747, Application of Air North, Inc. (OGC)


12. Dockets 35006 and 36204, Essential Air Service at Clearedfield/Philipsburg, PA. (Memo #9105-A, BIA, OCCR, OGC, BDA)


15. Docket 37716, Scheduled Skyways’ notice of intent to suspend service at Harrison, Arkansas. (BDA)


17. Dockets 32060–2 and 37294, Priority and Nonpriority Domestic Service Rate Investigation. (Memo No. 7873–1, BDA)

18. Dockets 37732 and 37734, Notice of Intent of Air New Mexico, Inc., to suspend air service and joint fares at Alamogordo and Silver City, New Mexico. (BDA)

STATUS: Open.

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673–5068.

7

COMMODITY CREDIT CORPORATION.

TIME AND DATE: 10 a.m., March 28, 1980.

PLACE: Room 218–A, Administration Building, U.S. Department of Agriculture.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Minutes of CCC board meeting on December 21, 1979.


5. Docket CZ 261a, Revision 4, Amendment 2, re: Policies for collection, settlement, and adjustment of certain claims by or against Commodity Credit Corporation.


[5-572-80 Filed 3-19-80: 2:55 pm] BILLCODE 6319-05-M

8

FEDERAL ELECTION COMMISSION.

"FEDERAL REGISTER" NO. 505.

PREVIOUSLY ANNOUNCED DATE AND TIME: Tuesday, March 16, 1980, at 10 a.m.

CHANGE IN MEETING: The Executive Session scheduled for the above date was cancelled due to a power failure throughout the building in which the meeting was to be held.

The meeting is rescheduled for Thursday, March 20, 1980 following the open meeting of that date.

PERSON TO CONTACT FOR INFORMATION: Mr. Fred Elkan, Public Information Officer, Telephone: 202–523–4065.

Marjorie W. Emmons, Secretary to the Commission.

[5-579-80 Filed 3-19-80: 2:55 pm] BILLCODE 6715-01-M

9

FEDERAL ENERGY REGULATORY COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: 45 FR 17111, March 17, 1980.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 10 a.m., March 19, 1980.

CHANGE IN THE MEETING: The following item has been added:
FEDERAL HOME LOAN BANK BOARD.

TIME AND DATE: 9:30 a.m., March 26, 1980.
PLACE: 1700 G Street NW., Sixth Floor, Washington, D.C.

STATUS: Open meeting.


MATTERS TO BE CONSIDERED:

Application for Branch Office.—Auburn Federal Savings and Loan Association, Auburn, Ind.

Application for Branch Office.—First Federal Savings and Loan Association of Broward County, Fort Lauderdale, Fla.

Application for Branch Office.—First Federal Savings and Loan Association of Anderson, Anderson, S.C.


Concurrent Consideration Branch Office Applications.—Glendale Federal Savings and Loan Association, Glendale, California AND First Federal Savings and Loan Association of Santa Monica, Santa Monica, Calif.

Extension of Time to Open a Branch Office.—Ohio County Federal Savings and Loan Association, Hartford, Ky.

Merger.—The Akron Savings and Loan Company, Akron, Ohio AND The United Savings Association, Cleveland, Ohio WITH The United Savings Association, Cleveland, Ohio.

Merger.—First Farmington Savings and Loan Association, Farmington, Michigan INTO Detroit and Northern Savings and Loan Association, Hancock, Mich.

Office Building Investment Application.—Southern Federal Savings and Loan Association of Thomas County, Thomasville, Ga.

Bank Membership and Insurance of Accounts Applications.—Esccondido Saving and Loan Association, Escondido, Calif.

Request for Commitment to Insure Accounts.—First Fidelity Savings and Loan Association, Winter Park, Fla.

Request for Commitment to Insure Accounts.—South Florida Savings and Loan Association, Unincorporated Dade County, Fla.

Request for Waiver of Regulation.—Investment Savings and Loan Association, Northridge, Calif.

PROPOSED DELEGATIONS OF AUTHORITY TO THE PRINCIPAL SUPERVISING AGENTS AND TO THE SUPERVISING AGENTS FOR THE APPROVAL OF CERTAIN APPLICATIONS.

FEDERAL MARITIME COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: March 14, 1980, 45 FR 16664.

PREVIOUSLY ANNOUNCED TIME AND DATE OF THE MEETING: 10 a.m., March 20, 1980.

CHANGE IN THE MEETING:

Addition of the following item to open session:

11.

FEDERAL MARITIME COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: March 14, 1980, 45 FR 16664.

PREVIOUSLY ANNOUNCED TIME AND DATE OF THE MEETING: 10 a.m., March 20, 1980.

CHANGE IN THE MEETING:

Addition of the following item to open session:


FEDERAL RESERVE SYSTEM.

TIME AND DATE: 10:30 a.m., Wednesday, March 28, 1980.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Proposed policy statement concerning financial factors in the formation of one-bank holding companies. (Proposed earlier for public comment; Docket No. R-0205)

2. Any agenda items carried forward from a previously announced meeting.

Note.—This meeting will be recorded for those unable to attend.

Cassettes will be available for listening in the Board's Freedom of Information Office, and copies may be ordered for $5 per cassette by calling (202) 452-3604 or by writing to: Freedom of Information Office, Board of Governors of the Federal Reserve System, Washington, D.C. 20551.

CONTACT PERSON FOR MORE INFORMATION: Mr. Joseph R. Coyne, Assistant to the Board; (202) 452-3204.

Dated: March 18, 1980.

Griffith L. Garwood,
Deputy Secretary of the Board.

FEDERAL TRADE COMMISSION.

TIME AND DATE: 2 p.m., Thursday, March 27, 1980.
PLACE: Room 532, (open); Room 540 (closed) Federal Trade Commission Building, 6th Street and Pennsylvania Avenue NW., Washington, D.C. 20580.

STATUS: Parts of this meeting will be open to the public. The rest of the meeting will be closed to the Public.

MATTERS TO BE CONSIDERED: Portions Open to Public:

1. Oral Argument in Sears, Roebuck & Co., Docket 9104. Portions closed to the Public:

2. Executive Session to discuss Oral Argument in Sears, Roebuck & Co., Docket 9104.

CONTACT PERSON FOR MORE INFORMATION: Ira J. Furman, Office of Public Information, (202) 523-3806; Record Message, (202) 523-3806.

14.

NATIONAL CREDIT UNION ADMINISTRATION.

TIME AND DATE: 9:30 a.m., Wednesday, March 28, 1980.
PLACE: 1776 G Street NW., Washington, D.C., 7th Floor, Board Room.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Review of Central Liquidity Facility Lending Rates.

2. Request for extension of time to divest bank stock.


4. Applications for charters, amendments to charters, bylaw amendments, mergers as may be pending at that time.

RECESS: 10:15 a.m.

TIME AND DATE: 10:30 a.m., Wednesday, March 28, 1980.
PLACE: 1776 G Street NW., Washington, D.C., 7th Floor, Board Room.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. State Credit Union Applications. Closed pursuant to exemptions (8) and (9)(A)(ii).

2. Requests from federally insured credit unions for special assistance under Section 206 of the Federal Credit Union Act. Closed pursuant to exemption (8) and (9)(A)(ii).

3. NCUA participation in civil litigation. Closed pursuant to exemption 10.

CONTACT PERSON FOR MORE INFORMATION: Beatrix Fields, Acting Secretary of the Board, telephone (202) 357-1030.

NUCLEAR REGULATORY COMMISSION.

TIME AND DATE: Week of March 17, 1980 (revised).
PLACE: Commissioners' Conference Room, 1717 H Street NW., Washington, D.C.
TIME AND DATE:

Walter Magee,

CONTRACT PERSON FOR MORE:

Discussion of Management Organization

Inventory Differences and the.

ADDITIONAL INFORMATION:
The

meeting, as announced.

Thursday, March 20, 1:30 p.m.

1. Briefing on Work Plans of the Office of Analysis and Evaluation of Operating Data—(approximately 1 hour—public meeting, as announced).

2. Meeting with Citizen's Group on TMI Cleanup (approximately 1 hour—public meeting, as announced).

ADDITIONAL INFORMATION: The Briefing on Two Approaches to the Treatment of Inventory Differences and the Discussion of Management Organization were postponed.


Dated: March 18, 1980.

Roger M. Tweed,

Office of the Secretary.

BILLING CODE 7599–01–M

17

SECURITIES AND EXCHANGE COMMISSION.

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Pub. L. 94–409, that the Securities and Exchange Commission will hold the following meetings during the week of March 24, 1980, in Room 825, 500 North Capitol Street, Washington, D.C.

Closed meetings will be held on Tuesday, March 25, 1980, at 10 a.m. and on Wednesday, March 26, 1980, immediately following the 10 a.m. open meeting. An open meeting will be held on Wednesday, March 26, 1980, at 10 a.m.

The Commissioners, their legal assistants, the Secretary of the Commission, and recording secretaries will attend the closed meetings. Certain staff members who are responsible for the calendared matters may be present.

The General Counsel of the Commission, on his own motion, has certified that, in his opinion, the items to be considered at the closed meetings may be considered pursuant to one or more of the exemptions set forth in 5 U.S.C. 552(b)(c)(6)(9)(A) and (10) and 17 CFR 200.402[a](4)[8][9][I] and (10).

Chairman Williams and Commissioners Loomis, Evans, and Pollack determined to hold the aforesaid meetings in closed session.

The subject matter of the closed meeting scheduled for Tuesday, March 25, 1980, at 10 a.m., will be:

Formal orders of investigation.

Order compelling testimony.

Settlement of injunctive actions.

Settlement of administrative proceedings of an enforcement nature.

Litigation matter.

Institution of administrative proceedings of an enforcement nature.

Institution of injunctive actions.

Subpoena enforcement action.

Administrative proceeding of an enforcement nature.

The subject matter of the closed meeting scheduled for Wednesday, March 26, 1980, at 10 a.m., will be:

1. Consideration of whether to affirm action, taken by the Duty Officer, authorizing issuance of a release inviting additional comments on a proposed rule concerning requests for confidential treatment of records and extending the comment period to and including April 4, 1980. For further information, please contact Harlan W. Penn at [202] 272–2454.

2. Consideration of whether to affirm action, taken by the Duty Officer, approving the sending of a letter, regarding H.R. 3263, the "Regulation Reform Act of 1980," dated March 10, 1980, to the Subcommittee on Administrative Law and Governmental Relations of the House Committee on the Judiciary, expressing the Commission's concerns about a proposed amendment of the Administrative Act. For further information, please contact Alan Rosenblat at [202] 272–2428.

3. Consideration of whether to grant the request of Well, Gotshal & Manges for a waiver of imputed disqualification pursuant to 17 CFR 200.735–8(e). For further information, please contact Myrna Siegel at [202] 272–2430.

4. Consideration of whether to grant the request of Bracewell & Patterson for a waiver of imputed disqualification pursuant to 17 CFR 200.735–8(e). For further information, please contact Myrna Siegel at [202] 272–2430.

5. Consideration of whether to issue a release proposing amendments to various Form and Regulation aspects of SIPC that would require registrants subject to the reporting requirements of FASB Statement No. 33, "Financial Reporting and Changing Prices," to include the specified supplementary information in filings with the Commission, and to restore a safe harbor provision to disclosures of the effects of changing prices. For further information, please contact James L. Russell at [202] 272–2133 or Linda L. Griggs at [202] 272–2130.

6. Consideration of whether to terminate the options expansion moratorium in view of the responses to the Options Study recommendations by the self-regulatory organizations participating in the moratorium, and to announce the manner in which to permit expansion of the options markets if the moratorium is terminated. For further information, please contact Gene Carusick at [202] 272–2409.

7. Consideration of whether to support a legislative initiative to increase the dollar limitation of advanced SIPC funds for cash claims of customers in proceedings under the Securities Investor Protection Act, as amended. For further information, please contact C. Eston Singletary at [202] 272–2002.

At times changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact John Gronda at [202] 272–2091.

March 19, 1980.

BILLING CODE 8210–01–M
Part II

Department of Agriculture

Animal and Plant Health Inspection Service

Animal Welfare; List of Registered Exhibitors
DEPARTMENT OF AGRICULTURE

Animal and Plant Health Inspection Service

Animal Welfare; List of Registered Exhibitors

Pursuant to the provisions of the Animal Welfare Act (7 U.S.C. 2131 et seq.), and the regulations thereunder (9 CFR Part 2), notice is hereby given that the exhibitors listed below are registered under said Act:

(Sec. 3, 60 Stat. 351, as amended (7 U.S.C. 2133).)

Done at Washington, D.C., this 10th day of March 1980.

J. K. Atwell,
Acting Deputy Administrator, Veterinary Services.

BILLING CODE 3410-34-M
<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>9616</td>
<td>ALASKA CHILDREN'S ZOO</td>
<td>BOX 17395 STAR ROUTE 3001 MOUNTAINVIEW DR.</td>
<td>ANCHORAGE 99507</td>
<td></td>
</tr>
<tr>
<td>962E</td>
<td>CITY OF FAIRBANKS</td>
<td>3081 MOUNTAINVIEW DR. POB 740</td>
<td>ANCHORAGE 99707</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>711902</td>
<td>HALLIF'S</td>
<td>PO BOX 303</td>
<td>CLINTON 72031</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>93C101A</td>
<td>COUNTY OF LOS ANGELES</td>
<td>DEPT. OF PARKS &amp; RECREAT</td>
<td>LOS ANGELES 90015</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>8a1016</td>
<td>ANIMAL WORLD</td>
<td>333 6TH ST. 3RD AVE &amp; RIVER AV</td>
<td>PENNSKILL 12400</td>
<td></td>
</tr>
<tr>
<td>8a1014</td>
<td>JUDITH AL &amp; LE</td>
<td>5TH AV 2034</td>
<td>LONGBORO 40901</td>
<td></td>
</tr>
<tr>
<td>8a1012</td>
<td>LA CHAUPTER FRENCH RESTAURANT</td>
<td>5101 MOUNTAINVIEW DR. BOX 2217</td>
<td>PINNWOOD SPRINGS 85005</td>
<td></td>
</tr>
<tr>
<td>8a1012</td>
<td>LA FRANCE RESTAURANT, INC</td>
<td>5600 FALL RIVER RD.</td>
<td>MILLION 90235</td>
<td></td>
</tr>
<tr>
<td>8a1012</td>
<td>NATIONAL PARK VILLAGE</td>
<td>ESTES PARK 80517</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>958909</td>
<td>CAMP KULADHA</td>
<td>RT 2 BOX 20K</td>
<td>HIGH SPRINGS 32643</td>
<td></td>
</tr>
<tr>
<td>958910</td>
<td>MILLER, PROFESSIONAL R</td>
<td>2354 FRUITVILLE ROAD</td>
<td>SABINE 33577</td>
<td></td>
</tr>
<tr>
<td>958915</td>
<td>SARASOTA JUNGLE GARDENS</td>
<td>3701 BAYSHORE RD</td>
<td>SARASOTA 33578</td>
<td></td>
</tr>
<tr>
<td>958922</td>
<td>SPINNEL II VIL &amp; CRAFTS</td>
<td>6873 STERLING RD</td>
<td>MURPHYSB 33020</td>
<td></td>
</tr>
<tr>
<td>958933</td>
<td>SWAMPARTIUM</td>
<td>RT 5 BOX 10</td>
<td>CANTONMENT 32533</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>97FX900</td>
<td>CITY OF ATHENS</td>
<td>MEMORIAL PARK</td>
<td>ATHENS 30601</td>
<td></td>
</tr>
<tr>
<td>97FX00</td>
<td>KETCHAM, LF</td>
<td>RECREATION &amp; PARKS DEPT</td>
<td>WOODSTOCK 3018A</td>
<td></td>
</tr>
<tr>
<td>97FX900</td>
<td>WEEFENHEF SWAMP PACK</td>
<td>BOX 604 RT 3</td>
<td>WEEFENHEF 31501</td>
<td></td>
</tr>
</tbody>
</table>
### Illinois

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>531015</td>
<td>Forest Pres, Rustburg</td>
<td>Willowbrook Wildlife Haven</td>
<td>881 W St Charles Rd</td>
<td>Lombard 60148</td>
</tr>
<tr>
<td>531012</td>
<td>Illinois Department of Co Preservation</td>
<td></td>
<td>602 State Office Bldg.</td>
<td>Springfield 62706</td>
</tr>
<tr>
<td>531014</td>
<td>Social Dynamics, Inc.</td>
<td></td>
<td>2 S, 661 at 53</td>
<td>Glen Ellyn 60137</td>
</tr>
<tr>
<td>531010</td>
<td>Stone, LP</td>
<td></td>
<td>Box 349 Route 1</td>
<td>East Moline 61244</td>
</tr>
</tbody>
</table>

### Indiana

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>52F9</td>
<td>Transcontinental Circus</td>
<td></td>
<td>2228 W Edmonson</td>
<td>Indianapolis 46219</td>
</tr>
</tbody>
</table>

### Iowa

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>531008</td>
<td>Board of Park Commission</td>
<td>Fejermary Park Chions, ZOO</td>
<td>236 W Central Park Ave</td>
<td>Davenport 52103</td>
</tr>
<tr>
<td>531009</td>
<td>Buffalo Park Museum</td>
<td></td>
<td>310 Lovers Lane</td>
<td>Des Moines 52401</td>
</tr>
<tr>
<td>531002</td>
<td>City of Cedar Rapids</td>
<td></td>
<td>Reap Park Municipal ZOO</td>
<td>Fort Dodge 50501</td>
</tr>
<tr>
<td>531003</td>
<td>City of Fort Dodge</td>
<td></td>
<td>Park Dept. 1450 Pleasant Pk Ave</td>
<td>Mason City 50501</td>
</tr>
<tr>
<td>531000</td>
<td>City of Muscat City</td>
<td></td>
<td>E Ave Wildlife Exhibit Station</td>
<td>Boone 50036</td>
</tr>
<tr>
<td>531010</td>
<td>Iowa State Conservation Cm</td>
<td></td>
<td></td>
<td>Granger 50109</td>
</tr>
<tr>
<td>531003</td>
<td>Osborne Conservation Cm</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>531004</td>
<td>Polk County Conservation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>531005</td>
<td>Jester Park</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Kansas

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>5K1004</td>
<td>Garvin, C</td>
<td>Rpeek Bank Park</td>
<td>503 City Aloc Annex, 104 S Main</td>
<td>Wichita 67202</td>
</tr>
<tr>
<td>5K1007</td>
<td>Gardner Park Commission</td>
<td>Central Riverside Park Rd</td>
<td></td>
<td>St. Marys 66536</td>
</tr>
<tr>
<td>5K1000</td>
<td>City of St Marys</td>
<td></td>
<td></td>
<td>Doodle City 67001</td>
</tr>
<tr>
<td>5K1001</td>
<td>Dodge City Wright Park ZO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5K1005</td>
<td>Mankley, JF</td>
<td></td>
<td>Box 95A</td>
<td>Sedgwick 66538</td>
</tr>
<tr>
<td>5K1010</td>
<td>Old Artlfnf Town, Inc.</td>
<td></td>
<td></td>
<td>Abilene 67201</td>
</tr>
<tr>
<td>5K1008</td>
<td>Rudo's Minit ZOO</td>
<td></td>
<td></td>
<td>Leavenworth 66000A</td>
</tr>
<tr>
<td>5K1011</td>
<td>Stimpk, W K W</td>
<td></td>
<td></td>
<td>Arkansas City 67005</td>
</tr>
</tbody>
</table>

### Kentucky

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>6K123</td>
<td>Garvin, C</td>
<td>Rpeek Bank Park</td>
<td>Bernheim Forrest</td>
<td>Bowling Green 42101</td>
</tr>
<tr>
<td>6K14</td>
<td>Issac W, Bpronfim Foundat</td>
<td></td>
<td></td>
<td>Clermont 40110</td>
</tr>
<tr>
<td>6K175</td>
<td>Mamhoin Onyx CAVF</td>
<td></td>
<td></td>
<td>Horse Cave 42700</td>
</tr>
<tr>
<td>6K19</td>
<td>Morehead Staff University</td>
<td></td>
<td></td>
<td>Morehead 40351</td>
</tr>
<tr>
<td>6K193</td>
<td>Other Creek Park</td>
<td></td>
<td></td>
<td>Vinemarve 40175</td>
</tr>
</tbody>
</table>
LOUISIANA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>721004</td>
<td>ALFRED ROWAREL HIGH SCHOOL</td>
<td>SHAKE FARM</td>
<td>BRUN DR,</td>
<td>METAIRIE 70003</td>
</tr>
<tr>
<td>721003</td>
<td>ALFRED ROWAREL HIGH SCHOOL</td>
<td>SHAKE FARM</td>
<td>PO BOX 96,</td>
<td>LA PLACE 70068</td>
</tr>
</tbody>
</table>

MAINE

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>721003</td>
<td>MAINE DEPT INLAND FISH &amp; GAME</td>
<td>TRADE LEE'S VILLAGE</td>
<td>284 STAFF ST</td>
<td>ST AUGUSTA 04330</td>
</tr>
</tbody>
</table>

MARYLAND

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>721003</td>
<td>EASTOVER FARM</td>
<td>TRADE LEE'S VILLAGE</td>
<td>FAST STREET</td>
<td>LENOX 02449</td>
</tr>
</tbody>
</table>

MASSACHUSETTS

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>721003</td>
<td>EASTOVER FARM</td>
<td>TRADE LEE'S VILLAGE</td>
<td>FAST STREET</td>
<td>LENOX 02449</td>
</tr>
</tbody>
</table>

MICHIGAN

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>721003</td>
<td>EASTOVER FARM</td>
<td>TRADE LEE'S VILLAGE</td>
<td>FAST STREET</td>
<td>LENOX 02449</td>
</tr>
</tbody>
</table>

*Note: The table above is a continuation of the previous one, listing businesses in different states along with their addresses and zip codes.*
### Michigan

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>19013</td>
<td>INDUSTRIAL MUTUAL ASSN</td>
<td>N.A., CHILDREN'S FARM</td>
<td>901 E, SECOND ST</td>
<td>FLINT 48503</td>
</tr>
<tr>
<td>19048</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>103 E 35TH ST</td>
<td>IRON MOUNTAIN 49801</td>
</tr>
<tr>
<td>19049</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>7000 N. WESTNEDGE</td>
<td>KALAMAZOO 49007</td>
</tr>
<tr>
<td>19050</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>62000 GRATTIG AVE.</td>
<td>RICHMOND 48062</td>
</tr>
<tr>
<td>19060</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>394 CAMBRIA RD</td>
<td>MILLSDALE 49022</td>
</tr>
<tr>
<td>19070</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>706A MKIT RD</td>
<td>WESTLAND 48185</td>
</tr>
<tr>
<td>19080</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>BOX 76</td>
<td>MOUNTAIN 48042</td>
</tr>
<tr>
<td>19090</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>5677 SUGAR RIVER RD</td>
<td>FLINT 48505</td>
</tr>
<tr>
<td>19100</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>33175 ANN ARBOR TRAIL</td>
<td>CLARKIN 49024</td>
</tr>
<tr>
<td>19110</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>5626 W. ROSE CITY RD.</td>
<td>IRON MOUNTAIN 49801</td>
</tr>
<tr>
<td>19120</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>539 GOLF RD</td>
<td>LAPEER 48446</td>
</tr>
<tr>
<td>19130</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>3085 S. LAPEER RD.</td>
<td>BRANDON 49202</td>
</tr>
<tr>
<td>19140</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>PO BOX 215</td>
<td>CAMBRIA 56260</td>
</tr>
<tr>
<td>19150</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>539 GOLF RD</td>
<td>LAWRENCE 30401</td>
</tr>
<tr>
<td>19160</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>PO BOX 204</td>
<td>LAWRENCE 58226</td>
</tr>
<tr>
<td>19170</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>R 1 BOX 37</td>
<td>MARQUETTE 49855</td>
</tr>
<tr>
<td>19180</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>3046 SUTTON RD</td>
<td>DETROIT 48203</td>
</tr>
<tr>
<td>19190</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>2416 ALDRICH RD</td>
<td>SUTTON 49009</td>
</tr>
<tr>
<td>19200</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>625 LINKWOOD BCH RD.</td>
<td>PALM BAY 32907</td>
</tr>
<tr>
<td>19210</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>6795 NO HURON RD</td>
<td>PALM BAY 32907</td>
</tr>
<tr>
<td>19220</td>
<td>JOHNSON, WM</td>
<td>JOHNNY'S FISH &amp; GAME PARK</td>
<td>9550 DIXIE HWY.</td>
<td>PALM BAY 32907</td>
</tr>
</tbody>
</table>

### Minnesota

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>10100</td>
<td>ALEXANDRIA OPER PARK</td>
<td>MINWAY TRADING POST</td>
<td>RT 4 BOX 543</td>
<td>ALEXANDRIA 56308</td>
</tr>
<tr>
<td>10110</td>
<td>ANDREWS, AE</td>
<td>PAUL BUNYAN AMUSEMENT CENTER</td>
<td>202 E JACKSON</td>
<td>HACKENSACK 56052</td>
</tr>
<tr>
<td>10119</td>
<td>RAINER, REXX CORP.</td>
<td>PAUL BUNYAN AMUSEMENT CENTER</td>
<td>BOX 543</td>
<td>ROYALTON 40010</td>
</tr>
<tr>
<td>10125</td>
<td>CITY OF MANKATO</td>
<td>RAMSEY PARK ZOO</td>
<td>207 E FOURTH</td>
<td>MANKATO 56001</td>
</tr>
<tr>
<td>10130</td>
<td>CITY PARK BOARD</td>
<td>RAMSEY PARK ZOO</td>
<td>7210 FREMONT ST.</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10135</td>
<td>CITY PARK BOARD</td>
<td>RAMSEY PARK ZOO</td>
<td>BOX 66</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10140</td>
<td>CITY OF MANKATO</td>
<td>ANIMAL ACRF 200</td>
<td>122 N MAIN ST.</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10145</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>BOX 203</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10150</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>R 1 BOX 203</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10155</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>R 2 BOX 203</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10160</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>1421 3RD AVE S.E.</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10165</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>RR 4</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10170</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>OLCOTT PARK</td>
<td>ROCHESTER 55901</td>
</tr>
<tr>
<td>10175</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>ST PAUL 55103</td>
<td>ST PAUL 55103</td>
</tr>
<tr>
<td>10180</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>PRINCE 55105</td>
<td>PRINCE 55105</td>
</tr>
<tr>
<td>10185</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>VIRGINIA 55792</td>
<td>VIRGINIA 55792</td>
</tr>
<tr>
<td>10190</td>
<td>CITY PARK BOARD</td>
<td>ANIMAL ACRF 200</td>
<td>COMFREY 56019</td>
<td>COMFREY 56019</td>
</tr>
</tbody>
</table>

### Mississippi

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>503</td>
<td>MCWILLIAMS, FC</td>
<td>ROUTE 1, BOX 108</td>
<td>PICAYUNE 39466</td>
<td></td>
</tr>
<tr>
<td>LICENSE NUMBER</td>
<td>NAME</td>
<td>DOING BUSINESS AS</td>
<td>ADDRESS</td>
<td>CITY AND ZIP CODE</td>
</tr>
<tr>
<td>----------------</td>
<td>------</td>
<td>-------------------</td>
<td>---------</td>
<td>------------------</td>
</tr>
<tr>
<td>811004</td>
<td>SVELSTAD, NELS</td>
<td></td>
<td></td>
<td>WISE RIVER 5762</td>
</tr>
</tbody>
</table>

**MISSOURI**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>8377</td>
<td>GOLD NUGGET JUNCTION</td>
<td>JONE, JH</td>
<td>R 1</td>
<td>OSAGE BEACH 65065</td>
</tr>
<tr>
<td>8354</td>
<td>MAX ALLEN'S ZOOLOGICAL GARDENS</td>
<td>JONES</td>
<td>US 54 5</td>
<td>STRAFFORD 65752</td>
</tr>
<tr>
<td>8352</td>
<td>SALT ROCK DEER FARM</td>
<td>JONES</td>
<td>ROUTE 3</td>
<td>ELDOH 65026</td>
</tr>
<tr>
<td>8378</td>
<td>SILVER DOLLAR CITY</td>
<td>JONES</td>
<td>PO Drawer 300 FORTYTH ST 1</td>
<td>SILVER DOLLAR CITY 65610</td>
</tr>
<tr>
<td>8374</td>
<td>ST. LOUIS CO. PARKS DEPT.</td>
<td>JONES</td>
<td></td>
<td>ST. LOUIS 63105</td>
</tr>
<tr>
<td>8365</td>
<td>OZARK DEFENS</td>
<td>JONES</td>
<td>LOW FLY PARK</td>
<td>GERALD 63037</td>
</tr>
</tbody>
</table>

**MONTANA**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>811004</td>
<td>SVELSTAD, NELS</td>
<td></td>
<td></td>
<td>WISE RIVER 5762</td>
</tr>
</tbody>
</table>

**NEBRASKA**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>871001</td>
<td>MUNICIPAL ZOO</td>
<td></td>
<td>DEPT. OF PARKS &amp; RECREAT 1300 S, 27TH ST</td>
<td>LINCOLN 68502</td>
</tr>
<tr>
<td>871003</td>
<td>RYAN, KP</td>
<td></td>
<td></td>
<td>BLAIR 68020</td>
</tr>
</tbody>
</table>

**NEW MEXICO**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>8917</td>
<td>CITY OF CLOVIS</td>
<td>MILLERST &amp; ZOO</td>
<td>PO BOX 760</td>
<td>CLOVIS 88101</td>
</tr>
</tbody>
</table>

**NORTH CAROLINA**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>551012</td>
<td>&quot;CHARLOTTE NATURE MUSEUM&quot;, INC.</td>
<td>ENVIRONMENTAL PROJ. REC. &amp; RES. CTR.</td>
<td>1650 STEERLING RD, RT. 1, BOX 401</td>
<td>CHARLOTTE 28220</td>
</tr>
<tr>
<td>551016</td>
<td>GRANDFATHER MOUNTAIN, INC.</td>
<td>NATIONAL RECREATION SERVICE DIV. OF NATL. SERV., INC.</td>
<td>PO BOX 307</td>
<td>LINVILLE 28646</td>
</tr>
<tr>
<td>551018</td>
<td>NATIONAL RECREATION SERVICE DIV. OF NATL. SERV., INC.</td>
<td>NORTHERN CAROLINA MUSEUM OF LIFE &amp; SCIENCE</td>
<td>PO BOX 8177</td>
<td>SILVER SPRINGS 28664</td>
</tr>
</tbody>
</table>

* LICENSE NUMBER | NAME | DOING BUSINESS AS | ADDRESS | CITY AND ZIP CODE |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>551003</td>
<td>&quot;CHARLOTTE NATURE MUSEUM&quot;, INC.</td>
<td>ENVIRONMENTAL PROJ. REC. &amp; RES. CTR.</td>
<td>1650 STEERLING RD, RT. 1, BOX 401</td>
<td>CHARLOTTE 28220</td>
</tr>
<tr>
<td>551016</td>
<td>GRANDFATHER MOUNTAIN, INC.</td>
<td>NATIONAL RECREATION SERVICE DIV. OF NATL. SERV., INC.</td>
<td>PO BOX 307</td>
<td>LINVILLE 28646</td>
</tr>
<tr>
<td>551018</td>
<td>NATIONAL RECREATION SERVICE DIV. OF NATL. SERV., INC.</td>
<td>NORTHERN CAROLINA MUSEUM OF LIFE &amp; SCIENCE</td>
<td>PO BOX 8177</td>
<td>SILVER SPRINGS 28664</td>
</tr>
</tbody>
</table>

* LICENSE NUMBER | NAME | DOING BUSINESS AS | ADDRESS | CITY AND ZIP CODE |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>551003</td>
<td>&quot;CHARLOTTE NATURE MUSEUM&quot;, INC.</td>
<td>ENVIRONMENTAL PROJ. REC. &amp; RES. CTR.</td>
<td>1650 STEERLING RD, RT. 1, BOX 401</td>
<td>CHARLOTTE 28220</td>
</tr>
<tr>
<td>551016</td>
<td>GRANDFATHER MOUNTAIN, INC.</td>
<td>NATIONAL RECREATION SERVICE DIV. OF NATL. SERV., INC.</td>
<td>PO BOX 307</td>
<td>LINVILLE 28646</td>
</tr>
<tr>
<td>551018</td>
<td>NATIONAL RECREATION SERVICE DIV. OF NATL. SERV., INC.</td>
<td>NORTHERN CAROLINA MUSEUM OF LIFE &amp; SCIENCE</td>
<td>PO BOX 8177</td>
<td>SILVER SPRINGS 28664</td>
</tr>
</tbody>
</table>
### Ohio

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Going Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>21E137B</td>
<td>CLARENCE FAFLIK SHOE STOP</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E153</td>
<td>CLEVELAND, L</td>
<td>CLEVELAND'S DEER ACRES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E167</td>
<td>CLEVELAND MUSEUM OF NATUR AL HISTORY</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E175</td>
<td>DAYTON MUSEUM OF NATURAL HISTORY</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E186</td>
<td>ELYRIA PARKS &amp; RECREATION DEPT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E187</td>
<td>FANTASY FARM</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E189</td>
<td>KINLEY, KM</td>
<td>KINLEY'S CAME FARM</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E190</td>
<td>KINLEY, J</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E192</td>
<td>THE CLEVELAND AQUARIUM</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E193</td>
<td>TWIN SHOOP STORE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E194</td>
<td>UNIVERSAL PRINTING, INC.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E195</td>
<td>KINLEY SHOE CO.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21E196</td>
<td>WINK, WM &amp; J</td>
<td>NELSON LFGOF PARK 42231</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Oklahoma

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Going Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>7E153</td>
<td>SHEPHERD, WV</td>
<td>WOOLAROC WILDLIFF REFUGE FOUNDATION, INC.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### South Carolina

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Going Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>561004</td>
<td>ANIMAL FOREST</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>561006</td>
<td>GREENVILLE ZOO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>561007</td>
<td>SMITH, WC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>561015</td>
<td>WALK, JJ</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Texas

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Going Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>73RE10</td>
<td>BAYLOR UNIVERSITY OF WACO</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Washington

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>510126</td>
<td>CIRCUS WORLD MUSEUM</td>
<td></td>
<td>CAMP 5 FARM</td>
<td>BARABOO 53913</td>
</tr>
<tr>
<td>510109</td>
<td>CONNOR FOREST INDUSTRIES</td>
<td></td>
<td>R.R. 1</td>
<td>LADNA 5581</td>
</tr>
<tr>
<td>510125</td>
<td>GANNONS RICHWOOD RESORT</td>
<td></td>
<td>BOX 1174</td>
<td>RANDOLPH 53956</td>
</tr>
<tr>
<td>510128</td>
<td>GE-CWMA LODGE DEER FARM</td>
<td></td>
<td>RT. 1</td>
<td>LIND 53555</td>
</tr>
<tr>
<td>510108</td>
<td>J.P. RANCH, INC.</td>
<td></td>
<td></td>
<td>IRON RIVER 50847</td>
</tr>
<tr>
<td>510109</td>
<td>JOHNSON, AR</td>
<td></td>
<td></td>
<td>HUDSON 54916</td>
</tr>
<tr>
<td>510122</td>
<td>MILLER, LK</td>
<td></td>
<td>THE OUTPOST</td>
<td>BARABOO 53913</td>
</tr>
<tr>
<td>510105</td>
<td>MILLER, P.</td>
<td>KETTLER &amp; ANIMAL FRIENDS</td>
<td></td>
<td>MARION 54500</td>
</tr>
<tr>
<td>510109</td>
<td>NATURE, B.</td>
<td></td>
<td></td>
<td>MINDOR 54597</td>
</tr>
<tr>
<td>510107</td>
<td>NGIARD, LA</td>
<td></td>
<td></td>
<td>TOMAHAWK 54687</td>
</tr>
<tr>
<td>510115</td>
<td>PEARL, INC.</td>
<td></td>
<td></td>
<td>WISCONSIN Dells 53965</td>
</tr>
<tr>
<td>510128</td>
<td>PLATTE, PA</td>
<td></td>
<td></td>
<td>WISCONSIN FALLS 53981</td>
</tr>
<tr>
<td>510117</td>
<td>RANCH, IV</td>
<td></td>
<td></td>
<td>GREEN RIVER 54555</td>
</tr>
<tr>
<td>510105</td>
<td>SETCHELL, V</td>
<td></td>
<td>R.R. 10X</td>
<td>PHINELANDER 54501</td>
</tr>
<tr>
<td>510112</td>
<td>STORYBOY GARDENS</td>
<td></td>
<td>R.T. 3</td>
<td>CHEYENNE 54429</td>
</tr>
<tr>
<td>510107</td>
<td>VAN DYKE, RH</td>
<td></td>
<td>R.T. 3</td>
<td>WYOMING Dells 53965</td>
</tr>
<tr>
<td>510116</td>
<td>WILDERNESS WALK</td>
<td></td>
<td>STAR ROUTE</td>
<td>NEW LONDON 54061</td>
</tr>
<tr>
<td>510117</td>
<td>WILDERNESS WALK</td>
<td></td>
<td></td>
<td>WHITEHORSE 54091</td>
</tr>
<tr>
<td>510122</td>
<td>WILLEN, E.</td>
<td></td>
<td></td>
<td>WAUPACA 54871</td>
</tr>
<tr>
<td>510118</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WISCONSIN FALLS 53905</td>
</tr>
<tr>
<td>510122</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WHITMAN 53945</td>
</tr>
<tr>
<td>510122</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WINDOM 53901</td>
</tr>
<tr>
<td>510122</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WISCONSIN FALLS 53981</td>
</tr>
</tbody>
</table>

### West Virginia

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>510105</td>
<td>MEADOWS, ER</td>
<td></td>
<td>RT. 4, BOX 107</td>
<td>GRAFTON 26350</td>
</tr>
</tbody>
</table>

### Wisconsin

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>510105</td>
<td>CIRCUS WORLD MUSEUM</td>
<td></td>
<td>CAMP 5 FARM</td>
<td>BARABOO 53913</td>
</tr>
<tr>
<td>510109</td>
<td>CONNOR FOREST INDUSTRIES</td>
<td></td>
<td>R.R. 1</td>
<td>LADNA 5581</td>
</tr>
<tr>
<td>510125</td>
<td>GANNONS RICHWOOD RESORT</td>
<td></td>
<td>BOX 1174</td>
<td>RANDOLPH 53956</td>
</tr>
<tr>
<td>510128</td>
<td>GE-CWMA LODGE DEER FARM</td>
<td></td>
<td>RT. 1</td>
<td>LIND 53555</td>
</tr>
<tr>
<td>510108</td>
<td>J.P. RANCH, INC.</td>
<td></td>
<td></td>
<td>IRON RIVER 50847</td>
</tr>
<tr>
<td>510109</td>
<td>JOHNSON, AR</td>
<td></td>
<td></td>
<td>HUDSON 54916</td>
</tr>
<tr>
<td>510122</td>
<td>MILLER, LK</td>
<td></td>
<td>THE OUTPOST</td>
<td>BARABOO 53913</td>
</tr>
<tr>
<td>510105</td>
<td>MILLER, P.</td>
<td>KETTLER &amp; ANIMAL FRIENDS</td>
<td></td>
<td>MARION 54500</td>
</tr>
<tr>
<td>510109</td>
<td>NATURE, B.</td>
<td></td>
<td></td>
<td>MINDOR 54597</td>
</tr>
<tr>
<td>510107</td>
<td>NGIARD, LA</td>
<td></td>
<td></td>
<td>TOMAHAWK 54687</td>
</tr>
<tr>
<td>510122</td>
<td>PEARL, INC.</td>
<td></td>
<td></td>
<td>WISCONSIN Dells 53965</td>
</tr>
<tr>
<td>510128</td>
<td>BURLE, PA</td>
<td></td>
<td></td>
<td>WISCONSIN FALLS 53981</td>
</tr>
<tr>
<td>510117</td>
<td>RANCH, IV</td>
<td></td>
<td></td>
<td>GREEN RIVER 54555</td>
</tr>
<tr>
<td>510115</td>
<td>SETCHELL, V</td>
<td></td>
<td>R.R. 10X</td>
<td>PHINELANDER 54501</td>
</tr>
<tr>
<td>510112</td>
<td>STORYBOY GARDENS</td>
<td></td>
<td>R.T. 3</td>
<td>CHEYENNE 54429</td>
</tr>
<tr>
<td>510107</td>
<td>VAN DYKE, RH</td>
<td></td>
<td>R.T. 3</td>
<td>WYOMING Dells 53965</td>
</tr>
<tr>
<td>510116</td>
<td>WILDERNESS WALK</td>
<td></td>
<td>Star Route</td>
<td>NEW LONDON 54061</td>
</tr>
<tr>
<td>510117</td>
<td>WILLEN, E.</td>
<td></td>
<td></td>
<td>WHITEHORSE 54091</td>
</tr>
<tr>
<td>510122</td>
<td>WILLEN, E.</td>
<td></td>
<td></td>
<td>WAUPACA 54871</td>
</tr>
<tr>
<td>510118</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WISCONSIN FALLS 53905</td>
</tr>
<tr>
<td>510122</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WHITMAN 53945</td>
</tr>
<tr>
<td>510122</td>
<td>WISCONSIN RAPID, ART. N</td>
<td></td>
<td></td>
<td>WINDOM 53901</td>
</tr>
</tbody>
</table>

### Wyoming

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>510105</td>
<td>CITY OF CHEYENNE</td>
<td>LIONS PARK</td>
<td>CITY-COUNTY BLDG.</td>
<td>CHEYENNE 54001</td>
</tr>
<tr>
<td>510106</td>
<td>MIND RIVER RANCH</td>
<td></td>
<td></td>
<td>CORGIS 82113</td>
</tr>
</tbody>
</table>
Part III

Department of Agriculture

Animal and Plant Health Inspection Service

Animal Welfare; List of Licensed Exhibitors
DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection Service

Animal Welfare; List of Licensed Exhibitors

Pursuant to the provisions of the Animal Welfare Act (7 U.S.C. 2131 et seq.), and the regulations thereunder (9 CFR Part 2), notice is hereby given that the exhibitors listed below are licensed under said Act:

(Sec. 3, 80 Stat. 351, as amended (7 U.S.C. 2133))

Done at Washington, D.C., this 10th day of March 1980.

J. K. Atwell,
Acting Deputy Administrator, Veterinary Services.

BILLING CODE 3410-34-M
### Alabama

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>6461</td>
<td>CITY OF BIRMINGHAM</td>
<td>BIRMINGHAM ZOO</td>
<td>600 GRAYMONT AVE, WEST</td>
<td>BIRMINGHAM 35204</td>
</tr>
<tr>
<td>6463</td>
<td>MONTGOMERY ZOO</td>
<td></td>
<td></td>
<td>MONTGOMERY 36109</td>
</tr>
<tr>
<td>6464</td>
<td>UNIV OF NORTH ALABAMA</td>
<td></td>
<td></td>
<td>FLORENCE 35630</td>
</tr>
</tbody>
</table>

### Alaska

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>6503</td>
<td>CRAIG, C</td>
<td>ALASKA WILD BERRY PRODUCTS</td>
<td>2000 TANGLEWOOD DR.</td>
<td>ANCHORAGE 99503</td>
</tr>
<tr>
<td>6507</td>
<td>FULCRUNCH INC.</td>
<td>THE MONKEY WHARF</td>
<td>524 C ST</td>
<td>ANCHORAGE 99521</td>
</tr>
</tbody>
</table>

### Arizona

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>8061</td>
<td>ARIZONA ZOOLOGICAL SOCIETY</td>
<td>PHOENIX ZOO</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8063</td>
<td>ARIZONA-SONORA DESERT MUSEUM</td>
<td></td>
<td>RT 9 BOX 900</td>
<td></td>
</tr>
<tr>
<td>8062</td>
<td>CITY OF TUCSON</td>
<td>GENE RPD ZOOLOGICAL PARK</td>
<td>600 S RALPH WY</td>
<td></td>
</tr>
<tr>
<td>8060</td>
<td>COST, D.</td>
<td>ALPHANCE USA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8068</td>
<td>EL ZAPATA, L. DPQI</td>
<td>ANIMAL WILDLAND</td>
<td>RT 1 BOX 110 W</td>
<td></td>
</tr>
<tr>
<td>8066</td>
<td>WYCKOFF, C &amp; P</td>
<td>GRAND CANYON DEER PARK</td>
<td>100 DEER PARK RD.</td>
<td></td>
</tr>
<tr>
<td>8072</td>
<td>TATUM, E.</td>
<td>TAMARISK GARDEN ZOO</td>
<td>6732 W 7TH ST</td>
<td></td>
</tr>
</tbody>
</table>

### Arkansas

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>7106</td>
<td>BRIDGF, J &amp; E</td>
<td>ARKANSAS ALLIGATOR FARM</td>
<td>677 WHITTINGTON AVE.</td>
<td>HOT SPRINGS 71901</td>
</tr>
<tr>
<td>7101</td>
<td>CITY OF LITTLE ROCK</td>
<td>20M OF ARKANSAS</td>
<td>61 JOSEPHSON ST</td>
<td>LITTLE ROCK 72205</td>
</tr>
<tr>
<td>7105</td>
<td>ROATCH VANIMAL WORL</td>
<td>NAPATCH USA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7107</td>
<td>I.G. ZOO, INC.</td>
<td>BARKHEIM CHARMS CHIMPS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7109</td>
<td>MAGIC ANIMALS INC.</td>
<td>MAGIC SPRINGS THEME PARK</td>
<td>4600 AURVA PINE</td>
<td>HOT SPRINGS 71901</td>
</tr>
<tr>
<td>7110</td>
<td>SPA ATTRACTIONS, INC.</td>
<td>TOLL ISLAND EXPO ANIMAL</td>
<td>4600 AURVA PINE</td>
<td>HOT SPRINGS 71901</td>
</tr>
<tr>
<td>7112</td>
<td>TATUM, E.</td>
<td>ISLAND WILDLIFE</td>
<td>4600 AURVA PINE</td>
<td>HOT SPRINGS 71901</td>
</tr>
<tr>
<td>7114</td>
<td>WILKINST, OR</td>
<td>WILD WILDERNESS</td>
<td>6732 W 7TH ST</td>
<td></td>
</tr>
</tbody>
</table>

### California

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>3500</td>
<td>ALLEN'S PET ZOO INC</td>
<td>PO BOX 41</td>
<td></td>
<td>PISLEY 93256</td>
</tr>
<tr>
<td>35172</td>
<td>AMERICAN WILDLIFE RESCUE SVC</td>
<td>1246 CONFERENCE DRIVE</td>
<td></td>
<td>SCOTT'S VALLEY 95006</td>
</tr>
<tr>
<td>35001</td>
<td>ARLEN, D.</td>
<td>PO BOX 41</td>
<td></td>
<td>PERRISO 92510</td>
</tr>
<tr>
<td>351701</td>
<td>ATKINSON, J.</td>
<td>39600 OAK GLEN RD.</td>
<td></td>
<td>VENTURA 93003</td>
</tr>
<tr>
<td>351710</td>
<td>BARKLY, R.</td>
<td>39600 OAK GLEN RD.</td>
<td></td>
<td>SAN DIEGO 92125</td>
</tr>
<tr>
<td>351715</td>
<td>BALDWIN, J.</td>
<td>39600 OAK GLEN RD.</td>
<td></td>
<td>LOS ANGELES 90065</td>
</tr>
<tr>
<td>351720</td>
<td>B RANDY, J.</td>
<td>39600 OAK GLEN RD.</td>
<td></td>
<td>TUCSON 85714</td>
</tr>
<tr>
<td>351730</td>
<td>C FRANK, R.</td>
<td>39600 OAK GLEN RD.</td>
<td></td>
<td>UNIVERSAL CITY 91002</td>
</tr>
<tr>
<td>351740</td>
<td>BIG BEAR VALLEY PARK DIST</td>
<td>MOONINGF ZOO</td>
<td></td>
<td>BIG BEAR LAKE 92315</td>
</tr>
<tr>
<td>351750</td>
<td>BIG J STRONG &amp; SIM CIRC US, S</td>
<td>2757 QUINTO RD.</td>
<td></td>
<td>THOMASVAND 91360</td>
</tr>
<tr>
<td>351760</td>
<td>R C ROZUM, F</td>
<td>PO BOX 4374</td>
<td></td>
<td>TWIN FALLS 83527</td>
</tr>
<tr>
<td>351770</td>
<td>SHAPES, P.</td>
<td>7530 L PEARL DR BOX 08A</td>
<td></td>
<td>MEREDO 95302</td>
</tr>
<tr>
<td>351780</td>
<td>CAMPBELL, L.</td>
<td>1000 HIGHLAND RD.</td>
<td></td>
<td>ANAHUM 92041</td>
</tr>
<tr>
<td>351790</td>
<td>CHIRICAHUA MOUNTAIN FOUNDATION</td>
<td>PO BOX 475A</td>
<td></td>
<td>SANTA FE 87503</td>
</tr>
<tr>
<td>351800</td>
<td>CHILDRESS, J &amp; ECHART, DJ</td>
<td>17850 STIPICLAN</td>
<td></td>
<td>LAKE ELSINOR 92330</td>
</tr>
<tr>
<td>351810</td>
<td>CIRCUS VAGAS CORP</td>
<td>1301 I OAK PARK MINE WAY 20</td>
<td></td>
<td>BURLINGAME 94010</td>
</tr>
<tr>
<td>351820</td>
<td>CITY OF FIRM</td>
<td>SENIOR PARK ZOO</td>
<td>1010 ROYAL STREET</td>
<td>EUREKA 95501</td>
</tr>
<tr>
<td>351830</td>
<td>CITY OF FOLSOM</td>
<td>FOLSOM CITY ZOO</td>
<td>2525 O STREET</td>
<td>FOLSOM 95601</td>
</tr>
<tr>
<td>351840</td>
<td>CITY OF HERC</td>
<td>APPLEDALE PARK ZOO</td>
<td>2525 O STREET</td>
<td>HEREDIA 95601</td>
</tr>
</tbody>
</table>
CALIFORNIA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>91324</td>
<td>CITY OF NATIONAL CITY</td>
<td>DOING ROSINESS AS</td>
<td>1203 NATIONAL CITY BLVD</td>
<td>NATIONAL CITY 92050</td>
</tr>
<tr>
<td>91362</td>
<td>CITY OF MOUNTAIN VIEW</td>
<td></td>
<td>1520 LAFFSIDE DR</td>
<td>OAKLAND 94612</td>
</tr>
<tr>
<td>91367</td>
<td>CITY OF ROSEVILLE</td>
<td></td>
<td>316 VERNON ST</td>
<td>ROSEVILLE 95678</td>
</tr>
<tr>
<td>91373</td>
<td>CITY OF WALNUT CREEK</td>
<td></td>
<td>1901 FIRST AVE</td>
<td>WALNUT CREEK 95566</td>
</tr>
<tr>
<td>91376</td>
<td>CITY OF WHITTING</td>
<td></td>
<td>13320 E PFHN ST</td>
<td>WHITTING 96022</td>
</tr>
<tr>
<td>91382</td>
<td>COUNTY OF ORANGE EMU/DEV E LLC</td>
<td></td>
<td>7851 LITTLE TUNJUGA CT YON</td>
<td>SANTA ANA 92702</td>
</tr>
<tr>
<td>91385</td>
<td>COV, L</td>
<td></td>
<td>RENTALS</td>
<td>VENTURA 93001</td>
</tr>
<tr>
<td>91391</td>
<td>DJ SEDAN</td>
<td></td>
<td>24233 OLD RD</td>
<td>NEWHALL 91327</td>
</tr>
<tr>
<td>91397</td>
<td>DJ SHERRY</td>
<td></td>
<td>17220 SCHAEFER</td>
<td>ELSINORE 92530</td>
</tr>
<tr>
<td>91401</td>
<td>DJ SHAW, M</td>
<td></td>
<td>P.O. BOX 221</td>
<td>TEHACHAPI 93561</td>
</tr>
<tr>
<td>91403</td>
<td>DJ SHERRY</td>
<td></td>
<td>3112 2ND S</td>
<td>TEHACHAPI 93561</td>
</tr>
<tr>
<td>91404</td>
<td>DJ SNYDER</td>
<td></td>
<td>306</td>
<td>BUENA PARK 90620</td>
</tr>
<tr>
<td>91405</td>
<td>DJ SNYDER</td>
<td></td>
<td>7671 LA PALMA AVE BOX 23</td>
<td>OAKLAND 94601</td>
</tr>
<tr>
<td>91411</td>
<td>EAGAN, LW &amp; BL</td>
<td></td>
<td>PB BOX 52A</td>
<td>OAKLAND 94605</td>
</tr>
<tr>
<td>91413</td>
<td>EAGLE, KW</td>
<td></td>
<td>1206 GRAND AVE</td>
<td>LAHONDA 90620</td>
</tr>
<tr>
<td>91416</td>
<td>FANCYF, R</td>
<td></td>
<td>21719 BARTON RD</td>
<td>FILLMORE 93015</td>
</tr>
<tr>
<td>91418</td>
<td>FANCYF, R</td>
<td></td>
<td>BOX 1770 STAR RT</td>
<td>COLTON 92324</td>
</tr>
<tr>
<td>91419</td>
<td>FISH, JF</td>
<td></td>
<td>9500 REACHY AVE</td>
<td>TEHACHAPI 93561</td>
</tr>
<tr>
<td>91422</td>
<td>FRANK HEMY, INC.</td>
<td></td>
<td>12205 BCRAND</td>
<td>ARLETA 93531</td>
</tr>
<tr>
<td>91423</td>
<td>FRANKS MILLIEF</td>
<td></td>
<td>4805 MONTEREY RD</td>
<td>SUN VALLEY 91352</td>
</tr>
<tr>
<td>91424</td>
<td>GALLO ZOOLOGICAL GARDENS</td>
<td></td>
<td>R.V.</td>
<td>SAN JACINTO 92583</td>
</tr>
<tr>
<td>91427</td>
<td>GALILEO PROJECTS, INC.</td>
<td></td>
<td>PB BOX 1290</td>
<td>MODESTO 95353</td>
</tr>
<tr>
<td>91428</td>
<td>GENTLE JUNEF, INC.</td>
<td></td>
<td>P.O. BOX 611</td>
<td>OAKLAND 94611</td>
</tr>
<tr>
<td>91429</td>
<td>GIBSON, M</td>
<td></td>
<td>15840 CEDARFORD DR</td>
<td>PO BOX 1067</td>
</tr>
<tr>
<td>91430</td>
<td>GIBSON, M</td>
<td></td>
<td>MARINE WORLD/PARIS USA</td>
<td>REDWOOD CITY 94065</td>
</tr>
<tr>
<td>91431</td>
<td>GIANNINI, F</td>
<td></td>
<td>PORT ROOSEVELT</td>
<td>HAYWARD 94545</td>
</tr>
<tr>
<td>91432</td>
<td>GIANNINI, F</td>
<td></td>
<td>10102 BECHARD AVE</td>
<td>RANCHO PALMS VERDES 90274</td>
</tr>
<tr>
<td>91433</td>
<td>GIANNINI, F</td>
<td></td>
<td>10690 RIVERSIDE AVE BOX 337</td>
<td>CERRITOS 90701</td>
</tr>
<tr>
<td>91434</td>
<td>GIANNINI, F</td>
<td></td>
<td>312 17TH STREET</td>
<td>BLOOMINGTON 93514</td>
</tr>
<tr>
<td>91435</td>
<td>GIANNINI, F</td>
<td></td>
<td>302 17TH ST</td>
<td>LITTLEFORD 93503</td>
</tr>
<tr>
<td>91436</td>
<td>GIANNINI, F</td>
<td></td>
<td>11025 CYPRESS</td>
<td>SAN DIEGO 92101</td>
</tr>
<tr>
<td>91437</td>
<td>GIANNINI, F</td>
<td></td>
<td>1005 CHESTNUT #3</td>
<td>SAN DIEGO 92101</td>
</tr>
<tr>
<td>91438</td>
<td>GIANNINI, F</td>
<td></td>
<td>1433 CHESTNUT #3</td>
<td>FONTANA 92335</td>
</tr>
<tr>
<td>91439</td>
<td>GIANNINI, F</td>
<td></td>
<td>20275 HANNOVA</td>
<td>MONROSE 92303</td>
</tr>
<tr>
<td>91440</td>
<td>GIANNINI, F</td>
<td></td>
<td>MARINE PARKWAY</td>
<td>BLOOMINGDALE 92316</td>
</tr>
<tr>
<td>91441</td>
<td>GIANNINI, F</td>
<td></td>
<td>199 MUSEUM WAY</td>
<td>SAN FRANCISCO 94110</td>
</tr>
<tr>
<td>91442</td>
<td>GIANNINI, F</td>
<td></td>
<td>1600 N VINE ST</td>
<td>HOLLYWOOD 90028</td>
</tr>
<tr>
<td>91443</td>
<td>GIANNINI, F</td>
<td></td>
<td>8039 FACH BLVD</td>
<td>BUFNA PARK 90620</td>
</tr>
<tr>
<td>91444</td>
<td>GIANNINI, F</td>
<td></td>
<td>20725 HANNOVA</td>
<td>PENPIS 92570</td>
</tr>
<tr>
<td>91445</td>
<td>GIANNINI, F</td>
<td></td>
<td>2608 READ AVENUE</td>
<td>CANYON COUNTRY 93511</td>
</tr>
<tr>
<td>91446</td>
<td>GIANNINI, F</td>
<td></td>
<td>8000 IRVINE CENTER DR</td>
<td>BELMONT 94002</td>
</tr>
<tr>
<td>91447</td>
<td>GIANNINI, F</td>
<td></td>
<td>PO BOX 1775</td>
<td>LAGUNA HILLS 92653</td>
</tr>
<tr>
<td>91448</td>
<td>GIANNINI, F</td>
<td></td>
<td>BOX 250</td>
<td>PALM DESERT 92603</td>
</tr>
<tr>
<td>91449</td>
<td>GIANNINI, F</td>
<td></td>
<td>5333 200 DR</td>
<td>POMONA 91766</td>
</tr>
<tr>
<td>91450</td>
<td>GIANNINI, F</td>
<td></td>
<td>MADONNA RD &amp; HIGHWAY 101</td>
<td>LOS ANGELES 90027</td>
</tr>
<tr>
<td>91451</td>
<td>GIANNINI, F</td>
<td></td>
<td>1316 UTOPIA</td>
<td>SAN LUIS ARTSPR 93001</td>
</tr>
<tr>
<td>91452</td>
<td>GIANNINI, F</td>
<td></td>
<td>1316 UTOPIA</td>
<td>PERDS 92570</td>
</tr>
<tr>
<td>91453</td>
<td>GIANNINI, F</td>
<td></td>
<td>MARINE WORLD PARKWAY</td>
<td>REDWOOD CITY 94065</td>
</tr>
<tr>
<td>91454</td>
<td>GIANNINI, F</td>
<td></td>
<td>BOX 1775</td>
<td>SANTA CLARA 95050</td>
</tr>
<tr>
<td>91455</td>
<td>GIANNINI, F</td>
<td></td>
<td>BOX 65</td>
<td>ACTION 93510</td>
</tr>
<tr>
<td>91456</td>
<td>GIANNINI, F</td>
<td></td>
<td>6877 SEDONA CANYON RD</td>
<td>ACTION 93510</td>
</tr>
<tr>
<td>91457</td>
<td>GIANNINI, F</td>
<td></td>
<td>4515 HARRISON AVE</td>
<td>RENO 89501</td>
</tr>
<tr>
<td>91458</td>
<td>GIANNINI, F</td>
<td></td>
<td>4122 KNIGHT AVE</td>
<td>BUENA PARK 90621</td>
</tr>
<tr>
<td>91459</td>
<td>GIANNINI, F</td>
<td></td>
<td>31000 RD 132</td>
<td>VISITLA 90277</td>
</tr>
<tr>
<td>91460</td>
<td>GIANNINI, F</td>
<td></td>
<td>STAR RT BOX 94</td>
<td>ORO GRANDE 93838</td>
</tr>
<tr>
<td>91461</td>
<td>GIANNINI, F</td>
<td></td>
<td>11703 N MICKE GROVE RD</td>
<td>LOM 95240</td>
</tr>
<tr>
<td>91462</td>
<td>GIANNINI, F</td>
<td></td>
<td>20677 STATE HWY 94</td>
<td>NE VISTA 92599</td>
</tr>
<tr>
<td>91463</td>
<td>GIANNINI, F</td>
<td></td>
<td>9125 CARMEN AVE</td>
<td>ARLETA 93531</td>
</tr>
<tr>
<td>91464</td>
<td>GIANNINI, F</td>
<td></td>
<td>PO BOX 9421</td>
<td>N. HOLLYWOOD 91606</td>
</tr>
<tr>
<td>91465</td>
<td>GIANNINI, F</td>
<td></td>
<td>15495 BELMONT</td>
<td>SANTA MONICA 90401</td>
</tr>
<tr>
<td>91466</td>
<td>GIANNINI, F</td>
<td></td>
<td>7431 SEDONA CANYON RD</td>
<td>SANTA MONICA 90401</td>
</tr>
<tr>
<td>91467</td>
<td>GIANNINI, F</td>
<td></td>
<td>4515 HARRISON AVE</td>
<td>LEGEND 95460</td>
</tr>
<tr>
<td>91468</td>
<td>GIANNINI, F</td>
<td></td>
<td>4101 ROSECRANS APT 1</td>
<td>HATHAWAY 92050</td>
</tr>
<tr>
<td>91469</td>
<td>GIANNINI, F</td>
<td></td>
<td>5423 E LAUREL</td>
<td>ON, LAKE TACO 95702</td>
</tr>
<tr>
<td>91470</td>
<td>GIANNINI, F</td>
<td></td>
<td>4305 ROSE LN</td>
<td>FRESNO 93727</td>
</tr>
<tr>
<td>91471</td>
<td>GIANNINI, F</td>
<td></td>
<td>10404 RIVERSIDE AVE</td>
<td>CERONDO 90401</td>
</tr>
<tr>
<td>91472</td>
<td>GIANNINI, F</td>
<td></td>
<td>884 W, BELLMONT</td>
<td>BLOOMINGTON 93216</td>
</tr>
<tr>
<td>91473</td>
<td>GIANNINI, F</td>
<td></td>
<td>3930 W LAND PARK DR</td>
<td>FRESNO 93728</td>
</tr>
<tr>
<td>91474</td>
<td>GIANNINI, F</td>
<td></td>
<td>7415 AUBURN BLVD</td>
<td>SACRAMENTO 95821</td>
</tr>
<tr>
<td>91475</td>
<td>GIANNINI, F</td>
<td></td>
<td>200 ROAD &amp; SKYLINE BLVD</td>
<td>SAN FRANCISCO 94112</td>
</tr>
<tr>
<td>91476</td>
<td>GIANNINI, F</td>
<td></td>
<td>1000 MOUNTFERRY ST</td>
<td>SAN LUIS ARTSPR 93008</td>
</tr>
<tr>
<td>91477</td>
<td>GIANNINI, F</td>
<td></td>
<td>1700 E FIRST ST</td>
<td>SANTA ANA 92705</td>
</tr>
</tbody>
</table>
### CONNECTICUT

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>16171</td>
<td>SHELDON, DD &amp; FM</td>
<td>DOUGLAS DESERT</td>
<td>33 PERRY DR</td>
<td>MONROE 06468</td>
</tr>
<tr>
<td>16176</td>
<td>STAMFORD MUSEUM &amp; NATURE</td>
<td>CTR</td>
<td>39 SCOFIELD TOWN RD</td>
<td>STAMFORD 06903</td>
</tr>
<tr>
<td>16177</td>
<td>STEINBERG, AC</td>
<td>WILLINGTON WILD ANIMAL FARM</td>
<td>OLD FARMS RD</td>
<td>WILLINGTON 06279</td>
</tr>
<tr>
<td>16178</td>
<td>THAMES SCIENCE CENTER</td>
<td></td>
<td>GULLO'S LANE</td>
<td>NEW LONDON 06320</td>
</tr>
<tr>
<td>16179</td>
<td>WATERMAN JR., ME</td>
<td>GRAND SLAM FARM</td>
<td>193 NORTH GRAND ST</td>
<td>GREENWICH 06830</td>
</tr>
</tbody>
</table>

### DELAWARE

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>5000</td>
<td>NEW CASTLE CO., DEPT. OF PARKS &amp; RECREATION</td>
<td>ARANDY WINE ZOO</td>
<td>A01 MCKENNAS CHURCH RD</td>
<td>WILMINGTON 19808</td>
</tr>
</tbody>
</table>

### FLORIDA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>9FF76</td>
<td>ABC LEISURE ATTRACTIONS, INC.</td>
<td></td>
<td>PO BOX 370</td>
<td>SILVER SPRINGS 32688</td>
</tr>
<tr>
<td>9FF112</td>
<td>ADAMS, JR., F</td>
<td></td>
<td>P.O. BOX 505</td>
<td>GIBSONTON 33534</td>
</tr>
<tr>
<td>9FF76</td>
<td>ADAMS, SPY, E</td>
<td></td>
<td>P.O. BOX 590</td>
<td>GIBSONTON 33534</td>
</tr>
<tr>
<td>9FF111</td>
<td>ALEXANDER, R</td>
<td></td>
<td>P.O. BOX 1245</td>
<td>OKLAHOMA 32679</td>
</tr>
<tr>
<td>9FF112</td>
<td>ALLEN, LAND SAFARI</td>
<td></td>
<td>P.O. BOX 116</td>
<td>KINSTON 12741</td>
</tr>
<tr>
<td>9FF114</td>
<td>AMEN, 8</td>
<td></td>
<td>PO BOX 5195</td>
<td>GIBSONTON 33534</td>
</tr>
<tr>
<td>9FF116</td>
<td>AMES, KY</td>
<td></td>
<td>BOX 194 RT 8</td>
<td>DE LAND 32720</td>
</tr>
<tr>
<td>9FF117</td>
<td>ANTHEY, P</td>
<td></td>
<td>BOX 194 RT 8</td>
<td>RIVERVIEW 33569</td>
</tr>
<tr>
<td>9FF149</td>
<td>AQUARIUM WALKING MASTERS</td>
<td></td>
<td>330 RIVERSIDE DR</td>
<td>FORT MYERS 33905</td>
</tr>
<tr>
<td>9FF154</td>
<td>ARNA, MAJ</td>
<td></td>
<td>PO BOX 716</td>
<td>HAINES CITY 33446</td>
</tr>
<tr>
<td>9FF156</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>26 WEST GORF AVE</td>
<td>ORLANDO 32806</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>6600 SW 157 ST</td>
<td>MIKANAR 32025</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>JACKSONVILLE 32205</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CENTER HILL 35514</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>SARASOTA 33540</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>663 S.W. 112 PL</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2675 BAHIA VISTA</td>
<td>SARASOTA 33570</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>155 ARAPAHO AVE</td>
<td>SARASOTA 33577</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>2000 NE 135 ST</td>
<td>ST AUGUSTINE 32084</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>BOX 279</td>
<td>NORTH PALM 33093</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>7800 SW 117 AV</td>
<td>CHRISTMAS 32209</td>
</tr>
<tr>
<td>9FF157</td>
<td>BAHA SHIRE, INC</td>
<td></td>
<td>1256 RT 3</td>
<td>MIAMI 33175</td>
</tr>
</tbody>
</table>

### NOTES
- DOING BUSINESS AS
- ADDRESS
- CITY AND ZIP CODE
### Florida

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>S6FL167</td>
<td>SPRINGFIELD, L</td>
<td>GREEN THUMA NURSERY</td>
<td>8075 SO, DIXIE HWY, MIAMI 33156</td>
<td></td>
</tr>
<tr>
<td>S6FL184</td>
<td>SPYNE, NM</td>
<td>SPRYNE'S GROVE</td>
<td>7250 GRIFFIN RD, DAVIE 33314</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>ST AUGUSTINE ALLIG. FARM INC</td>
<td></td>
<td>PO BOX 209, ST AUGUSTINE 32080</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>STARFARING EYR EUR, CIRCUS INC</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>STEELE, R</td>
<td>ROB STEELE ANIMAL PROMOTION</td>
<td>3256 C-RO, BOX 336, LOYALHEATH 33070</td>
<td></td>
</tr>
<tr>
<td>S6FL189</td>
<td>STRAZAR, H &amp; E</td>
<td>ERMAS CHIMPANZES</td>
<td>725 N CONRAD AVE, SARASOTA 33474</td>
<td></td>
</tr>
<tr>
<td>S6FL159</td>
<td>SUNFOX GARDENS, INC</td>
<td></td>
<td>1825 4TH ST NO, ST PETERSBURG 33704</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>SYMONSKAY, K</td>
<td></td>
<td>2022 NOODLE AVE, SARASOTA 33587</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>TAMPAU, MS</td>
<td></td>
<td>3954 MUSUM DR, FLORIDA CITY 33533</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>TALLAHASSEF JR MUSEUM</td>
<td></td>
<td>TALLAHASSEF 32304</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>THEATER OF THE SFA, INC</td>
<td></td>
<td>ISLAMORADA 33036</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>THOMAS, MO</td>
<td></td>
<td>RIVERVIEW 33569</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>TIKI GARDENS INC</td>
<td></td>
<td>INDIAN SHORES 33785</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>TOLLEF, E</td>
<td>KARNILY FAMILY</td>
<td>19602 AULVA PO BOX B, FORT WHITE 32038</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>UPTON, SD</td>
<td></td>
<td>PO BOX 18A, SARASOTA 33581</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>VAGHITZ, R</td>
<td></td>
<td>PO BOX 1602 6180 ISARL DEPT, GIIBSONTON 33514</td>
<td></td>
</tr>
<tr>
<td>S6FL159</td>
<td>VIELE, CE</td>
<td>VIELE GROVES</td>
<td>6900 GRIFFIN HWY 16, FORT LAUDERDALE 33314</td>
<td></td>
</tr>
<tr>
<td>S6FL159</td>
<td>VON IML, J</td>
<td></td>
<td>RT 1 BOX 3532, TAMPA 33620</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WALT DISNEY WORLD CO</td>
<td></td>
<td>RT 14 BOX 232, MIAMI 33160</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WATEN, W</td>
<td></td>
<td>BOX 794, DICKSON 33512</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WATT, B</td>
<td></td>
<td>PO BOX 517, GRAYTON 33519</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WEECH, F</td>
<td>A &amp; C LIFESURF ATTRACTIONS</td>
<td>6750 42ND ST N, PINEZAS 33565</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WELCH SPRINGS SPRINGS INC</td>
<td></td>
<td>400 E ORANGE AVE, GRAND TAVOLO 33755</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WILEY, B</td>
<td></td>
<td>RT 1 BOX 556C, FT MYERS BEACH 33931</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WILLIAMS, PAE</td>
<td></td>
<td>RT 1 BOX 60446, MIAMI 33050</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WILLIAMSON, P &amp; A</td>
<td>LION COUNTRY SAFARI INC</td>
<td>PO BOX 16046, LAUDERDALE 33326</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WILLIAMS CORPORATION</td>
<td></td>
<td>10156 HERITAGE RD, MIAMI 33663</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WYNN, F</td>
<td></td>
<td>RT 7 BOX 6, SARASOTA 33582</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>ZEPFELM, M</td>
<td></td>
<td>FORT LAUDERDALE 33326</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>ZEMERL, T</td>
<td></td>
<td>1901 N STATE RD, NO. 103, LAUDERDALE 33326</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>ZOO, INC. OF ARMAD CO., INC</td>
<td>MARKHAM PARK ZOO</td>
<td>16001 W, STATE RD, NO. 103, LAUDERDALE 33326</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>ZOOL SO/PALM REACHES, INC</td>
<td>DREHER PARK ZOO, GARDENS</td>
<td>1301 SUMMIT BLVD, TAMPA 33611</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>ZOPPE, AG</td>
<td></td>
<td>3125 PEARL AVE, LAUDERDALE 33314</td>
<td></td>
</tr>
</tbody>
</table>

### Georgia

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>S6FL197</td>
<td>ALVES, JF</td>
<td>MILLISIDE CHIMP PARK</td>
<td>PO BOX 66, CLEMONT 30627</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>RAIKAL, RJ</td>
<td>LIN-RICH ANIMAL CIR &amp; PETEY M 200</td>
<td>RT 1 BOX 74, ELLERSLEE 31807</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>BUCKNER, G</td>
<td>CHEHAW WILD ANIMAL PARK</td>
<td>RT 3 BOX 310A, JACKSON 30233</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>CHEWAIN WILD ANIMAL PARK</td>
<td>CHEHAW WILD ANIMAL PARK</td>
<td>RT 18 BOX 97, ALBANY 31701</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>RAWSON, R</td>
<td>ATLANTA ZOO, PARK</td>
<td>RT 8 BOX 26, ALBANY 31702</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>PERRY, G</td>
<td>CHERNINEEY AV, SE</td>
<td>R 1, JULIETTE 31063</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>DAVISO, J</td>
<td>2222 PALMYRA RD</td>
<td>PO BOX 807, WOODSTOCK 30189</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>KETCHAM, L</td>
<td>101 RT 9, PO BOX 807</td>
<td>208 BULL STREET, SAVANNAH 31401</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>KATZ, J</td>
<td>OAKLAND ISLAND EDUCATION CT</td>
<td>BOX 85, RISING FAWN 30738</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>RUMFY, R</td>
<td>GEORGIA GAME PARK</td>
<td>131 SHAWHAN RD, ELLERELL 31308</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>SCOTT, K</td>
<td></td>
<td>PO BOX 74, WOODSTOCK 30189</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>SHIPLEY, CJ</td>
<td>SIX FLAGS DVF, GEORGIA</td>
<td>PO BOX 4317, ATLANTA 30336</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>SIRL, D</td>
<td>STONE MOUNTAIN GAME RANCH, INC</td>
<td>1 ROYAL C. LEE BLVD, STONE MOUNTAIN 30086</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>THOMAS, K</td>
<td></td>
<td>PO BOX 281, RIVERVIEW 33569</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>THOMAS, T</td>
<td></td>
<td>PO BOX 32A, CARRIERSVILLE 30521</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>TUSER, W</td>
<td></td>
<td>310 CREST DRIVE, COLUMBUS 30707</td>
<td></td>
</tr>
<tr>
<td>S6FL197</td>
<td>WHITTEN, G</td>
<td></td>
<td>6398 BENT CREEK DR, REX 30273</td>
<td></td>
</tr>
</tbody>
</table>
### Hawaii

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>05C1</td>
<td>CITY OF HONOLULU</td>
<td>DOING BUSINESS AS</td>
<td>151 KAHANU AVE 25 AUPUNI ST</td>
<td>MANAHULU 96815</td>
</tr>
<tr>
<td>05C2</td>
<td>COUNTY OF HAWAI'I</td>
<td></td>
<td>PO BOX 431</td>
<td>WAIKULU 96728</td>
</tr>
<tr>
<td>05C3</td>
<td>ISLAND HOLIDAYS, LTD.</td>
<td></td>
<td>65-1055 KAM HIGHWAY RR 1 MIDNIGHT 96766</td>
<td></td>
</tr>
<tr>
<td>05C9</td>
<td>KIDDEY FISH, INC.</td>
<td></td>
<td>PO BOX A</td>
<td>RANCHO 96749</td>
</tr>
<tr>
<td>05C8</td>
<td>WINDWARD GOAT DAIRIES-HAWA'</td>
<td></td>
<td>PO BOX 356</td>
<td>MAKENA 96712</td>
</tr>
<tr>
<td>05C6</td>
<td>PEARL CITY TAVEN</td>
<td></td>
<td>1118A ROYAL BLVD</td>
<td>PEARL CITY 96722</td>
</tr>
<tr>
<td>05C10</td>
<td>PIPER PRODUCTIONS</td>
<td></td>
<td>1155 EILIFF AP</td>
<td>WINDHURST 96721</td>
</tr>
</tbody>
</table>

### Indiana

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>A0C1</td>
<td>DODGE CITY ZOO</td>
<td></td>
<td>2250 N IMLAY AVE</td>
<td>WEST LAFAYETTE 46504</td>
</tr>
<tr>
<td>A0C2</td>
<td>GOLDFI. EL.</td>
<td></td>
<td>2030 W. MICHIGAN AVE</td>
<td>WEST LAFAYETTE 46504</td>
</tr>
<tr>
<td>A0C5</td>
<td>TAUSCHUZ ZOO</td>
<td></td>
<td>2250 N IMLAY AVE</td>
<td>WEST LAFAYETTE 46504</td>
</tr>
<tr>
<td>A0C9</td>
<td>Y-J BIODIVERSITY INC.</td>
<td></td>
<td>2250 N IMLAY AVE</td>
<td>WEST LAFAYETTE 46504</td>
</tr>
</tbody>
</table>

### Illinois

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>45C11</td>
<td>ANIMAL KINGDOM, INC.</td>
<td></td>
<td>2270 M WEBERFIELD AVE 2000 N.</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C15</td>
<td>KANEVA C C</td>
<td></td>
<td>100 E. MAIN ST</td>
<td>AURORA 60525</td>
</tr>
<tr>
<td>45C37</td>
<td>CHAMPAIGN PK DISTRICT</td>
<td></td>
<td>1201 CANNON DR.</td>
<td>CHITANO 60614</td>
</tr>
<tr>
<td>45C6</td>
<td>CHICAGO PARK DISTRICT</td>
<td></td>
<td>3130 N. PARK RD.</td>
<td>ROCKFORD 61107</td>
</tr>
<tr>
<td>45C13</td>
<td>CITY OF AURORA</td>
<td></td>
<td>915 E. MAIN ST</td>
<td>GERMANTOWN 60610</td>
</tr>
<tr>
<td>45C6</td>
<td>CITY OF BLOOMINGTON</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C13</td>
<td>CITY OF CHICAGO</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C13</td>
<td>DEPT OF VETERANS AFFAIRS</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C12</td>
<td>DUNDEE TOWNSHIP PARK DISTRICT</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C12</td>
<td>ELDON L L &amp; A</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C12</td>
<td>W. P. PARK FOUNDATION</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C26</td>
<td>FINSTOFF DISTRICT CO.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C52</td>
<td>FISK VALLEY PARK DIST.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C53</td>
<td>WILDKIRCHMUND RR.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C48</td>
<td>ISABELLE COUNTY PARKS</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C30</td>
<td>MARTINEZ, CA</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C16</td>
<td>MATHIS, W.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C50</td>
<td>NADACH, W.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C29</td>
<td>NORTH PARK CORP</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C11</td>
<td>PEORIA PARK DISTRICT</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C13</td>
<td>RBEN, G.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C19</td>
<td>RODRICK, M.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C14</td>
<td>ROCK ISLAND PU.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C10</td>
<td>BANTHAM PARK DISTRICT</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C19</td>
<td>SCIENTIFIC PARK L Aan &amp; T.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C31</td>
<td>SHAFTON, G.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C35</td>
<td>SHEPPARD'S D.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C35</td>
<td>SPRINGFIELD PARK DISTRICT</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C24</td>
<td>STEDMAN, D.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C41</td>
<td>TALAMNTZ, G.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C13</td>
<td>VONKOW, W.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C15</td>
<td>WATSON, L.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C15</td>
<td>WHEATON PARK DIST.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C46</td>
<td>W-nilhman's LIVING, INC.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
<tr>
<td>45C46</td>
<td>WILDELL'S WING, INC.</td>
<td></td>
<td>100 WEAVER ST</td>
<td>CHICAGO 60618</td>
</tr>
</tbody>
</table>
INDIANA

**LICENSE NUMBER** | **NAME** | **DOING BUSINESS AS** | **ADDRESS** | **CITY AND ZIP CODE**
--- | --- | --- | --- | ---
18622 | AMESVILLE U.S.A. | STORYWOOD VILLAGE | RR 2, BOX 18 | GENEVA 46740
 | RIGGS, M. | SILVERWOOD ROLLER RINK | RR 2, BOX 98 | RUSSELYVILLE 46175
 | ROOKER, C. | WESKER PARK ZOO | RR 15, BOX 31 | DUGGER 47948
 | ROY, R. | WASHINGTON PARK ZOO, GON | 3500 N. MERIDIAN | WATERLOO 46793
 | SHERWOOD, J. | CIVIC CENTER COMPLEX | LAKE FRONT | BRAZIL 47834
 | CHILDREN'S MUSEUM, INC | PARK & REC DEPT | 616 STAFF OFFICE BLDG | INDIANAPOLIS 46208
 | CITY OF EVANSVILLE | | | EVANSVILLE 47727
 | CITY OF MICHIGAN CITY | GLEN MILLER PARK | | MICHIGAN CITY 46360
 | CITY OF RICHMOND | POKAGON STATE PARK | | RICHMOND 47374
 | DEPT OF NATURAL RESOURCES | WILL MARVIN GAME FARM & ZOO | | INDIANAPOLIS 46202
 | DRAKE, R. | | | TERRE HAUTE 47805
 | TAYLOR ENTERPRISE | | | FORT WAYNE 46808
 | DRAKE, M. | JASPER-PULASKI FISH & WILD AREA | | GREENWOOD 46142
 | ELDORA | | | PERU 46970
 | ELDORA CITY PARK | KELLY WINTER CIRCUS | | INDIANAPOLIS 46201
 | ELWOOD CITY PARK | COLUMBIAN PARK ZOO | | INDIANAPOLIS 46202
 | INDIANAPOLIS ZOO, INC | | | INDIANAPOLIS 46202
 | JAMESVILLE, M. | | | CONWAY POINT 46307
 | KELLY, P. | | | BATTLE GROUND 47920
 | KELLY, W. | WOLF PARK | | WINCHESTER 47392
 | KENTUCKY | | | BRAZIL 47830
 | KENTUCKY | | | SOUTH BEND 46617
 | KENTUCKY | | | SANTA CLAUS 47579
 | KENTUCKY | | | WESTFIELD 46090
 | KENTUCKY | | | GALVESTON 47932
 | KENTUCKY | | | MUNCIE 47302
 | KENTUCKY | | | RYAN TV 47306
 | KENTUCKY | | | CARBONDALE 47637
 | KENTUCKY | | | WALTON 47886
 | LAFAYETTE | BATTLEVS PARK ZOO | | BATTLESVILLE 47008
 | LAFAYETTE | | | TERRE HAUTE 47805
 | LATONDA | | | INDIANAPOLIS 46224
 | LATONDA | | | MUNCIE 47302
 | LATONDA | | | MARTINSVILLE 46151
 | LATONDA | | | ROCHESTER 46975

IOWA

**LICENSE NUMBER** | **NAME** | **DOING BUSINESS AS** | **ADDRESS** | **CITY AND ZIP CODE**
--- | --- | --- | --- | ---
 | | DEEPLAND Zoo | 7041 SW 9TH ST | LAKE PARK 51347
 | | MUSCATINE ZOO | 1702 15TH ST | MUSCATINE 52761
 | BLAIR, J. | WEDD PARK ZOO | | DES MOINES 50315
 | CITY OF MUSCATINE | | | ELDORA 50627
 | | ELDORA CITY PARK | | ROCK RAPIDS 51246
 | | LYON COUNTRY CONSERVATION LEAGUE | | KENYUK 52632
 | | SEABOLD ANIMAL FARM | |
### Kansas

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>4CA409</td>
<td>MERRILL, CH</td>
<td>MERRILL'S ZOO</td>
<td>PO BOX 73</td>
<td>66210</td>
</tr>
<tr>
<td>4CA410</td>
<td>LEWIS, DR</td>
<td>COLUMBIA ZOO</td>
<td>707 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA411</td>
<td>SMITHERS, J</td>
<td>SMITHERS' ZOO</td>
<td>1158 WASHINGTON AVE</td>
<td>66210</td>
</tr>
<tr>
<td>4CA412</td>
<td>FRASER, CH</td>
<td>FRASER'S ZOO</td>
<td>2002 STANTON RD</td>
<td>66204</td>
</tr>
<tr>
<td>4CA413</td>
<td>PATE, E</td>
<td>PATE'S ZOO</td>
<td>PO BOX 162</td>
<td>66210</td>
</tr>
<tr>
<td>4CA414</td>
<td>MCWENNA, E</td>
<td>MCWENNA'S ZOO</td>
<td>707 E 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA415</td>
<td>KERR, T</td>
<td>KERR'S ZOO</td>
<td>1100 WASHINGTON AVE</td>
<td>66202</td>
</tr>
</tbody>
</table>

### Kentucky

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>4CA409</td>
<td>MERRILL, CH</td>
<td>MERRILL'S ZOO</td>
<td>PO BOX 73</td>
<td>66210</td>
</tr>
<tr>
<td>4CA410</td>
<td>LEWIS, DR</td>
<td>COLUMBIA ZOO</td>
<td>707 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA411</td>
<td>SMITHERS, J</td>
<td>SMITHERS' ZOO</td>
<td>1158 WASHINGTON AVE</td>
<td>66210</td>
</tr>
<tr>
<td>4CA412</td>
<td>FRASER, CH</td>
<td>FRASER'S ZOO</td>
<td>2002 STANTON RD</td>
<td>66204</td>
</tr>
<tr>
<td>4CA413</td>
<td>PATE, E</td>
<td>PATE'S ZOO</td>
<td>PO BOX 162</td>
<td>66210</td>
</tr>
<tr>
<td>4CA414</td>
<td>MCWENNA, E</td>
<td>MCWENNA'S ZOO</td>
<td>707 E 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA415</td>
<td>KERR, T</td>
<td>KERR'S ZOO</td>
<td>1100 WASHINGTON AVE</td>
<td>66202</td>
</tr>
</tbody>
</table>

### Louisiana

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>4CA409</td>
<td>MERRILL, CH</td>
<td>MERRILL'S ZOO</td>
<td>PO BOX 73</td>
<td>66210</td>
</tr>
<tr>
<td>4CA410</td>
<td>LEWIS, DR</td>
<td>COLUMBIA ZOO</td>
<td>707 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA411</td>
<td>SMITHERS, J</td>
<td>SMITHERS' ZOO</td>
<td>1158 WASHINGTON AVE</td>
<td>66210</td>
</tr>
<tr>
<td>4CA412</td>
<td>FRASER, CH</td>
<td>FRASER'S ZOO</td>
<td>2002 STANTON RD</td>
<td>66204</td>
</tr>
<tr>
<td>4CA413</td>
<td>PATE, E</td>
<td>PATE'S ZOO</td>
<td>PO BOX 162</td>
<td>66210</td>
</tr>
<tr>
<td>4CA414</td>
<td>MCWENNA, E</td>
<td>MCWENNA'S ZOO</td>
<td>707 E 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA415</td>
<td>KERR, T</td>
<td>KERR'S ZOO</td>
<td>1100 WASHINGTON AVE</td>
<td>66202</td>
</tr>
</tbody>
</table>

### Maine

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>4CA409</td>
<td>MERRILL, CH</td>
<td>MERRILL'S ZOO</td>
<td>PO BOX 73</td>
<td>66210</td>
</tr>
<tr>
<td>4CA410</td>
<td>LEWIS, DR</td>
<td>COLUMBIA ZOO</td>
<td>707 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA411</td>
<td>SMITHERS, J</td>
<td>SMITHERS' ZOO</td>
<td>1158 WASHINGTON AVE</td>
<td>66210</td>
</tr>
<tr>
<td>4CA412</td>
<td>FRASER, CH</td>
<td>FRASER'S ZOO</td>
<td>2002 STANTON RD</td>
<td>66204</td>
</tr>
<tr>
<td>4CA413</td>
<td>PATE, E</td>
<td>PATE'S ZOO</td>
<td>PO BOX 162</td>
<td>66210</td>
</tr>
<tr>
<td>4CA414</td>
<td>MCWENNA, E</td>
<td>MCWENNA'S ZOO</td>
<td>707 E 7TH AVE</td>
<td>66202</td>
</tr>
<tr>
<td>4CA415</td>
<td>KERR, T</td>
<td>KERR'S ZOO</td>
<td>1100 WASHINGTON AVE</td>
<td>66202</td>
</tr>
</tbody>
</table>
## Maryland

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>1164</td>
<td>AMROSE, EL</td>
<td></td>
<td>RT 2 BOX 1130</td>
<td>HAGERSTOWN 21740</td>
</tr>
<tr>
<td>9126</td>
<td>BALTIMORE ZOO</td>
<td></td>
<td>PO BOX 375 AB STATION</td>
<td>BALTIMORE 21217</td>
</tr>
<tr>
<td>516</td>
<td>DAVIS, G &amp; J</td>
<td></td>
<td>10640 BALTO NATL PIKE</td>
<td>PASADENA 21122</td>
</tr>
<tr>
<td>51412</td>
<td>ENCHANTED FOREST</td>
<td></td>
<td>1023 NO AVE</td>
<td>ELLICOTT CITY 21043</td>
</tr>
<tr>
<td>5140</td>
<td>HINKLE, J</td>
<td></td>
<td>BTC 1156 LAKE SHORE</td>
<td>HAGERSTOWN 21740</td>
</tr>
<tr>
<td>5145</td>
<td>LEWIS, JL</td>
<td></td>
<td>750 S. PARK DRIVE</td>
<td>PASADENA 21122</td>
</tr>
<tr>
<td>51456</td>
<td>SALTISBURY ZOO</td>
<td></td>
<td>3 BOX 126</td>
<td>SALISBURY 21041</td>
</tr>
<tr>
<td>51453</td>
<td>ZOOLOGICAL CONSORT OF MD, INC.</td>
<td></td>
<td>CATERCTIN MOUNTAIN ZOO</td>
<td>THURMONT 21740</td>
</tr>
</tbody>
</table>

## Massachusetts

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>140</td>
<td>AQUARIUM OF CAPE COD, INC</td>
<td>AQUA CICUS</td>
<td>RT 28</td>
<td>WINSTON YANMOUTH 02673</td>
</tr>
<tr>
<td>1457</td>
<td>BOSTON MUSEUM OF SCIENCE</td>
<td>LIVE ANIMAL CENTER</td>
<td>SCIENCE PARK COUNTY ST</td>
<td>BOSTON 02110</td>
</tr>
<tr>
<td>1455</td>
<td>CAPRON PARK ZOO</td>
<td></td>
<td>300 CONGRESS ST</td>
<td>ATLENTON 07901</td>
</tr>
<tr>
<td>1451</td>
<td>CHILDREN'S MUSEUM</td>
<td></td>
<td>133 WILLIAM ST</td>
<td>NEW BEDFORD 02740</td>
</tr>
<tr>
<td>14517</td>
<td>CITY OF NEW BEDFORD</td>
<td>THE JAMAICA WAY</td>
<td>674 NORTH ST</td>
<td>PITTSFIELD 01201</td>
</tr>
<tr>
<td>14521</td>
<td>CITY OF PITTSFIELD</td>
<td>BOSTON ZOO PARK</td>
<td>455 MAIN STREET</td>
<td>SPRINGFIELD 01106</td>
</tr>
<tr>
<td>14528</td>
<td>CITY OF SPRINGFIELD</td>
<td>DEPT OF PARKS &amp; RECREATION</td>
<td>BOX 1206</td>
<td>SPRINGFIELD 01108</td>
</tr>
<tr>
<td>14516</td>
<td>CITY OF WORCEST</td>
<td>GREEN HILL PARK</td>
<td>BOX 7 PFE 5A</td>
<td>SPRINGFIELD 01108</td>
</tr>
<tr>
<td>14515</td>
<td>CLEFONTO, M</td>
<td>JUJU HEARDY HILLFORD ZONIE TOMAH TIPPE</td>
<td>300 N MAIN ST</td>
<td>SPRINGFIELD 01108</td>
</tr>
<tr>
<td>14510</td>
<td>DEVILFIRE CORP</td>
<td>WORCEST WILD ANIMAL PARK</td>
<td>32 WEST ST</td>
<td>LAWRENCE 01802</td>
</tr>
<tr>
<td>14510</td>
<td>FOSTER, KL</td>
<td>IT'S AN ANIMAL'S WORLD</td>
<td>10 WHITCOMB ST</td>
<td>WESTBURY 11450</td>
</tr>
<tr>
<td>14510</td>
<td>FOSTER, KL</td>
<td>FRANKLIN PARK ZOO</td>
<td>50, GREAT RD</td>
<td>LINCOLN 01773</td>
</tr>
<tr>
<td>14515</td>
<td>FINEST PARK DN, SUÝFITY</td>
<td></td>
<td>OLD PRINCETON RD BOX 51</td>
<td>HUDSRTON 01452</td>
</tr>
<tr>
<td>14515</td>
<td>FRANK NEWHALL LINK MEM P ARK</td>
<td></td>
<td>CENTRAL WHARF</td>
<td>BOSTON 02110</td>
</tr>
<tr>
<td>14515</td>
<td>NEW ENGLAND AQUARIUM CORP</td>
<td></td>
<td>RASSETT WILD ANIMAL PARK</td>
<td>REVERE 02631</td>
</tr>
<tr>
<td>14515</td>
<td>SOUTHWICK RINDS &amp; ANIMALS INC</td>
<td></td>
<td>620 TUBMAN RD</td>
<td>BLACKSTONE 01504</td>
</tr>
<tr>
<td>14520</td>
<td>SPRINGFIELD SCI., MUSEUM</td>
<td>TUNDR AQUARIUM</td>
<td>236 STATE ST</td>
<td>SPRINGFIELD 01103</td>
</tr>
<tr>
<td>14520</td>
<td>TUNDR AQUARIUM</td>
<td>WORLD WAR I MEMORIAL PARK</td>
<td>394 WEST BROADWAY</td>
<td>SOUTH ROYAL 02127</td>
</tr>
<tr>
<td>14520</td>
<td>TOWN OF NORTH ATTLEBORNS</td>
<td>50 NO. WASHINGTON ST</td>
<td>50 NORTH AMERICAN</td>
<td>NORTH ATTLEBORNS 02760</td>
</tr>
<tr>
<td>14520</td>
<td>WALTHAM ZP &amp; REC. DEPT</td>
<td>FAST COAST CAMEL CO</td>
<td>18 TOOLEY POND RD</td>
<td>NASHUA 03140</td>
</tr>
<tr>
<td>14520</td>
<td>WATSON, R</td>
<td>AUSTRALIAN PRODUCTIONS INC</td>
<td>56 POND STREET</td>
<td>EAFORD 01920</td>
</tr>
<tr>
<td>14520</td>
<td>WILLIPS, D</td>
<td>C/O SOUTHWICK RINDS &amp; AN THALS</td>
<td>222 HARRINGTON WAY</td>
<td>WORCEST 01604</td>
</tr>
</tbody>
</table>

## Michigan

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td></td>
<td>BOX 39</td>
<td>ROYAL OAK 48068</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td>JOHN BALL ZOO, GARDENS</td>
<td>BOX 300 MARKET ST SW</td>
<td>GRAND RAPIDS 49002</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td>POTTER PARK ZOO</td>
<td>1301 5 KENN AVE</td>
<td>LANSING 48933</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td></td>
<td>4750 WHITTEMAR RD</td>
<td>CREMONA 40038</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td>GAME HAVEN</td>
<td>1355 SHORE RD</td>
<td>MUSKEGON 49445</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td>SCHUMACHER'S CHIMPANZEE'S</td>
<td>7506 NORTH WAY</td>
<td>WOLVERINE 49799</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td>POODLES OF PARIS</td>
<td>7506 NORTH WAY</td>
<td>UNION LAKE 48085</td>
</tr>
<tr>
<td>1453</td>
<td>MICHIGAN SCIENCE CTR.</td>
<td></td>
<td></td>
<td>UNION LAKE 48085</td>
</tr>
<tr>
<td>LICENSE NUMBER</td>
<td>NAME</td>
<td>DOING BUSINESS AS</td>
<td>ADDRESS</td>
<td>CITY AND ZIP CODE</td>
</tr>
<tr>
<td>----------------</td>
<td>------</td>
<td>-------------------</td>
<td>---------</td>
<td>------------------</td>
</tr>
<tr>
<td>41C13</td>
<td>BLUE MOUND IHN</td>
<td>MILLIKEN CREEK</td>
<td>NORTH HWY 75</td>
<td>LUNVERE 56456</td>
</tr>
<tr>
<td>41C17</td>
<td>CAMPBELL, J.D.</td>
<td>COLD SPRINGS 6B</td>
<td>DEER VALLEY</td>
<td>WEST CONCORD 55085</td>
</tr>
<tr>
<td>41C27</td>
<td>CITY OF LITTLE FALLS</td>
<td>NEDELDWOODS WILDLIFE RANCH</td>
<td>TRAPPER MINE WILD</td>
<td>LITTLE FALLS 56355</td>
</tr>
<tr>
<td>41C18</td>
<td>CITY OF WAPEN</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>WADENA 56282</td>
</tr>
<tr>
<td>41C19</td>
<td>COLD SPRINGS INC.</td>
<td>DEER FOREST</td>
<td>DEER VALLEY</td>
<td>DETROIT 56501</td>
</tr>
<tr>
<td>41C16</td>
<td>FOGGLES, L.K.</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>DAY 56900</td>
</tr>
<tr>
<td>41C15</td>
<td>GIYSTRATD, G</td>
<td>COLD SPRINGS 6B</td>
<td>DEER VALLEY</td>
<td>CUSHIGHT 55710</td>
</tr>
<tr>
<td>41C13</td>
<td>HARGEN, D</td>
<td>NEEDLES WILDLife RANCH</td>
<td>TRAPPER MINE WILD</td>
<td>WISCONSIN 54488</td>
</tr>
<tr>
<td>41C16</td>
<td>LAREN, L</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>PRINCETON 55771</td>
</tr>
<tr>
<td>41C12</td>
<td>LENTZ, F.C.</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>EVETHE 45122</td>
</tr>
<tr>
<td>41C16</td>
<td>LENTZ, F.</td>
<td>COLD SPRINGS 6B</td>
<td>DEER VALLEY</td>
<td>RODGER 56740</td>
</tr>
<tr>
<td>41C15</td>
<td>LEIPSTHAN, N &amp; D</td>
<td>NEEDLES WILDLife RANCH</td>
<td>TRAPPER MINE WILD</td>
<td>BLAINE 55534</td>
</tr>
<tr>
<td>41C16</td>
<td>MARKWICK, R</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>GRAND PORTAGE 55065</td>
</tr>
<tr>
<td>41C17</td>
<td>MECKERIN, C &amp; S</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>CENTER CITY 53012</td>
</tr>
<tr>
<td>41C16</td>
<td>MCFARLANE, G</td>
<td>COLD SPRINGS 6B</td>
<td>DEER VALLEY</td>
<td>ST. CLAIR 56301</td>
</tr>
<tr>
<td>41C16</td>
<td>NATAL, M</td>
<td>NEEDLES WILDLife RANCH</td>
<td>TRAPPER MINE WILD</td>
<td>PARKERV 56770</td>
</tr>
<tr>
<td>41C15</td>
<td>NOLL, J</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>LITTLE FALLS 56355</td>
</tr>
<tr>
<td>41C15</td>
<td>NOLL, J</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>APPLE VALLEY 55124</td>
</tr>
<tr>
<td>41C16</td>
<td>PARRIS, R</td>
<td>NEEDLES WILDLife RANCH</td>
<td>TRAPPER MINE WILD</td>
<td>BRAINERD 56401</td>
</tr>
<tr>
<td>41C15</td>
<td>ROLLIE, E.</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>REMJIIO 56001</td>
</tr>
<tr>
<td>41C15</td>
<td>BUMBA, R</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>SHAKOPEE 55370</td>
</tr>
<tr>
<td>41C16</td>
<td>SOUTH, N</td>
<td>NEEDLES WILDLife RANCH</td>
<td>TRAPPER MINE WILD</td>
<td>CROSSLAKE 55321</td>
</tr>
<tr>
<td>41C15</td>
<td>TANK, J</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>CROSSLAKE 55321</td>
</tr>
<tr>
<td>41C15</td>
<td>TANK, J</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>CROSSLAKE 55321</td>
</tr>
<tr>
<td>41C15</td>
<td>TANK, J</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>CROSSLAKE 55321</td>
</tr>
<tr>
<td>41C15</td>
<td>TANK, J</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>CROSSLAKE 55321</td>
</tr>
<tr>
<td>41C15</td>
<td>TANK, J</td>
<td>SNYSMILY WILDLIFE HAVEN</td>
<td>DEER FOREST</td>
<td>CROSSLAKE 55321</td>
</tr>
<tr>
<td>41C15</td>
<td>TANK, J</td>
<td>WILLY LENZ CHAMPAGNE KBB</td>
<td>THE FARM SUPPER CLUB INC</td>
<td>CROSSLAKE 55321</td>
</tr>
</tbody>
</table>

**MISSISSIPPI**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>41C26</td>
<td>ROLAND, Q</td>
<td>THE CANR STORE</td>
<td>HWY 20-NORTH</td>
<td>WIGGINS 39077</td>
</tr>
<tr>
<td>41C26</td>
<td>CITY OF MATTIESBURG</td>
<td>CAMPER PARK &amp; ZOO</td>
<td>HWY 20-11TH AVE</td>
<td>MATTIESBURG 39021</td>
</tr>
<tr>
<td>41C26</td>
<td>CITY OF JACKSON</td>
<td>JACKSON ZOOLOGICAL PARK</td>
<td>HWY 201-11TH AVE</td>
<td>JACKSON 39269</td>
</tr>
<tr>
<td>41C26</td>
<td>CITY OF MEREIAN</td>
<td>MEREIAN ZOO</td>
<td>HWY 201-11TH AVE</td>
<td>MERIDIAN 39301</td>
</tr>
<tr>
<td>41C26</td>
<td>CITY OF MEREIAN</td>
<td>FANTASY LAND</td>
<td>HWY 201-11TH AVE</td>
<td>FLORENCE 39073</td>
</tr>
<tr>
<td>41C26</td>
<td>CITY OF MEREIAN</td>
<td>MARINE ANIMAL PRODUCTIONS INC.</td>
<td>HWY 201-11TH AVE</td>
<td>PELLA 39261</td>
</tr>
<tr>
<td>41C26</td>
<td>CITY OF MEREIAN</td>
<td>NESHOMA COUNTY PARK &amp; REC</td>
<td>HWY 201-11TH AVE</td>
<td>PHILADELPHIA 39355</td>
</tr>
</tbody>
</table>

**MISSOURI**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>41C25</td>
<td>ACTION ADVERTISING CO.</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>FORLAND 65092</td>
</tr>
<tr>
<td>41C25</td>
<td>ANMHERST-BUSH, INC.</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>POPLAR PLUFF 65091</td>
</tr>
<tr>
<td>41C25</td>
<td>CAMPBELL, D &amp; S</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>ST. LOUIS 63110</td>
</tr>
<tr>
<td>41C25</td>
<td>GARDEN-JOHNSTON CIRCUS CORP.</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>ELKHORN 65026</td>
</tr>
<tr>
<td>41C25</td>
<td>MALE, D</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>WILLOW 65711</td>
</tr>
<tr>
<td>41C25</td>
<td>JACKSON CO. PARK DEPT.</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>CAFF GARGOOG 63701</td>
</tr>
<tr>
<td>41C25</td>
<td>JONES, D. &amp; G</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>BLUE SPRINGS 64015</td>
</tr>
<tr>
<td>41C25</td>
<td>JONES, J</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>CAPE GARGOOG 63701</td>
</tr>
<tr>
<td>41C25</td>
<td>KANSAS CITY ZOO, GARDEN</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>STAFFORD 65775</td>
</tr>
<tr>
<td>41C25</td>
<td>KRUSE, M</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>KANSAS CITY 64132</td>
</tr>
<tr>
<td>41C25</td>
<td>MAY ALLEN'S ZOOLOG. GARDE</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>BLUE SPRINGS 64015</td>
</tr>
<tr>
<td>41C25</td>
<td>NISSON, I</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>ELKHORN 65026</td>
</tr>
<tr>
<td>41C25</td>
<td>WOOD PEAKS OVERN AUDI-MERICAN ZOO &amp; THEATRE</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>EUREKA 63025</td>
</tr>
<tr>
<td>41C25</td>
<td>SPRINGFIELD PARK BOARD</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>SPRINGFIELD 65803</td>
</tr>
<tr>
<td>41C25</td>
<td>SPRINGFIELD PARK BOARD</td>
<td>ANIMAL WOOD</td>
<td>MURRAY MILL ENTERPRISES</td>
<td>ST. LOUIS 63110</td>
</tr>
</tbody>
</table>

**MONTANA**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>41C25</td>
<td>GREEBE, L.P</td>
<td>DON JONZ ZOO</td>
<td>MDY 187</td>
<td>PELSTOE 59054</td>
</tr>
<tr>
<td>41C25</td>
<td>REEVE'S ZOO</td>
<td>DON JONZ ZOO</td>
<td>MDY 187</td>
<td>PELSTOE 59054</td>
</tr>
<tr>
<td>41C25</td>
<td>THE TRAP INC</td>
<td>DON JONZ ZOO</td>
<td>MDY 187</td>
<td>PELSTOE 59054</td>
</tr>
</tbody>
</table>

**NOTICE**

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18625
### Nebraska

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>47003</td>
<td>AGFP MEMORIAL ZOO</td>
<td>PIONEER PARK ZOO</td>
<td>1300 S 27TH ST, LINCOLN 68502</td>
<td></td>
</tr>
<tr>
<td>47001</td>
<td>A HULST &amp; PULSON ZOO</td>
<td>WOLSON CHILDREN'S ZOO</td>
<td>2600 A ST, LINCOLN 68502</td>
<td></td>
</tr>
<tr>
<td>47006</td>
<td>A &amp; R MONKEY HAVEN</td>
<td>A &amp; R MONKEY HAVEN</td>
<td>309 BURLINGTON</td>
<td></td>
</tr>
<tr>
<td>47005</td>
<td>CITY OF NORTH PLATTE</td>
<td>CITY OF NORTH PLATTE</td>
<td>211 WEST 3RD ST</td>
<td></td>
</tr>
<tr>
<td>47007</td>
<td>HENRY MUNLEY ZOO</td>
<td>RIVERSVIEW ZOO</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Nevada

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABEF</td>
<td>ALUMINUM, C</td>
<td>ROLLAY REROSINI LTD</td>
<td>115 E MOWAN AVE, LAS VEGAS 89119</td>
<td></td>
</tr>
<tr>
<td>ABEFF</td>
<td>AUGSBURG, C</td>
<td>MARQUIS CHIMPANZEEZ CATS</td>
<td>6772 EASTERN AVE, LAS VEGAS 89110</td>
<td></td>
</tr>
<tr>
<td>ABEFG</td>
<td>ABEF, C</td>
<td>ROLLAY REROSINI LTD</td>
<td>6206 HEADWATER LANE, LAS VEGAS 89103</td>
<td></td>
</tr>
<tr>
<td>ABEFFG</td>
<td>ABERG, A</td>
<td>ROLLAY REROSINI LTD</td>
<td>2712 E PEAK AVE, LAS VEGAS 89120</td>
<td></td>
</tr>
<tr>
<td>ABEFGG</td>
<td>ABERGER, R &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>2971 SANTA MARGARITA, LAS VEGAS 89102</td>
<td></td>
</tr>
<tr>
<td>ABEFHH</td>
<td>ABERGER, R &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>PO 14657, LAS VEGAS 89119</td>
<td></td>
</tr>
<tr>
<td>ABEFFHHH</td>
<td>ABERGER, R &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>2055 MONTEREY CIRCLE, LAS VEGAS 89102</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHH</td>
<td>ALLER, R</td>
<td>ROLLAY REROSINI LTD</td>
<td>3135 CHARMIN AVE, LAS VEGAS 89110</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHHH</td>
<td>ALLER, R</td>
<td>ROLLAY REROSINI LTD</td>
<td>2115 MONTGOMERY WAY, LAS VEGAS 89109</td>
<td></td>
</tr>
<tr>
<td>ABEFH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>10940 CHESTNUT RD, LAS VEGAS 89106</td>
<td></td>
</tr>
<tr>
<td>ABEFHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>P0 BOX 49, HOAPA 89024</td>
<td></td>
</tr>
<tr>
<td>ABEFHHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>4026 SYRUPHUS DR, LAS VEGAS 89121</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>1659 NO VALLEY DR, LAS VEGAS 89109</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>PO BOX 707, SPARKS 89331</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHHHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>192 ERIE AVE, LAS VEGAS 89119</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHHHHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>3103 S JONES BLVD, LAS VEGAS 89102</td>
<td></td>
</tr>
<tr>
<td>ABEFHHHHHHHH</td>
<td>AMMON, A &amp; D</td>
<td>ROLLAY REROSINI LTD</td>
<td>PO BOX 1274, WINNEMUCCA 89405</td>
<td></td>
</tr>
</tbody>
</table>

### New Hampshire

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>22010</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>87 SHORE DR, LACONTA 03246</td>
<td></td>
</tr>
<tr>
<td>22011</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>RT 111, HUDSON 03041</td>
<td></td>
</tr>
<tr>
<td>22012</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>RT, 2 BOX 110, JEFFERSON 03583</td>
<td></td>
</tr>
<tr>
<td>22013</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>US ROUTE 10 BOX 7, DUBLIN 03246</td>
<td></td>
</tr>
<tr>
<td>22014</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>BOX 1776 RT 16, LINCOLN 03251</td>
<td></td>
</tr>
<tr>
<td>22015</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>PO BOX A, OAKLEY 03406</td>
<td></td>
</tr>
<tr>
<td>22016</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>RT 3, ROLLINSFORD 03245</td>
<td></td>
</tr>
<tr>
<td>22017</td>
<td>RALDO, L</td>
<td>ENCHANTED FOREST</td>
<td>87 SHORE DR, LACONTA 03246</td>
<td></td>
</tr>
</tbody>
</table>

---

Note: The table continues with entries for New Hampshire, but they are not fully visible in the provided image.
### NEW JERSEY

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>2319</strong></td>
<td>ANIMAL ACTORS INT INC</td>
<td>COHANZICK ZOO</td>
<td>RD 3 BOX 221</td>
<td>WASHINGTON 07862</td>
</tr>
<tr>
<td><strong>2322</strong></td>
<td>BURGER, J &amp; F</td>
<td>1-23 GIFFER PLACE</td>
<td>RD 1 BOX 431-A</td>
<td>BLACKWOOD 08012</td>
</tr>
<tr>
<td><strong>2324</strong></td>
<td>CAPE MAY COUNTY PARK ZOO</td>
<td>ANIMAL ATTRACTIONS UNLIMITED</td>
<td>PO BOX 120</td>
<td>CAPE MAY COURT HOUSE 08210</td>
</tr>
<tr>
<td><strong>2325</strong></td>
<td>CITRUS, R</td>
<td>115 CLIFTON AVENUE</td>
<td>RD 6 BOX 110</td>
<td>TRENTON 08610</td>
</tr>
<tr>
<td><strong>2326</strong></td>
<td>DAWN ANIMAL AGENCY INC</td>
<td>MEADOW GATE FARM</td>
<td>P.O. BOX 66</td>
<td>OAK RIDGE 07642</td>
</tr>
<tr>
<td><strong>2327</strong></td>
<td>DIETZ, R</td>
<td>41-23 GIFFER PLACE</td>
<td>RD 1 BOX 110-F</td>
<td>COLTS NECK 07722</td>
</tr>
<tr>
<td><strong>2328</strong></td>
<td>ESSEX COUNTY PARK COMM</td>
<td>TURTLE RACK ZOO</td>
<td>P.O. BOX 127</td>
<td>FAIR LAWN 07410</td>
</tr>
<tr>
<td><strong>2329</strong></td>
<td>GIORDANO, P</td>
<td>151 N ANAPOLIS AVE</td>
<td>P.O. BOX 61</td>
<td>NEWARK 07103</td>
</tr>
<tr>
<td><strong>2330</strong></td>
<td>GREAT ADVENTURE INC</td>
<td>GREAT ADVENTURE</td>
<td>P.O. BOX 108</td>
<td>PHILADELPHIA 19144</td>
</tr>
<tr>
<td><strong>2331</strong></td>
<td>HUNTER'S CIRCUS, INC</td>
<td>GREAT ADVENTURE</td>
<td>P.O. BOX 10</td>
<td>WASHINGTON 07862</td>
</tr>
<tr>
<td><strong>2332</strong></td>
<td>JONES, J</td>
<td>511 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2333</strong></td>
<td>KAPLAN, D</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2334</strong></td>
<td>KAPLAN, D</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2335</strong></td>
<td>RIOFRON, JR</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2336</strong></td>
<td>RIOFRON, JR</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2337</strong></td>
<td>SWEETHEART FARM</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2338</strong></td>
<td>TERRY LOU ZOO</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2339</strong></td>
<td>WHITCRAFT, JR</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2340</strong></td>
<td>WINTER, R</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
<tr>
<td><strong>2341</strong></td>
<td>YOUNG, W</td>
<td>575 MAIN STREET</td>
<td>CITY PARK</td>
<td>WOODSON 07601</td>
</tr>
</tbody>
</table>

### NEW MEXICO

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>2374</strong></td>
<td>CITY OF ALBUQUERQUE</td>
<td>ALAMEDA PARK ZOO</td>
<td>511 TENTH ST</td>
<td>ALBUQUERQUE 87105</td>
</tr>
<tr>
<td><strong>2375</strong></td>
<td>LIVING DESERT STATE PARK</td>
<td>RIO GRANDE ZOO</td>
<td>PO BOX 1203</td>
<td>ALBUQUERQUE 87102</td>
</tr>
<tr>
<td><strong>2376</strong></td>
<td>STARKEY, ER</td>
<td>FLYING W15 EXOTIC GAME FARM</td>
<td>PO BOX 100</td>
<td>ALBUQUERQUE 87102</td>
</tr>
<tr>
<td><strong>2377</strong></td>
<td>TERRY LOU ZOO</td>
<td>511 TENTH ST</td>
<td>ALBUQUERQUE 87105</td>
<td></td>
</tr>
<tr>
<td><strong>2378</strong></td>
<td>WHITCRAFT, JR</td>
<td>511 TENTH ST</td>
<td>ALBUQUERQUE 87105</td>
<td></td>
</tr>
<tr>
<td><strong>2379</strong></td>
<td>WINTER, R</td>
<td>511 TENTH ST</td>
<td>ALBUQUERQUE 87105</td>
<td></td>
</tr>
<tr>
<td><strong>2380</strong></td>
<td>YOUNG, W</td>
<td>511 TENTH ST</td>
<td>ALBUQUERQUE 87105</td>
<td></td>
</tr>
</tbody>
</table>
### NEW YORK

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>21TT</td>
<td>ALL-TIME ANIMALS, INC.</td>
<td>WILDCRIST MUSEUM</td>
<td>53 OLD WELL RD.</td>
<td>PURCHASE 10577</td>
</tr>
<tr>
<td>21CD</td>
<td>ANIMAL LAND INC</td>
<td>T.K. FITTING 200</td>
<td>1721 ST. PAUL ST</td>
<td>GLENS FALLS 12001</td>
</tr>
<tr>
<td>21MC</td>
<td>HARRIS, DR</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NH</td>
<td>HILLTOP PARK ZOO</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21RI</td>
<td>CARPENTER G.</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21IL</td>
<td>CATERKILL CAPE LANDING INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NA</td>
<td>CITY OF KINGSTON</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21FH</td>
<td>CITY OF NEW YORK</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NH</td>
<td>CITY OF UTICA &amp; UTICA 700 GO</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21FA</td>
<td>CITY OF WATERFORD</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21AC</td>
<td>COUNTY OF HUDSON</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NL</td>
<td>CROSS L &amp; N</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NS</td>
<td>CARTER L.</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21JO</td>
<td>FANTASY ISLAND, INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21OY</td>
<td>HERITAGE DEVELOPMENT GR., INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21LA</td>
<td>HERMAN'S HIGHEST INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NB</td>
<td>LITTLE TREE LAND, INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NZ</td>
<td>LILLYPPOP FARM OF ROCHELLE &amp; INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NO</td>
<td>LONG ISLAND CAPE FARM INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21KX</td>
<td>MARSHFIELD BREEDING FARM INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21JN</td>
<td>MILLBROOK SCHOOL</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21KS</td>
<td>MOREAU, PJ</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21DY</td>
<td>MURPHY, ED</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21AW</td>
<td>NEW YORK ZOOLOGICAL SOCIETY</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21AZ</td>
<td>NATURE, THE</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21KZ</td>
<td>OPPENDORF ZOOLOGICAL SOCIETY</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21OH</td>
<td>PALISADES INTERSTATE PARK</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21KR</td>
<td>POPOLITINO GR</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21KV</td>
<td>RIX F, A</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21MU</td>
<td>RASCAL, A</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21LE</td>
<td>SANTA'S WORKSHOP INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21NH</td>
<td>SEA RESEARCH FOUNDATION, INC</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21IL</td>
<td>SEPEDEV, G</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21FL</td>
<td>SNYDER DAVID CORP</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21PA</td>
<td>SOUTHERN TIER ZOOLOGICAL SOCIETY</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21HY</td>
<td>STATEN ISLAND ZOOLOGICAL SOCIETY</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
<tr>
<td>21JK</td>
<td>STATIONS, S</td>
<td>PURCHASE 10577</td>
<td>1721 ST. PAUL ST</td>
<td>ROCHESTER 14621</td>
</tr>
</tbody>
</table>

### NEW YORK

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>21RB</td>
<td>STERLING AK FURS/LAND INC</td>
<td>WEST HARRISON MEMORIAL PARK</td>
<td>17 SARANAC AV</td>
<td>LAKE PLACID 12946</td>
</tr>
<tr>
<td>21FP</td>
<td>STRATFORD FUN PARK</td>
<td>WEST HARRISON MEMORIAL PARK</td>
<td>17 SARANAC AV</td>
<td>LAKE PLACID 12946</td>
</tr>
<tr>
<td>21NI</td>
<td>TOWN OF HARRISON</td>
<td>WEST HARRISON MEMORIAL PARK</td>
<td>17 SARANAC AV</td>
<td>LAKE PLACID 12946</td>
</tr>
<tr>
<td>21ML</td>
<td>VEIT, JH</td>
<td>WEST HARRISON MEMORIAL PARK</td>
<td>17 SARANAC AV</td>
<td>LAKE PLACID 12946</td>
</tr>
<tr>
<td>21KT</td>
<td>VIDRELL, AKJ</td>
<td>WEST HARRISON MEMORIAL PARK</td>
<td>17 SARANAC AV</td>
<td>LAKE PLACID 12946</td>
</tr>
<tr>
<td>21FJ</td>
<td>ZOOL. SCI. OF BUFFALO, INC</td>
<td>WEST HARRISON MEMORIAL PARK</td>
<td>17 SARANAC AV</td>
<td>LAKE PLACID 12946</td>
</tr>
</tbody>
</table>
### NORTH CAROLINA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>55225</td>
<td>ROWIE, F</td>
<td>CARRAD ENTERPRISES</td>
<td>862 SHAW MILL RD</td>
<td>FAYETTEVILLE 28301</td>
</tr>
<tr>
<td>55214</td>
<td>CARRAD ENTERPRISES</td>
<td>NATURE CENTER</td>
<td>862 SHAW MILL RD</td>
<td>FAYETTEVILLE 28301</td>
</tr>
<tr>
<td>55201</td>
<td>CITY OF ASHEVILLE</td>
<td>NATURAL SCIENCE CTR OF GREENSBORO</td>
<td>4301 LAWNLAND AVE</td>
<td>ASHEVILLE 28805</td>
</tr>
<tr>
<td>55223</td>
<td>CITY OF GREENSBORO</td>
<td>SANTA'S LAND ZOO</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55227</td>
<td>FEICK, GT</td>
<td>HAMPTON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55219</td>
<td>WEDE., J &amp; RITT, R</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55219</td>
<td>HAMPTON, H &amp; J R J</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55217</td>
<td>JOHNSON, JR, MM</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55210</td>
<td>JOHNSON, JR, CH</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55215</td>
<td>JEFF, N</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55216</td>
<td>LYNDS, D</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55213</td>
<td>MILLER, J &amp; S</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55214</td>
<td>NICHOLSON, HH &amp; SONS</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55227</td>
<td>N, CAROLINA ZOLOGICAL PARK</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55227</td>
<td>RUTLAN, R</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55226</td>
<td>ROYAL COUNTY PARKS &amp; REC</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55220</td>
<td>THE MCADENVILLE FOUNDATION</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55213</td>
<td>TONG, L</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55210</td>
<td>TOTEMWOOD, GRAF</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55214</td>
<td>TREEBRELL, T</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55212</td>
<td>TREVES, J &amp; LT</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55211</td>
<td>UNDERWOOD, K &amp; A</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
<tr>
<td>55210</td>
<td>WILSON, B &amp; H</td>
<td>JOHNSON ENTERPRISES</td>
<td>1500 SLEEPY CREEK RD</td>
<td>GREENSBORO 27406</td>
</tr>
</tbody>
</table>

### NORTH DAKOTA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>45211</td>
<td>CHAMPAKA ZOO ASSN</td>
<td>CHAMPAKA ZOO</td>
<td>BOX 101</td>
<td>WOODSTOCK 56255</td>
</tr>
<tr>
<td>45213</td>
<td>DAKOTA ZOOLOGICAL SOC</td>
<td>DAKOTA ZOO</td>
<td>BOX 711</td>
<td>RUSSEIK 57061</td>
</tr>
<tr>
<td>45223</td>
<td>GOLD SEAL, C</td>
<td>DAKOTA ZOO</td>
<td>BOX 333</td>
<td>RUSSEIK 57061</td>
</tr>
<tr>
<td>45214</td>
<td>WILD PARK DISTRICT</td>
<td>DAKOTA ZOO</td>
<td>BOX 333</td>
<td>RUSSEIK 57061</td>
</tr>
<tr>
<td>45215</td>
<td>SPRING LAKE ZOO</td>
<td>DAKOTA ZOO</td>
<td>BOX 333</td>
<td>RUSSEIK 57061</td>
</tr>
</tbody>
</table>

### NORTH DAKOTA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>45211</td>
<td>CHAMPAKA ZOO ASSN</td>
<td>CHAMPAKA ZOO</td>
<td>BOX 101</td>
<td>WOODSTOCK 56255</td>
</tr>
<tr>
<td>45213</td>
<td>DAKOTA ZOOLOGICAL SOC</td>
<td>DAKOTA ZOO</td>
<td>BOX 711</td>
<td>RUSSEIK 57061</td>
</tr>
<tr>
<td>45223</td>
<td>GOLD SEAL, C</td>
<td>DAKOTA ZOO</td>
<td>BOX 333</td>
<td>RUSSEIK 57061</td>
</tr>
<tr>
<td>45214</td>
<td>WILD PARK DISTRICT</td>
<td>DAKOTA ZOO</td>
<td>BOX 333</td>
<td>RUSSEIK 57061</td>
</tr>
<tr>
<td>45215</td>
<td>SPRING LAKE ZOO</td>
<td>DAKOTA ZOO</td>
<td>BOX 333</td>
<td>RUSSEIK 57061</td>
</tr>
</tbody>
</table>
OHIO

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP COEF</th>
</tr>
</thead>
<tbody>
<tr>
<td>31C336</td>
<td>BARBER, I</td>
<td>CITY OF AKRON PARKS &amp; REC RECREATION</td>
<td>1485 WALL STREET</td>
<td>MAUVE 43537</td>
</tr>
<tr>
<td>31C346</td>
<td>CLARE-EMERICK</td>
<td>AKRON CHILDREN'S ZOO</td>
<td>1825 TAPPETT BLVD BLG 1 A</td>
<td>AKRON 43005</td>
</tr>
<tr>
<td>31C348</td>
<td>COLUMBUS ZOOS, GARDEN</td>
<td>UNITED ZOOLOGICAL EXHIBIT</td>
<td>8C00 RIVERSIDE DR</td>
<td>NEW LONDON 45651</td>
</tr>
<tr>
<td>31C368</td>
<td>COLEY, J</td>
<td>BUCKEYE CIRCUS CORP.</td>
<td>1500 WATSON RD, SE</td>
<td>NEW LONDON 45651</td>
</tr>
<tr>
<td>31C399</td>
<td>CULLINAN, K</td>
<td>GORDONS AMUSEMENTS</td>
<td>RX-1</td>
<td>NEW LONDON 45651</td>
</tr>
<tr>
<td>31C425</td>
<td>D'JAMSHI, R</td>
<td>PHELIX HENKELS</td>
<td>4011 MANCHESTER RD.</td>
<td>AKRON 43001</td>
</tr>
<tr>
<td>31C439</td>
<td>DONOVAN, R</td>
<td>DONOVAN, R</td>
<td>151 BELL STREET</td>
<td>NEW LONDON 45651</td>
</tr>
<tr>
<td>31C451</td>
<td>ERIEMARK, J</td>
<td>2901 EHNEMARK RD</td>
<td>CHAGRIN FALLS 44022</td>
<td></td>
</tr>
<tr>
<td>31C470</td>
<td>EDGEFORD CONSERVATION CLUB</td>
<td>EDGEFORD CONSERVATION CLUB</td>
<td>300 BOX 3</td>
<td>CIRCLEVILLE 43113</td>
</tr>
<tr>
<td>31C511</td>
<td>EGGLESTON, D</td>
<td>MOTHER NATURES MENERAGE</td>
<td>6755 VENIER AVENUE</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C536</td>
<td>ELLIS, J</td>
<td>447 W STEELE IONAFS RD</td>
<td>AKRON 43303</td>
<td></td>
</tr>
<tr>
<td>31C571</td>
<td>FOLLIN, J</td>
<td>1502 CANTERBURY RD</td>
<td>AKRON 43303</td>
<td></td>
</tr>
<tr>
<td>31C577</td>
<td>GOSHEM, J</td>
<td>4999 HOBBSHOE REND RD</td>
<td>AKRON 43303</td>
<td></td>
</tr>
<tr>
<td>31C620</td>
<td>HENDELE, F</td>
<td>ANIMALS OF DISTINCTION CT</td>
<td>33775 HYDRA TRAIL</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C641</td>
<td>HOFFMAN, J</td>
<td>PETE AND POP</td>
<td>1906 HIGHLAND AVF</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C672</td>
<td>HOLLAND, R</td>
<td>GULLIVER'S RAIN SALE</td>
<td>33775 HYDRA TRAIL</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C710</td>
<td>HUMPHREY, R</td>
<td>LION WRECKING YARD</td>
<td>1100 16TH ST</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C734</td>
<td>LAGONOFF, P</td>
<td>KING OF THE WIG HOUSE</td>
<td>1100 5TH ST</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C756</td>
<td>LIDEA, J</td>
<td>TRADITIONAL ZOOLOGICAL PARK</td>
<td>11117 CLAPTON-HOARD RD.</td>
<td>AKRON 43303</td>
</tr>
<tr>
<td>31C787</td>
<td>LOWE, J</td>
<td>WILDMARK ENTERPRISES</td>
<td>3000 VINE ST</td>
<td>AKRON 43303</td>
</tr>
</tbody>
</table>

OKLAHOMA

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP COEF</th>
</tr>
</thead>
<tbody>
<tr>
<td>31F211</td>
<td>ALRAN, A</td>
<td>RUFFALO RANCH</td>
<td>PO BOX 391</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F215</td>
<td>AMOREDA, J</td>
<td>WINTERSMITH PARK</td>
<td>PO BOX J</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F240</td>
<td>CARSON &amp; BARNES CIRCUS, I</td>
<td>TULSA ZOOLOGICAL PARK</td>
<td>1316 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F245</td>
<td>CITY OF OKLAHOMA CITY</td>
<td>ASPRECK MUSEUM</td>
<td>2604 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F259</td>
<td>CITY OF SHAWNEE</td>
<td>APACHE WILDLIFE REFUGE</td>
<td>1647 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F264</td>
<td>CITY OF TULSA</td>
<td>WOODLAND WILDLIFE REFUGE</td>
<td>1648 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F270</td>
<td>CITY OF NIAGARA FALLS</td>
<td>WOODLAND DEER PARK</td>
<td>1649 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F274</td>
<td>CITY OF OKLAHOMA CITY</td>
<td>WOODLAND DEER PARK</td>
<td>1650 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
<tr>
<td>31F277</td>
<td>WARD, R</td>
<td>WOODLAND DEER PARK</td>
<td>1651 E 7TH ST</td>
<td>APTON 74331</td>
</tr>
</tbody>
</table>

OREGON

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP COEF</th>
</tr>
</thead>
<tbody>
<tr>
<td>31G217</td>
<td>CHILDRESS, J &amp; F</td>
<td>ROGUE VALLEY WILDLIFE PARK</td>
<td>PO BOX 493</td>
<td>EAGLE POINT 97528</td>
</tr>
<tr>
<td>31G237</td>
<td>CITY OF KLINAMTH FALLS</td>
<td>RENDEZVOUS RANGER</td>
<td>27963 REDWOOD HWY</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G247</td>
<td>CRAGH, M</td>
<td>ROGUE FALL PARK</td>
<td>PO BOX 9</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G247</td>
<td>FISHBUR, R</td>
<td>WOODLAND DEER PARK</td>
<td>PO BOX 107</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G257</td>
<td>GILBERT, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>BOX 77</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G267</td>
<td>GINNERS, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>1305 SW 16TH</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G277</td>
<td>GORDY-CIRCUS, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>88043 HWY 101</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G287</td>
<td>HARRIS, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>PT 4, BOX 652</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G297</td>
<td>HULL, P &amp; &amp; MA</td>
<td>WOODLAND DEER PARK</td>
<td>4001 ROCKYDALE RD.</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G307</td>
<td>HUMBER, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>12340 HWY 258</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G317</td>
<td>SCHWEDTER, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>2240 SW MORRISON</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G327</td>
<td>TROJAN, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>175 MT. VIEW DR</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G337</td>
<td>WEST COAST DEER PARK</td>
<td>BOX 500</td>
<td>KLAMATH FALLS 97601</td>
<td></td>
</tr>
<tr>
<td>31G347</td>
<td>WOODS, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>110 BOX 34</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G357</td>
<td>WOODS, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>RT 1, BOX 390</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>31G367</td>
<td>WOODS, J &amp; L</td>
<td>WOODLAND DEER PARK</td>
<td>265 BOX 9</td>
<td>KLAMATH FALLS 97601</td>
</tr>
<tr>
<td>LICENSE NUMBER</td>
<td>NAME</td>
<td>GOING BUSINESS AS</td>
<td>ADDRESS</td>
<td>CITY AND ZIP CODE</td>
</tr>
<tr>
<td>----------------</td>
<td>------</td>
<td>-------------------</td>
<td>---------</td>
<td>------------------</td>
</tr>
<tr>
<td>25F66</td>
<td>ACRO OF NAT SCIENCES</td>
<td></td>
<td>19TH &amp; THE PARKWAY</td>
<td>PHILADELPHIA 19103</td>
</tr>
<tr>
<td>25F71</td>
<td>ALLEGHENY CT PK DE CN</td>
<td></td>
<td>345 COUNTRY PKR BLOC</td>
<td>PITTSBURGH 15210</td>
</tr>
<tr>
<td>25F76</td>
<td>ANIMAL KINGDOM TALENT SER</td>
<td></td>
<td>RD 2 MTX 61</td>
<td>TICO 14540</td>
</tr>
<tr>
<td>25F79</td>
<td>APEX, JC &amp; AC</td>
<td></td>
<td>RD AXX 192</td>
<td>READING 17603</td>
</tr>
<tr>
<td>25F81</td>
<td>ARLINGTON, CN</td>
<td></td>
<td>7321 AVQUIT HWY</td>
<td>WYOMING 12798</td>
</tr>
<tr>
<td>25F83</td>
<td>ARROW, SR 66</td>
<td></td>
<td>RD 6 MTX 225</td>
<td>ELLICOTT CITY 21041</td>
</tr>
<tr>
<td>25F84</td>
<td>AUSTER OF HARDSFORD INTERNATIONAL LTD</td>
<td></td>
<td>236 EAST AVY ST</td>
<td>AUSTIN 20101</td>
</tr>
<tr>
<td>25F86</td>
<td>AUSK, AN</td>
<td></td>
<td>AT #14</td>
<td>SMHOLA 1456</td>
</tr>
<tr>
<td>25F90</td>
<td>AUREAK, EN</td>
<td>1312 MAIN S RUE</td>
<td>AR 5</td>
<td>MANHEIM 17206</td>
</tr>
<tr>
<td>25F91</td>
<td>ARWREACH, S</td>
<td>J PATTERN</td>
<td>RD 1 BOX 514</td>
<td>MILLER 16930</td>
</tr>
<tr>
<td>25F95</td>
<td>BUTTON, JR</td>
<td></td>
<td>RD 1</td>
<td>MILTON 18337</td>
</tr>
<tr>
<td>25F96</td>
<td>CANNUSS, R &amp; V</td>
<td></td>
<td>PP 1 BOX 50</td>
<td>SCHUYLKILM 17910</td>
</tr>
<tr>
<td>25F97</td>
<td>CENTER OF SCIENCE &amp; TECHNOLOGY LTD</td>
<td></td>
<td>CITY HALL</td>
<td>ALLENWOOD 17110</td>
</tr>
<tr>
<td>25F98</td>
<td>CLYDE CALLING UP REPTILIAN</td>
<td></td>
<td>PC RDX NA</td>
<td>DARREY 15005</td>
</tr>
<tr>
<td>25F99</td>
<td>CONWELL JR, RA</td>
<td></td>
<td>20 RIVERSIDE</td>
<td>LANCASTER 17601</td>
</tr>
<tr>
<td>25F9A</td>
<td>COUCH, W &amp; R</td>
<td></td>
<td>2249 LINCOLN HWY EAST</td>
<td>EPHI 17602</td>
</tr>
<tr>
<td>25F9B</td>
<td>CRESCENT CITY SOCIETY</td>
<td></td>
<td>653 SWAIN BK</td>
<td>FULLER 18102</td>
</tr>
<tr>
<td>25F9E</td>
<td>DEPESYAN'S FINEST</td>
<td></td>
<td>0120-12TH AVE</td>
<td>CONNEQUET LAKE RD 17161</td>
</tr>
<tr>
<td>25F9F</td>
<td>DISTSYLAND, INC</td>
<td></td>
<td>CAD ASSOCIATED GAMES</td>
<td>GINTER'S RD 17205</td>
</tr>
<tr>
<td>25F9G</td>
<td>DORADOANZ INC</td>
<td></td>
<td>PK MSX 130</td>
<td>ANDREW 16913</td>
</tr>
<tr>
<td>25F9H</td>
<td>DORSET BARN</td>
<td></td>
<td>R 79 RD #1</td>
<td>ANDREW 16913</td>
</tr>
<tr>
<td>25F9I</td>
<td>DRIVE 5, ZA 60</td>
<td></td>
<td>R 120 STAR RT #5</td>
<td>ANNE 16923</td>
</tr>
<tr>
<td>25F9J</td>
<td>DUKE'S, S</td>
<td></td>
<td>NT 2 BOX 304</td>
<td>RANDOLPH 17621</td>
</tr>
<tr>
<td>25F9K</td>
<td>DUNNE, JR</td>
<td></td>
<td>KINZER MS</td>
<td>LAKE AIRE 1436</td>
</tr>
<tr>
<td>25F9L</td>
<td>EASEY, AC</td>
<td></td>
<td>HPM 1</td>
<td>LED ROY 16735</td>
</tr>
<tr>
<td>25F9M</td>
<td>EFARVEY JR &amp; WM</td>
<td></td>
<td>1715 KIRK ST</td>
<td>HERON 17902</td>
</tr>
<tr>
<td>25F9N</td>
<td>EMTU, R</td>
<td></td>
<td>660 CHOCOLATE AV</td>
<td>MIDERNUM 17601</td>
</tr>
<tr>
<td>25F9F</td>
<td>EMTU, R</td>
<td></td>
<td>20 2 MISTLETOE FARMS</td>
<td>FULLERTON 17601</td>
</tr>
<tr>
<td>25F9P</td>
<td>EMTU, R</td>
<td></td>
<td>RD #4</td>
<td>EASTON 18062</td>
</tr>
<tr>
<td>25F9Q</td>
<td>EMTU, R</td>
<td></td>
<td>RD #1</td>
<td>LIGNON 15688</td>
</tr>
<tr>
<td>25F9R</td>
<td>EMU, R</td>
<td></td>
<td>CENTRAL CITY 15926</td>
<td>CARPENTON 17202</td>
</tr>
<tr>
<td>25F9S</td>
<td>EMU, R</td>
<td></td>
<td>MOUNTAIN SPRINGS 17207</td>
<td>EDISON 17021</td>
</tr>
<tr>
<td>25F9T</td>
<td>EMU, R</td>
<td></td>
<td>CATS 17207</td>
<td>ULVERSES 16928</td>
</tr>
<tr>
<td>25F9U</td>
<td>EMU, R</td>
<td></td>
<td>E.J. WATERFORD 17021</td>
<td>HAMPSHIRE 18212</td>
</tr>
<tr>
<td>25F9V</td>
<td>EMU, R</td>
<td></td>
<td>1016 OLD YORK RD</td>
<td>JESSE 17506</td>
</tr>
<tr>
<td>25F9W</td>
<td>EMU, R</td>
<td></td>
<td>RD #20R RDX RA</td>
<td>YORK 17006</td>
</tr>
<tr>
<td>25F9X</td>
<td>EMU, R</td>
<td></td>
<td>RD 1 BOX 326</td>
<td>CONWAY 17017</td>
</tr>
<tr>
<td>25F9Y</td>
<td>EMU, R</td>
<td></td>
<td>RD 1 RDX 121</td>
<td>TIONE 16935</td>
</tr>
<tr>
<td>25F9Z</td>
<td>EMU, R</td>
<td></td>
<td>REX 33</td>
<td>HERSERBURG 17262</td>
</tr>
<tr>
<td>25F9A</td>
<td>EMU, R</td>
<td></td>
<td>260 S. WHITEMOUSE RD</td>
<td>PHENIXVILLE 17507</td>
</tr>
<tr>
<td>25F9B</td>
<td>EMU, R</td>
<td></td>
<td>101 RINGLE RD</td>
<td>ANNAPOLIS 17500</td>
</tr>
<tr>
<td>25F9C</td>
<td>EMU, R</td>
<td></td>
<td>CITY COUNTY BLOC</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9D</td>
<td>EMU, R</td>
<td></td>
<td>RD 2 B.13</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9E</td>
<td>EMU, R</td>
<td></td>
<td>WINOVA FALLS RD RT 200</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9F</td>
<td>EMU, R</td>
<td></td>
<td>RD 2 RDX 3422</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9G</td>
<td>EMU, R</td>
<td></td>
<td>936 MANGEN ST</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9H</td>
<td>EMU, R</td>
<td></td>
<td>8 RDX 3426</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9I</td>
<td>EMU, R</td>
<td></td>
<td>2345 LINCOLN HWY</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9J</td>
<td>EMU, R</td>
<td></td>
<td>RD #4</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9K</td>
<td>EMU, R</td>
<td></td>
<td>RD 1</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9L</td>
<td>EMU, R</td>
<td></td>
<td>8 2 BOX 364</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9M</td>
<td>EMU, R</td>
<td></td>
<td>RD 1 EAST RDX 603</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9N</td>
<td>EMU, R</td>
<td></td>
<td>RD 1 BOX K4</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9O</td>
<td>EMU, R</td>
<td></td>
<td>36TH ST S CIDARP AV</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9P</td>
<td>EMU, R</td>
<td></td>
<td>STAR RT 1</td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9Q</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9R</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9S</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9T</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9U</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9V</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9W</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9X</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9Y</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
<tr>
<td>25F9Z</td>
<td>EMU, R</td>
<td></td>
<td></td>
<td>NAVERTON 17008</td>
</tr>
</tbody>
</table>
### Rhode Island

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1501</td>
<td>CITY OF Pawtucket</td>
<td>Slater Memorial Park Zoo</td>
<td>R. I. Newport Ave.</td>
<td>Pawtucket 02860</td>
</tr>
<tr>
<td>1506</td>
<td>CITY OF PROVIDENCE</td>
<td>Roger Williams Park Zoo</td>
<td>Elmwood Ave.</td>
<td>Providence 02905</td>
</tr>
<tr>
<td>1505</td>
<td>ENCHANTED FOREST OF RI</td>
<td>Gray &amp; Green Animal Shows</td>
<td>RT 3</td>
<td>Hope Valley 02832</td>
</tr>
<tr>
<td>1509</td>
<td>Gray &amp; Green Animal Shows</td>
<td>173 Boston Neck Rd.</td>
<td>Providence 02905</td>
<td></td>
</tr>
<tr>
<td>1522</td>
<td>RECORDS, LM</td>
<td></td>
<td></td>
<td>Warwick 02886</td>
</tr>
<tr>
<td>1533</td>
<td>ROXY PINTZ AMUSEMENTS, INC</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Texas

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>742-111</td>
<td>Abilene Zoological Society</td>
<td></td>
<td></td>
<td>Abilene 79606</td>
</tr>
<tr>
<td>742-128</td>
<td>Amnuements Inc.</td>
<td></td>
<td></td>
<td>Corpus Christi 78418</td>
</tr>
<tr>
<td>742-129</td>
<td>Rank of Ann</td>
<td></td>
<td></td>
<td>College Station 77840</td>
</tr>
<tr>
<td>742-130</td>
<td>Randall, J. &amp; P</td>
<td></td>
<td></td>
<td>Madisonville 77864</td>
</tr>
<tr>
<td>742-131</td>
<td>Caldwell Schools Inc.</td>
<td></td>
<td></td>
<td>Tyler 75701</td>
</tr>
<tr>
<td>742-132</td>
<td>Central TX Zoo &amp; Nat Soc</td>
<td></td>
<td></td>
<td>Waco 76707</td>
</tr>
<tr>
<td>742-133</td>
<td>City of Dallas</td>
<td></td>
<td></td>
<td>Dallas 75203</td>
</tr>
<tr>
<td>742-134</td>
<td>City of Ft. Worth</td>
<td></td>
<td></td>
<td>El Paso 79905</td>
</tr>
<tr>
<td>742-135</td>
<td>City of Granville</td>
<td></td>
<td></td>
<td>Gainesville 76240</td>
</tr>
<tr>
<td>742-136</td>
<td>City of Houston</td>
<td></td>
<td></td>
<td>Houston 77001</td>
</tr>
<tr>
<td>742-137</td>
<td>City of Lufkin</td>
<td></td>
<td></td>
<td>Lufkin 75901</td>
</tr>
<tr>
<td>742-138</td>
<td>Clark, M.</td>
<td></td>
<td></td>
<td>McKinney 75070</td>
</tr>
<tr>
<td>742-139</td>
<td>Crawford, R.</td>
<td></td>
<td></td>
<td>Slidell 70461</td>
</tr>
<tr>
<td>742-140</td>
<td>Cruz, B. &amp; J.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-141</td>
<td>Finley, G. H</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-142</td>
<td>Ft. Worth Zoo, Pk. 973</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-143</td>
<td>Gladys Porter Zoo</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-144</td>
<td>Hall, C.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-145</td>
<td>Hall, J.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-146</td>
<td>Hardin, R.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-147</td>
<td>Hix, R. &amp; Harrow, E.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-148</td>
<td>Johnson, M.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-149</td>
<td>Jungle Wonder Zoo Circus</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-150</td>
<td>Keith, R. D.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-151</td>
<td>Monaghan, W. &amp; P</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-152</td>
<td>Mullaney, T.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-153</td>
<td>Monkey World Inc.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-154</td>
<td>Morose, J. E.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-155</td>
<td>New World Part The</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-156</td>
<td>Pinson, E. J.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-157</td>
<td>Pagen, W.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-158</td>
<td>Ray &amp; Baker, S</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-159</td>
<td>San Antonio Zoo-Log Soc</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-160</td>
<td>San Antonio Zoo-Garden</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-161</td>
<td>South Texas Zoological Soc</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-162</td>
<td>Spring Lake Park Zoo</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-163</td>
<td>Springmaid Simian-Avian Co.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-164</td>
<td>Steele, R.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-165</td>
<td>Stogdill, T. &amp; F</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-166</td>
<td>T. &amp; L. EasLift International, Inc.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-167</td>
<td>Tana, R.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-168</td>
<td>Testa, J. &amp; R</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-169</td>
<td>Town of Lufkin, C.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
<tr>
<td>742-170</td>
<td>Walker, R. E.</td>
<td></td>
<td></td>
<td>Thousand Oaks 91360</td>
</tr>
</tbody>
</table>

## Utah

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>876-09</td>
<td>Adams Film Prod.</td>
<td></td>
<td></td>
<td>Salt Lake City 84114</td>
</tr>
<tr>
<td>876-10</td>
<td>Airport Zoological Garden</td>
<td></td>
<td></td>
<td>Salt Lake City 84114</td>
</tr>
<tr>
<td>876-11</td>
<td>J. F. O.</td>
<td></td>
<td></td>
<td>Salt Lake City 84114</td>
</tr>
<tr>
<td>876-12</td>
<td>Utah Zoological Society</td>
<td></td>
<td></td>
<td>Salt Lake City 84114</td>
</tr>
</tbody>
</table>

## Vermont

<table>
<thead>
<tr>
<th>License Number</th>
<th>Name</th>
<th>Doing Business As</th>
<th>Address</th>
<th>City and Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>1353</td>
<td>Santa's Land Inc.</td>
<td></td>
<td></td>
<td>Bennington 05201</td>
</tr>
<tr>
<td>1352</td>
<td>Trustee, VT Vets Home</td>
<td></td>
<td></td>
<td>Bennington 05201</td>
</tr>
<tr>
<td>LICENSE NUMBER</td>
<td>NAME</td>
<td>GOING BUSINESS AS</td>
<td>ADDRESS</td>
<td>CITY AND ZIP CODE</td>
</tr>
<tr>
<td>----------------</td>
<td>-------------------------------</td>
<td>---------------------------------</td>
<td>---------------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>* 52GR</td>
<td>CHILDRESS, P</td>
<td>SNAIL &amp; MONKEY FARM</td>
<td>ROUTE 1, BOX 214</td>
<td>NEW MARKET 22850</td>
</tr>
<tr>
<td>#</td>
<td></td>
<td>BLUE RIO GAP FARM</td>
<td>DEPT OF PARKS</td>
<td>HAMPTON 23669</td>
</tr>
<tr>
<td># 52CL</td>
<td>CITY OF HAMPTON</td>
<td>LAFAYETTE ZOOLOGICAL PARK</td>
<td>3500 GRANBY ST</td>
<td>NORFOLK 23501</td>
</tr>
<tr>
<td># 52IN</td>
<td>CITY OF NORFOLK</td>
<td></td>
<td>P.O. BOX 58</td>
<td>STANFORD 24901</td>
</tr>
<tr>
<td># 52MN</td>
<td>CITY OF STANFORD</td>
<td></td>
<td>RFD 165</td>
<td>DUNNELL 23067</td>
</tr>
<tr>
<td># 52TW</td>
<td>KINGS HOMESTEAD</td>
<td></td>
<td>MOUNT FALLS ST BOX 56</td>
<td>WINCHESTER 22601</td>
</tr>
<tr>
<td># 52IN</td>
<td>HAMILTON FOUNDATION</td>
<td>MILL MOUNTAIN ZOO, INC.</td>
<td>8725 COMMODORE DR</td>
<td>NORFOLK 23508</td>
</tr>
<tr>
<td># 52CR</td>
<td>MILL MOUNTAIN ZOO, INC.</td>
<td>MILL MOUNTAIN ZOO</td>
<td>1700 HAMPTON ST</td>
<td>RICHMOND 23220</td>
</tr>
<tr>
<td># 52IN</td>
<td>NATURAL BRIDGE ZOO, PARK</td>
<td>CIRCLE M ZOO</td>
<td>PO BOX 1356</td>
<td>ROCHESTER 40401</td>
</tr>
<tr>
<td># 52IN</td>
<td>CALIFORNIA NATURE &amp; SCI. C</td>
<td></td>
<td>RT 2</td>
<td>MEADOWS 19 RH 214A</td>
</tr>
<tr>
<td># 52IN</td>
<td>TP.</td>
<td></td>
<td>BOX 56</td>
<td>NATURAL BRIDGE 24579</td>
</tr>
<tr>
<td># 52IN</td>
<td>PET-POP PET FARM</td>
<td></td>
<td>1228 HUNTER MILL RD</td>
<td>NEWPORT NEWS 23601</td>
</tr>
<tr>
<td># 52IN</td>
<td>APHR</td>
<td></td>
<td>PO BOX 102</td>
<td>VIENNA 22180</td>
</tr>
<tr>
<td># 52IN</td>
<td>WILSON R</td>
<td></td>
<td>RT 1 AND 272-A</td>
<td>TANQUERTON 24175</td>
</tr>
<tr>
<td># 52IN</td>
<td></td>
<td></td>
<td></td>
<td>WINCHESTER 22601</td>
</tr>
</tbody>
</table>

**WASHINGTON**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>GOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>* 90C4</td>
<td>R &amp; T REALTY CO.</td>
<td>ENCHANTED VILLAGE</td>
<td>8012 50 TACOMA WAY</td>
<td>TACOMA 98409</td>
</tr>
<tr>
<td># 90C4</td>
<td>METTA R</td>
<td></td>
<td>36201 KIT CORNER RD, 8,</td>
<td>FEDERAL WAY 98003</td>
</tr>
<tr>
<td># 90C4</td>
<td>DITTY W</td>
<td></td>
<td>N 7120 SMITH</td>
<td>SPokane 99207</td>
</tr>
<tr>
<td># 90C4</td>
<td>WITCHY J</td>
<td></td>
<td>94228 MAYANA</td>
<td>SPokane 99207</td>
</tr>
<tr>
<td># 90C4</td>
<td>HITE, P &amp; P</td>
<td></td>
<td>76234 62ND AVE S</td>
<td>KETTLE FALL 99401</td>
</tr>
<tr>
<td># 90C4</td>
<td>HUBBARD, R</td>
<td></td>
<td>PO BOX 1429P</td>
<td>SACKETT 99401</td>
</tr>
<tr>
<td># 90C4</td>
<td>INLAND EMPIRE ZOO, INC.</td>
<td></td>
<td>RT 1 BOX 37B</td>
<td>SPOKANE 99216</td>
</tr>
<tr>
<td># 90C4</td>
<td>LOAD WOLF FOUNDATION</td>
<td></td>
<td>POINT DEFIANCE PK</td>
<td>TACOMA 99207</td>
</tr>
<tr>
<td># 90C4</td>
<td>LOBO LAND USA</td>
<td></td>
<td>PO BOX 8226P</td>
<td>SEATTLE 98103</td>
</tr>
<tr>
<td># 90C4</td>
<td>NEUMAN PARK DIST TACOMA</td>
<td></td>
<td>RT 3 BOX 903-A</td>
<td>SOUTHERN 98104</td>
</tr>
<tr>
<td># 90C4</td>
<td>MACH, G.A</td>
<td></td>
<td>C/O ROOF TRUchner</td>
<td>EXTONVILLE 91225</td>
</tr>
<tr>
<td># 90C4</td>
<td>NORTHWEST TRP</td>
<td></td>
<td>PO BOX 43</td>
<td>COUGAN 98353</td>
</tr>
<tr>
<td># 90C4</td>
<td>PETERSHA, N</td>
<td></td>
<td>5500 PHINNEY AVE N</td>
<td>SATTLE 98103</td>
</tr>
<tr>
<td># 90C4</td>
<td>WOODLAND PARK ZOO, GARDEN</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**WEST VIRGINIA**

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>GOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>* 50EA</td>
<td>CRASER, JH</td>
<td>WIKES NEST ZOO</td>
<td>RT 1</td>
<td>ANSTED 25912</td>
</tr>
<tr>
<td># 50EA</td>
<td>RIDER, JR, JR</td>
<td>RINGOFIG ZOO</td>
<td>RT 2 BOX 10A</td>
<td>RAYNELL 25942</td>
</tr>
<tr>
<td># 50EA</td>
<td>WHEELING PARK COMMISSION</td>
<td>GILEY'S GRODZOO</td>
<td>PO BOX 26</td>
<td>WHEELING 26003</td>
</tr>
<tr>
<td>LIENS NUMB</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>-----------</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C76</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C51</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C33</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C35</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C74</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C64</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C50</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C40</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C76</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C24</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C28</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C120</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C120</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C76</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C13</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C15</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C27</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C43</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C14</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C37</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C81</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C54</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C72</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C2</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C41</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C34</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C36</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C65</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35C83</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACQUA LAND OF DOOR CO INC</td>
<td>MILLTOP SUPPER CLUB</td>
<td>1</td>
<td>EPHRAIM 54211</td>
</tr>
<tr>
<td>ARCADIUS SPORTSMAN CLUB</td>
<td>CARLINO'S RR DEER FARM</td>
<td>1</td>
<td>ARCADIUS 54612</td>
</tr>
<tr>
<td>ASHLEY, AR</td>
<td>WALLY'S RAST SHOP</td>
<td>1</td>
<td>ASHLEY 54603</td>
</tr>
<tr>
<td>BEHN &amp; GOETZ</td>
<td>OCHSEN PArk</td>
<td>1</td>
<td>BEHN 54663</td>
</tr>
<tr>
<td>BLOOM, W &amp; YH</td>
<td>FORT ROCK DEER FARM</td>
<td>1</td>
<td>BLOOM 54613</td>
</tr>
<tr>
<td>BRIDAL REFORESTATION CAMP</td>
<td>PREMIERE PARK</td>
<td>1</td>
<td>BRIDAL 54663</td>
</tr>
<tr>
<td>CARLING, JR, CJ</td>
<td>HAHN'S BARE FARM</td>
<td>1</td>
<td>CARLING 54603</td>
</tr>
<tr>
<td>CARLINO'S BQ</td>
<td>MIKE'S BARE FARM</td>
<td>1</td>
<td>CARLINO 54603</td>
</tr>
<tr>
<td>CHEWEFR, JR, W</td>
<td>RACINE ZOOLOGICAL PARK</td>
<td>1</td>
<td>CHEWEFR 54603</td>
</tr>
<tr>
<td>CITY OF ASHLAND</td>
<td>IRVINE PARK ZOO</td>
<td>2</td>
<td>CITY OF ASHLAND 54603</td>
</tr>
<tr>
<td>CITY OF BLACK RIVER FALLS</td>
<td>IRVINE PARK ZOO</td>
<td>3</td>
<td>CITY OF BLACK RIVER FALLS 54603</td>
</tr>
<tr>
<td>CITY OF BLAIR</td>
<td>IRVINE PARK ZOO</td>
<td>4</td>
<td>CITY OF BLAIR 54603</td>
</tr>
<tr>
<td>CITY OF CHippewa FALLS</td>
<td>IRVINE PARK ZOO</td>
<td>5</td>
<td>CITY OF CHippewa FALLS 54603</td>
</tr>
<tr>
<td>CITY OF Fond Du Lac</td>
<td>IRVINE PARK ZOO</td>
<td>6</td>
<td>CITY OF Fond Du Lac 54603</td>
</tr>
<tr>
<td>CITY OF LACROSSE</td>
<td>IRVINE PARK ZOO</td>
<td>7</td>
<td>CITY OF LACROSSE 54603</td>
</tr>
<tr>
<td>CITY OF MABONFIELD</td>
<td>IRVINE PARK ZOO</td>
<td>8</td>
<td>CITY OF MABONFIELD 54603</td>
</tr>
<tr>
<td>CITY OF MILWAUKEE</td>
<td>IRVINE PARK ZOO</td>
<td>9</td>
<td>CITY OF MILWAUKEE 54603</td>
</tr>
<tr>
<td>CITY OF RACINE</td>
<td>IRVINE PARK ZOO</td>
<td>10</td>
<td>CITY OF RACINE 54603</td>
</tr>
<tr>
<td>CITY OF STANLEY</td>
<td>IRVINE PARK ZOO</td>
<td>11</td>
<td>CITY OF STANLEY 54603</td>
</tr>
<tr>
<td>CITY OF WAPICANEE MUN, ZO</td>
<td>IRVINE PARK ZOO</td>
<td>12</td>
<td>CITY OF WAPICANEE MUN, ZO 54603</td>
</tr>
<tr>
<td>CLARK COUNTY HEALTH CARE CENTER</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>1</td>
<td>CLARK COUNTY HEALTH CARE CENTER 54603</td>
</tr>
<tr>
<td>CONKLIN, C &amp; L</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>2</td>
<td>CONKLIN 54603</td>
</tr>
<tr>
<td>COTTON PATCH SUPPER CLUB</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>3</td>
<td>COTTON PATCH SUPPER CLUB 54603</td>
</tr>
<tr>
<td>DEER PARK ATHLETIC CLUB</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>4</td>
<td>DEER PARK ATHLETIC CLUB 54603</td>
</tr>
<tr>
<td>FARM-ONE-ROSA</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>5</td>
<td>FARM-ONE-ROSA 54603</td>
</tr>
<tr>
<td>FIRESTONE COUNTY DEER FARM</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>6</td>
<td>FIRESTONE COUNTY DEER FARM 54603</td>
</tr>
<tr>
<td>FINGER, D &amp; K</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>7</td>
<td>FINGER 54603</td>
</tr>
<tr>
<td>FRANZEN, F</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>8</td>
<td>FRANZEN 54603</td>
</tr>
<tr>
<td>FRANZEN, W &amp; M</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>9</td>
<td>FRANZEN, W &amp; M 54603</td>
</tr>
<tr>
<td>HAYES, V</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>10</td>
<td>HAYES 54603</td>
</tr>
<tr>
<td>HENRY VILLAGE PARK ZOO</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>11</td>
<td>HENRY VILLAGE PARK ZOO 54603</td>
</tr>
<tr>
<td>ISENROTH, L</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>12</td>
<td>ISENROTH 54603</td>
</tr>
<tr>
<td>JAMESVILLE CONSERVATION CLUB</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>1</td>
<td>JAMESVILLE CONSERVATION CLUB 54603</td>
</tr>
<tr>
<td>JOHNSON FARMS INC</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>2</td>
<td>JOHNSON FARMS INC 54603</td>
</tr>
<tr>
<td>JOHNSON, LO</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>3</td>
<td>JOHNSON, LO 54603</td>
</tr>
<tr>
<td>KAMPS, M</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>4</td>
<td>KAMPS, M 54603</td>
</tr>
<tr>
<td>KLING, D</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>5</td>
<td>KLING, D 54603</td>
</tr>
<tr>
<td>KRAMER, W</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>6</td>
<td>KRAMER, W 54603</td>
</tr>
<tr>
<td>KREMPEFINGER, N</td>
<td>BLACKHAWK CAMPGROUNDS</td>
<td>7</td>
<td>KREMPEFINGER, N 54603</td>
</tr>
<tr>
<td>LANGE, E</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LAYWAN, S</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LINCOLN PARK ZOO</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LUDWIG BROS, INC</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MENDOVICE LINKS CLUB</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MILWAUKEE COUNTY ZOO</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NATURE, P</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NELSON, J</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NICHOLS, L</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>P.A.K.</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PLISKA, L</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PORTAGE COUNTY PARKS</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RETSCHE, V</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHAY, W</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SIMPSON, M &amp; W</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>STUMLOW, V</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>THE RANCH, INC</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TIMBERWOLF FARMS FOUND</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOLLAKSEN, P</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>VILLAGE OF SURFING PARK</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WERER, E</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WEISER, A</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WELCH, F &amp; A</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WEST SALEM ROO &amp; GUN CLUB</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WITTY BIRCH FISHERIES INC</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WILKINSON GIFT SHOP INC</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WILLE, G</td>
<td>RAINIER LAND 54603</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices
## Wyoming

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>33C1</td>
<td>CITY OF CHEYENNE PARKS/RE C DEPT</td>
<td>LIONS PARK NATIVE ANIMAL DI SPLAY</td>
<td>610 W 7TH ST</td>
<td>CHEYENNE 82001</td>
</tr>
</tbody>
</table>

## Puerto Rico

<table>
<thead>
<tr>
<th>LICENSE NUMBER</th>
<th>NAME</th>
<th>DOING BUSINESS AS</th>
<th>ADDRESS</th>
<th>CITY AND ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>G6FL</td>
<td>AMADOR, FJ</td>
<td>FL ARCA DE NDE</td>
<td>BOX 94</td>
<td>GUERRADILLAS 00742</td>
</tr>
<tr>
<td>G6FL0</td>
<td>CONFRICACION MITA, INC</td>
<td>PARADISE CIRCUS</td>
<td>235 DUARTE ST</td>
<td>CARRIB. 00917</td>
</tr>
<tr>
<td>G6FL12</td>
<td>DUFF, P</td>
<td>JARDIN ZOOLOGICO DE P.R.</td>
<td>CALLE 8 #117</td>
<td>CARRIB. 00630</td>
</tr>
<tr>
<td>G6FL11</td>
<td>ESTUDI. LIBRE AVICION DE P.R.</td>
<td>MINI-ZOO</td>
<td>C/A COMPANIA DE FOMENTO REC.</td>
<td>INV. ORIENTAL 00678</td>
</tr>
<tr>
<td>G6FL3</td>
<td>HERNANDEZ, JV</td>
<td>KIRK SHOW</td>
<td>C/0 M. GOMEZ PO BOX 171</td>
<td>M. CAROLINA 00616</td>
</tr>
<tr>
<td>G6FL4</td>
<td>LEONFELLI, RA</td>
<td></td>
<td>905 ALONORL ST</td>
<td>PE. P. 00824</td>
</tr>
<tr>
<td>G6FL5</td>
<td>MARCE, OP</td>
<td></td>
<td>618 13TH AVE</td>
<td>BARRANCO 00619</td>
</tr>
<tr>
<td>G6FL7</td>
<td>SAFARI PARK INC</td>
<td></td>
<td>BOX 777</td>
<td>SANTURCE 00901</td>
</tr>
<tr>
<td>G6FL8</td>
<td>TOMMY MUNIZ PROD.</td>
<td></td>
<td>FERNANDEZ JUNCOS</td>
<td></td>
</tr>
</tbody>
</table>
Part IV

Department of Labor

Employment Standards Administration,
Wage and Hour Division

Minimum Wages for Federal and Federally Assisted Construction; General Wage Determination Decisions
Accordingly, the applicable decision together with any modifications issued subsequent to its publication date shall be made a part of every contract for performance of the described work within the geographic area indicated as required by the applicable Federal prevailing wage law and 29 CFR, Part 5. The wage rates contained therein shall be the minimum paid under such contract by contractors and subcontractors on the work.

**Modifications and Supersedeas Decisions to General Wage Determination Decisions**

Modifications and supersedeas decisions to general wage determination decisions are based upon information obtained concerning changes in prevailing hourly wage rates and fringe benefit payments since the decisions were issued. The determinations of prevailing rates and fringe benefits made in the modifications and supersedeas decisions have been made by authority of the Secretary of Labor pursuant to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (40 Stat. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in 29 CFR 1.1 (including the statutes listed at 36 FR 308 following Secretary of Labor's Order No. 24-70) containing provisions for the payment of wages which are dependent upon determination by the Secretary of Labor under the Davis-Bacon Act; and pursuant to the provisions of part 1 of subtitle A of title 29 of Code of Federal Regulations, Procedure for Predetermination of Wage Rates (37 FR 21138) and of Secretary of Labor's Orders 12-71 and 15-71 (38 FR 6755, 6758). The prevailing rates and fringe benefits determined in these decisions shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged on contract work of the character and in the localities described therein.

Good cause is hereby found for not utilizing notice and public procedure thereon prior to the issuance of these determinations as prescribed in 5 U.S.C. 553 and not providing for delay in effective date as prescribed in that section, because the necessity to issue construction industry wage determination frequently and in large volume causes procedures to be impractical and contrary to the public interest.

General wage determination decisions are effective from their date of publication in the Federal Register without limitation as to time and are to be used in accordance with the provisions of 29 CFR Parts 1 and 5.
### NEW DECISION

<table>
<thead>
<tr>
<th>STATE: Maryland</th>
<th>COUNTY: Cecil</th>
</tr>
</thead>
<tbody>
<tr>
<td>DECISION NO.: 489-56-70</td>
<td>DATE: Date of Publication</td>
</tr>
<tr>
<td>DESCRIPTION OF WORK: Building construction Projects (does not include single family homes and apartments up to and including 2 stories)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fringe Benefits Payments</th>
<th>Basic Hourly Rates</th>
<th>H &amp; W</th>
<th>Pensions</th>
<th>Vacation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bricklayers</td>
<td>$0.60</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Carpenters</td>
<td>9.00</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Cement Masons</td>
<td>10.00</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Elevator Constructors</td>
<td>10.71</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Electricians</td>
<td>10.90</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Ironworkers</td>
<td>11.05</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Laborers</td>
<td>7.05</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Mason Tenders</td>
<td>10.10</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Painters</td>
<td>9.20</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Pipefitters</td>
<td>10.00</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Roofers</td>
<td>8.40</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Sheet Metal Workers</td>
<td>8.80</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Tile Finishers</td>
<td>10.10</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Tilt Setters</td>
<td>8.50</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Power Equipment Operators</td>
<td>6.60</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Front End Loaders</td>
<td>6.60</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
</tbody>
</table>

### EDUCATION AND APPR. TR.

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>H &amp; W</th>
<th>Pensions</th>
<th>Vacations</th>
<th>Education and Appr. Tr.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bricklayers</td>
<td>$0.60</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Carpenters</td>
<td>9.00</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Cement Masons</td>
<td>10.00</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Elevator Constructors</td>
<td>10.71</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Electricians</td>
<td>10.90</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Ironworkers</td>
<td>11.05</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Laborers</td>
<td>7.05</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Mason Tenders</td>
<td>10.10</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Painters</td>
<td>9.20</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Pipefitters</td>
<td>10.00</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Roofers</td>
<td>8.40</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Sheet Metal Workers</td>
<td>8.80</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Tile Finishers</td>
<td>10.10</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Tilt Setters</td>
<td>8.50</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Power Equipment Operators</td>
<td>6.60</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
<tr>
<td>Front End Loaders</td>
<td>6.60</td>
<td>.00</td>
<td>.00</td>
<td>.00</td>
</tr>
</tbody>
</table>

### Modification Page 1

<table>
<thead>
<tr>
<th>DECISION 489-4054-Mod. #1</th>
<th>44 FR 19160 March 30, 1979</th>
</tr>
</thead>
<tbody>
<tr>
<td>Searcy, Crawford and Washington Counties, Arkansas</td>
<td></td>
</tr>
<tr>
<td>CHANGE:</td>
<td></td>
</tr>
<tr>
<td>CEMENT MASON</td>
<td>$0.99</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DECISION 489-4055-Mod. #4</th>
<th>44 FR 19160 March 30, 1979</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jefferson County, Arkansas</td>
<td></td>
</tr>
<tr>
<td>CHANGE:</td>
<td></td>
</tr>
<tr>
<td>CEMENT MASON</td>
<td>.99</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DECISION 489-4056-Mod. #4</th>
<th>44 FR 19160 March 30, 1979</th>
</tr>
</thead>
<tbody>
<tr>
<td>Garland, Hot Springs and Clark Counties, Arkansas</td>
<td></td>
</tr>
<tr>
<td>CHANGE:</td>
<td></td>
</tr>
<tr>
<td>CEMENT MASON</td>
<td>.99</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DECISION 489-4057-Mod. #4</th>
<th>44 FR 19160 March 30, 1979</th>
</tr>
</thead>
<tbody>
<tr>
<td>Union &amp; Marcella Counties, Arkansas</td>
<td></td>
</tr>
<tr>
<td>CHANGE:</td>
<td></td>
</tr>
<tr>
<td>CEMENT MASON</td>
<td>.99</td>
</tr>
</tbody>
</table>
### MODIFICATION PAGE 2

<table>
<thead>
<tr>
<th>Decision No. C78-1011 - Mod. 11</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Basic Hourly Rates</strong></td>
</tr>
<tr>
<td><strong>H &amp; W</strong></td>
</tr>
<tr>
<td>Hartford, Middlesex, New Haven, New London and Tolland Cos, Connecticut</td>
</tr>
</tbody>
</table>

**Change:**

Electricians:
- Hartford, CO: Remainder of CO; Middlesex CO; Cromwell, Middlefield, Middletown Portland; New London CO; Bozrah, Colchester, Franklin, Lisbon, Montville, N. Stonington, Norwich, Griswold, Lebanon, Ledyard (except Submarine Base), Preston, Salem, Sprague, Stonington & Voluntown & Tolland Cos.

Plumbers; Steamfitters:

| $ 12 05 | 1 50 | 3%+1 05 | 1/2 of 10 |

### MODIFICATION PAGE 3

<table>
<thead>
<tr>
<th>Decision No. G78-1055 - Mod. 11</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Basic Hourly Rates</strong></td>
</tr>
<tr>
<td><strong>H &amp; W</strong></td>
</tr>
<tr>
<td>Georgia, North Carolina, South Carolina, Virginia, Washington, D.C., and in Florida, all counties on the Atlantic coast and the Gulf Coast West to the Aucilla River and all tributary Waterways</td>
</tr>
</tbody>
</table>

**Change:**

The pensions on all crafts on this Dredging Decision should now be changed to $70 (seventy cents) per hour.
### MODIFICATION PAGE 4

#### DECISION NO. IN79-2058

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
<th>Education and/or Appr. Tr.</th>
</tr>
</thead>
<tbody>
<tr>
<td>H &amp; W</td>
<td>Pensions</td>
<td>Vacation</td>
</tr>
</tbody>
</table>

**MOD. 83**
(44 FR 36673 - June 22, 1979)
Elkhart, Jasper, Kosciusko, LaGrange, Marshall, Newton, Pulaski, & Starke Counties, Indiana

**Change:**

- Asbestos Workers:
  - LaGrange Co.
  - Newton County
- Carpenters; Millwrights: Piledrivermen; & Soft Floor Layers:
  - Jasper, Newton, & Starke Cos.: Carpenters; Soft Floor Layers
    - Millwrights
    - LaGrange Co.: Carpenters; Soft Floor Layers
      - Millwrights; Piledrivermen
- Cement Masons:
  - Jasper (Northern 1/3), Pulaski (Northern 4/5), & Starke Co.
- Electricians:
  - LaGrange Co.
- Elevator Constructors:
  - Elkhart, Jasper, Kosciusko (NW Portion), LaGrange (NW of City of LaGrange), Marshall, Pulaski, & Starke Cos.
  - Kosciusko (SE Portion), & LaGrange (Remaining Portion) Cos.
  - Newton County
- Glazers:
  - Kosciusko (Southern portion), & LaGrange Cos.

### MODIFICATION PAGE 5

#### DECISION NO. IN79-2058

**Cont’d**

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
<th>Education and/or Appr. Tr.</th>
</tr>
</thead>
<tbody>
<tr>
<td>H &amp; W</td>
<td>Pensions</td>
<td>Vacation</td>
</tr>
</tbody>
</table>

Ironworkers:
Jasper (5%) Co.
Pipefitters; Plumbers; & Steamfitters:
Elkhart, Kosciusko, & LaGrange Cos.
Jasper (N. Side of City of Rensselaer, S.), Marshall, Pulaski, & Starke, Cos.

Sheet Metal Workers:
Elkhart, Kosciusko, & Marshall Cos.
LaGrange County
Sprinkler Fitters

**Omit:**
Laborers' Schedule

**Add:**
Laborers' Schedule
<table>
<thead>
<tr>
<th></th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
<th>Education and/or Apprenticeship</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>H &amp; W</td>
<td>Pensions</td>
<td></td>
</tr>
<tr>
<td>Elkhart, Kosciusko, LaGrange, &amp; Marshall Counties</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group I</td>
<td>8.95</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group II</td>
<td>9.15</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group III</td>
<td>9.25</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group IV</td>
<td>9.95</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Jasper &amp; Newton Counties</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group I</td>
<td>9.65</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>Group II</td>
<td>9.85</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>Group III</td>
<td>9.95</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>Group IV</td>
<td>10.65</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>Group V</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>9.85</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>B</td>
<td>9.725</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>C</td>
<td>9.95</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>D</td>
<td>9.925</td>
<td>70</td>
<td>75</td>
</tr>
<tr>
<td>Pulaski County</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group I</td>
<td>8.40</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group II</td>
<td>8.60</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group III</td>
<td>8.70</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group IV</td>
<td>9.40</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Starke County</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group I</td>
<td>9.45</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group II</td>
<td>9.65</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group III</td>
<td>9.75</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group IV</td>
<td>10.45</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>Group V</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>9.85</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>B</td>
<td>9.725</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>C</td>
<td>9.95</td>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>D</td>
<td>9.925</td>
<td>70</td>
<td>70</td>
</tr>
</tbody>
</table>

**LABORERS**

**GROUP I:** Building & Construction Laborers; Scaffold Builders (other than for Masons or Plasterers); Ironworker Tender; Mechanic Tender; Civil Engineer Tender & Surveyor Tenders; Rodman & Chainmen; Window Washers & Cleaners; Roofer's Tender; Railroad Workers; Masonry Wall Washers (interior & exterior); Cement Finisher Tender; Carpenter Tender; Tenders of all other crafts not listed; Mason Tenders for Areas I, IA, IB, and Counties of Adams, Allen, Dekalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; All Portable Water Pumps with Discharge Up to 3 Inches

**GROUP II:** Waterproofing; Handling of Creosote Lumber or Like Treated Material (including railroad material); Asphalt Rakers & Luteman; Kettlemens; Air Tool Operators; Vibrators; Chipping Hammer Operators and All Pneumatic Tool Operators; Earth Compactors; Jackmen & Sheeter Working Ditches Deeper than 6 ft in Depth; Laborers Working Ditches 6 ft in Depth or Deeper; Assembly of Unicore Pump; Chain Saw Operators; Tile Layers (sewer or field) & Sewer Pipe Layers (metallic or non-metallic); Motor Driven Wheelbarrows & Concrete Buggies; Hyster Operators; Pump Crane Assemblers; Conveyor Assemblers; Core Drill Operators; Cement, Lime or Silica Clay Handlers (bulk or bag); Handling of Toxic Materials Damaging to Clothing; Pneumatic Spikers; Deck Engine & Winch Operators; Water Main & Cable Ducting (metallic & non-metallic)

**GROUP III:** Plasterers' Tender; Mason Tenders, except for Areas I, IA, IB, and Counties of Adams, Allen, Dekalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; Mortar Mixers; Bodyers (asbestos or electric); Cutting Torch or Burner; Cement Nozzle Laborers; Cement Gun Operators; Scaffolding Builders when Working for Plasterers; Scaffolding Builders when Working for Masons (except in Areas I, IA, IB, and Counties of Adams, Allen, Dekalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley)

**GROUP IV:** Dynamite Men; Drillers - Air Track or Wagon Drilling for Explosives

**GROUP V:**
A. Boiler Setter Laborers (Pipe Brick Tear Out with Air Tools)
B. Boiler Setter Laborers (Pipe Stick)
C. Boiler Setter Laborers (Mortar Mixers)
D. Expeditors, Bottom Men & Bell Men
<table>
<thead>
<tr>
<th>Basic Hourly Rate</th>
<th>Fringe Benefits Payments</th>
<th>Education Assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>W &amp; W</td>
<td>Pensions</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>H to O</td>
<td>1.01</td>
<td>.65</td>
</tr>
<tr>
<td></td>
<td>1.01</td>
<td>.65</td>
</tr>
<tr>
<td></td>
<td>1.01</td>
<td>.65</td>
</tr>
<tr>
<td></td>
<td>1.01</td>
<td>.65</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Correction:**
- The table contains detailed information on hourly rates, fringe benefits, and education assistance for various occupations and locations. Each row represents a different category, and the columns detail specific rates and benefits associated with those categories.
- The table is structured to provide a clear comparison of different work environments and labor rates.
- The layout is designed to make it easy to compare and analyze the data presented.
### DECISION NO. IN79-2059

**Laborers Building Construction**

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>H &amp; W</th>
<th>Pensions</th>
<th>Vacation</th>
<th>Education and/or Appr Tr</th>
</tr>
</thead>
<tbody>
<tr>
<td>GROUP I</td>
<td>$9.65</td>
<td>$9.45</td>
<td>$8.95</td>
<td></td>
</tr>
<tr>
<td>GROUP II</td>
<td>9.65</td>
<td>9.65</td>
<td>9.15</td>
<td></td>
</tr>
<tr>
<td>GROUP III</td>
<td>9.95</td>
<td>9.75</td>
<td>9.25</td>
<td></td>
</tr>
<tr>
<td>GROUP IV</td>
<td>10.65</td>
<td>10.45</td>
<td>9.95</td>
<td></td>
</tr>
<tr>
<td>GROUP V1</td>
<td>A</td>
<td>9.85</td>
<td>9.85</td>
<td>----</td>
</tr>
<tr>
<td></td>
<td>B</td>
<td>9.725</td>
<td>9.725</td>
<td>----</td>
</tr>
<tr>
<td></td>
<td>C</td>
<td>9.95</td>
<td>9.95</td>
<td>----</td>
</tr>
<tr>
<td></td>
<td>D</td>
<td>9.925</td>
<td>9.925</td>
<td>----</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td>AREA I</td>
</tr>
<tr>
<td>AREA IA</td>
</tr>
<tr>
<td>AREA IB</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td>H &amp; W</td>
</tr>
<tr>
<td>-------</td>
</tr>
<tr>
<td>AREA I</td>
</tr>
<tr>
<td>ALL OTHER AREAS</td>
</tr>
</tbody>
</table>

AREA I: Lake County, Porter County (North of U S Highway No 20)
AREA IA: Porter County (South of U S Highway No 20), LaPorte County
AREA IB: St. Joseph County
LABORERS

GROUP I: Building & Construction Laborers; Scaffold Builders (other than for Masons or Plasterers); Ironworker Tenders; Mechanic Tenders; Civil Engineer Tenders & Surveyor Tenders; Rodmen & Chainmen; Window Washers & Cleaners; Roofer's Tenders; Railroad Workers; Masonry Wall Washers (interior & exterior); Cement Finisher Tenders; Carpenter Tenders; Tenders of all other Crafts not listed; Mason Tenders for Areas 1, 1A, 1B, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; All False Water Pumps

With Discharge Up to 3 inches

GROUP II: Waterproofing; Handling of Creosote Lumber or Like Treated Material (excluding railroad material); Asphalt Rakers & Lutemen; Kettlemen; Air Tool Operators; Vibrators; Chipping Hammer Operators and All Pneumatic Tool Operators; Earth Compactors; Jackmen & Sheetmen Working Ditches Deeper than 6 ft. in Depth; Laborers Working Ditches, 6 ft. in Depth or Deeper; Assembly of Unicrete Pump; Chain Saw Operators; Tile Layers (sewer or Field) & Sewer Pipe Layers (metallic or non-metallic); Motor Driven Wheelbarrows & Concrete Buggies; Hyster Operators; Pump Cisterns; Assemblers; Core Drill Operators; Cement, Lime or Silica Clay Handlers (bulk or bag); Handling of-Toxic Materials Damaging to Clothing; Pneumatic Sickers; Deck Engine & Winch Operators; Water Main & Cable Ducking (metallic & non-metallic)

GROUP III: Plasterers' Tenders; Mason Tenders, except for Areas 1, 1A, 1B, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; Mortar Mixers; Venders (acetylene or electric); Cutting Torch or Burner; Cement Notiz Laborers; Cement Gun Operators; Scaffold Builders when Working for Plasterers; Scaffold Builders when Working for Masons (except in Areas 1, 1A, 1B, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley)

GROUP IV: Dynamite Men; Drillers - Air Track or Tug Drilling for Explosives

GROUP V:
A. Boiler Setters Laborers (Fire Brick Test Out with Air Tools)
B. Boiler Setters Laborers (Fire Brick)
C. Boiler Setters Laborers (Mortar Mixers)
D. Exploder, Bottom Man & Bell Man

LABORERS: HEAVY & HIGHWAY CONSTRUCTION

<table>
<thead>
<tr>
<th>County</th>
<th>Remaining Counties</th>
<th>Basic Hourly Rates</th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>H &amp; W</td>
<td>Pensions</td>
<td>Vacation</td>
</tr>
<tr>
<td>GROUP 1</td>
<td>$9.70</td>
<td>$9.00</td>
<td>.85</td>
<td>.75</td>
</tr>
<tr>
<td>GROUP 2</td>
<td>9.05</td>
<td>9.15</td>
<td>.85</td>
<td>.75</td>
</tr>
<tr>
<td>GROUP 3</td>
<td>9.90</td>
<td>9.20</td>
<td>.85</td>
<td>.75</td>
</tr>
<tr>
<td>GROUP 4</td>
<td>10.00</td>
<td>9.30</td>
<td>.85</td>
<td>.75</td>
</tr>
<tr>
<td>GROUP 5</td>
<td>10.55</td>
<td>9.65</td>
<td>.85</td>
<td>.75</td>
</tr>
</tbody>
</table>

LABORERS

GROUP 1 - Carpenter Tender; Chairman; Construction Laborers; Continuous Steel Rod or Hot Installer; Fence Erector; Grade Checkers; Guard Rail Erector; Joint Man (Mortar, Hastic & other Types); Lighting Installer; Lineman for Automatic Grade Maker or Paving Machine; Mortar Man; Multi-plate Erector; Rip-Rap Installer; Road Marking & Delineation Laborer; Rodman; Setting & Placing of All Precast Concrete Products; Spraying of Epoxy, Curing compound or Like Material; Survey Crew Man; Hire Hash Layer; Sign Installation, Including Supporting Structures

GROUP 2 - Air Tool, Power Tool, & Power Equipment Operator; Asphalt Lute Man; Asphalt Raker Man; Batch Truck Dumper; Cement Handler (bulk or bag cement); Chain Saw Man; Concrete Conveyor Assembly Man; Concrete Puddler; Concrete Rubber; Concrete Saw Operator; Core Drill Operator; Hand Blade Operator; Hydro Seeder Man; Motor Driven Georgia Buggy Operator; Power Driven Compactor or Tamper Operator; Eye Level; Power Saw Operator; Pumper Assembly Man; Sealer Applicator for Asphalt, Toxic; Side Rail Setter - For Sidewalks, Side Ditches, Road & Pavements; Spreeder Box Tender; Straw Blower Man; Subsurface Drain & Culvert Pipe Layer; Transverse & Longitudinal Hand Pull Box Crew; Bridge Hand Rail Erector; Laborers Instrument Man; Screw Man on Asphalt Paver; Rebar Installer; Sandblaster Man; Setting & Placing Prestressed or Precast Concrete Structural Members

GROUP 3 - Horizontal Boring & Jacking Man; Jackman & Sheetmen; Pipe Grade Man; Winch & Windlass Operator

GROUP 4 - Conduit Installer; Cutting Torch Burner; Laser Beam Aligner; Welders (Electric or Oxy-Acetylene); Sewer Pipe Layer; Water Line Installer; Hambino Erector

GROUP 5 - Air Track & Tug Drillman; Concrete Finisher; Dynamite & Powder Man
MODIFICATION PAGE 14

DECISION NO. IN79-2059

Cont'd

LABORERS (Sewer, Tunnel, & Water Construction)

<table>
<thead>
<tr>
<th>St Joseph County</th>
<th>Basic Hourly Rates</th>
<th>H &amp; W</th>
<th>Pensions</th>
<th>Vacation</th>
<th>Education and/or Appr Tr</th>
</tr>
</thead>
<tbody>
<tr>
<td>GROUP 1</td>
<td>$9.20</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>GROUP 2</td>
<td>9.35</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>GROUP 3</td>
<td>9.40</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>GROUP 4</td>
<td>9.50</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>GROUP 5</td>
<td>10.05</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>GROUP 6:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>9.40</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>B</td>
<td>9.55</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>C</td>
<td>10.00</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>D</td>
<td>9.50</td>
<td>85</td>
<td>75</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

GROUP 1 - Carpenter Tender; Chaiman; Construction Laborers; Continuous Steel Rod or Mat Installer; Fence Erector; Grade Checker; Guard Rail Erector; Joint Man (Mortar, Mastic & all other Types); Lighting Installer; Lineman for Automatic Grade Maker or Paving Machine; Mortar Man; Multi-plate Erector; Rip-Rap Installer; Road Marking & Delineation Laborer; Rodman; Setting & Placing of All Precast Concrete Products; Spraying of Epoxy, Curing compound or Like Materials; Survey Crew Man; Wire Hush Layer; Sign Installation, Including Supporting Structures.

GROUP 2 - Air Tool, Power Tool, & Power Equipment Operator; Asphalt Lute Man; Asphalt Raker Man; Batch Truck Dumper; Cement Handler (Bulk or Bag Cement); Chain Saw Man; Concrete Conveyor Assembly Man; Concrete Puddler; Concrete Rubber; Concrete Saw Operator; Core Drill Operator; Hand Blade Operator; Hydro Seeder Man; Motor Driven Georgia Buggy Operator; Power Driven Compactor or Tamper Operator; Eye Level; Power Saw Operator; Pumpecrete Assembly Man; Sealer Applicator for Asphalt, Toxic; Side Rail Setter - For Sidewalks, Side Ditches, Radii & Pavements; Sprayer Box Tender; Straw Blower Man; Subsurface Drain & Culvert Pipe Layer; Transverse & Longitudinal Hand Bull Float Man; Bridge Hand Rail Erector; Laborers Instrument Man; Screwed Man or Screw Man on Asphalt Paver; Rebar Installer; Sandblaster Man; Setting & Placing Prestressed or Precast Concrete Structural Members.

GROUP 3 - Horizontal Boring & Jacking Man; Jackman & Sheetman; Pipe Grade Man; Winch & Windlass Operator.

GROUP 4 - Conduit Installer; Cutting Torch Burner; Laser Beam Aligner; Welders (Electric or Oxy-Acetylene); Sewer Pipe Layer; Water Line Installer; Manhole Erector.

GROUP 5 - Air Track & Wagon Drillers; Concrete Finisher; Dynamite & Powder Man.

GROUP 6 - A Bottom Man; Concrete Man.

MODIFICATION PAGE 15

DECISION NO. LA80-4014 -

MOD. #1

(45 FR 6294 - January 25, 1980)

Statewide Louisiana

CHANGE:

Glaziers listed in Mod. #2 in the FR of 2/29/80 on page 13595 should read:

Glaziers - Zone 1 $11.65 02

DECISION NO. IN79-3035 - Mod. #1

(44 FR 59600 - October 12, 1979)

Caroline, Dorchester, Kent, Queen Anne's, and Talbot Counties, Maryland

Changes:

Electricians $7.87 8 17

DECISION NO. MA79-2089 -

MOD. #1

(44 FR 75883 - December 21, 1979)

Suffolk Co., Massachusetts

Changes:

Electricians $12.68 07 1 08 18 80
### DECISION NO. MA80-2001 - MOD. F.1

(45 FR 15020 - March 7, 1980) Middlesex County, Massachusetts

**Change:**
- **Masons:** $12.15, 05, 1.00, .15
- **Pile drivers:** 11.90, 05, 1.00

**On Federal Register:**
- Page 15023 change Decision MA80-2001 (page 6 of Decision) to read MA80-2001

**Omit:**
- Bricklayers; Stonemasons; Veneer Plasterers
- All work, including demolition, repair and alteration of any existing structure which is intended for predominantly residential use:
  - Acton, Ashby, Ayer, Bedford, Billerica, Boxboro, Carliole, Chelmsford, Dracut, Dunstable, Ft.
  - Devens, Groton, Littleton, Lowell, North Acton, Pepperell, Shirley, South Acton, Tewksbury, Townsend, Tyngsboro, West Acton, Woburn and Willington 9.36, .90, .70, .09

### DECISION NO. MA80-2001 - Cont'd

All work, including demolition, repair, and alteration of any existing structure which is intended for predominantly residential use:
- Bricklayers:
  - Belmont, Burlington, Concord, Lexington, Lincoln, Stoneham, Sudbury, Waltham, Wayland, Weston, Winchester and Woburn

Carpenters & Soft Floor Layers:
All work, including demolition, repair and alteration of any existing structure which is intended from predominantly residential use:
- Bedford, Concord, Dover, Lincoln, North, Newton, Sudbury, Waltham, Watertown, Wayland, Westford, Acton, Billerica, Boxboro, Carliole, Chelmsford, Dracut, Dunstable, Littleton, Lowell, Tewksbury, Tyngsboro, West Acton, Woburn and Westford 9.32, .95, 1.00, .07
### MODIFICATION PAGE 19

<table>
<thead>
<tr>
<th>DECISION NO. WA80-2001</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cont'd</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Electricians:</strong></td>
<td></td>
</tr>
<tr>
<td>All work, including</td>
<td></td>
</tr>
<tr>
<td>demolition, repair and</td>
<td></td>
</tr>
<tr>
<td>alteration of any</td>
<td></td>
</tr>
<tr>
<td>existing structure</td>
<td></td>
</tr>
<tr>
<td>which is intended for</td>
<td></td>
</tr>
<tr>
<td>predominantly resident-</td>
<td></td>
</tr>
<tr>
<td>tial use:</td>
<td></td>
</tr>
<tr>
<td>Electricians:</td>
<td></td>
</tr>
<tr>
<td>Bedford, Billerica,</td>
<td></td>
</tr>
<tr>
<td>Boxboro, Burlington,</td>
<td></td>
</tr>
<tr>
<td>Carlisle, Chelmsford,</td>
<td></td>
</tr>
<tr>
<td>Dracut, Dunstable,</td>
<td></td>
</tr>
<tr>
<td>Littleton, Lowell,</td>
<td></td>
</tr>
<tr>
<td>Tewksbury, Tyngsboro,</td>
<td></td>
</tr>
<tr>
<td>Westford, and Willimton</td>
<td></td>
</tr>
<tr>
<td><strong>Painters:</strong></td>
<td></td>
</tr>
<tr>
<td>All work, including</td>
<td></td>
</tr>
<tr>
<td>demolition, repair and</td>
<td></td>
</tr>
<tr>
<td>alteration of any</td>
<td></td>
</tr>
<tr>
<td>existing structure</td>
<td></td>
</tr>
<tr>
<td>which is intended for</td>
<td></td>
</tr>
<tr>
<td>predominantly resident-</td>
<td></td>
</tr>
<tr>
<td>tial use</td>
<td></td>
</tr>
<tr>
<td><strong>Plasterers:</strong></td>
<td></td>
</tr>
<tr>
<td>All work, including</td>
<td></td>
</tr>
<tr>
<td>demolition, repair and</td>
<td></td>
</tr>
<tr>
<td>alteration of any</td>
<td></td>
</tr>
<tr>
<td>existing structure</td>
<td></td>
</tr>
<tr>
<td>which is intended for</td>
<td></td>
</tr>
<tr>
<td>predominantly residential use:</td>
<td></td>
</tr>
<tr>
<td>Bedford, Burlington,</td>
<td></td>
</tr>
<tr>
<td>Lowell, Tyngsboro, and</td>
<td></td>
</tr>
<tr>
<td>Westford</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Fringe Benefits Payments</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Basic Hourly Rates</strong></td>
<td><strong>H &amp; W</strong></td>
</tr>
<tr>
<td>9 31</td>
<td>85</td>
</tr>
<tr>
<td>8 50</td>
<td>65</td>
</tr>
</tbody>
</table>

### MODIFICATION PAGE 19

<table>
<thead>
<tr>
<th>DECISION NO. PA79-3020</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>MOD. No. 1</strong></td>
<td></td>
</tr>
<tr>
<td>(PA FR 42880 - July 20, 1979)</td>
<td></td>
</tr>
<tr>
<td>Carbon, Monroe County, including Tobyhanna Army Depot and Pike County, Pennsylvania</td>
<td></td>
</tr>
<tr>
<td><strong>CHANGE:</strong></td>
<td></td>
</tr>
<tr>
<td>Asbestos Workers</td>
<td>$11,875</td>
</tr>
<tr>
<td>Boilermakers</td>
<td>14 64</td>
</tr>
<tr>
<td>Line Construction:</td>
<td>12 37</td>
</tr>
<tr>
<td>Lineman, dynamite man, heavy equipment operator</td>
<td>8 68</td>
</tr>
<tr>
<td>Winch truck operator</td>
<td>8 30</td>
</tr>
<tr>
<td><strong>Groundman</strong></td>
<td>9 35</td>
</tr>
<tr>
<td><strong>Painters:</strong></td>
<td>10 65</td>
</tr>
<tr>
<td><strong>Spray</strong></td>
<td>11 65</td>
</tr>
<tr>
<td><strong>Steel</strong></td>
<td>11 65</td>
</tr>
<tr>
<td><strong>Sprinter Fitters</strong></td>
<td>13 55</td>
</tr>
<tr>
<td><strong>GNN:</strong></td>
<td>11 05</td>
</tr>
<tr>
<td><strong>ELECTRICIANS</strong></td>
<td>11 87</td>
</tr>
<tr>
<td>Zone 1</td>
<td>12 50</td>
</tr>
<tr>
<td>Zone 2</td>
<td>11 85</td>
</tr>
<tr>
<td>Zone 3</td>
<td>11 85</td>
</tr>
</tbody>
</table>

**FOOTNOTES:**

- a Paid Holidays: Independence Day and Labor Day
- b 12 per day per employee
### ELECTRICIANS:

<table>
<thead>
<tr>
<th>Monroe County: Barrett, Coolbaugh, Paradise and Price Townships, and that portion of Tobyhanna and Tunkhannock Townships east of State Highway 115. Zone A- From 20 to 30 miles from zero milestone marker</th>
<th>Zone B- All distance beyond 30 miles</th>
<th>Zone A- From 20 to 30 miles from zero milestone marker</th>
<th>Zone B- All distances beyond 30 miles from zero marker</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Basic Hourly Rates</td>
<td>Fringe Benefits Payments</td>
<td>Education and/or Appr. Tr.</td>
</tr>
<tr>
<td>ELECTRICIANS</td>
<td>11.75</td>
<td>0.70</td>
<td>32+0.60</td>
</tr>
</tbody>
</table>

| Carbon County: Towamensing Lower Towamensing, East Penn Township and the Borough of Pottsville in their entirety. That portion of Kidder Twp., excluding Eastside Borough, north of State Highway 940 from that Borough to Monroe County: Zone A- From 20 - 1/10 miles to 30 miles from Public Square |-|---|---|---|---|---|---|---|---|---|
| Zone B- All distance from 30- 1/10 miles & over | 12.44 | 0.65 | 32+0.95 | 0.03 | 11.85 | 0.75 | 35+0.50 | 1.00 | 1.10 |
| Zone A- From 20 - 1/10 miles to 30 miles from Public Square | 12.44 | 0.65 | 32+0.95 | 0.03 | 11.85 | 0.75 | 35+0.50 | 1.00 | 1.10 |
| Zone B- All distance from 30- 1/10 miles & over | 12.74 | 0.65 | 32+0.95 | 0.03 | 11.85 | 0.75 | 35+0.50 | 1.00 | 1.10 |

**FOOTNOTES:**

b. $.12 per day per employee.

Monroe County: See portion of Tobyhanna and Tunkhannock Townships west of State Highway 115.

| Zone A- From 20- 1/10 miles to 30 miles from Public Square | 12.44 | 0.65 | 32+0.95 | 0.03 |
| Zone B- All distance from 30- 1/10 miles & over | 12.74 | 0.65 | 32+0.95 | 0.03 |
| Zone A- From 20- 1/10 miles to 30 miles from Public Square | 12.44 | 0.65 | 32+0.95 | 0.03 |
| Zone B- All distance from 30- 1/10 miles & over | 12.74 | 0.65 | 32+0.95 | 0.03 |

- Townships:
  - Pocono, Stroud, Smithfield and Middle Smithfield

**Values:**

- 10.30
- 0.10
- 0.20
- 0.30

- Townships:
  - Elmer, Glen Oak, Indian Park, Edgerton

**Values:**

- 10.30
- 0.10
- 0.20
- 0.30
### DECISION NO. PA80-3011

**MOD. NO. 1**

(45 FR 2118 - February 22, 1980)  
Greene, Somerset & Potter Counties, Pennsylvania

**CHANGE:**  
**LINE CONSTRUCTION:**
- Lineman, dynamite man, heavy equipment operator: $12.37, $5, 3%  
- Line truck operator: $8.68, $5, 3%  
- Laborer: $8.30, $5, 3%

<table>
<thead>
<tr>
<th>Zone</th>
<th>Class</th>
<th>Basic Hourly Rates</th>
<th>H &amp; W</th>
<th>Pensions</th>
<th>Vacation</th>
<th>Education and/or Appr Tr</th>
</tr>
</thead>
<tbody>
<tr>
<td>Zone I</td>
<td>1</td>
<td>10.00</td>
<td>55</td>
<td>9%</td>
<td>7%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>10.25</td>
<td>55</td>
<td>9%</td>
<td>7%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>10.80</td>
<td>55</td>
<td>9%</td>
<td>7%</td>
<td></td>
</tr>
</tbody>
</table>

### DECISION NO. TX80-4003 - MOD. #1

(45 FR 1380 - January 4, 1980)  
Jefferson & Orange Cos., Texas

**CHANGE:**  
Concrete masons:  
North half of Jefferson Co. bounded on the south side by Nederland Ave. in Nederland, Texas  
South half of Jefferson Co. & all of Orange Co., $11.88

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>H &amp; W</th>
<th>Pensions</th>
<th>Vacation</th>
<th>Education and/or Appr Tr</th>
</tr>
</thead>
<tbody>
<tr>
<td>$11.88</td>
<td>11</td>
<td>11</td>
<td>11</td>
<td></td>
</tr>
</tbody>
</table>

**Change:**
- Incidental Paving and Utilities:
  - Air Tool Man: $3.55
  - Asphalt Heater: 4.25
  - Asphalt Raker: 5.00
  - Asphalt Shovel: 4.25
  - Batching Plant Scalesman: 5.50
  - Carpenter: 5.60
  - Carpenter Helper: 4.10
  - Concrete Finisher: 5.50
  - Concrete Finisher Helper (Paving): 4.75
  - Concrete Finisher Helper (Structures): 6.00
  - Concrete Finisher Helper (Structures): 4.00
  - Concrete Rubber: 4.00
  - Electrician: 8.90
  - Electrician Helper: 4.00
  - Form Builder (Structures): 4.50
  - Form Builder Helper (Structures): 4.00
  - Form Setter (Paving & Curb): 4.65
  - Form Setter Helper (Paving & Curb): 3.75
  - Form Setter (Structures): 5.75
  - Form Setter Helper (Structures): 4.00
  - Laborer, Common: 3.25
  - Laborer, Utility Man: 3.50
  - Mechanic: 5.25
  - Mechanic Helper: 4.10
  - Oilier: 4.50
  - Serviceman: 4.75
  - Piledriversman: 4.00
  - Pipefitter:
    - Bosco, Falls, Hill & McLennan Cos: 5.50
    - Bosco, Coryell Cos: 5.50
  - Pipefitter (Concrete & Clay):
    - Bell & Coryell Cos: 5.50

*Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices*
### MODIFICATION PAGE 24

**DECISION NO. TX80-4005 - MOD. #4 (CONT'D)**

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>H &amp; W</td>
</tr>
</tbody>
</table>

**Pipelayer Helper:**
- Bosque, Falls, Hill & McLennan Counties: $3.30
- Pipe layer Helper (Concrete & Clay):
  - Bell & Coryell Cos.: 3.30
  - Plumbers (Bell & Coryell Cos.):
    - Zone 1-35 miles from Waco including towns of Temple & Belton: 10.17
    - Zone 2-all area not included in Zone 1: 10.88

**Powderman**
- 5.50

**Reinforcing Steel Setter (Structures)**
- 5.25

**Reinforcing Steel Setter Helper**
- 3.75

**Sign Erector**
- 4.65

**Sign Erector Helper**
- 3.30

**Spreader Box Man**
- 4.60

**Swampar**
- 4.55

**Power Equipment Operators:**
- Asphalt Distributor: 4.65
- Asphalt Paving Machine: 5.10
- Broom or Sweeper Operator: 4.50
- Bulldozer, 150 HP & Less: 4.75
- Bulldozer, over 150 HP: 5.00
- Concrete Paving Curing Machine: 5.00
- Concrete Paving Saw, Crane, Clamshell, Backhoe, Derrick, Dragline, Shovel (less than 1½ CY): 4.75
- Crane, Clamshell, Backhoe, Derrick, Dragline, Shovel (1½ CY & over): 6.50
- Crusher or Screening Plant Operator: 4.60

### MODIFICATION PAGE 25

**DECISION NO. TX80-4005 - MOD. #4 (CONT'D)**

**Power Equipment Operator (cont'd)**

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>H &amp; W</td>
</tr>
</tbody>
</table>

**Foundation Drill Op. (Truck Mounted)**
- $4.50

**Foundation Drill Op. Helper**
- 4.25

**Front End Loader (2½ CY & Less)**
- 4.65

**Front End Loader (Over 2½ CY)**
- 5.00

**Motor Grader Op., Fine Grade**
- 6.55

**Motor Grader Operator**
- 5.30

**Roller, Steel Wheel (Plant-Mix Pavements)**
- 4.50

**Roller, Steel Wheel (Other Flat Wheel or Tamper)**
- 4.50

**Roller, Pneumatic (Self-Propelled)**
- 3.85

**Scrapers (1½ CY & Less)**
- 4.50

**Scrapers (Over 1½ CY)**
- 5.00

**Tractor (Crawler Type) 150 HP & Less**
- 3.30

**Tractor (Crawler Type) over 150 HP**
- 4.60

**Tractor (Pneumatic) 80 HP & Less**
- 3.70

**Tractor (Pneumatic) over 80 HP**
- 4.85

**Trenching Machine, Light**
- 4.00

**Trenching Machine, Heavy**
- 4.45

**Wagon Drill, Boring Machine or Post Hole Driller Operator**
- 4.20

**Truck Drivers:**
- Single Axle, Light: 4.00
- Single Axle, Heavy: 4.00
- Tandem Axle or Semi-trailer: 4.00
- Derrick-Float: 4.80
- Worder: 5.25
- Worder Helper: 3.60
**Superseeds Decision**

**State:** Georgia  
**County:** Bibb  
**Decision Number:** GA80-1062  
**Supersedes Decision Number:** GA76-1122, dated October 22, 1976, in 41 FR 46803  
**Description of Work:** Building construction projects - (does not include single family homes and apartments up to and including four stories)

<table>
<thead>
<tr>
<th>Classification</th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefit Payments</th>
<th>Education and/or Appr Tr</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIR CONDITIONING &amp; HEATING MECHANICS</td>
<td>$8.50</td>
<td>H &amp; W 1.05 Pensions 1.10</td>
<td>0.02</td>
</tr>
<tr>
<td>BOILERMAKERS</td>
<td>10.70</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BRICKLAYERS</td>
<td>8.07</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CARPENTERS</td>
<td>7.25</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CARPET LAYERS</td>
<td>5.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CEMENT MASONOS</td>
<td>8.70</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DRYWALL FINISHERS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ELECTRICIANS</td>
<td>10.28</td>
<td>60 3% 30</td>
<td>4 of 18</td>
</tr>
<tr>
<td>GLAZIERS</td>
<td>5.80</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IRONWORKERS</td>
<td>9.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LABORERS</td>
<td>3.70</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LATHERS</td>
<td>8.25</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PAINTERS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PLASTERERS</td>
<td>8.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PLUMBERS &amp; PIPEFITERS</td>
<td>9.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ROOFERS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WATERPROOFERS</td>
<td>7.35</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHEET METAL WORKERS</td>
<td>6.92</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SOFT FLOOR LAYERS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TILE SETTERS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRUCK DRIVERS</td>
<td>5.70</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Welders - Rate for craft</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Power Equipment Operators:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Asphalt spreader</td>
<td>4.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Backhoe</td>
<td>8.15</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Crane</td>
<td>9.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Front end loader</td>
<td>5.49</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Motor grader</td>
<td>4.45</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii))

---

**Supersedes Decision**

**State:** Indiana  
**Counties:** *See Below*  
**Decision No.:** IN80-2012  
**Supersedes Decision Nos:** IN77-2013 dated February 11, 1977 in 42 FR 2913 and IN77-2015 dated February 11, 1977 in 42 FR 2914  
**Description of Work:** Residential construction consisting of single family homes and apartments up to and including 4 stories

<table>
<thead>
<tr>
<th>Classification</th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefit Payments</th>
<th>Education and/or Appr Tr</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIR CONDITIONING &amp; HEATING MECHANICS</td>
<td>$5.50</td>
<td>H &amp; W 1.05 Pensions 1.10</td>
<td>0.02</td>
</tr>
<tr>
<td>BRICKLAYERS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CARPENTERS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CEMENT MASONOS</td>
<td>5.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DRYWALL HANGERS</td>
<td>4.60</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ELECTRICIANS</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INSULATION INSTALLERS</td>
<td>4.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LABORERS</td>
<td>4.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PAINTERS</td>
<td>4.67</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PLUMBERS</td>
<td>6.30</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ROOFERS</td>
<td>4.69</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHEET METAL WORKERS</td>
<td>6.39</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRUCK DRIVERS</td>
<td>4.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Power Equipment Operators:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Backhoe Operators</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Front End Loaders</td>
<td>6.00</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii))
### SUPERSEDES DECISION

**STATE:** Michigan  
**COUNTY:** Kent  
**DECISION NUMBER:** M190-2017  
**DATE:*** Date of Publication Supersedes Decision  
M197-2001 dated September 16, 1979 in FR 53657  
**DESCRIPTION OF WORK:** Building Construction Projects (excluding single family homes and apartments up to and including 4 stories).

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>Pensions</th>
<th>Vacation</th>
<th>Education and/or Appr. Tr.</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASBESTOS WORKERS</td>
<td>11.07</td>
<td>1.20</td>
<td>1.50 .03</td>
</tr>
<tr>
<td>ROLLERMACHINES</td>
<td>7.45</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ENDO MASON NERSONS</td>
<td>11.08</td>
<td>.65</td>
<td>354.35</td>
</tr>
<tr>
<td>ELECTRICIANS</td>
<td>9.90</td>
<td>.545</td>
<td>.35 4246b</td>
</tr>
<tr>
<td>ELEVATOR CONSTRUCTORS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>IRONWORKERS</td>
<td>5.86</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Structural &amp; Ornamental</td>
<td>5.92</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reinforcing</td>
<td>5.82</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LABORERS - SKILLED</td>
<td>4.89</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LABORERS - MASON TENDERS</td>
<td>5.15</td>
<td>.50</td>
<td>.25</td>
</tr>
<tr>
<td>PAINTERS - BRUSH</td>
<td>9.95</td>
<td>.50</td>
<td>.25</td>
</tr>
<tr>
<td>PAINTERS - TAPERS</td>
<td>9.35</td>
<td>.50</td>
<td>.25</td>
</tr>
<tr>
<td>PLASTERERS</td>
<td>7.80</td>
<td>.60</td>
<td>.05</td>
</tr>
<tr>
<td>PLUMBERS &amp; STEAMFITTERS</td>
<td>13.66</td>
<td>.774</td>
<td>.95 .67</td>
</tr>
<tr>
<td>ROOFERS</td>
<td>5.55</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHEET METAL WORKERS</td>
<td>11.40</td>
<td>.774</td>
<td>.95 .67</td>
</tr>
<tr>
<td>TRUCK DRIVERS</td>
<td>5.60</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**POWER EQUIPMENT OPERATORS:**  
- Backhoe Operator: 7.15  
- Bulldozer Operator: 8.35  
- Crane Operator: 5.27  
- Finishing Machine Operator: 5.50  
- Front End Loader: 7.02  
- Scraper: 7.00

**FOOTNOTES:**  
b. Employer contributes 42 or regular hourly rate to vacation pay credits for employees who have worked in business more than 5 years & 22 for employer in business less than 5 years.  
c. 65.00 per month - Life Insurance

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after notice only as provided in the labor standards contract clause (27 CFR 5.5 (a) (1) (11)).

---

### SUPERSEDES DECISION

**STATE:** Ohio  
**COUNTIES:*** SEE BELOW  
**DECISION NO.:** OH80-1901  
**DATE:*** Date of Publication Supersedes Decision  
OH77-2128, dated August 12, 1977 in FR 41055  
**DESCRIPTION OF WORK:** Residential Construction Consisting of single family homes and apartments up to and including 4 stories.

<table>
<thead>
<tr>
<th>Basic Hourly Rates</th>
<th>Pensions</th>
<th>Vacation</th>
<th>Education and/or Appr. Tr.</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIR CONDITIONING MECHANICS</td>
<td>6.46</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BRICKLAYERS</td>
<td>7.82</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CARPENTERS</td>
<td>6.13</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CEMENT MASON</td>
<td>6.72</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DRYWALL FINISHERS</td>
<td>6.75</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DRYWALL HANGERS</td>
<td>5.56</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ELECTRICIANS</td>
<td>6.18</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INSULATORS</td>
<td>5.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IRONWORKERS, ORNAMENTAL</td>
<td>4.75</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LABORERS</td>
<td>4.32</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PAINTERS</td>
<td>5.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PLUMBERS</td>
<td>7.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ROOFERS</td>
<td>5.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHEET METAL WORKERS</td>
<td>5.72</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SOFT FLOOR LAYERS</td>
<td>5.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TILE SETTERS</td>
<td>5.50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRUCK DRIVERS</td>
<td>5.00</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**POWER EQUIPMENT OPERATORS:**  
- Backhoes: 6.77  
- Bulldozer: 7.65  
- Front End Loaders: 6.77

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after notice only as provided in the labor standards contract clause (27 CFR 5.5 (a) (1) (11)).
### SUPERSEDEAS DECISION

**STATE:** Ohio  
**COUNTIES:** Clermont & Hamilton  

<table>
<thead>
<tr>
<th>Decision No</th>
<th>Date of Publication</th>
</tr>
</thead>
<tbody>
<tr>
<td>OH80-2011</td>
<td>February 27, 1976</td>
</tr>
</tbody>
</table>

**Description of Work:** Residential construction consisting of single family homes and apartments up to and including 4 stories

<table>
<thead>
<tr>
<th>Classification</th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>H &amp; W</td>
</tr>
<tr>
<td>AIR CONDITIONING MECHANICS</td>
<td>$6.75</td>
<td></td>
</tr>
<tr>
<td>BRICKLAYERS</td>
<td>$8.61</td>
<td></td>
</tr>
<tr>
<td>CARPENTERS</td>
<td>$6.47</td>
<td></td>
</tr>
<tr>
<td>CEMENT MASONS</td>
<td>$8.06</td>
<td></td>
</tr>
<tr>
<td>DRYWALL FINISHERS</td>
<td>$7.19</td>
<td></td>
</tr>
<tr>
<td>DRYWALL HANGERS</td>
<td>$6.45</td>
<td></td>
</tr>
<tr>
<td>ELECTRICIANS</td>
<td>$6.98</td>
<td></td>
</tr>
<tr>
<td>LABORERS:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Common</td>
<td>$5.18</td>
<td></td>
</tr>
<tr>
<td>Mason Tenders</td>
<td>$5.50</td>
<td></td>
</tr>
<tr>
<td>PLUMBERS</td>
<td>$7.92</td>
<td></td>
</tr>
<tr>
<td>ROOFERS</td>
<td>$7.03</td>
<td></td>
</tr>
<tr>
<td>SHEET METAL WORKERS</td>
<td>$6.59</td>
<td></td>
</tr>
<tr>
<td>TRUCK DRIVERS</td>
<td>$7.30</td>
<td></td>
</tr>
<tr>
<td>POWER EQUIPMENT OPERATORS:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Backhoe</td>
<td>$8.17</td>
<td></td>
</tr>
<tr>
<td>Bulldozer</td>
<td>$10.07</td>
<td></td>
</tr>
<tr>
<td>Front End Loader</td>
<td>$10.00</td>
<td></td>
</tr>
</tbody>
</table>

**Notices**

<table>
<thead>
<tr>
<th>Classification</th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>H &amp; W</td>
</tr>
<tr>
<td>Carpenters</td>
<td>$7.00</td>
<td></td>
</tr>
<tr>
<td>Carpenters helper</td>
<td>$5.90</td>
<td></td>
</tr>
<tr>
<td>Concrete finishers</td>
<td>$7.15</td>
<td></td>
</tr>
<tr>
<td>Concrete finishers helper</td>
<td>$5.85</td>
<td></td>
</tr>
<tr>
<td>Concrete rubber</td>
<td>$6.05</td>
<td></td>
</tr>
<tr>
<td>Electricians</td>
<td>$11.85</td>
<td></td>
</tr>
<tr>
<td>Electricians helper</td>
<td>$8.25</td>
<td></td>
</tr>
<tr>
<td>Form builder</td>
<td>$6.60</td>
<td></td>
</tr>
<tr>
<td>Form builder helper</td>
<td>$5.70</td>
<td></td>
</tr>
<tr>
<td>IRONWORKERS:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reinforcing steel setter</td>
<td>$5.90</td>
<td></td>
</tr>
<tr>
<td>Paving and structures</td>
<td>$5.90</td>
<td></td>
</tr>
<tr>
<td>Reinforcing steel setter</td>
<td>$5.00</td>
<td></td>
</tr>
<tr>
<td>Paving &amp; structures helper</td>
<td>$5.00</td>
<td></td>
</tr>
<tr>
<td>Structural steel workers</td>
<td>$6.70</td>
<td></td>
</tr>
<tr>
<td>LABORERS:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Air tool man</td>
<td>$5.25</td>
<td></td>
</tr>
<tr>
<td>Asphalt heatman</td>
<td>$5.99</td>
<td></td>
</tr>
<tr>
<td>Asphalt raker</td>
<td>$6.05</td>
<td></td>
</tr>
<tr>
<td>Asphalt shoveler</td>
<td>$5.10</td>
<td></td>
</tr>
<tr>
<td>Form setter</td>
<td>$6.60</td>
<td></td>
</tr>
<tr>
<td>Form setter helper</td>
<td>$5.70</td>
<td></td>
</tr>
<tr>
<td>Laborer, common</td>
<td>$4.60</td>
<td></td>
</tr>
<tr>
<td>Pipelayer</td>
<td>$6.60</td>
<td></td>
</tr>
<tr>
<td>Powderman</td>
<td>$6.20</td>
<td></td>
</tr>
<tr>
<td>Powderman helper</td>
<td>$5.35</td>
<td></td>
</tr>
<tr>
<td>Vibrator (hand type)</td>
<td>$5.00</td>
<td></td>
</tr>
<tr>
<td>Manhole builder</td>
<td>$6.45</td>
<td></td>
</tr>
<tr>
<td>Mechanic</td>
<td>$7.25</td>
<td></td>
</tr>
<tr>
<td>Mechanic helper</td>
<td>$5.90</td>
<td></td>
</tr>
<tr>
<td>Painter</td>
<td>$6.30</td>
<td></td>
</tr>
<tr>
<td>Painter helper</td>
<td>$5.25</td>
<td></td>
</tr>
<tr>
<td>Piledriverman</td>
<td>$5.90</td>
<td></td>
</tr>
<tr>
<td>Traffic signal installer</td>
<td>$6.70</td>
<td></td>
</tr>
<tr>
<td>Weighman (truck scales)</td>
<td>$4.75</td>
<td></td>
</tr>
<tr>
<td>POWER EQUIPMENT OPERATORS:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Asphalt distributor</td>
<td>$6.30</td>
<td></td>
</tr>
<tr>
<td>Asphalt paving machine</td>
<td>$7.25</td>
<td></td>
</tr>
<tr>
<td>Bulldozer</td>
<td>$7.25</td>
<td></td>
</tr>
<tr>
<td>Cement unloading and</td>
<td>$5.25</td>
<td></td>
</tr>
<tr>
<td>machine operator</td>
<td>$7.00</td>
<td></td>
</tr>
<tr>
<td>Concrete paving machine</td>
<td>$6.60</td>
<td></td>
</tr>
<tr>
<td>Concrete finishing machine</td>
<td>$6.60</td>
<td></td>
</tr>
</tbody>
</table>

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the Labor standards contract clauses (29 C.F.R. 5.5 (a) (1) (ii))
### DECISION NO. OK80-4021

<table>
<thead>
<tr>
<th>POWER EQUIPMENT OPERATORS (CONT'D.)</th>
<th>Basic Hourly Rates</th>
<th>Fringe Benefits Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CONCRETE SAW OPERATOR</strong></td>
<td>$6.30</td>
<td></td>
</tr>
<tr>
<td><strong>CONCRETE BATCHING PLANT</strong></td>
<td>7.00</td>
<td></td>
</tr>
<tr>
<td><strong>CRANE, CLAMSHELL, BACKHOE, DERRICK, DRAGLINE AND SHOVEL</strong></td>
<td>7.25</td>
<td></td>
</tr>
<tr>
<td><strong>CRUSHER &amp; SCREENING PLANT OPERATOR</strong></td>
<td>6.60</td>
<td></td>
</tr>
<tr>
<td><strong>PIZMAN</strong></td>
<td>5.90</td>
<td></td>
</tr>
<tr>
<td><strong>FRONT END LOADERS:</strong></td>
<td>6.30</td>
<td></td>
</tr>
<tr>
<td>1 CY and less</td>
<td>7.00</td>
<td></td>
</tr>
<tr>
<td>Over 1 CY</td>
<td>6.30</td>
<td></td>
</tr>
<tr>
<td><strong>MIXER (OVER 16 C.F.)</strong></td>
<td>6.45</td>
<td></td>
</tr>
<tr>
<td><strong>MIXER (16 C.F. AND LESS)</strong></td>
<td>6.00</td>
<td></td>
</tr>
<tr>
<td><strong>MIXER (CONCRETE PAVING)</strong></td>
<td>6.60</td>
<td></td>
</tr>
<tr>
<td><strong>MOTOR GRADER OPERATOR</strong></td>
<td>7.25</td>
<td></td>
</tr>
<tr>
<td><strong>OILER</strong></td>
<td>6.30</td>
<td></td>
</tr>
<tr>
<td><strong>PAVEMENT PROFILER</strong></td>
<td>7.25</td>
<td></td>
</tr>
<tr>
<td><strong>POWER BROOM</strong></td>
<td>5.85</td>
<td></td>
</tr>
<tr>
<td><strong>PUG MILL OPERATOR</strong></td>
<td>6.55</td>
<td></td>
</tr>
<tr>
<td><strong>PUMPERCOTE</strong></td>
<td>5.50</td>
<td></td>
</tr>
<tr>
<td><strong>ROLLER (STEEL WHEEL)</strong></td>
<td>6.60</td>
<td></td>
</tr>
<tr>
<td><strong>ROLLER (PNEUMATIC)</strong></td>
<td>6.10</td>
<td></td>
</tr>
<tr>
<td><strong>SCRAPPERS</strong></td>
<td>7.00</td>
<td></td>
</tr>
<tr>
<td><strong>SPREADER BOMAN</strong></td>
<td>5.25</td>
<td></td>
</tr>
<tr>
<td><strong>TRACTORS:</strong></td>
<td>6.20</td>
<td></td>
</tr>
<tr>
<td>Crawler, 80 HP &amp; less</td>
<td>6.50</td>
<td></td>
</tr>
<tr>
<td>Crawler, over 80 HP</td>
<td>5.25</td>
<td></td>
</tr>
<tr>
<td>Pneumatic, 80 HP &amp; less</td>
<td>5.65</td>
<td></td>
</tr>
<tr>
<td>Pneumatic, over 80 HP</td>
<td>5.65</td>
<td></td>
</tr>
<tr>
<td><strong>TRAVELING PLANT</strong></td>
<td>6.35</td>
<td></td>
</tr>
<tr>
<td><strong>TRENCHING MACHINE</strong></td>
<td>6.50</td>
<td></td>
</tr>
<tr>
<td><strong>MACHINERY</strong></td>
<td>6.10</td>
<td></td>
</tr>
</tbody>
</table>

**TRUCK DRIVERS:**

| OVER 2 TONS | 5.65 | |
| 2 TONS AND UNDER | 5.10 | |
| TANDEM AXEL & SEMI-TRAILER | 5.90 | |
| **LOWBOY** | 6.85 | |
| **TRANSIT MIX** | 5.90 | |
| **WINCH** | 5.90 | |

**WELDERS -- RECEIVE RATE PRESCRIBED FOR CRAFT PERFORMING OPERATION TO WHICH WELDING IS INCIDENTAL.**

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a)(1)(i)).

---

### SUPERSEDING DECISION

**STATE:** TENNESSEE  
**COUNTIES:** CARTER, GREENE, HAWKINS, JOHNSON, SULLIVAN, UNICOI, & WASHINGTON

**DECISION NUMBER:** TN80-1083  
**DATE:** DATE OF PUBLICATION

Supersedes Decision Number TN79-1011, dated January 5, 1979, in 44 FR 1570.  
**DESCRIPTION OF WORK:** BUILDING CONSTRUCTION PROJECTS (does not include single family homes and apartments up to and including four stories).

| BRICKLAYERS | 9.35 | |
| CARPENTERS | 7.10 | |
| CEMENT MASONS | 5.71 | |
| ELECTRICIANS | 6.94 | |
| ELEVATOR CONSTRUCTOR MECHANICS | 9.26 | |
| GLAZIERS | 5.74 | |
| IRONWORKERS | 7.96 | |
| LABORERS | 4.71 | |
| UNSKILLED | 3.72 | |
| ASPHALT RAKERS | 4.44 | |
| LUTHERS | 6.17 | |
| PAINTERS | 5.00 | |
| PLASTERERS | 6.00 | |
| PLUMBERS & PIPE FITTERS | 5.99 | |
| ROOFERS | 5.46 | |
| SHEET METAL WORKERS | 10.93 | |
| SOFT FLOOR LAYERS | 7.20 | |
| TILE SETTERS | 6.00 | |
| TRUCK DRIVERS | 4.32 | |

**WELDERS -- RATE FOR CRAFT.**

| BACKHOE | 5.00 | |
| BULLDOZER | 4.50 | |
| CRANE | 9.50 | |
| FORK LIFT | 6.00 | |
| FRONT END LOADER | 6.55 | |
| MOTOR GRADER | 5.85 | |
| ROLLER | 5.30 | |
| SCRAPER -- PAN | 4.50 | |

**FOOTNOTES:**

a. Seven Paid Holidays: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Friday after Thanksgiving Day; Christmas Day.

b. Employer contributes 6% of the basic hourly rate of employees with six months to five years of service, or 8% of the basic hourly rate of employees with five years or more of service as Vacation Pay Credit.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a)(1)(i)).
### Reader Aids

#### INFORMATION AND ASSISTANCE

Questions and requests for specific information may be directed to the following numbers. General inquiries may be made by dialing 202-523-5240.

**Federal Register, Daily Issue:**
- 202-523-5238 Subscription orders and problems (GPO)
- 202-523-5228 Washington, D.C.
- 312-663-0854 Chicago, Ill.
- 213-689-6694 Los Angeles, Calif.

**202-523-5187** Scheduling of documents for publication
- 523-5240 Photo copies of documents appearing in the Federal Register
- 523-5237 Corrections
- 523-5215 Public Inspection Desk
- 523-5227 Index and Finding Aids
- 523-5235 Public Briefings: "How To Use the Federal Register."

**Code of Federal Regulations (CFR):**
- 523-3419
- 523-3517
- 523-5227 Index and Finding Aids

**Presidential Documents:**
- 523-5233 Executive Orders and Proclamations
- 523-5235 Public Papers of the Presidents, and Weekly Compilation of Presidential Documents

**Public Laws:**
- 523-5266 Public Law Numbers and Dates, Slip Laws, U.S. Statutes at Large, and Index
- 275-3030 Slip Law Orders (GPO)

**Other Publications and Services:**
- 523-5239 TTY for the Deaf
- 523-3408 Automation
- 523-4534 Special Projects
- 523-3517 Privacy Act Compilation

#### CFR PARTS AFFECTED DURING MARCH

At the end of each month, the Office of the Federal Register publishes separately a list of CFR Sections Affected (LSA), which lists parts and sections affected by documents published since the revision date of each title.

<table>
<thead>
<tr>
<th>CFR</th>
<th>Pages and Dates, March</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 CFR</td>
<td>415-17125, 416-17125</td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>7 CFR</td>
</tr>
<tr>
<td>3 CFR</td>
<td>Executive Orders:</td>
</tr>
<tr>
<td>11475 (Amended by EO 12168)</td>
<td>14941</td>
</tr>
<tr>
<td>11855 (See EO 12168)</td>
<td>15062</td>
</tr>
<tr>
<td>12019 (See EO 12168)</td>
<td>15824</td>
</tr>
<tr>
<td>12154 (Amended by EO 12169)</td>
<td>15824</td>
</tr>
<tr>
<td>12155 (Amended by EO 12000)</td>
<td>15824</td>
</tr>
<tr>
<td>12189</td>
<td>15824</td>
</tr>
<tr>
<td>12199</td>
<td>15824</td>
</tr>
<tr>
<td>12200</td>
<td>15824</td>
</tr>
<tr>
<td>12201</td>
<td>15824</td>
</tr>
<tr>
<td>12202</td>
<td>15824</td>
</tr>
<tr>
<td>12203</td>
<td>15824</td>
</tr>
<tr>
<td>12204</td>
<td>15824</td>
</tr>
<tr>
<td>4 CFR</td>
<td>4728-14001</td>
</tr>
<tr>
<td>4729</td>
<td>14003</td>
</tr>
<tr>
<td>4740</td>
<td>14831</td>
</tr>
<tr>
<td>4731</td>
<td>15002</td>
</tr>
<tr>
<td>4732</td>
<td>16159</td>
</tr>
<tr>
<td>4733</td>
<td>16161</td>
</tr>
<tr>
<td>4734</td>
<td>16163</td>
</tr>
<tr>
<td>4735</td>
<td>16453</td>
</tr>
<tr>
<td>4736</td>
<td>16587</td>
</tr>
<tr>
<td>4737</td>
<td>17937</td>
</tr>
<tr>
<td>5 CFR</td>
<td>Proposed Rules:</td>
</tr>
<tr>
<td>213-16455, 17125</td>
<td></td>
</tr>
<tr>
<td>337</td>
<td>18365</td>
</tr>
<tr>
<td>410</td>
<td>18365</td>
</tr>
<tr>
<td>620</td>
<td>16455</td>
</tr>
<tr>
<td>733</td>
<td>14005</td>
</tr>
<tr>
<td>831</td>
<td>14835</td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>6 CFR</td>
</tr>
<tr>
<td>531</td>
<td>16493</td>
</tr>
<tr>
<td>6 CFR</td>
<td>705-14838, 14840, 17125</td>
</tr>
</tbody>
</table>

---

**FEDERAL REGISTER PAGES AND DATES, MARCH**

<table>
<thead>
<tr>
<th>Page</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>13721</td>
<td>14000</td>
</tr>
<tr>
<td>14001</td>
<td>14198</td>
</tr>
<tr>
<td>14199</td>
<td>14530</td>
</tr>
<tr>
<td>14531</td>
<td>14630</td>
</tr>
<tr>
<td>14831</td>
<td>15170</td>
</tr>
<tr>
<td>15171</td>
<td>15502</td>
</tr>
<tr>
<td>15503</td>
<td>15918</td>
</tr>
<tr>
<td>15919</td>
<td>16158</td>
</tr>
<tr>
<td>16159</td>
<td>16440</td>
</tr>
<tr>
<td>16441</td>
<td>16594</td>
</tr>
<tr>
<td>16905</td>
<td>17122</td>
</tr>
<tr>
<td>17123</td>
<td>17564</td>
</tr>
<tr>
<td>17565</td>
<td>17936</td>
</tr>
<tr>
<td>17937</td>
<td>18594</td>
</tr>
<tr>
<td>18595</td>
<td>19000</td>
</tr>
</tbody>
</table>

---

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980
<table>
<thead>
<tr>
<th>CFR</th>
<th>Procedural Section</th>
<th>Proposed Rules:</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 CFR</td>
<td>14199</td>
<td>Proposed Rules:</td>
</tr>
<tr>
<td>1</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>5</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>7</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>8</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>9</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>10</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>11</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>12</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>13</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>14</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>15</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>16</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>17</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>18</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>19</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>20</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>21</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>22</td>
<td></td>
<td>14199</td>
</tr>
<tr>
<td>23</td>
<td></td>
<td>14199</td>
</tr>
</tbody>
</table>

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Reader Aids
<table>
<thead>
<tr>
<th>Proposed Rules:</th>
<th>5-5</th>
<th>16201</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ch. V.</td>
<td>14599</td>
<td></td>
</tr>
<tr>
<td>42 CFR</td>
<td>201</td>
<td>15156</td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>207</td>
<td>15156</td>
</tr>
<tr>
<td>209</td>
<td>15156</td>
<td></td>
</tr>
<tr>
<td>1990</td>
<td>15527</td>
<td></td>
</tr>
<tr>
<td>2520</td>
<td>14029, 14034, 15527</td>
<td></td>
</tr>
<tr>
<td>2620</td>
<td>14211</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td></td>
<td>29</td>
</tr>
<tr>
<td>40</td>
<td>14070</td>
<td></td>
</tr>
<tr>
<td>575</td>
<td>15168, 17160</td>
<td></td>
</tr>
<tr>
<td>1603</td>
<td>16501</td>
<td></td>
</tr>
<tr>
<td>2070</td>
<td>17586</td>
<td></td>
</tr>
<tr>
<td>2702</td>
<td>18034</td>
<td></td>
</tr>
<tr>
<td>30 CFR</td>
<td>250</td>
<td>15128</td>
</tr>
<tr>
<td>670</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>872</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>874</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>877</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>879</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>882</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>884</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>886</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>888</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>117</td>
<td>13789, 14230, 14596, 14599, 14881, 14883, 15189, 15190, 15575-15584, 15947, 15948</td>
</tr>
<tr>
<td>250</td>
<td>15147</td>
<td></td>
</tr>
<tr>
<td>31 CFR</td>
<td>14</td>
<td>17160</td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>14</td>
<td>17160</td>
</tr>
<tr>
<td>Ch. XXVI</td>
<td>17140</td>
<td></td>
</tr>
<tr>
<td>69</td>
<td>14549</td>
<td></td>
</tr>
<tr>
<td>157</td>
<td>17987</td>
<td></td>
</tr>
<tr>
<td>199</td>
<td>14074</td>
<td></td>
</tr>
<tr>
<td>209</td>
<td>17574</td>
<td></td>
</tr>
<tr>
<td>294</td>
<td>17575</td>
<td></td>
</tr>
<tr>
<td>581</td>
<td>17990</td>
<td></td>
</tr>
<tr>
<td>706</td>
<td>17580</td>
<td></td>
</tr>
<tr>
<td>811</td>
<td>17931</td>
<td></td>
</tr>
<tr>
<td>819</td>
<td>14487</td>
<td></td>
</tr>
<tr>
<td>820</td>
<td>16374</td>
<td></td>
</tr>
<tr>
<td>888f</td>
<td>15175</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>169</td>
<td>17138</td>
</tr>
<tr>
<td>692</td>
<td>18405</td>
<td></td>
</tr>
<tr>
<td>260</td>
<td>17140</td>
<td></td>
</tr>
<tr>
<td>32A CFR</td>
<td>651</td>
<td>16202</td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>663</td>
<td>16202</td>
</tr>
<tr>
<td>538</td>
<td>16190</td>
<td></td>
</tr>
<tr>
<td>552</td>
<td>16190</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>Ch. I</td>
<td>16438</td>
</tr>
<tr>
<td>35</td>
<td>18040</td>
<td></td>
</tr>
<tr>
<td>110</td>
<td>13982</td>
<td></td>
</tr>
<tr>
<td>111</td>
<td>13982</td>
<td></td>
</tr>
<tr>
<td>113</td>
<td>13982</td>
<td></td>
</tr>
<tr>
<td>510</td>
<td>17029</td>
<td></td>
</tr>
<tr>
<td>527</td>
<td>15229</td>
<td></td>
</tr>
<tr>
<td>47 CFR</td>
<td>0</td>
<td>16191</td>
</tr>
<tr>
<td>0</td>
<td>17009</td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>17029</td>
<td></td>
</tr>
<tr>
<td>73</td>
<td>14214, 14215, 16192, 17014, 17587-17588</td>
<td></td>
</tr>
<tr>
<td>50</td>
<td>16193</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>2</td>
<td>14074, 14902, 17042</td>
</tr>
<tr>
<td>15</td>
<td>14233</td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>14047, 14075</td>
<td></td>
</tr>
<tr>
<td>61</td>
<td>16214</td>
<td></td>
</tr>
<tr>
<td>73</td>
<td>14076, 14078, 15229, 15230, 17597-17602</td>
<td></td>
</tr>
<tr>
<td>76</td>
<td>17167</td>
<td></td>
</tr>
<tr>
<td>86</td>
<td>17042, 17611</td>
<td></td>
</tr>
<tr>
<td>83</td>
<td>17042, 17611</td>
<td></td>
</tr>
<tr>
<td>90</td>
<td>14032</td>
<td></td>
</tr>
<tr>
<td>48 CFR</td>
<td>8</td>
<td>15954</td>
</tr>
<tr>
<td>15</td>
<td>15954</td>
<td></td>
</tr>
<tr>
<td>42</td>
<td>15954</td>
<td></td>
</tr>
<tr>
<td>49 CFR</td>
<td></td>
<td>14576, 16194</td>
</tr>
<tr>
<td>106</td>
<td>14577</td>
<td></td>
</tr>
<tr>
<td>571</td>
<td>13788, 14578, 14579, 17015</td>
<td></td>
</tr>
<tr>
<td>1003</td>
<td>13738-13739, 14578, 14661-14665, 15815, 15953, 16491, 16900</td>
<td></td>
</tr>
<tr>
<td>1036</td>
<td>17153</td>
<td></td>
</tr>
<tr>
<td>80</td>
<td>16492</td>
<td></td>
</tr>
<tr>
<td>1121</td>
<td>18006</td>
<td></td>
</tr>
<tr>
<td>1124</td>
<td>14216</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>173</td>
<td>14609</td>
</tr>
<tr>
<td>177</td>
<td>14609</td>
<td></td>
</tr>
<tr>
<td>192</td>
<td>13783, 16226</td>
<td></td>
</tr>
<tr>
<td>195</td>
<td>13783, 16226, 16230</td>
<td></td>
</tr>
<tr>
<td>571</td>
<td>13785</td>
<td></td>
</tr>
<tr>
<td>641</td>
<td>13994</td>
<td></td>
</tr>
<tr>
<td>640</td>
<td>14609</td>
<td></td>
</tr>
<tr>
<td>1108</td>
<td>15266</td>
<td></td>
</tr>
<tr>
<td>1109</td>
<td>14234</td>
<td></td>
</tr>
<tr>
<td>50 CFR</td>
<td>12</td>
<td>17862</td>
</tr>
<tr>
<td>17</td>
<td>17588, 18009</td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>14191, 16194</td>
<td></td>
</tr>
<tr>
<td>33</td>
<td>14865, 14866, 14869, 18011, 18377</td>
<td></td>
</tr>
<tr>
<td>258</td>
<td>14818</td>
<td></td>
</tr>
<tr>
<td>611</td>
<td>14045, 14591, 15182, 15933</td>
<td></td>
</tr>
<tr>
<td>671</td>
<td>14216</td>
<td></td>
</tr>
<tr>
<td>Proposed Rules:</td>
<td>Ch. VI</td>
<td>14009</td>
</tr>
<tr>
<td>17</td>
<td>13786, 14029, 17885</td>
<td></td>
</tr>
<tr>
<td>216</td>
<td>14099, 15985</td>
<td></td>
</tr>
<tr>
<td>285</td>
<td>16506</td>
<td></td>
</tr>
<tr>
<td>602</td>
<td>15600</td>
<td></td>
</tr>
<tr>
<td>653</td>
<td>15995</td>
<td></td>
</tr>
<tr>
<td>681</td>
<td>18409</td>
<td></td>
</tr>
<tr>
<td>680</td>
<td>15260</td>
<td></td>
</tr>
</tbody>
</table>
### AGENCY PUBLICATION ON ASSIGNED DAYS OF THE WEEK

The following agencies have agreed to publish all documents on two assigned days of the week. This is a voluntary program. (See. OFR NOTICE FR 32914, August 6, 1976.)

<table>
<thead>
<tr>
<th>Monday</th>
<th>Tuesday</th>
<th>Wednesday</th>
<th>Thursday</th>
<th>Friday</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOT/SECRETARY</td>
<td>USDA/ASCS</td>
<td>DOT/SECRETARY</td>
<td>USDA/ASCS</td>
<td></td>
</tr>
<tr>
<td>DOT/COAST GUARD</td>
<td>USDA/APHIS</td>
<td>DOT/COAST GUARD</td>
<td>USDA/APHIS</td>
<td></td>
</tr>
<tr>
<td>DOT/FAA</td>
<td>USDA/FNS</td>
<td>DOT/FAA</td>
<td>USDA/FNS</td>
<td></td>
</tr>
<tr>
<td>DOT/FHWA</td>
<td>USDA/FSOS</td>
<td>DOT/FHWA</td>
<td>USDA/FSOS</td>
<td></td>
</tr>
<tr>
<td>DOT/FRA</td>
<td>USDA/REA</td>
<td>DOT/FRA</td>
<td>USDA/REA</td>
<td></td>
</tr>
<tr>
<td>DOT/NHTSA</td>
<td>MSPB/OPM</td>
<td>DOT/NHTSA</td>
<td>MSPB/OPM</td>
<td></td>
</tr>
<tr>
<td>DOT/RSPA</td>
<td>LABOR</td>
<td>DOT/RSPA</td>
<td>LABOR</td>
<td></td>
</tr>
<tr>
<td>DOT/SLSDC</td>
<td>HEW/FDA</td>
<td>DOT/SLSDC</td>
<td>HEW/FDA</td>
<td></td>
</tr>
<tr>
<td>DOT/UMTA</td>
<td>USDA/REA</td>
<td>DOT/UMTA</td>
<td>USDA/REA</td>
<td></td>
</tr>
<tr>
<td>USDA/REA</td>
<td>DOT/FRA</td>
<td>USDA/REA</td>
<td>DOT/FRA</td>
<td></td>
</tr>
<tr>
<td>USDA/FSOS</td>
<td>DOT/FHWA</td>
<td>USDA/FSOS</td>
<td>DOT/FHWA</td>
<td></td>
</tr>
<tr>
<td>USDA/APHIS</td>
<td>DOT/COAST GUARD</td>
<td>USDA/APHIS</td>
<td>DOT/COAST GUARD</td>
<td></td>
</tr>
<tr>
<td>USDA/ASCS</td>
<td>DOT/SECRETARY</td>
<td>USDA/ASCS</td>
<td>DOT/SECRETARY</td>
<td></td>
</tr>
</tbody>
</table>

Documents normally scheduled for publication on a day that will be a Federal holiday will be published the next work day following the holiday.

Comments on this program are still invited.
Comments should be submitted to the Day-of-the-Week Program Coordinator, Office of General Services Administration, Washington, D.C. 20408.

### REMINDERS

The "reminders" below identify documents that appeared in issues of the Federal Register 15 days or more ago. Inclusion or exclusion from this list has no legal significance.

#### Rules Going Into Effect Today

- **COMMERCE DEPARTMENT**
  - National Telecommunications and Information Administration—
    - 7780 2-5-80 / Public Telecommunications Facilities Program; Filing amendments to FY 1980 grant applications; effective date extended

- **ENERGY DEPARTMENT**
  - Federal Energy Regulatory Commission—
    - 13414 2-23-80 / High-cost natural gas produced from tight formations

- **HOUSING AND URBAN DEVELOPMENT DEPARTMENT**
  - Office of Assistant Secretary for Housing—Federal Housing Commission—
    - 4332 1-21-80 / Condominium ownership mortgage insurance, insurance involving existing single family units and miscellaneous provision changes

- **JUSTICE DEPARTMENT**
  - Immigration and Naturalization Service—
    - 11113 2-20-80 / Voidance of nonresident alien border crossing cards

- **TREASURY DEPARTMENT**
  - Comptroller of the Currency—
    - 11115 2-20-80 / Securities offering disclosure rules

#### List of Public Laws

Last Listing: March 20, 1980

This is a continuing listing of public bills from the current session of Congress which have become Federal laws. The text of laws is not published in the Federal Register but may be ordered in individual pamphlet form (referred to as "slip laws") from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402 (telephone 202-275-3030).

Book 2 of 2 Books
Friday, March 21, 1980

18660  Part V—Treasury:
       Privacy Act

18876  Part VI—Labor/ETA:
       CETA: Intent To Reallocate Funds

18880  Part VII—Justice/LEAA:
       Capacity Building; Request for Comments on
       Proposed Guideline

18884  Part VIII—HEW/HDSO:
       Availability of Grant or Cooperative Agreement
       Funds for Crosscutting Research and
       Demonstration Program

18890  Part IX—Justice/Attorney General:
       Voting Rights; Proposed Revisions to Guidelines
Part V

Department of the Treasury

Office of the Secretary

Privacy Act of 1974; Annual Publication of Systems of Records
DEPARTMENT OF THE TREASURY
Office of the Secretary
Privacy Act of 1974; Systems of Records; Annual Publication

Pursuant to 5 U.S.C. 552(e)(4), the Department of the Treasury republishes notices of the systems of records maintained by the Department. All of the systems have been previously published and adopted as of December 31, 1979. There are no changes requiring public comment. Numerous minor changes have been made, consisting principally of address changes, revision of organizational titles, and corrections of grammatical or typographical errors. Some items have been modified to clarify the descriptions.

The Department has deleted 25 previously published systems of records:

Dated: March 14, 1980.

Walter J. McDonald, Assistant Secretary (Administration).

DELETIONS
OFFICE OF THE SECRETARY (OS)
OS 001—Administrative Personnel System (Replaced by Reinstated Systems, see listing below)
OS 003—General Personnel System (Same as OS 001 above)
OS 033—OASIA Invitation Lists (Obsolete)
UNITED STATES CUSTOMS SERVICE (CS)
CS 001—Accident Reports (Duplicative)
CS 018—Aircraft Sanctioned List (Duplicative)
CS 034—Boarding Report (Obsolete)
CS 036—Boat Owners' Mailing List (Obsolete)
CS 068—Customhouse Brokers' Examination Records (Not Privacy Act System)
CS 074—Customs Licensing and Identification Program (Proposed) (Obsolete)
CS 082—Duty Assessment by Account (DABA) (Obsolete)
CS 107—Full Field Investigation—Active/Completed Log (Not Privacy System)
CS 126—Internal Security File (Obsolete)
CS 176—Patisol Information Data System (Duplicative)
CS 177—Patrol Officer Activity Listing (Duplicative)
CS 178—Payroll Administration/Problems (Duplicative)
CS 205—Reclassification Requests (Obsolete)
CS 256—Vessel Identification Report (Obsolete)
CS 265—Importer Name and Address Record System (Obsolete)
INTERNAL REVENUE SERVICE (IRS)
IRS 001—Interoffice Mail Records (Note: This system identification number is a reassignment)
IRS 007—Plaintiffs and/or Dissatisfaction (DABA, Duplicative)
IRS 031—Personnel and Payroll Data: Transaction List; Employee Service Records Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing and Alphabetical Locator List
IRS 048—Scheduled Annual Leave Records
IRS 052—Travel Records
IRS 053—Treasury Emergency Preparedness Information Program
IRS 060—Correspondence Files and Records on Employee Complaints and/or Dissatisfaction
IRS 061—Employee Grade Complaint File
IRS 062—Executive Inventory File
IRS 065—Appointment at Above the Minimum Rate of the Grade File
IRS 066—Combined Applicant/Applicant Correspondence File
IRS 067—Detailed Employee Files
IRS 068—Written Amendment Exception Files
IRS 070—Office of Computer Science, Work Assignment and Control Form
IRS 083—Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST)
IRS 085—EOO Complaint Processing System
IRS 087—Spanish Speaking Informal Application File (S.F. 171)
IRS 110—Foreign Assets Control Administrative Records
IRS 123—ORS Contractors File
IRS 128—ORS Personnel Records
IRS 129—ORS Public Affairs Personnel File
IRS 140—Annual Performance Rating and Annual Performance Analysis
IRS 141—Attorney Books
IRS 142—Attorneys Past and Present
IRS 143—Chief Counsel for Revenue Sharing Personnel
IRS 146—Employee Inventions
IRS 147—Employee Promotion Information
IRS 148—Employee Applications
IRS 152—General Counsel Personnel Files
IRS 153—Personnel: General Counsel, Deputy General Counsel, and Assistant Generals Counsels
IRS 157—Employee Records Cards
IRS 182—Special Personnel Working Files, Office of the Assistant Secretary for Tax Policy
IRS 183—Private Relief Tax Bill Files—Office of the Assistant Secretary for Tax Policy
IRS 190—Automated Directory System
IRS 191—Buildings Management Employee Folder
IRS 193—Employee Locator Record
IRS 195—Parking Permit Application
IRS 240—Contracts and Research Proposal

CONSOLIDATIONS
OFFICE OF THE SECRETARY (OS)
OS 190—Automated Directory System (See OS 193)
BUREAU OF ENGRAVING AND PRINTING (BEP)
BEP 028—Personnel Progress and Achievement Record (See BEP 014)
BEP 039—Work Performance Records (See BEP 014)
INTERNAL REVENUE SERVICE (IRS)
IRS 34.013—Personnel ID Files System for Service Centers (See IRS 34.013)
IRS 34.017—Audit Trail File (See IRS 34.013)

COMPTROLLER OF THE CURRENCY (CC)
CC 044—Consumer Complaint Index (See CC 004)
CC 104—Consumer Complaint Index (See CC 004)
CC 115—Consumer Complaint Index (See CC 004)
CC 142—Public Files (See CC 320)
CC 149—Consumer Complaint Index (See CC 004)
CC 166—Consumer Complaint Index (See CC 004)
CC 208—Consumer Complaint Index (See CC 004)

ADDITIONS
OFFICE OF THE SECRETARY (OS)
OS 190—General Allegations and Investigative Records System
(Note: This system identification number is a reassignment.)
UNITED STATES CUSTOMS SERVICE (CS)
CS 284—Personnel Verification System (PVS)
CS 285—Automated Index to Central Enforcement Files

RESTATEMENTS
OFFICE OF THE SECRETARY (OS)
OS 036—Office of Debit, Home Addresses and Telephone Number File.
OS 037—QIDB Employee and Employee Candidate File.
OS 040—Confidential Statements of Employment and Financial Interests, Personnel Division, Office of the Secretary.
OS 041—Management Analysis Division (MAD) Personnel Working Files.
OS 042—QMD Management Consultants File.
OS 044—Personnel and Payroll Data: Transaction List; Employee Service Records Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing and Alphabetical Locator List
OS 048—Scheduled Annual Leave Records
OS 052—Travel Records
OS 053—Treasury Emergency Preparedness Information Program
OS 055—EOO Counselor Complaint Files
OS 060—Correspondence Files and Records on Employee Complaints and/or Dissatisfaction
OS 061—Employee Grade Complaint File
OS 062—Executive Inventory File
OS 065—Appointment at Above the Minimum Rate of the Grade File
OS 066—Combined Applicant/Applicant Correspondence File
OS 067—Detailed Employee Files
OS 068—Written Amendment Exception Files
OS 070—Office of Computer Science, Work Assignment and Control Form
OS 083—Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST)
OS 085—EOO Complaint Processing System
OS 087—Spanish Speaking Informal Application File (S.F. 171)
OS 110—Foreign Assets Control Administrative Records
OS 123—ORS Contractors File
OS 128—ORS Personnel Records
OS 129—ORS Public Affairs Personnel File
OS 140—Annual Performance Rating and Annual Performance Analysis
OS 141—Attorney Books
OS 142—Attorneys Past and Present
OS 143—Chief Counsel for Revenue Sharing Personnel
OS 146—Employee Inventions
OS 147—Employee Promotion Information
OS 148—Employee Applications
OS 152—General Counsel Personnel Files
OS 153—Personnel: General Counsel, Deputy General Counsel, and Assistant Generals Counsels
OS 157—Employee Records Cards
OS 182—Special Personnel Working Files, Office of the Assistant Secretary for Tax Policy
OS 183—Private Relief Tax Bill Files—Office of the Assistant Secretary for Tax Policy
OS 190—Automated Directory System
OS 191—Buildings Management Employee Folder
OS 193—Employee Locator Record
OS 195—Parking Permit Application
OS 240—Contracts and Research Proposal
**TABLE OF CONTENTS**

**OFFICE OF THE SECRETARY (OS)**

| OS 002 | Treasury Payroll/Personnel Information System |
| OS 004 | Personnel Security System |
| OS 010 | Office of Domestic Finance, Actuarial Valuation System |
| OS 011 | Office of Domestic Finance, General Correspondence |
| OS 020 | Energy Policy Office Administrative Files |
| OS 023 | NASS Correspondence Files |
| OS 036 | Office of Debt, Home Addresses and Telephone Number Files |
| OS 037 | QIDB Employee and Employee Candidate File |
| OS 040 | Confidential Statements of Employment and Financial Interests, Personnel Division, Office of the Secretary |
| OS 041 | Management Analysis Division (MAD) Personnel Working Files |
| OS 042 | OMO Management Consultants File |
| OS 044 | Personnel and Payroll Data: Transaction List; Employee Service Records Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing and Alphabetical Locator List |
| OS 048 | Scheduled Annual Leave Records |
| OS 052 | Travel Records |
| OS 053 | Treasury Emergency Preparedness Information Program |
| OS 055 | EEO Counselor Complaint Files |
| OS 060 | Correspondence Files and Records on Employee Complaints and/or Dissatisfaction |
| OS 061 | Employee Grade Complaint File |
| OS 062 | Executive Inventory File |
| OS 065 | Appointment at Above the Minimum Rate of the Grade File |
| OS 066 | Combined Applicant/Applicant Correspondence File |
| OS 067 | Detailed Employee Files |
| OS 068 | Whitten Amendment Exception Files |
| OS 070 | Office of Computer Science, Work Assignment and Control Form |
| OS 081 | Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST) |
| OS 082 | EEO Complaint Processing System |
| OS 083 | Spanish Speaking Informal Application File (S.F. 171) |
| OS 090 | EOTA POI Request/Appeals File |
| OS 101 | International Criminal Police Organizations (INTERPOL) Criminal Investigative Records |
| OS 102 | Treasury Enforcement Communications System (TECS) |
| OS 110 | Foreign Assets Control Administrative Records |
| OS 111 | FAC 1950 Census of Blocked Chinese Assets |
| OS 112 | FAC 1970 Census of Blocked Chinese Assets |
| OS 113 | FAC 1964 Census of Blocked Cuban Assets |
| OS 114 | Foreign Assets Control Enforcement Records |
| OS 115 | Foreign Assets Control General Correspondence Files |
| OS 116 | Foreign Assets Control Litigation Files |
| OS 117 | Foreign Assets Control Mailing List |
| OS 118 | Foreign Assets Control Licensing Records |
| OS 121 | ORS Auditors' Address File |
| OS 123 | ORS Contractors File |
| OS 124 | ORS Governors' Address File |
| OS 125 | ORS Governors and Governors' Authorized Representatives List |
| OS 126 | Office of Revenue Sharing, Intergovernmental Relations Division Mailing List |
| OS 128 | ORS Personnel Records |
| OS 129 | ORS Public Affairs Personnel File |
| OS 130 | ORS Senate, House and Governors' Address File |
| OS 131 | ORS State Attorneys General Records |
| OS 140 | Annual Performance Rating and Annual Performance Analyses |
| OS 141 | Attorney Books |
| OS 145 | Attorneys Past and Present |
| OS 146 | Chief Counsel for Revenue Sharing Personnel |
| OS 147 | Civil Litigation Records |
| OS 148 | Claims, Disbursing |
| OS 149 | Employee Inventions |
| OS 150 | Employee Promotion Information |
| OS 151 | Employment Applications |
| OS 152 | Foreign Assets Control Legal Files |
| OS 153 | Gifts to the United States |
| OS 154 | General Counsel Personnel Files |
| OS 155 | Personnel: General Counsels, Deputy General Counsels, and Assistant General Counsels |
| OS 156 | Private Relief Bill System |
| OS 157 | Tax Court Judge Applicants |
| OS 158 | Employee Records Cards |
| OS 159 | Abandoned Enrollment Applications |
| OS 160 | Abandoned Enrollment Applications |
| OS 161 | Applicant Appeal Files |
| OS 162 | Closed Files Containing Derogatory Information About Individuals' Practice Before the Internal Revenue Service and Files of Attorneys and Certified Public Accountants Formerly Enrolled to Practice |
| OS 163 | Derogatory Information (No Action) |
| OS 164 | Present Suspensions and Disbarments Resulting from Administrative Proceedings |
| OS 165 | General Correspondence File |
| OS 166 | Inventory |
| OS 167 | Register of Docketed Cases and Applicant Appeals |
| OS 168 | Resigned Enrolled Agents (Action pursuant to 31 CFR, Section 10.59(b)) |
| OS 169 | Roster of Former Enrollees |
| OS 170 | Present Suspensions from Practice Before the Internal Revenue Service |
| OS 172 | Special Personnel Working Files, Office of the Assistant Secretary for Tax Policy |
| OS 181 | Private Relief Tax Bill Files—Office of the Assistant Secretary for Tax Policy |
| OS 190 | General Allegations and Investigative Records System |
| OS 191 | Buildings Management Employee Folder |
| OS 192 | Employee Locator and Automated Directory System |
| OS 194 | Document Delivery Control Systems |
| OS 195 | Parking Permit Application |
| OS 196 | Contracts and Research Proposals |
| OS 200 | Personnel: Personnel; Promotion, Personnel; Evaluation Files |
| OS 224 | Personnel Files |
| OS 230 | Personnel Files |
| OS 240 | International Security Record System |
| OS 250 | Reference Letters—Special Assignments Branch, Office of the Secretary of the Treasury |
| OS 255 | Roster of Office of the Secretary Employees |
| OS 256 | Summer Employees List |
| OS 257 | Roster of Office of the Secretary Employees |
| OS 260 | Roster of Office of the Secretary Employees |
| OS 265 | Former Suspensions from Practice Before the Internal Revenue Service |
| OS 310 | Roster of Office of the Secretary Employees |

**BUREAU OF GOVERNMENT FINANCIAL OPERATIONS**

| GFO 001 | Administrative Records |
| GFO 002 | Payment Issue Records for Regular Recurring Benefit Payments |
| GFO 003 | Claims & Inquiry Records on Treasury Checks U.S. Currencies and International Claims |
| GFO 004 | Freedom of Information Requests |
| GFO 005 | GFO Personnel Records |
| GFO 006 | Identification Files on Individual Cashing Treasury Checks |
| GFO 007 | Payroll & Pay Administration |
| GFO 008 | Personnel Security Records |
| GFO 009 | Postal Savings Deposits |
| GFO 010 | Records of Accountable Officers' Authority with Treasury |
| GFO 011 | Individual Retirement Cards |
| GFO 012 | Precomplaint Counseling and Complaint Activities |

**BUREAU OF ALCOHOL, TOBACCO, AND FIREARMS**

| ATF 001 | Administration Record System |
| ATF 002 | Correspondence Record System |
| ATF 003 | Criminal Investigation Report System |
| ATF 004 | Fiscal Record System |
| ATF 005 | Freedom of Information Requests |
| ATF 006 | Internal Security Record System |
ATF 007—Personnel Record System
ATF 008—Regulatory Enforcement Record System
ATF 009—Technical and Scientific Services Record System

CUSTODS SERVICE

CS 001—Acceptable Level of Competence, Negative Determination
CS 002—Accident Reports
CS 003—Accident and Injury Report File
CS 005—Accounts Receivable Correspondence and Follow-Up
CS 006—Accounts Receivable
CS 007—Accounts Receivable
CS 008—Accounts Receivable Files
CS 009—Acting Customs Inspector (Exempted)
CS 010—Acting Customs Inspectors (Exempted)
CS 011—Acting Customs Inspector (Excepted)
CS 012—Acting Customs Officer (Excepted)
CS 013—Acting Customs Officer (Excepted)
CS 014—Advice Requests (Legal) (Region VIII)
CS 015—Aircraft Ownership File
CS 017—Aircraft Registers
CS 021—Arrest/Seizure/Search Report and Notice of Penalty File
CS 022—Attorney Case File
CS 025—Badge Number File
CS 028—Barge Declaration
CS 030—Bankrupt Parties-In-Interest
CS 031—Bills Issued Files
CS 032—Biographical Files (Headquarters)
CS 033—Biographical Information File
CS 037—Cargo Security File
CS 039—Carrier File
CS 040—Carrier File
CS 041—Carts and Lighter Maps
CS 042—Case and Complaint File
CS 043—Case Files (Regional Counsel-Region V)
CS 044—Certificates of Clearance
CS 045—Claims Act File
CS 046—Claims Case File
CS 047—Claims Files (Region VIII)
CS 048—Claims for Automobile Accidents
CS 049—Claims (Receivable and Payable)
CS 050—Community Leader Survey
CS 051—Complaints Against Customs Personnel
CS 053—Confidential Source Identification File
CS 054—Confidential Statements, Employment/Financial Interests
CS 055—Congressional and Employment Correspondence
CS 056—Congressional and Public Correspondence File
CS 057—Container Station Operator Files
CS 058—Cooperating Individual Files
CS 060—Counseling Reports
CS 061—Court Case File
CS 062—Court Case Files (Region VIII)
CS 063—Court Docket Records System
CS 064—Credit Card File
CS 067—Currency and Monetary Instrument Reporting System
CS 069—Customhouse Brokers File, Chief Counsel
CS 070—Customhouse Brokers Headquarters Records
CS 071—Customhouse Brokers Records
CS 075—Customs Officer Badge Inventory
CS 077—Disciplinary Action/Resulting Grievance or Appeal File
CS 078—Disclosure of Information File
CS 079—Disclosure of Information File
CS 080—Disclosure of Information Request Files (Region VIII)
CS 081—Dock Passes
CS 077—Drivers License File
CS 083—Employee Relations Case Files
CS 085—E.E.O. Complaint File
CS 089—Equal Opportunity Discrimination Complaint Case File
CS 089—Equal Opportunity Discrimination Complaint Processing Records System
CS 090—Equipment Record File
CS 091—Equipment Record File
CS 092—Exit Interview
CS 093—Federal-NYS Licenses for Commercial Importation of Alcoholic Beverages
CS 094—Federal Tort Claims Act File
CS 095—Fines, Penalties, and Forfeiture Control/Info Retrieval System
CS 097—Fines, Penalties, and Forfeiture (Supp. Petitions)
CS 098—Fines, Penalties and Forfeitures Records
CS 099—Fines, Penalties, and Forfeitures Files (Supp. Petitions)
CS 100—Fines, Penalties and Forfeiture Records (HQ)
CS 101—Fines, Penalty, and Forfeiture (FP&F) Records System
CS 102—Firearms Qualification Certificate Records
CS 103—Firearms Qualification Records
CS 104—Florida Boat Registration File
CS 105—Former Employees
CS 109—Handicapped Employee File
CS 111—I.D. Cards
CS 112—Immediate Delivery Violation Record
CS 125—Intelligence Log
CS 127—Internal Security Records System
CS 128—Investigations Program Analysis
CS 129—Investigations Record System
CS 130—Investigative Program Analysis
CS 132—Justice Court Case File
CS 133—Justice Department Case File
CS 135—Legal Case Inventory System
CS 136—Liquidated Damage Cases; Prior Violators
CS 137—List of Vessel Agents Employees
CS 138—Litigation Issue Files
CS 140—Lookout Notice
CS 142—Mail Entry Protest
CS 143—Mail Protest
CS 144—Mail Protest File
CS 145—Military Personnel and Civilian Employees' Claims Act File
CS 146—Military Personnel and Civilian Employees' Claims Act File
CS 149—Military Personnel and Civilian Employees' Claims Act File
CS 150—Military Personnel and Civilian Employees' Claims Act File
CS 151—Motor Vehicle Accident Reports
CS 152—Motor Vehicle Operator's Identification Card
CS 153—Motor Vehicle Operators I.D. Card and Record
CS 155—Narcotics Suspect File
CS 156—Narcotics Violator File
CS 159—Notification of Personnel Management Division when an Employee is placed under investigation by the Office of Internal Affairs
CS 160—Operations Officer's (classification and value) Work Accomplishments
CS 161—Optional Retirement List
CS 163—Open Organization (Customs) and Automated Position Management System (COAPMS)
CS 165—Overtime Earnings
CS 166—Overtime Earnings Daily Log Book
CS 167—Overtime Earnings Record—Custom Warehouse Officers
CS 169—Overtime Log
CS 170—Overtime Reports
CS 171—Parking Permit File
CS 172—Parking Permits File (N.Y. Region)
CS 175—Patrol Division Daily Activity Report
CS 180—Payroll Record of Emplov not Covered by Aut. System
CS 182—Penalty Case File
CS 183—Personnel Search (Negative)
CS 184—Personnel Search N.Y. District (Negative Records)
CS 185—Personal Search—Negative (District Director, Mobile, Alabama)
CS 186—Personal Search—Negative (District Patrol, New Orleans and Mobile)
CS 187—Personal Search File
CS 188—Personal Search Report
CS 189—Personnel Action Records System
CS 190—Personnel Case File
CS 191—Personnel Case File
CS 192—Personnel Case Files (Region VIII)
CS 193—Operating Personnel Folder Files
CS 194—Personnel/Payroll System
CS 196—Preclearance Costs
Reimbursable Services

CS 197—Private Aircraft/Vessel Inspection Reporting System
CS 199—Property Assigned to Employee
CS 200—Property File
CS 201—Property File—Non-Expansible
CS 203—Receipt For Property Assigned
CS 204—Remain For Property Assignment
CS 206—Regulatory Audits of Customhouse Brokers
CS 207—Reimbursable Assignment System
CS 208—Restoration of Forfeited Annual Leave Cases
CS 209—Remuneration of Professional Artists
CS 210—Revocation of L. D. Privileges and Cash Basis Only for

Reimbursable Services List.

CS 211—Sanction List
CS 212—Search/Arrest/Seizure Report
CS 214—Seizure File
CS 215—Seizure Report File
CS 217—Set Off Files
CS 219—Skills Inventory Records
CS 220—Supervisory Notes and SF-7B Files
CS 221—Suspect File
CS 222—Suspect File
CS 224—Suspect Persons Index
CS 226—Television System
CS 227—Temporary Importation Under Bond (TIB) Default

Control System

CS 228—Temporary Importation Violation Record
CS 231—Tort Claims Act File
CS 232—Tort Claims Act File
CS 233—Tort Claims Act File
CS 234—Tort Claims Act File
CS 236—Tort Claim Record System
CS 238—Training and Career Individual Development Plans
CS 239—Training Records
CS 240—Travel Advances
CS 241—Travel Advances
CS 242—Travel Advance File
CS 243—Travel Payment System
CS 244—Treasury Enforcement Communications System
CS 245—Treasury Enforcement Communications System-Card File
CS 247—Uniform Allowance
CS 249—Uniform Allowance—Unit Record
CS 251—Unscheduled Overtime Report
CS 252—Valuables Shipped Under Gov't Losses in Shipment Act
CS 253—Vehicle Microfile Cards
CS 257—Violators Card File
CS 258—Violator's Case Files
CS 260—Warehouse Proprietor Files
CS 262—Warnings to Importers in Lieu of Penalty
CS 266—Collection File
CS 267—Federal Tort Claims Act File
CS 268—Military Personnel and Civilian Employees' Claims Act File
CS 269—Accounts Payable Voucher File
CS 270—Background-Record File on Non-Customs Employees
CS 271—Employee Debts
CS 274—Importers, Brokers, Carriers, Individuals and Sureties

Master File

CS 271—Cargo Security Record System
CS 273—Currency Declaration File (IRS Form 4790)
CS 276—Equal Opportunity Informal Discrimination Complaint
CS 277—Driver’s License File
CS 278—Early Implementation System (EIS)
CS 284—Personnel Verification System
CS 285—Automated Index to Central Enforcement Files

Bureau of Engraving and Printing

BEP 002—Civilian Employee Claim File
BEP 003—Continuation of Pay Record
BEP 004—Counseling Records
BEP 005—Compensation Claims
BEP 006—Debt Files (Employees)
BEP 008—Emergency Contact Records
BEP 009—Employee Arrest Record
BEP 012—Employee Index File
BEP 013—Employee Locator Record
BEP 014—Employees Production Record
BEP 016—Employee Quarter Look-Up for TDA Inquiries
BEP 018—Guard Uniform Control Account
BEP 019—Identification Files
BEP 020—Industrial Truck Licensing Records
BEP 021—Investigative Files
BEP 022—Monthly Equal Employment Opportunity Activity Report
BEP 023—Motor Vehicle Licensing Records
BEP 024—Payroll Records
BEP 025—Payroll Statistical Data
BEP 027—Security Access Control System (SACS)
BEP 029—Petition of Elections
BEP 030—Project REST, Minority Statistics
BEP 031—Reemployment Information Record
BEP 032—Retention Register
BEP 033—Roster of BEP Employees
BEP 034—Time & Attendance Record
BEP 035—Tort Claims Against the US
BEP 036—Union Dues Allotments
BEP 037—Union Index
BEP 038—Unscheduled Absence Record
BEP 040—Freedom of Information Requests
BEP 041—Record of Discrimination Complaints
BEP 042—Informal EEO Complaint Processing Records
BEP 043—Parking Program Records
BEP 044—Personnel Security Files and Indices

Bureau of the Mint

Mint 001—Application & Info Great Plaza Parking
Mint 002—Applicants for Assay Commission
Mint 003—Cash Receivable Accounting Information System
Mint 004—Confidential Statements of Financial Interest
Mint 005—Current Employee Security Identification
Mint 006—Employee & Former Employee Travel & Training Accounting Information System
Mint 007—Employee Former & Visitors Compensation Record
Mint 009—Employee Supervisor Performance, Evaluation, Counseling, and T & A
Mint 010—Examination Reports of Coins Forwarded to Mint from U.S. Secret Service
Mint 011—General Correspondence
Mint 012—Investigatory Files on Theft of Mint Property
Mint 013—Numismatic Coins Operations System
Mint 014—Purchases, Sales, Exchange and Assays of Precious Metal
Mint 015—Redemption of Uncurrent or Mutilated Coins
Mint 016—Grievances Union/Agency Negotiated
Mint 017—EEO Complaint Counseling Records
Mint 018—Bureau of the Public Debt
BPD 001—Personnel and Administrative Records
BPD 002—United States Savings Type Securities
BPD 003—US Securities (Other than Savings Type)

Federal Law Enforcement Training Center

FLETC 001—FLETC Payroll System
FLETC 002—Trainee & Instructor Records
FLETC 003—FLETC Confidential Financial Records
FLETC 004—FLETC Employee Records

Internal Revenue Service

IRS 10.001—Biographical Files, Public Affairs
IRS 10.004—Subject Files, Public Affairs
IRS 21.001—Tax Administration Advisors Resources File
IRS 22.003—Annual Listing of Unutilized Refund Checks
IRS 22.005—Audit Underreporter Case File
IRS 22.010—File Errorneous Refunds
IRS 22.012—Collection Case File
IRS 22.020—Form 1042S Index by Name of Recipient
IRS 22.027—Forms Filed by U.S. Citizens/Residents Relating to Foreign Companies
IRS 22.032—Individual Microfilm Retention Register
IRS 22.034—Individual Returns Files, Adjustments and Miscellaneous Documents File
IRS 22.036—Interest Equalization Tax Forms File
IRS 22.043—Potential Refund Litigation Case Files
IRS 22.044—F.O.W.-M.I.A. Reference File
IRS 22.045—Subsidiary Accounting Files
IRS 22.058—Tentative Carryback Allowance File
IRS 22.059—Unidentified Remittance File
IRS 22.060—Unit Ledger Cards
IRS 22.061—Wage and Information Returns Processing (IRP) File
IRS 24.013—Combined Account Number File
IRS 24.014—Discriminant Function File (DIF)
IRS 24.017—Employee Quarter Look-Up for TDA Inquiries
IRS 24.020—Individual Account Number File (IANF)
IRS 24.030—Individual Master File (IMF)
IRS 24.040—Residual Master File (RMP)

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18663
System name: Treasury Payroll/Personnel Information System—Treasury/OS.

System location: Main Treasury Building, Washington, DC 20220; Internal Revenue Service Data Center, Room 1100, Detroit, Michigan 48232; and U.S. Old Mint Data Center Division, 88 5th Street, San Francisco, California 94103.

Categories of individuals covered by the system: Personnel and payroll data on all Treasury Department employees.

Categories of records in the system: Year-to-date and historical data on all Treasury Department employees.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Treasury Payroll/Personnel Information System is used to furnish payroll and personnel data, information and statistics to the Civil Service Commission and other requesting agencies. It is also used to generate the necessary payroll information, deductions and allotments to pay the employees on a biweekly basis and to furnish the necessary payroll information to concerned agencies required by law. The basic payroll and personnel master file provides input to management information systems, as well as related accounting and budget systems.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and index cards. Disbursement records are stored at the Federal Records Center.

Record retention and retrieval: Records are retrieved mainly by name (filed alphabetically), secondary identifier is used to assure accuracy (date of birth, social security number, or employee identification number).

Retention and disposal: The Treasury Payroll/Personnel Information System master file is kept on disc and magnetic tape. Information concerning the employee is retrievable through the use of the individual's name and/or social security number. Information is reduced to hard copy in the form of reports and payroll information and documentation. Information is retained in automated form as long as the employee is active on the system. Reports are distributed to users and the master file is purged of inactive records on a yearly basis.

System manager(s) and address: Assistant Secretary (Administration), Room 3424, Main Treasury Building, Washington, DC 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a written request to the Disclosure Officer at the above address.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: The information contained in these records were provided by or verified by the subject of the record, employers and co-workers.

System name: Personnel Security System.

System location: Main Treasury Building, Washington, DC 20220.

Categories of individuals covered by the system: Government employees, including officers and employees of the Department of the Treasury, who have had a need to know, in order to make final determinations on hiring, continued employment or access to classified material. Files are also made available to official investigative or security personnel from other U.S. Government agencies, upon request, when the employee or former employee is being considered for employment by another agency for a sensitive position.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and index cards.

Retrievability: Records are retrieved by name.

Safeguards: Records are stored in locked metal containers, and in secure areas.

Retention and disposal: Active files are retained during employment, employee tenure and subject to subject update investigation. Files of employees who affect intra-agency transfers (to Treasury Bureaus) are automatically transferred to those Bureaus. If an employee transfers to another government agency or leaves the government, the files are retained, separate from the active files, for five years, except for background investigations completed by the CSC which are returned to the Department's agency or returned to the Federal Records Center one year after the employee leaves Treasury. Cards are maintained indefinitely after being retired.

System manager(s) and address: Assistant Secretary (Administration), Room 3424, Main Treasury Building, Washington, DC 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a written request to the Disclosure Officer at the above address.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: The information contained in these records were provided by or verified by the subject of the record, employers and co-workers, neighbors, acquaintances, educational records and police and credit checks.

Systems exempted from certain provisions of the act: Exempt under 5 U.S.C. 552a Subsection (K) and 51 CFR 136.

System name: Office of Domestic Finance, Actuarial Valuation System—Treasury/OS.


Categories of individuals covered by the system: Participants and beneficiaries of the following pension plans: District of Columbia Teachers, Policemen, Firemen, Judges. U.S. Tax Court Judges, U.S. Foreign Service officers and staff personnel, U.S.L.A. officers and staff personnel, and Agency for International Development officers and staff personnel.

Categories of records in the system: Information in the system is as follows: Active Records: name; social security number; salary, category, grade; year of entry into system; service computation date; year of birth; year of resignation or year of death; & refund if any, indication of LWOP status (if any); Retired Records: same as actives; annuity; year of separation; cause of separation (optional, disability, deferred, etc.); years and months of service by type of service; single or married; spouse's year of birth; social security number and ages of children on annuity roll; year of termination of survivors.

Authority for maintenance of the system: Statutes require the Secretary of the Treasury to certify the valuation of pension funds as follows: D.C. Teachers, 60 Stat. 881; D.C. Judges, 78 Stat. 1061; U.S. Tax Court Judges 26 U.S.C. 7448; U.S. Foreign Service officers and staff, USIA officers and staff, AID officers and staff, 22 USC 1101. In addition, the House District Committee formally requested certification of the pension funds for D.C. police and firemen.

Records exempt from routine uses, including categories of users and the purposes of such uses: The Government Actuary performs mathematical computations to assess expected income and outlays of pension funds listed in the "Category of Individual" section. Additional routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Data are on punched cards and...
computer print-outs. Individuals are listed alphabetically. Records are stored in the Actuary's office in the locked and guarded Treasury Building. The records are retained on a year-to-year basis. When agencies whose pension funds are valued forward new records for valuation, older records are discarded.

System manager(s) and address: The Government Actuary, Office of the Secretary of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Letters are from the general public, other executive agencies and the Congress. They come directly to the Treasury or are referred to the Treasury by the White House, Congressional offices, or other executive agencies.


Categories of individuals covered by the system: Employee (presently in a position in this office). Applicant (one who will be hired).

Categories of records in the system: Employee: regular personnel papers, which are duplicates and this file is not complete. Applicant: SF 171.


Routine uses of records maintained in the system: Members of Congress and their staffs; Other members of the public; Employees of the Secretary for International Affairs, Department of the Treasury; Members of the Foreign Service and the Foreign Service Institute; Other organizations responsible for pension funds listed under "Category of Individual," namely the District of Columbia Government, the U.S. Tax court, the U.S. Foreign Service and the U.S. Information Agency.

System manager(s) and address: Deputy Assistant Secretary for Investment and Energy Policy, Department of the Treasury, Room 3025, MT, Washington, D.C. 20220.

Notification procedure: Same as above.

Record access procedures: Written request to: Director, Regulatory and Legislative Policy, Room 4460, MT, Washington, D.C. 20220.

Contesting record procedures: See Access above.


System name: OASIA Correspondence Files— Treasury/OS.


Categories of individuals covered by the system: (a) U.S. Foreign Service Officials (b) Officials of Municipalities and State Governments (c) Members of Congress and (d) the General Public.

Categories of records in the system: incoming correspondence files containing information concerning internal financial, economic, and monetary matters, and reply to same in individuals in the Office of the Assistant Secretary for International Affairs, Department of the Treasury.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system: Members of Congress and their staffs; Other members of the public; Employees of the Secretary for International Affairs, Department of the Treasury; Members of the Foreign Service and the Foreign Service Institute; Other organizations responsible for pension funds listed under "Category of Individual," namely the District of Columbia Government, the U.S. Tax court, the U.S. Foreign Service and the U.S. Information Agency.

System manager(s) and address: Chief, Records Management Branch, Office of Administrative Programs, Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).
Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC, 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: See categories of individuals and related responses from Treasury officials.

Treasury/OS 0.036

System name: Office of Debt, Home addresses and telephone number File—Treasury/OS.

System location: Department of Treasury, 15th & Penn. Avenue, N.W., Washington, DC, 20220.

Categories of individuals covered by the system: Employees in Office of Debt, OASIA, U.S. Treasury.

Categories of records in the system: Telephone numbers and home addresses.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Routine Use. To provide record of home telephone numbers and addresses; (b) Category of Users. Professionals of OASIA; (c) Purpose. To contact after normal duty hours for urgent situations; (d) For additional routine uses, see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 3x5 card.

Retrievability: Indexed by name.

Safety guards: None required.

Retention and disposal: Disposed when employee terminates employment in office.

System manager(s) and address: Secretary to the Director, Office of Debt, OASIA, U.S. Treasury.

Notification procedures: Director, Office of Debt, OASIA, Department of the Treasury, Washington, DC. 20220.

Record access procedures: Same address as above.

Contesting record procedures: See above.

Record source categories: Employees in Office of Debt.

Treasury/OS 0.037

System name: OIDB Employee and Employee Candidate Files—Treasury/OS.

System location: OIDB, 5th Floor, Department of Treasury, 15th & Penn. Avenue, N.W., Washington, DC. 20220.

Categories of individuals covered by the system: OIDB staff members and the candidates for OIDB, including prospective candidates for OD or Alt. OD positions at the IFIs.

Categories of records in the system: Bio data and curriculum vitae.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use by OIDB Director in making recommendations for promotions and other job classification changes and in interviewing job candidates. For additional routine uses, see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manila folders in file cabinet.

Retrievability: By name.

Safety guards: Maintained in locked file cabinet. Disclosure limited to official personnel on need to know basis.

Retention and disposal: Records on OIDB employees kept while employee is in office. Job candidates for one year.

System manager(s) and address: Director, OIDB, OASIA, Department of the Treasury, Washington, DC. 20220.

Notification procedure: (a) Address, OASIA Personnel Office, Room 2050 Main Treasury, 15th & Penn. Ave., N.W., Washington, D.C. 20220. (b) Identifying Information. Full name and address, under which you had contact with OASIA. Specify dates as nearly as possible.

Record access procedures: See Notification above.

Contesting record procedures: See Notification above.

Record source categories: Supplied by the persons themselves.

Treasury/OS 0.040

System name: Confidential Statements of Employment and Financial Interests, Personnel Division, Office of the Secretary—Treasury/OS.

System location: Room 1306, Main Treasury Building, Personnel Division, Security Branch, Office of the Secretary, Department of the Treasury.

Categories of individuals covered by the system: Statements are required from all Treasury employees under the categories listed under Section 0.735-70, Department of the Treasury Minimum Standards of Conduct as reprinted from the Federal Register, December 16, 1970, and amendments dated April 9, 1971, and January 5, 1973.

Categories of records in the system: Forms TD 3086 and 3087 (Confidential Statement of Employment (1)) and Form TD 3086 (Statement of Employment and Financial Interests)—For Special Grantee Employees and Form TD 3087 (Confidential Statement of Employment and Financial Interests) prepared by the incumbent or potential incumbent as prescribed.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Statements are prepared by employees or applicants and are submitted through the Personnel Office to the agency reviewing officer. If there are no indications that the conflict of interest conflict exists, the reviewing official signs and dates the form and returns it to the Personnel Office for retention. For additional routine uses, see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: To meet the requirements of confidentiality set forth in Sections 201 through 209 of Title 5, Part 735, Federal Personnel Manual, each statement of Employment and Financial Interests is filed alphabetically in three-ring binders which in turn are filed in metal containers designed for the storage of classified information and secured by government-approved, combination locks.

Retrievability: Since these statements are filed alphabetically in three-ring binders, they are retrieved accordingly.

Safety guards: In addition to being stored in a secure, metal container with government-approved, combination locks, the metal container is situated in a room which, during normal work hours, is under the supervision of authorized employees, and during normal work hours is locked. The files are in the custody of security specialists for secure storage and access is made only to personnel and administrative officials in the performance of their official functions or to the incumbents who executed the statements.

Retention and disposal: Statements of active employees are retained during incumbent employment tenure and subject to annual update as required by law. Records of personnel who depart are retired and maintained for two years, then destroyed as required by Item 26, Appendix B, General Records Schedule, Title 3.

System manager(s) and address: Personnel Officer, Personnel Division, Office of the Deputy Assistant Secretary (Administration), Office of the Secretary, Department of the Treasury, Room 1306, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Chief, Security Branch, Personnel Division, Office of the Deputy Assistant Secretary (Administration), Office of the Secretary, Department of the Treasury, Room 1306, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Record access procedures: Chief, Security Branch, Personnel Division, Office of the Deputy Assistant Secretary (Administration), Office of the Secretary, Department of the Treasury, Room 1306, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Access on request of contributor.

Record access procedures: See above.

Record source categories: The information contained in these records is provided by the employee or applicant pursuant to the authority reflected above.

Treasury/OS 0.041

System name: Management Analysis Division (MAD) Personnel Working Files—Treasury/OMO.

System location: Office of Management and Organization, Management Analysis Division, Room 4418 MT, Washington, D.C. 20220.
Categories of individuals covered by the system: All prospective and current employees in MAD.


Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records used by the Chief, MAD, for planning and managing staff development; by his secretary, and by employees, as reference files to obtain information stated under CATEGORY OF RECORD. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: File folders.
Retrievability: Alphabetic order by employee's last name.
Safeguards: All material is unclassified, kept in a secured file cabinet.
Retention and disposal: Records are maintained as long as person is employed in MAD. Upon resignation, records are given to employee or discarded. Prospective employee files are held for twelve months then discarded.
System manager(s) and address: Chief, Management Analysis Division, Department of the Treasury, Room 4416 MT, Washington, D.C. 20220.

Notification procedure: Same as System Manager above.
Record access procedures: Employees have free access to their individual files. Inquiries should be written addressed to the System Manager.
Contesting record procedures: See System Manager above.
Record source categories: Copies of official forms; data prepared by each individual employee; and material from any official who deems it appropriate to submit a letter of appreciation for services rendered by employee.

Tresury/OS 00.042
System name: OMO Management Consultants File—TreasuryOS.
System location: Management Analysis Division, Room 4418 MT, Washington, D.C. 20220.

Categories of individuals covered by the system: Individual Consultant's or Management Consultant firm's brochures identifying individual firm officials.
Categories of records in the system: Published brochures of Management Consultant firms and resumes prepared by individual Consultants.

Authoriy for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: When the Department is considering letting a contract for a Management Consultant, the file is used as a reference for submission of Request for Proposal. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: File folders.
Retrievability: Alphabetical order by Management Consultant firm or individual Consultant name.
Safeguards: All material is unclassified. Kept in a file cabinet.
Retention and disposal: Retained indefinitely.
System manager(s) and address: Chief, Management Analysis Division, Department of the Treasury, Room 4418 MT, Washington, D.C. 20220.

Notification procedure: Same as System Manager above.
Record access procedures: By request to System Manager.
Contesting record procedures: Same as Access above.
Record source categories: Information is submitted unsolicited by the individual Consultants or Management Consultant firms.

Tresury/OS 00.044
System name: Personnel and Payroll Data: Transaction Lists; Employee Service Record Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing; and Alphabetic Locator List.—TreasuryOS.

System name: Travel Records—TreasuryOS.
System location: Room 4416, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Office of the Secretary employees.

Categories of records in the system: The types of information in the system are names, position titles, grades and salary.

Authority for maintenance of the system: IRS Computer system generates reports. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Reports are used to answer inquiries made by employees and to verify whether information submitted by this office has been updated by the IRS Data Center. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Locked room. File cabinets.
Retrievability: By name and social security number.
Safeguards: Locked cabinets.
Retention and disposal: Retained indefinitely.

System manager(s) and address: Personal Officer, Room 1330, Main Treasury Building, Washington, D.C. 20220.

Notification procedures: Room 1330, Main Treasury Building. Employee should give name, office title and social security number.
Record access procedures: See Notification above.
Contesting record procedures: See Notification above.
Record source categories: SF-50 and Time and Attendance cards.

Tresury/OS 00.048
System name: Schedule Annual Leave Records—TreasuryOS.
System location: Treasury Department, Office of the Secretary, Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: All employees whose salary is paid from funds appropriated for the Office of the Secretary, the Exchange Stabilization Fund, the Working Capital Fund, and the Office of Revenue Sharing.

Categories of records in the system: Dates of scheduled annual leave for the calendar year TR-Form 4067 and Request for Restoration of Annual Leave TR-Form 4068.

Authority for maintenance of the system: Public Law 93-181.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are used as a basis for determinations on granting requests for restoration or forfeited annual leave. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Original documents in a file cabinet.
Retrievability: By name of individual.
Safeguards: Non-sensitive material; handled routinely in file cabinet.
Retention and disposal: Maintained until file updated.
System manager(s) and address: Chief Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedures: Chief Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Record access procedures: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: See Access above.
Record source categories: The individual scheduled for annual leave.

Tresury/OS 00.052
System name: Travel Records—TreasuryOS.

Categories of individuals covered by the system: All individuals authorized to perform travel for the Office of the Secretary.

Categories of records in the system: Form OS-3015, Authorization to Perform Travel, with the SP 1012, Travel Voucher, which details travel performed and expenses incurred with necessary receipts to
support the claim for reimbursement to the traveler. SF 1038. Request for Advance of Funds.

Authority for maintenance of the system: 5 USC 5701-5709.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The supporting documentation for entering a charge against, and disbursing funds from the appropriation for salaries and expenses, Office of the Secretary of the Treasury and for the Exchange Stabilization Fund. For additional uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original document forms in file cabinet.

Retrievability: Filed by name on a fiscal year basis.

Safeguards: Non-sensitive material handled routinely in regular file cabinet.

Retention and disposal: Presently retained for ten years then burned or shredded.

System manager(s) and address: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Ave., Washington, D.C. 20220.

Notification procedure: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Ave., Washington, D.C. 20220.

Record access procedures: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Ave., Washington, D.C. 20220.

Contesting record procedures: See above.

Record source categories: Facts and figures are presented by the individual related to authorized travel.

Retirement/OS 00.053

System name: Treasury Emergency Preparedness Information Program—Treasury/OS.


Categories of individuals covered by the system: (1) Treasury Emergency Executive Team Members (Teams Alpha, Bravo and Charlie), (2) Key Treasury Personnel.

Categories of records in the system: (1) Emergency Executive Team Member Lists (by Office of the Secretary and by Treasury Bureau listing); Name, Position Title, Office/Agency. (2) Key Treasury Personnel Alert Notification Lists: Name, Telephone Numbers (Office and Home), Office/Agency. (3) Information by individual application for Permanent Badge for FPA Special Facility; Name, Date of Birth, Physical Identification.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by the counselors to maintain a record of cases and to prepare the counselor's report.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: Indexed by name.

Safeguards: Files are under supervision of each counselor and not released to unauthorized personnel.

Retention and disposal: Indefinite.

System manager(s) and address: Equal Employment Opportunity Officer, Office of the Secretary, U.S. Treasury, Main Treasury, Room 4406, Washington, D.C. 20220.

Notification procedures: Same as above. Include name and approximate date of counseling.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Information is developed from counseling sessions with persons alleging discrimination.

Treasury/OS 00.060

System name: Correspondence and records on employee complaints and/or dissatisfaction—Treasury/OS.


Categories of individuals covered by the system: Former or current Department employees.

Categories of records in the system: Correspondence dealing with employee complaints.

Authority for maintenance of the system: Departmental discretion on maintenance of correspondence files. 5 USC 301.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used in making personnel decisions and in processing personnel actions on employees in the Department of the Treasury. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Records are stored in file cabinets in secured building.
Retrievability: The records are kept by organizational units and position grade level in alphabetical order.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: The records are kept as long as the employee is assigned to a GS or GG-15, 16, 17, or 18 position, except for employees who retire. The records on retirees are kept for approximately one year from their date of retirement. The records are burned when we dispose of them.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual needs to provide his name, present organizational assignment, position title and grade.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: The SF-161 and SF-161-A are provided by the employees or their servicing personnel offices.

TREASURY/OS 00.065
System name: Appointment at Above the Minimum Rate of the Grade Files—Treasury/OS.
System location: Room 2412, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Persons proposed for employment by the Department of the Treasury at a salary rate above the minimum rate of the grade and for which approval is sought by Treasury from the U.S. Civil Service Commission.

Categories of records in the system: A collection of documents required for requesting approval by the U.S. Civil Service Commission of appointments at a salary rate above the minimum rate of the grade, including: Request for Approval of Non-Competitive Action (SF-59); Personal Qualifications Statement (SF-171); Position Description (SF-18); and in some instances, Request for Personnel Action (SF-52); Certification Form (SF-39); letters of justification; and general correspondence requesting approval of the action.

Authority for maintenance of the system: The authority which authorizes the solicitation of the information by the agency is Title 5 U.S. Code and the various rules and regulations of the U.S. Civil Service Commission pursuant thereto, as well as the implementing personnel policies derived therefrom by the Department of the Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used to satisfy the minimum data requirements needed for consideration and approval of appointments at above the minimum rate of the grade by the U.S. Civil Service Commission. For additional routine uses see Treasury/OS 00.066.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Records are stored in file cabinets in secured building.
Retrievability: System is indexed alphabetically by name of individual.

Safeguards: File in file cabinets and released only to individuals with a legitimate right to review.

Retention and disposal: Policy is to destroy after 3 years of completion of appeal cases.

System manager(s) and address: Deputy Director of Personnel, Department of the Treasury, 15th & Pennsylvania Avenue, Washington, D.C. 20220.

Notification procedure: Deputy Director of Personnel, Department of the Treasury, 15th & Pennsylvania Avenue, Washington, D.C. 20220.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Letters of application, applicant's resume, job qualifications, evaluation of personnel management, education, professional activities, honors, special qualifications and references.

Authority for maintenance of the system: Executive Order 11315 which authorized the Executive Assignment System and subsequent Civil Service Commission procedures and regulations which established the Executive Assignment Files.


System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and date of most
recent appointment above the minimum rate of the general schedule
position in the Department of the Treasury.

Record access procedures: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The system exempted from certain provisions of the act: See Access
above.

Purpose(s): The documents which constitute this system, and
which are listed under Category of Record, came from the individual
applicant, his or her servicing personnel office, the U.S. Civil Service
Commission, and other concerned management officials within the
Department of the Treasury.

Treasury/OS 0.066

System name: Combined Applicant/Correspondence File—
Treasury/OS.

System location: Room 2412, Main Treasury Building, Washington,
D.C. 20220.

Categories of individuals covered by the system: Persons who have
sent applications, or have asked questions about employment in the
Department of the Treasury, or whose applications or questions have
been referred to the Department of the Treasury by others for reply.

Categories of records in the system: Standard Applications for Fed-
eral Employment (SF-171).

Resumes providing a reflection of such personal or job related
experience as the writer elected to furnish.

Correspondence from applicants and responses thereto, ranging
from general inquiries to specific complaints about alleged practices,
treatment, or requirements.

Authority for maintenance of the system: Most material is not solic-
ned, though on occasion applications are sought for specific posi-
tions.

The material, generally, is related to Treasury’s personnel oper-
actions carried out under various provisions of Title 5, U.S. Code.

Routine uses of records maintained in the system, including catego-
ries of users and the purposes of such uses: Employment inquiries
received from applicants are routed to specific bureau personnel
offices if requested or to all bureau personnel offices if not speci-
ified. Should bureau have vacancies for which outside applications
are being accepted, they will be included for referral. Applicants are
advised that we have referred their applications. Occasionally,
applications are solicited from the general public for hard-to-fill jobs.
When solicited, applicants are advised to apply directly to the bureau
having the vacancy. When the vacancy is in whose name and
desire consideration. For additional routine uses see Treasury Appendix
AA.

Policies and practices for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system,
indexed alphabetically and retrievable by name of applicant. In addi-
tion, a written log is maintained on all applications circulated to
bureau personnel offices. The log gives name of applicant, date
received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.

System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and approximate
date of the detail requested requiring approval at the Depart-
mental level. For additional routine uses see Treasury Appendix AA.

Policy and practice for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: System is indexed by name in a log and filed in
chronological order as details are approved.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.

System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and approximate
date of the detail requested requiring approval at the Depart-
mental level. For additional routine uses see Treasury Appendix AA.

Policy and practice for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system,
indexed alphabetically and retrievable by name of applicant. In addi-
tion, a written log is maintained on all applications circulated to
bureau personnel offices. The log gives name of applicant, date
received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.

System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and approximate
date of the detail requested requiring approval at the Depart-
mental level. For additional routine uses see Treasury Appendix AA.

Policy and practice for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system,
indexed alphabetically and retrievable by name of applicant. In addi-
tion, a written log is maintained on all applications circulated to
bureau personnel offices. The log gives name of applicant, date
received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.

System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and approximate
date of the detail requested requiring approval at the Depart-
mental level. For additional routine uses see Treasury Appendix AA.

Policy and practice for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system,
indexed alphabetically and retrievable by name of applicant. In addi-
tion, a written log is maintained on all applications circulated to
bureau personnel offices. The log gives name of applicant, date
received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.

System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and approximate
date of the detail requested requiring approval at the Depart-
mental level. For additional routine uses see Treasury Appendix AA.

Policy and practice for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system,
indexed alphabetically and retrievable by name of applicant. In addi-
tion, a written log is maintained on all applications circulated to
bureau personnel offices. The log gives name of applicant, date
received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.

System manager(s) and address: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel
(Executive Manpower and Employment), Room 2412, Main Treasury
Building, Washington, D.C. 20220.

The individual is required to provide his name and the Treasury
organizational assignment, position title, grade/salary, and approximate
date of the detail requested requiring approval at the Depart-
mental level. For additional routine uses see Treasury Appendix AA.

Policy and practice for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system,
indexed alphabetically and retrievable by name of applicant. In addi-
tion, a written log is maintained on all applications circulated to
bureau personnel offices. The log gives name of applicant, date
received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets
with personnel screening accomplished by limited access to author-
ized Treasury personnel and management officials on a “need to
know” basis.

Retention and disposal: Records are retained for an indefinite
period of time and are destroyed by standard destruction methods
including burning of Personal Qualifications Statements and related
confidential information.
U.S. Code and the various rules and regulations of the U.S. Civil Service Commission pursuant thereto, as well as the implementing personnel policies derived therefrom by the Department of the Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used to satisfy the minimum data requirements needed for consideration and approval of exceptions to the Whitten Amendment by the U.S. Civil Service Commission. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinet in secured building. Retrieval: System is indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Director, Office of Computer Science, 1625 I Street, N.W., Room 224, Washington, D.C. 20220.

Retention and disposal: Records are retained for one year by the individual employee or applicant, his servicing personnel office, and which are listed under Category 3A), who does not have a grade, i.e., Wage Systems, Special Emphasis, etc.

Contesting record procedures: See Access above.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name.

Safeguards: Under supervision of responsible manager during working hours. Room locked at other times.

Retention and disposal: Retained one year, then erased or discarded.

System manager(s) and address: Director, Office of Computer Science, 1625 I Street, N.W., Room 224, Washington, D.C. 20220.


Record access procedures: Written request to: Director, Office of Computer Science, 1625 I Street, N.W., Room 224, Washington, D.C. 20220.

Reference:

Treasury/OS 00.081

System name: Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST)—Treasury/OS.

System location: Office of the Chief, Fiscal Division, Internal Revenue Service Data Center, Box 1100, Detroit, Michigan 48232. This is the location of data processing support for the REST System.

Categories of individuals covered by the system: Employment statistics on Treasury minority and female employees.


Authority for maintenance of the system: The Civil Service Commission in the Federal Personnel Manual (Chapter 713, Subchapter 2A), establishes the full control of an automated minority group identification system under the agency Director, Equal Employment Opportunity (EEO), or his designated EEO Official.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The centralized system for Reporting Employment Statistics in the Treasury is used to furnish statistics on employment distribution to Personnel Officers, EEO Officers and Departmental staff as needed. Also, a periodic report of employment statistics on distribution of minority groups and female employees is provided to all Personnel and EEO Officers. Data will be provided OMB, the White House and Congress upon request. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Retention and disposal: Records are retained for one year by the individual employee or applicant, his servicing personnel office, and which are listed under Category 3A), who does not have a grade, i.e., Wage Systems, Special Emphasis, etc.

Contesting record procedures: See Access above.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name.

Safeguards: Under supervision of responsible manager during working hours. Room locked at other times.

Retention and disposal: Retained one year, then erased or discarded.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the Whitten Amendment exemption requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the Whitten Amendment exemption requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the Whitten Amendment exemption requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the Whitten Amendment exemption requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the Whitten Amendment exemption requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.
Categories of records in the system: Each EEO investigative file contains: (a) a copy of each affidavit of the complainant, the alleged discriminatory employer, the individual, and other witnesses; (b) a copy of each agency record obtained; and (c) a copy of the other information acquired in the investigation. When information concerning the agency's policies or procedures is essential to an understanding of matters covered by the investigation, a copy of it, except for an exemption, may be included in the file. Faxes may include, depending on complainants exercising appeal rights, Hearing Transcripts and Decisions by Civil Service Commission Complaints Examiners, and Decisions by the Appeal Review Board.

Authority for maintenance of the system: Part 713.203(q) of the Civil Service Commission's Rules and Regulations, require agencies to "provide for the prompt, fair and impartial consideration and disposition of complaints involving issues of discrimination on grounds of race, color, religion, sex or national origin." Federal Personnel Manual Letter No. 713-25 provides "an agency will process complaints of discrimination on account of age."

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The EEO investigative record is used to resolve complaints of discrimination between aggrieved employees or applicants for employment, and agency management. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The records are maintained in EEO complaint case file folders. They are maintained by the name of the complainant and the date of the complaint. The Director, Equal Opportunity Program and his EEO staff, and the Civil Service Commission have access to these files. The Federal District Courts have access to the files in the event the complaint appeals to that level. Normally, the files are maintained in the Department of Treasury for a period of from two (2) to three (3) years before being forwarded to the Federal Records Center, Alexandria, Virginia.

System manager(s) and address: Director, Office of Equal Opportunity Program, Department of the Treasury, 15th and Pennsylvania Avenue NW, Washington, D.C. 20220.


Categories of individuals covered by the system: Individuals referred to the Bureau Spanish Speaking Program Coordinators to the Bureau Spanish Speaking Program, Department of the Treasury, 1612 K Street NW, Washington, D.C. 20220.

Notification procedure: Same as above. No identifying information of an individual is required except his/her name.

Record access procedures: An individual can gain access to his/her records by requesting them by letter, or visit to the Office of Equal Opportunity Program's Director, Enforcement and Operations, or the Director's Deputy Director, or the Spanish Speaking Program Coordinator at Mailing Address: Office of the Secretary, Office of Equal Opportunity Program, U.S. Treasury, 1900 Pennsylvania Avenue NW, Washington, D.C. 20220. Office Location: Same as System Manager.

Record source categories: See Access above.

Categories of users and purposes of such uses: The Standard Forms are kept at least one year and/or disposed of after individual may be maintained in this system of records which includes but is not limited to records pertaining to fugitives, wanted persons, suspected persons, lookouts (temporary and permanent), spe-
cific missing persons, deceased persons in connection with death notices. Information about individuals includes but is not limited to name, alias, date of birth, address, physical description, various identification numbers, reason for the record or lookout, details and circumstances surrounding the actual or suspected violator.

Authority for maintenance of the system: 22 USC 263a

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Criminal investigations being conducted by law enforcement agencies in the U.S. or abroad. Users—Local, State and Federal Agents and employees of the U.S. National Central Bureau of INTERPOL who have a need for the records in the performance of their duties; law enforcement authorities; criminals in the United States and abroad; INTERPOL General Secretariat and INTERPOL National Central Bureaus in member countries; disclosure required in administration of Freedom of Information Act (5 U.S.C. 552); referral to employees and officials of financial and commercial business firms, and to private individuals of identifying information pertaining to actual or suspected criminal offenders, where such referral is considered reasonably necessary for the purpose of furthering efforts to investigate the activities of and apprehend criminal offenders; translators of foreign languages as necessary; and for additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Stored in file folders at the U.S. National Central Bureau and certain limited data in Treasury Enforcement Communications System (TECS). Upon inactivity for five years the file is destroyed for judicial cases involving NCB, which is retained for 20 years from the date of the judicial action. Files on deceased persons are destroyed within one year of the person’s death. Certain records, such as death notifications and missing persons records, are normally destroyed within the year after the matter is received and/or resolved. Records not of continuing interest may be destroyed at any time. Retrieval by name or file number and by weapons number and motor vehicle number. Access safeguards include need to know, procedural and physical safeguards, such as accountability, restricted areas and alarm protective systems.

System manager(s) and address: Chief, U.S. National Central Bureau, INTERPOL, Department of Justice, Room 6649, 9th & Pennsylvania Ave., N.W., Washington, D.C. 20530.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsections (J)(2) and (K), 31 C.F.R. 1.36.

Treasury/OS 00.102

System name: Treasury Enforcement Communications System (TECS)—Treasury/OS


Categories of individuals covered by the system: Individuals who have been convicted or are subjects of a criminal investigation with international aspects; specific missing persons; specific deceased persons in connection with death notices; certain weapons, motor vehicles, articles, etc., stolen and/or involved in crime which may or may not be associated with an individual. Certain victims of criminal violation in the U.S. or abroad.

Categories of records in the system: Information concerning an individual may be maintained in this system of records which includes but is not limited to fugitives, wanted individual may be maintained in this system of records which includes but is not limited to fugitives, wanted persons, lookouts (temporary and permanent), specific missing persons, deceased persons in connection with death notices. Information about individuals includes but is not limited to name, alias, date of birth, address, physical description, various identification numbers, reason for the record or lookout, details and circumstances surrounding the actual or suspected violator.

Authority for maintenance of the system: 5 U.S.C. 301, Reorganization Plan No. 1 of 1955; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Criminal investigations being conducted by law enforcement agencies in the U.S. or abroad. Users—Local, State and Federal Agents and employees of the U.S. National Central Bureau of INTERPOL who have a need for the records in the performance of their duties; law enforcement authorities; criminals in the United States and abroad; INTERPOL General Secretariat and INTERPOL National Central Bureaus in member countries; disclosure required in administration of Freedom of Information Act (5 U.S.C. 552); referral to employees and officials of financial and commercial business firms and to private individuals of identifying information pertaining to actual or suspected criminal offenders, where such referral is considered reasonably necessary for the purpose of furthering efforts to investigate the activities of and apprehend criminal offenders; translators of foreign languages as necessary; and for additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Magnetic tape and disc storage and hot tape. Files on deceased persons are destroyed within the 11 year of the person’s death. Certain records, such as death notifications and missing persons records, are normally destroyed within the year after the matter is received and/or resolved. Records not of continuing interest may be destroyed at any time. Retrieval by name or file number and by weapons number and motor vehicle number. Access safeguards include need to know, procedural and physical safeguards, such as accountability, restricted areas and alarm protective systems. Upon inactivity for five years the file is destroyed except for judicial cases involving personnel in this NCB, which are retained for 20 years from the date of judicial action. Disposal of records is by shredding or burning.

System manager(s) and address: Chief, U.S. National Central Bureau, INTERPOL, Department of Justice, Room 6649, 9th & Pennsylvania Ave., N.W., Washington, D.C. 20530.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Exempt System.

Systems exempted from certain provisions of the act: Exempt under Subsections (J)(2) and (K), 31 C.F.R. 1.36.

Treasury/OS 00.110

System name: Foreign Assets Control Administrative Records—Treasury/OS


Categories of individuals covered by the system: Office of Foreign Assets Control employees. Treasury Department General Counsel employees assigned to FAC work. Former Office of Foreign Assets Control employees. Applicants for employment with Treasury Department, Office of Foreign Assets Control.

Categories of records in the system: Applications for employment with the Office of Foreign Assets Control, or with the Office of Chief Counsel, Foreign Assets Control; records of grade promotions of Foreign Assets Control employees; records of within grade salary step increases of Foreign Assets Controls employees; analysis of performance of legal professionals assigned to Foreign Assets Control Work; supervisory evaluation of Foreign Assets Control Employees; appointment, suspension, or termination of foreign financial statements.

Authority for maintenance of the system: 5 U.S.C. Sections 301 and 302, Treasury Department Order No. 128, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: As source of information in consideration of hiring of new Foreign Assets Control employees.
To determine whether a grade promotion of an employee is indicated. To determine whether annual within grade step increase is warranted. To compile mailing list of employees for Foreign Assets Control uses and purposes. To determine what training of Foreign Assets Control employees is needed. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Foreign Assets Control administrative records are placed in folders which are kept in file cabinets secured by approved detachable combination locks. FAC administrative records are retrieved from the administrative file by the name of the individual. Each FAC file cabinet containing administrative records is located in use in a room which is manned during daily hours by FAC employees. No person other than the Director (Acting) is allowed access to such records, except as specifically designated by the Acting Director. During off-duty hours the file area is safe-guarded by appropriate electronic protective devices. FAC administrative records (other than application for employment) are kept indefinitely for so long as they are useful to the Office of Foreign Assets Control.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Requests for an individual for notification as to whether Foreign Assets Control Administrative Records contain information relating to him should be (a) mailed: Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C.; (b) presented in person: Office of Foreign Assets Control, 1331 G Street, N.W., Room 504, Washington, D.C.

Record access procedures: Requests for information on gaining access to or contesting of information contained in FAC Administrative Records should be mailed to: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: All information contained in the FAC administrative records is usually obtained from the individual, or from Treasury Department Personnel Office.

System name: FAC 1950 Census of Blocked Chinese Assets—Treasury/OS.


Categories of individuals covered by the system: Most of these records contain reports and correspondence regarding blocked accounts of persons or firms who are nationals of the Peoples Republic of China. However, there is a small class of cases where reports were received regarding the assets of an individual subject to U.S. jurisdiction when such individual is the trustee of a Chinese national, Administrator or Executor of the estate of a Chinese national, heir of the estate of a Chinese national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

The principal category is individuals residing in China who had an interest in property in the U.S. on December 17, 1950, and (b) non-U.S. citizens who left China after December 17, 1950, and became U.S. citizens or permanent alien residents of the U.S.

In addition, this system includes individuals in the U.S. who had acted for or on behalf of the government of the Peoples Republic of China, or individuals who had an interest in property in which there was also an interest of a Chinese national on or since December 17, 1950, for example, trusts and estates, joint accounts and partnerships.

Categories of records in the system: (6) Reports containing a brief description, including location and value, of assets in the U.S. on December 18, 1950, in which China or a Chinese "national" (as defined in the regulations) had any interest. (2) Correspondence regarding such reports. (3) A computer print-out summarizing the information contained in the reports.

Authority for maintenance of the system: Section 5(b) of the Trading with the Enemy Act (50 U.S.C. App., Section 5(b)).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information in this system of records: (1) is used by staff to verify the accuracy of reports submitted under the 1970 Census of Blocked Chinese Assets; (2) is made available to the Chief of Enforcement, in connection with determining the whereabouts of holders or custodians who cannot be located; (3) is made available to the Chief of Licensing for assistance in determining the propriety of the release of blocked property; (4) is made available to the Legal Section in connection with questions regarding the disposition of blocked property requiring a legal opinion; (5) is made available to the Acting Director in connection with questions regarding the property reported under the 1950 census; (6) is made available to the original holder or custodian of blocked Chinese property or his successor in interest to aid in preparation of the 1970 census report; (7) is made available to the legal representatives of persons listed in (1) to aid in preparation of the 1970 census report; (8) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Reports and correspondence files.

Retrievability: Reports are indexed by name of holder or custodian of property, by name of property, or by name of the estate of a Chinese national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

Safeguards: The FAC 1950 Census of Blocked Chinese Assets records consist of documents in folders and a computer print out. Some of these files are stored in file cabinets secured by approved detachable combination locks. The room where these records are stored is manned by FAC personnel who are instructed not to release unauthorized persons, nor to release any material from these records to persons other than FAC personnel. During off-duty hours this room is locked and safeguarded by appropriate security measures. The remainder of these files are stored in a supply room which is always locked except when in use.

Retention and disposal: None have been destroyed.

System manager(s) and address: Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment) or similar affirmative evidence.

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Custodians or other holders of blocked Chinese assets.

System name: FAC 1970 Census of Blocked Chinese Assets—Treasury/OS.


Categories of individuals covered by the system: Most of these records contain reports and correspondence regarding blocked accounts of persons or firms who are nationals of the Peoples Republic of China. However, there is a small class of cases where reports were received regarding the assets of an individual subject to U.S. jurisdiction when such individual is the trustee of a Chinese national, Administrator or Executor of the estate of a Chinese national, heir of the estate of a Chinese national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

The principal category is foreign nationals who had an interest in property in the U.S. on December 17, 1970, and (b) non-U.S. citizens who left China on or since December 17, 1970, and became U.S. citizens or permanent alien residents of the U.S.

In addition, this system includes individuals in the U.S. who had acted for or on behalf of the government of the Peoples Republic of China, or individuals who had an interest in property in which there was also an interest of a Chinese national on or since December 17, 1950, for example, trusts and estates, joint accounts and partnerships.

Categories of records in the system: (6) Reports containing a brief description, including location and value, of assets in the U.S. on December 18, 1970, in which China or a Chinese "national" (as defined in the regulations) had any interest. (2) Correspondence regarding such reports. (3) A computer print-out summarizing the information contained in the reports.

Authority for maintenance of the system: Section 5(b) of the Trading with the Enemy Act (50 U.S.C. App., Section 5(b)).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information in this system of records: (1) is used by staff to verify the accuracy of reports submitted under the 1970 Census of Blocked Chinese Assets; (2) is made available to the Chief of Enforcement, in connection with determining the whereabouts of holders or custodians who cannot be located; (3) is made available to the Chief of Licensing for assistance in determining the propriety of the release of blocked property; (4) is made available to the Legal Section in connection with questions regarding the disposition of blocked property requiring a legal opinion; (5) is made available to the Acting Director in connection with questions regarding the property reported under the 1950 census; (6) is made available to the original holder or custodian of blocked Chinese property or his successor in interest to aid in preparation of the 1970 census report; (7) is made available to the legal representatives of persons listed in (1) to aid in preparation of the 1970 census report; (8) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Reports and correspondence files.

Retrievability: Reports are indexed by name of holder or custodian of property, by name of property, or by name of the estate of a Chinese national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

Safeguards: The FAC 1950 Census of Blocked Chinese Assets records consist of documents in folders and a computer print out. Some of these files are stored in file cabinets secured by approved detachable combination locks. The room where these records are stored is manned by FAC personnel who are instructed not to release unauthorized persons, nor to release any material from these records to persons other than FAC personnel. During off-duty hours this room is locked and safeguarded by appropriate security measures. The remainder of these files are stored in a supply room which is always locked except when in use.

Retention and disposal: None have been destroyed.

System manager(s) and address: Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment) or similar affirmative evidence.

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Custodians or other holders of blocked Chinese assets.
Chief of Enforcement, and the Legal Section for assistance with problems requiring their advice and expertise. (2) is made available to the Chief of Licensing in connection with applications to unblock property of non-U.S. citizens who left Cuba after July 8, 1963, and became citizens or permanent alien residents of the United States.

(3) is used for statistical purposes. (4) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage files and index cards. Retrieval files and index cards indexed by name of holder or custodian of property with I.D. number and by name of individual for whom blocked accounts are held with I.D. number.

Safeguards: The Foreign Assets Control 1970 Census of Blocked Chinese Assets records consist of documents in folders and a bound computer print out which are stored in file cabinets secured by approved detachable combination locks. The room where these records are stored is manned by FAC personnel who are instructed not to admit unauthorized persons, nor to release any material from these records to persons other than FAC personnel. During off duty hours this room is locked and safeguarded by appropriate security measures.

Retention and disposal: None have been destroyed.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records contained in this system are required to follow procedures outlined below:

(1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information). Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Notices

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address. Record source categories: Custodians or other holders of blocked Chinese assets.

Treasady/OS 00.113

System name: FAC 1964 Census of Blocked Cuban Assets—Treasady/OS

System location: Federal Record Center, Suitland, Maryland

Categories of individuals covered by the system: Most of these records contain reports and correspondence regarding blocked accounts of persons or firms who are nationals of Cuba. However, there is a small class of cases where reports were received regarding the assets of an individual subject to U.S. jurisdiction when such individual is the trustee of a Cuban national, Administrator or Executor of the estate of a Cuban national, heir of the estate of a Cuban national, etc. Most of the records filing these reports were American corporations. However, a small number of reportees were American individuals.

The principal category is residents of Cuba, who had an interest in property in the U.S. after July 8, 1963. This category would also include (a) U.S. citizens who left Cuba before July 8, 1963, and (b) non-U.S. citizens who left Cuba after 1963 and became U.S. citizens or permanent alien residents of the U.S. In addition, this system includes individuals who had acted for or on behalf of the government of the Cuban or U.S. citizens who had an interest in property in which there was also an interest of a Cuban national or on July 8, 1963, for example, trusts and estates, joint accounts and partnerships.

Categories of records in the system:

(1) Reports containing a brief description, including location and value of assets in the U.S. on July 8, 1963, in which Cuba or a Cuban "national" (as defined in the Regulations) had any interest. (2) Correspondence regarding such reports. (3) A computer print out summarizing the information contained in the reports.

Authority for maintenance of the system: Section 5(b) of the Trading with the Enemy Act (50 USC App., Section 5(b)).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information in this system of records may be used in connection with a future census of blocked Chinese assets. The uses may be as follows: (1) by staff to determine persons to whom specific correspondence should be addressed advising them of the census requirements; (2) by staff to verify the accuracy of reports submitted under a new census; (3) by the Chief of Enforcement, in connection with determining the present whereabouts of holders or custodians who cannot be located; (4) by the Chief of Licensing in connection with determining the propriety of the release of blocked property; (5) by the Legal Section in connection with questions regarding the disposition of blocked property requiring a legal opinion; (6) by the Director in connection with questions regarding the property reported under the 1964 census; (7) maintained at the request of the original holder or custodian of blocked property or his successor in interest to aid in preparation of a new census report; (8) may be available to the legal representatives of persons listed in (7) to aid in preparation of a new census report.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

System: Record access procedures: Submit requests to the Departmental Disclosure Officer at the above address. Record source categories: Custodians or other holders of blocked Cuban property.

Treasady/OS 00.114

System name: Foreign Assets Control Enforcement Records—Treasady/OS


Categories of individuals covered by the system: Individuals who have engaged in unspecified financial, commercial, or other transactions which are prohibited under regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of the relevant statute and regulations administered by the Office of Foreign Assets Control.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Used as a source of reference in other FAC enforcement investigations. (2) As a source of information in consideration of applications for Foreign Assets Control; (3) Information with regard to litigation involving the Department of Justice in civil or criminal cases involving the individual. (4) Disclosure to U.S. Customs Service, Internal Revenue Service or Department of Commerce (Office of Export Administration), of information regarding possible transactions involving license violations of laws and/or regulations of such agencies. (5) Notice to the United States Customs Service (6) of importers who have made illegal commercial importations of prohibited publications and, (6) of persons who are authorized to make comments, objects to prohibited publications (under regulations administered by the Office of Foreign Assets Control). (6) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control Enforcement Records consist of documents, etc., in folders which are stored in locked file cabinets in secure file rooms. Enforcement records are retrieved by means of card-index file system containing the names of persons (individuals, corporations, etc.). The file rooms are manned by Foreign Assets Control personnel who are instructed not to admit unauthorized persons into the file areas, nor to release any material from the enforcement records to persons other than Foreign Assets Control personnel. During off-duty hours the file rooms are secured by appropriate electronic protective devices. These Enforcement Records are maintained indefinitely, for so long as the assets and transactions involved are subject to regulation. Records are also stored at the Federal Record Center, Suitland, Maryland.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C.

Notification procedure: Individuals wishing to be notified if they are named as record holders or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

System exempted from certain provisions of the act: Exempt under Subsection (K)(2), 31 CFR. 1.36.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Intra-Agency—Used by the staff to effectuate the purposes of the Regulations which it is charged with administering: (1) Used by the Enforcement Section as background information in connection with the processing of license applications submitted by the individual. (2) Used by the Legal Section as background information in connection with enforcement actions; (3) Requests for copies of regulations administered by the Licensing Section as background information in connection with subsequent inquiries from an individual. (3) Used in connection with the formulation of or as examples of policy followed by the office. (6) Used by all staff to initiate correspondence with individuals in order to obtain individual letter regarding potential or apparent violations of the regulations. (7) Used by all staff to prepare statistics useful in implementing the Regulations. (8) Used by all staff to compile mailing lists for use by the Foreign Assets Control to advise individuals interested in a particular aspect of the Regulations of changes relating to these aspects. (9) Used to detect an interest in a particular aspect of the Regulations which necessitate the publication of amendments to the Regulations regarding interpretations of the Regulations. (B) Inter-Agency: (1) Referred to the Department of State, Department of Commerce, Internal Revenue Service, Foreign Claims Settlement Commission, and (other agencies) where the individuals inquiry concerns matters under the jurisdiction of such agencies as well as under the jurisdiction of the Office of Foreign Assets Control. (2) Referred to the Department of Justice, where it appears likely that a crime or civil offense was committed. (3) Referred to other agencies where it appears that an individual has violated the law, statutes or regulations of such agency. (C) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control General Correspondence Records of users and the purposes of such uses—Records are stored in file cabinets secured by approved detachable combination locks. General Correspondence records are retrieved by means of card-index file system containing the names of persons (individuals, corporations, etc.). The file rooms are manned by FAC personnel who are instructed not to admit unauthorized persons into the file areas, nor to release any material from the enforcement record to persons other than FAC personnel. During off-duty hours, the file rooms are safeguarded by appropriate electronic protective devices. The General Correspondence records are maintained indefinitely for so long as the assets or transactions involved are subject to regulation. Records are also stored at the Federal Record Center, Suitland, Maryland.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

System exempted from certain provisions of the act: Exempt under Subsection (K)(2), 31 CFR. 1.36.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Intra-Agency—Used by the staff to effectuate the purposes of the Regulations which it is charged with administering: (1) Used by the Enforcement Section as background information in connection with the processing of license applications submitted by the individual. (2) Used by the Legal Section as background information in connection with enforcement actions; (3) Requests for copies of regulations administered by the Licensing Section as background information in connection with subsequent inquiries from an individual. (3) Used in connection with the formulation of or as examples of policy followed by the office. (6) Used by all staff to initiate correspondence with individuals in order to obtain individual letter regarding potential or apparent violations of the regulations. (7) Used by all staff to prepare statistics useful in implementing the Regulations. (8) Used by all staff to compile mailing lists for use by the Foreign Assets Control to advise individuals interested in a particular aspect of the Regulations of changes relating to these aspects. (9) Used to detect an interest in a particular aspect of the Regulations which necessitate the publication of amendments to the Regulations regarding interpretations of the Regulations. (B) Inter-Agency: (1) Referred to the Department of State, Department of Commerce, Internal Revenue Service, Foreign Claims Settlement Commission, and (other agencies) where the individuals inquiry concerns matters under the jurisdiction of such agencies as well as under the jurisdiction of the Office of Foreign Assets Control. (2) Referred to the Department of Justice, where it appears likely that a crime or civil offense was committed. (3) Referred to other agencies where it appears that an individual has violated the law, statutes or regulations of such agency. (C) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control General Correspondence Records of users and the purposes of such uses—Records are stored in file cabinets secured by approved detachable combination locks. General Correspondence records are retrieved by means of card-index file system containing the names of persons (individuals, corporations, etc.). The file rooms are manned by FAC personnel who are instructed not to admit unauthorized persons into the file areas, nor to release any material from the enforcement record to persons other than FAC personnel. During off-duty hours, the file rooms are safeguarded by appropriate electronic protective devices. The General Correspondence records are maintained indefinitely for so long as the assets or transactions involved are subject to regulation. Records are also stored at the Federal Record Center, Suitland, Maryland.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

System exempted from certain provisions of the act: Exempt under Subsection (K)(2), 31 CFR. 1.36.
Foreign Assets Control held unconstitutional, in fact or as applied; etc.

Categories of records in the system: Copies of the pleading and all other legal documents connected with civil litigation and criminal proceedings involving regulations administered by the Office of Foreign Assets Control, including memoranda, drafts of legal briefs, and other material prepared by the FAC legal staff for use in such litigation; material obtained pursuant to discovery under applicable court rules (depositions, written interrogatories, etc.); copies of final orders, decrees, and judgments of courts in such litigation; and copies of correspondence relating to activities of any party to such litigation (containing information relevant to the issues involved in the litigation).

Authority for maintenance of the system: Statutory: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)); Section 5 of the United Nations Participation Act, (22 USC, Section 287c); Section 620(a) of the Foreign Assistance Act of 1961 (22 USC Section 2370(a)); Executive Orders: Executive Order 9193; Executive Order 11322; Executive Order 11419; Proc. 3447. Regulations: Foreign Funds Control Regulations (31 CFR, Part 500); Cuban Assets Control Regulations, 31 CFR Part 515; Rhodesian Sanctions Regulations, 31 CFR Part 530; Transaction Control Regulations, 31 CFR Part 550; Foreign Funds Control Regulations, 31 CFR Part 520.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Information in this system of records is used by FAC to enable the Government Printing Office to send out information regarding our Regulations to all interested parties. (2) For additional routine uses see appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Index cards and addressograph plates.

Record retrievability: Indexed by name of individual or corporation.

Safeguards: The FAC mailing list records consist of index cards filed in index card boxes. The room where these records are stored is locked and safe-guarded by appropriate security measures. The addressograph plates are stored at the Government Printing Office.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, date of employment or similar information).

Record access procedures: See Category of Individual.

Categories of records in the system: Index cards and addressograph plates containing names and addresses of all persons to whom information regarding the Regulations is sent.

Authority for maintenance of the system: Statutory: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)); Section 5 of the United Nations Participation Act (22 USC Section 287c); Section 620(a) of the Foreign Assistance Act of 1961 (22 USC Section 2370(a)); Executive Orders: Executive Order 9193; Executive Order 11322; Executive Order 11419; Proc. 3447. Regulations: Foreign Funds Control Regulations (31 CFR, Part 500); Cuban Assets Control Regulations, 31 CFR Part 515; Rhodesian Sanctions Regulations, 31 CFR Part 530; Transaction Control Regulations, 31 CFR Part 550; Foreign Funds Control Regulations, 31 CFR Part 520.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Information in this system of records is used by FAC to enable the Government Printing Office to send out information regarding our Regulations to all interested parties. (2) For additional routine uses see appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Index cards and addressograph plates.

Record retrievability: Indexed by name of individual or corporation.

Safeguards: The FAC mailing list records consist of index cards filed in index card boxes. The room where these records are stored is locked and safe-guarded by appropriate security measures. The addressograph plates are stored at the Government Printing Office.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, date of employment or similar information).

Record access procedures: See Category of Individual.

Categories of records in the system: Index cards and addressograph plates containing names and addresses of all persons to whom information regarding the Regulations is sent.

Authority for maintenance of the system: Statutory: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)); Section 5 of the United Nations Participation Act (22 USC Section 287c); Section 620(a) of the Foreign Assistance Act of 1961 (22 USC Section 2370(a)); Executive Orders: Executive Order 9193; Executive Order 11322; Executive Order 11419; Proc. 3447. Regulations: Foreign Funds Control Regulations (31 CFR, Part 500); Cuban Assets Control Regulations, 31 CFR Part 515; Rhodesian Sanctions Regulations, 31 CFR Part 530; Transaction Control Regulations, 31 CFR Part 550; Foreign Funds Control Regulations, 31 CFR Part 520.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Information in this system of records is used by FAC to enable the Government Printing Office to send out information regarding our Regulations to all interested parties. (2) For additional routine uses see appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Index cards and addressograph plates.

Record retrievability: Indexed by name of individual or corporation.

Safeguards: The FAC mailing list records consist of index cards filed in index card boxes. The room where these records are stored is locked and safe-guarded by appropriate security measures. The addressograph plates are stored at the Government Printing Office.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, date of employment or similar information).

Record access procedures: See Category of Individual.
appropriate concerning applicable policy and relevant facts. (4) Furnishing information to the U.S. Customs Service where it appears that a Customs regulation or regulation has not been complied with. (5) Furnishing information to Internal Revenue Service where it appears that a regulation within the jurisdiction of that agency is involved. (6) Furnishing of information to the Department of Commerce where it appears that a requirement or regulation of that agency is involved. (7) Notice to the U.S. Customs Service (or of importers who have made illegal importations or prohibited publications, and (b) of persons who are authorized to make commercial importations of prohibited publications (under regulations administered by the Office of Foreign Assets Control). (8) Furnishing of report from Justice in instances where it appears that some civil or criminal violation by applicant of a Treasury regulation or other requirement has occurred. (9) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control Licensing records consist of documents in folders which are stored in file cabinets. Each such file cabinet is outfitted with a detachable combination lock.

The records are retrieved by license numbers, and may also be obtained by means of a card-index file. During normal working hours, the file room is manned by persons who are instructed not to allow unauthorized persons into the file area, nor to disclose or release any material to persons other than FAC personnel. During off-duty hours, the file rooms are safeguarded by appropriate electronic protective devices. The FAC licensing records are maintained indefinitely for so long as the assets or transactions involved are subject to regulations. Records are also stored at the Federal Record Center, 2401 E St., N.W., Washington, D.C.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Retention and disposal: Maintained until file is updated in the Audit Division, Office of Revenue Sharing.

System manager(s) and address: Director, Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Officer, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Record source categories: State audit address lists.

Treasury/OS 00.123

System name: ORS Contractors File—Treasury/OS.


Categories of individuals covered by the system: Contractors offering services.

Categories of records in the system: File containing individual contracts maintained in alphabetical sequence. Contents include: contract request, justification, source recommended, sole source when applicable, experience, references, bid for services.

Authority for maintenance of the system: For Administrative Officer in providing necessary services and Budget Analysis for payment of accounts. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For Manager in checking services required. For Administrative Officer in securing contract. For Budget Analysis in payment for services. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tape.

Retrievability: Indexed by state code & unique numeric suffix.

Security: Safeguarded computer room.

Retention and disposal: Maintained until file is updated.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Officer, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.
Treasury/OS 00.125
System name: ORS Governors & Governors' Authorized Representatives List—Treasury/OS.
System location: Systems & Operations Division, Office of Revenue Sharing, 2401 E Street, NW, Washington, DC 20226.
Categories of individuals covered by the system: All current governors & their authorized representatives.
Categories of records in the system: Individual Names & Addresses.
Authority for maintenance of the system: PL 92-512, 5 USC 301.
Routine use of records maintained in the system, including categories of users and the purposes of such uses: Address File, for additional routine use see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Notebook (Hardcopy).
Retrievability: Indexed by State name.
Safeguards: Secured computer room.
Retention and disposal: Maintained until file is updated.
System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street NW, Washington, DC 20226.
Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).
Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.
Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.
Record source categories: See Category of Individual.

Treasury/OS 00.128
System name: ORS Personnel Records—Treasury/OS.
Categories of individuals covered by the system: Employees.
Categories of records in the system: A current employee's file, in alphabetical sequence, is maintained which includes: application, certification and appointment, promotional appraisals, performance appraisals, corrective action, attendance, training and development programs, recognition for outstanding performance.
A former employee's file, in alphabetical sequence, is maintained for six months at which time all material is sent to official file in Office of the Secretary, Main Treasury.
A recruitment file, in alphabetical sequence, is maintained for one year. Applicants submit forms and resumes for consideration.
Authority for maintenance of the system: Personnel records kept to furnish Office of the Secretary Personnel, Main Treasury, status and development of employees.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For Managers and individual employees use in checking personnel records. For additional routine use see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: (1) Records maintained in locked file cabinet. (2) Records filed in alphabetical sequence. (3) Records maintained in locked file cabinet. Managers may request the files of their employees for review and approval. Individual employees may request files for update or review. Any other requests for personnel information is handled by Office of the Secretary Personnel Division at Main Treasury.
System manager(s) and address: Administrative Officer, ORS, 2401 'E' Street, N.W.—Room 1564, Washington, D.C. 20226.
Notification procedure: Written request mailed to: Administrative Officer, ORS, 2401 'E' Street, N.W.—Room 1564, Washington, D.C. 20226.
Contesting record procedures: See Access above.
Record source categories: Information came from individual employees, supervisors, Personnel, Main Treasury.

Treasury/OS 00.129
System name: ORS Public Affairs Personnel file—Treasury/ORS.
Categories of individuals covered by the system: Personnel, Public Affairs Division.
Categories of records in the system: Miscellaneous information relating to history of employee's activity at the agency.
Routine use of records maintained in the system, including categories of users and the purposes of such uses: Public Affairs staff maintain these files for their convenience. No access is provided to others.
For additional routine use see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: File folders in drawers.
Retrievability: Indexed by name and file number.
Safeguards: No confidential information is kept in these files.
Retention and disposal: Records are maintained until employees discard them.
System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.
Notification procedure: Administrative Officer, 2401 E St., N.W., Washington, D.C. 20225.
Record access procedures: See Notification above.
Contesting record procedures: See Notification above.

Record source categories: Memoranda from Treasury Personnel Office. Copies of form 171s and resumes prepared by Public Affairs personnel themselves. In-house memoranda, Miscellaneous correspondence.

Treasury/OS 00.130

System name: ORS Senate, House & Governors' Address File—Treasury/OS

System location: Office of Computer Science, Department of the Treasury, 1433 E Street, N.W., Washington, D.C.

Categories of individuals covered by the system: All current U.S. Senators, Congressmen, & Governors.

Categories of records in the system: Mailing Addresses.

Authority for maintenance of the system: PL 92-512, 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Address File. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tape.

Retrievability: Indexed by state code & unique numeric suffix.

Safeguards: Secured computer room.

Retention and disposal: Maintained until file is updated by the Public Affairs Division, Office of Revenue Sharing.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing. 2401 E Street, N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Correspondence with State offices of Attorney Generals.

Treasury/OS 00.140

System name: Annual Performance Rating and Annual Performance Analysis—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.


Categories of records in the system: The information in this system takes the form of ratings and performance analyses prepared by the employee's supervisors.

Authority for maintenance of the system: In accordance with the Department of the Treasury Office of the General Counsel rules for promotion and Treasury Personnel Manual, Chapter 430, 8, D(1).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory personnel in connection with promotions, wage increases, and review of employee's general work performance level. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By year, name of employee, and bureau.

Safeguards: The files are kept in cabinets with an extremely limited number of employees permitted access (such as the General Counsel, Deputy General Counsel, Assistant General Counsels, or Chief Counsel).

Retention and disposal: The records are maintained for five years.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Head of the office to which the employee is assigned.

Treasury/OS 00.141

System name: Attorney Books—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present attorneys of the Legal Division of the Treasury Department.

Categories of records in the system: The information in this system takes the form of brief personal and professional information on attorneys in the Legal Division. Includes date of birth, educational and professional data, and pay grade.

Authority for maintenance of the system: Title 5, U.S. Code. In accordance with the Department of the Treasury Office of the General Counsel rules for employment and promotion.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by General Counsel, Deputy General Counsel, and Administrative Officer for reference purposes at the discretion of the individual's supervisor.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Desk copies for three officials.

Retrievability: By name of individual and bureau or organization.

Safeguards: Copies kept in the office of the official to whom assigned.

Retention and disposal: The records are maintained only while the attorney is employed by the Office of the General Counsel.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.
Contesting record procedures: See System Manager above.

Record source categories: Personnel records.

Treasury/OS 00.142

System name: Attorneys Past and Present—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and former attorneys of the Office of the General Counsel.

Categories of records in the system: Personnel information in this system consists of materials relating to the employee's application and employment with the Office of the General Counsel. It includes letters of recommendation, hiring recommendations, and other similar information concerning the employee.

Authority for maintenance of the system: Title 5, U.S. Code. In accordance with the Department of the Treasury Office of the General Counsel rules for promotion.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory personnel in connection with promotions, wage increases, and reviewing employee's general work performance level. With respect to former employees, information used for reference purposes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in cabinets with a limited number of employees permitted access.

Retention and disposal: The records contain information on all present attorney-employees of the General Counsel's Office and on former attorney-employees for the past two years.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Individual on whom record is maintained, employee's supervisors, and applicant's interviewer.

Treasury/OS 00.143

System name: Chief Counsel for Revenue Sharing Personnel—Treasury/OS.

System location: Chief Counsel for Revenue Sharing, 2401 E St., N.W., Room 1545, Washington, D.C. 20226.

Categories of individuals covered by the system: Personnel employed by the Chief Counsel for Revenue Sharing.

Categories of records in the system: The records are copies of the employee records kept for their convenience.

Authority for maintenance of the system: Employee copies of personnel records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are employee copies of official Treasury Department personnel actions. They are referred to by the employee for convenience concerning personnel matters affecting him or her. Personnel may take their own records with them when they terminate their employment with the Chief Counsel's Office. The file is maintained for the convenience of the employee who wishes to maintain official Treasury Department personnel actions in a convenient location. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: By name of individual.

Safeguards: The records may only be reviewed by the employees of the Chief Counsel's Office.

Retention and disposal: The records are maintained only while the individual is employed with the Chief Counsel's Office.

System manager(s) and address: Administrative Officer, 1500 Pennsylvania Ave., N.W., Main Treasury, Room 3006, Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: Call or write the Chief Counsel for Revenue Sharing at the following address: Chief Counsel for Revenue Sharing, 2401 E St., N.W., Room 1545, Washington, D.C. 20226, Telephone—(202) 634-5182, 634-5183.

Contesting record procedures: See Access above.

Record source categories: Official Treasury Department Personnel Records—employees copies only.

Treasury/OS 00.144

System name: Civil Litigation Records—Treasury/OS.

System location: U.S. Treasury Department, Office of the General Counsel, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Persons who are parties, plaintiff or defendant, in civil litigation (as described below) with the Treasury Department.

Categories of records in the system: This system of records contains information or documents related to litigation involving or concerning the Department or its officials. The records consist of pleadings, investigatory reports, legal memoranda and related correspondence.

Authority for maintenance of the system: Title 31, U.S.C., Section 1069.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are used to prosecute or defend court cases related to certain general civil litigation. For additional routine uses see Appendix AA except for tax matters or matters litigated in the U.S. Customs Courts, and to provide cooperation to the U.S. Department of Justice in marshalling facts, correlating evidence, and preparing pleadings and briefs.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file folders with index cards.

Retrievability: These records are indexed by the title of the case.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: The records are maintained during the pendency of the litigation and approximately one year thereafter are transferred to the Federal Records Center, Suitland, Maryland. They are destroyed by the Federal Records Center when the records are 7 years old.

System manager(s) and address: Administrative Officer, Room 3006, U.S. Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Office at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (c)(2), 31 C.F.R. 1.36.

Treasury/OS 00.145

System name: Claims, Disbursing—Treasury/OS.

System location: U.S. Treasury Department, Office of the General Counsel, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Claimants for disbursements by the United States.

Categories of records in the system: Correspondence, memoranda, and related material.

Authority for maintenance of the system: 30 U.S.C. App. 1705-1707, Title 31, United States Code, Department Circulars No. 145 and 830. Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To process disbursements in accordance with Departmental regulations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Boxes in Federal Records Center.

Retrievability: Name of claimant.

Safeguards: Files are in Records Center; access is limited to persons on official business.

Retention and disposal: Files kept for an indefinite period.
System manager(s) and address: Administrative Officer, Office of the General Counsel, U.S. Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records must submit a written request containing the following elements: (1) Identify the system record; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individuals and their representatives.

Treasury/OS 00.146
System name: Employee Inventions—Treasury/OS.
System location: U.S. Treasury Department, Office of the General Counsel, Opinion Section, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employee-Inventors.

Categories of records in the system: Information relating to inventions of employees.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in connection with Government and employee rights in employee inventions, disclosure to U.S. Patent Office. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Legal file in file cabinet.

Retrievability: By name of inventor-employee.

Safeguards: File cabinet is in office of attorney, but unlocked.

Retention and disposal: Indefinite as precedent files.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager.

Record access procedures: See System Manager.

Contesting record procedures: See System Manager.

Record source categories: Employees involved.

Treasury/OS 00.147
System name: Employee Promotion Information—Treasury/OS.
System location: Room 2314, Main Treasury Building.

Categories of individuals covered by the system: Past and present employees of the Office of the Assistant General Counsel for International Affairs.

Categories of records in the system: The information in this system of records takes the form of recommendations for promotion of various past and present employees in the office.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal memos and accompanying forms circulated to Treasury Department officials authorized to grant promotions. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Typed memoranda and required personnel forms.

Retrievability: The file is labeled "Personnel" and contains separate memoranda designating the employee's name after the heading "subject".

Safeguards: The file is kept in a locked file cabinet. A limited number of employees retain the combination to the file.

Retention and disposal: The records date back to 1969, the time of the first promotion arising under the last Assistant General Counsel for International Affairs.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: These records take the form of memoranda drafted by the Assistant General Counsel for International Affairs, recommending various members of his staff for grade and/or step increases.

Treasury/OS 00.148
Employment Applications—Treasury/OS.
System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Applicants for employment as attorneys within the Office of the General Counsel.

Categories of records in the system: Contains original or copy of Standard Form 171, resume, and any correspondence between the applicant and the General Counsel, Deputy General Counsel, and Administrative Officer.

Authority for maintenance of the system: In accordance with the Department of the Treasury Office of the General Counsel rules relating to employment.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in making decisions on employment of attorneys by the Office of the General Counsel, responding to inquiries from members of Congress regarding constituents, and for reference purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in cabinets with a limited number of employees permitted access.

Retention and disposal: The records are maintained for a period of two years unless the applicant is subsequently employed by the Department. These latter records are transferred to the Treasury Disclosure Office/OS; Attorneys Past and Present system.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Individual on whom the record is maintained and other interested parties at the initiation of the individual concerned.

Treasury/OS 00.149
System name: Foreign Assets Control Legal Files—Treasury/OS.
System location: 1331 G Street N.W., Room 504, Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have corresponded with (or been the subject of correspondence) regarding legal matters and regulations administered by the Office of Foreign Assets Control; and individuals who have been criminally prosecuted, or involved in civil litigation (as plaintiff or defendant) with the United States, in matters involving statutes and/or regulations administered by the Office of Foreign Assets Control (e.g.: criminal prosecutions for violation of statutes and regulations; suits to enjoin the Office of Foreign Assets Control from holding bank accounts or other property in a blocked status; suits to compel the Office of Foreign Assets Control to issue licenses for import of merchandise from a designated country; suits seeking to have statutes and regulations administered by Foreign Assets Control held unconstitutional, in fact or as applied; etc.).

Categories of records in the system: Copies of the pleading and all other legal documents connected with civil litigation and criminal proceedings involving statutes and regulations administered by the Office of Foreign Assets Control; including Court orders of law, drafts of legal briefs, and other material prepared by the FAC legal staff for use in such litigation; material obtained pursuant to discovery under applicable court rules (depositions, written interrogatories, etc.); copies of final orders, decrees, and opinions of courts in such litigation; and copies of correspondence relating to activities of any party to such litigation (containing information relevant to the issues involved in the litigation); or other general correspondence regarding the Office of Foreign Assets Control legal matters.

Authority for maintenance of the system: Statutes: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Preparation and conduct of litigation in process by the Justice Department. (2) Research source for the FAC Enforcement section. (3) Source of information for the FAC Licensing section in consideration of applications for FAC licenses and for other legal research by the FAC Legal section. (4) Referal to Department of Justice in cases involving Statutes and Regulations administered by the Office of Foreign Assets Control. (5) Disclosure to U.S. Customs Service in cases involving similar matters. (6) Disclosure to personnel. During off-duty hours, the room in which the records are kept is safeguarded by appropriate electronic protective devices.

The litigation records are maintained indefinitely as research source and legal precedent.

System manager(s) and address: Administrative Officer, Room 2006, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information). Routine access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individuals, executors, administrators and other involved persons.

Treasury/OS 00.152

System name: General Counsel Personnel Files—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and former attorneys and applicants for positions within the Office of the General Counsel or other Department officials in connection with promotions and hiring of senior attorneys within the General Counsel's office. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Following elements:

- Category: Donors of inter vivos and testamentary gifts to the United States.
- Source of information: Correspondence, copies of wills and court proceedings, and other material related to gifts to the United States.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To process gifts to the United States and maintain such gifts in accordance with Departmental regulations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Boxes in Federal Records Centers.

Retrievability: Name of donor.

Safeguards: Files are in Records Center; access is limited to persons on official business.

Retention and disposal: Files kept for an indefinite period.
Safeguards: Administrative Officer controls access to the system.

Retention and disposal: Information retained on officials for indefinite periods.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Personal records.

Treasury/OS 00.154

System name: Private relief bill system—Treasury/OS.

System location: Office of the General Counsel, Room 1417, Treasury Department, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have had private relief bills introduced in Congress on their behalf.

Categories of records in the system: Congressional committee or OMB request for Treasury views on proposed legislation plus comments on proposal from offices or bureaus of Treasury.

Authority for maintenance of the system: 5 U.S.C. 301. A function of the Office of the General Counsel to handle and coordinate legislative work that concerns Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: System is used to furnish reports on proposed legislation to Congress or OMB. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Indexed on 5 by 8 card by bill number but cross-indexed to individual's name. Complete file is kept by bill number and Congress. Permanent file.

System manager(s) and address: Administrative Officer, Room 3006, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individual concerned and letters of recommendation from other individuals submitted at the request of the individual and/or the Department.

Treasury/OS 00.157

System name: Employee Records Cards—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and former employees of the Office of the General Counsel.

Categories of records in the system: The information in this system consists of dates of personnel actions, pay increases, promotions, reassignments, change of roll, retirements, transfers and resignations.

Authority for maintenance of the system: Federal Personnel Manual, Chapter 295 (Standard Form 7-B).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory personnel in connection with promotions, wage increases, and for verification of employment. For additional routine uses, see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in cabinets with a limited number of employees permitted access.

Retention and disposal: The records are retained on all present and former employees of the Office of the General Counsel.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Same as above.

Record access procedures: Same as above.

Contesting record procedures: See Access above.

Record source categories: Standard Form 171 and Standard Form 50.

Treasury/OS 00.160

System name: Abandoned Enrollment Applications—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who applied for enrollment to practice before the Internal Revenue Service but subsequently abandoned their applications.

Categories of records in the system: Application for enrollment to practice before the Internal Revenue Service and, in some cases, information regarding individuals' fitness for enrollment.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original application form and information relating to it fastened to each other.

Retrievability: This system is indexed by name of applicant.

Safeguards: Those safeguards in effect at Federal Record Center.
Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Identify the category and type of records sought; (4) Identify the category and type of records sought; (5) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Information contained in this system may have been provided by: (1) Applicant, (2) Individuals, (3) Internal Revenue Service, (4) Other government agencies, (5) Professional organizations.

Treasury/OS 00.161

System name: Applicant Appeal Files—Treasury/OS.


Categories of individuals covered by the system: Individuals whose applications for enrollment to practice before the Internal Revenue Service have been denied and who have appealed such denial to the Director of Practice.

Categories of records in the system: Information relating to individuals' applications and eligibility for enrollment to practice before the Internal Revenue Service.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Decision on appeal by Director of Practice from denial of application by the Internal Revenue Service. (2) Decision by Secretary of Treasury on appeal from Director of Practice's sustaining of denial of application for enrollment. (3) For individuals, denial of whose applications for enrollment is reversed by the Director of Practice, for use in connection with subsequent determinations by the Director of Practice as to whether violation of the regulations governing practice before the Internal Revenue Service has occurred. (4) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. (5) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrieval: This system is indexed by name of individual.

Safeguards: The Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of information contained in Office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).
governing practice before the Internal Revenue Service have occurred. (2) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. (3) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: In file folders.
Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when office personnel are not present. Office personnel are cautioned against unnecessary exposure of office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.
Retention and disposal: Dispose after 5 years by burning.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36

TreasurYS/OS 00.165
System name: General Correspondence File—TreasurYS/OS.

Categories of individuals covered by the system: General public.

Categories of records in the system: General inquiries and comments from the general public.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Provide answers to inquiries or other information regarding the operation of this Office.
For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: In file folder.
Retrievability: Correspondence is indexed alphabetically by writer.

Safeguards: This Office keeps all access doors locked when office personnel are not present. File cabinets in which correspondence is stored are locked during nonbusiness hours. Security investigations are conducted with respect to all Office of Director of Practice personnel.
Retention and disposal: Destroyed after 3 years.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Inquiries should be addressed to: Office of Director of Practice, U.S. Department of the Treasury Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Information contained in records of this system has been provided by the writers of correspondence contained in the system.

TreasurYS/OS 00.166
System name: Inventory—TreasurYS/OS.

Categories of individuals covered by the system: Attorneys, certified public accountants and enrolled agents to professional organizations.

Categories of records in the system: Information relating to individual's enrollment or eligibility to practice before the Internal Revenue Service, and information relating to their conduct in such practice.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Determinations by the Director of Practice as to whether violations of the regulations governing practice before the Internal Revenue Service have occurred. (2) Use of information (ordinarily by Office of Chief Counsel,
Internal Revenue Service, and the Department of Justice) at adminis-
terative hearings and court proceedings relating to individuals' eligi-
bility to represent taxpayers before the Internal Revenue Service. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of the regulations governing practice before the Internal Revenue Service. (4) Transfer of information to Internal Revenue Service for investigation with respect to apparent violation of the regulations governing practice before the Internal Revenue Service. (5) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which this system is stored are locked during nonbusiness hours. Security investigations are con-
ducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case is closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Depart-
ment of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records
maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which this system is stored are locked during nonbusiness hours. Security investigations are con-
ducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Depart-
ment of the Treasury, Washington, D.C. 20220.

Categories of individuals covered by the system: Those individuals who were formerly enrolled to practice before the Internal Revenue Service and who resigned from the rolls.

Categories of records in the system: Information relating to individu-
als' applications for enrollment to practice before the Internal Reve-
 nue Service and derogatory and other information regarding such practice.


Routine uses of records maintained in the system, including catego-
dies of records in the system: Information relating to Individ.
uals' applications for enrollment to practice before the Internal Reve-
 nue Service, for use in connection with determinations as to whether violations of the regulations governing such practice have occurred. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding, or regulatory order with respect to apparent violation of Federal law or regulations. (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of information contained in Office files. Secu-

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which this system is stored are locked during nonbusiness hours. Security investigations are con-
ducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Depart-
ment of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which this system is stored are locked during nonbusiness hours. Security investigations are con-
ducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Depart-
ment of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which this system is stored are locked during nonbusiness hours. Security investigations are con-
ducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Depart-
ment of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.
Categories of records in the system: This system contains individuals' names, addresses, professions, the dates of their enrollments and the expiration dates of such enrollments.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses:
(1) Determinations as to whether the Office of Director of Practice has jurisdiction over an individual's violation of the regulations governing practice before the Internal Revenue Service.
(2) Use of information (ordinarily by Office of Chief Counsel, Internal Revenue Service, and the Department of Justice) at administrative and court proceedings relating to individuals' eligibility to represent taxpayers before the Internal Revenue Service.
(3) For additional routine uses see Appendix AA.

Policies and practises for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: In file folders.

Retrievability: This system is indexed by profession (i.e., attorney or certified public accountant) and by the individual's name.

Safety: This office keeps all access doors locked when office personnel are not present. Office personnel are cautioned against unnecessary exposure of office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Officer, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.


Treasury/OS 00.182

System name: Special Personnel Working Files, Office of the Assistant Secretary for Tax Policy—Treasury/OS.


Categories of individuals covered by the system: Past, present, and prospective employees and consultants of the Office of the Assistant Secretary and of certain other tax-related governmental institutions.

Categories of records in the system: Correspondence with and about past and prospective employees, memoranda of conversations, time, leave, pay, promotion records, evaluation records, etcetera.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:
(1) Use by supervisory and administrative personnel of the Office of the Assistant Secretary as supplementary and complementary to official personnel files to record personnel actions regarding employees of the Office and as a reference tool in preparation for or review of anticipated or past personnel actions and for advising others, both within and without the Government, as to the qualifications and performance of past, present, and prospective employees of the Office of the Assistant Secretary. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The records are maintained on paper in writing.

Retrievability: The records are indexed by the name of the person with respect to whom the record is maintained.

Safety: Various.

Retention and disposal: Indefinite.

System manager(s) and address: Assistant Secretary for Tax Policy, Deputy Assistant Secretary for Tax Policy, International Tax Counsel, Deputy Tax Legislative Counsel, Associate Tax Legislative Counsel, International Tax Counsel, Deputy International Tax Counsel, Associate Director Office of Tax Analysis, Director Office of Industrial Economics.

Notification procedure: Freedom of Information Officer, Office of the Assistant Secretary for Tax Policy, Room 3064, Main Treasury Building, 15th Street and Pennsylvania Avenue, N.W., Washington, D.C. 20220, with sufficient identification as to individual's identity and the Federal position, except for a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Treasury/OS 00.183
System name: Private Relief Tax Bill Files—Office of the Assistant Secretary for Tax Policy—Treasury/OS.
System location: Room 4028, Main Treasury Building, 15th Street and Pennsylvania Avenue, Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have had private relief tax bills introduced in Congress on their behalf.

Categories of records in the system: Congressional Committee or OMB request for Treasury views on proposed legislation, plus comments on proposal from offices or bureaus of Treasury, plus the Internal Revenue Service data concerning the issues involved and that unit's recommendation, and the report of the Treasury on the Bill.

Authority for maintenance of the system: 5 U.S.C. 301. A function of the Office of the Assistant Secretary for Tax Policy and the Office of the Tax Legislative Counsel is to coordinate legislative work in the tax field that concerns Treasury and to advise the Secretary with respect thereto.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: System is used to furnish reports and testimony to Congress or OMB. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: System-Treasury/OS.

System location: Room 4028, Main Treasury Building, 15th Street and Pennsylvania Avenue, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Principally the Congress and the Internal Revenue Service.

Billing Code 4810-25

TREASURY/OS 00.1901
System name: General Allegations and Investigations Records System—Treasury/OS.
System location: Office of the Inspector General, Main Treasury Building, Washington, D.C. 20220

Categories of individuals covered by the system: A) Current and former employees of the Department of Treasury and such other persons whose names have current and former employees relate to the alleged violations of the Department's rules of conduct, the Civil Service merit system, or any other criminal or civil misconduct, which affects the integrity or facilities of the Department of Treasury. The names of individuals and the files in their names may be:
1) received by referral; or
2) initiated at the discretion of the Office of the Inspector General in the conduct of assigned duties.

B) Individuals who are: witnesses; complainants; confidential or non-confidential informants; suspects; defendants; parties who have been identified by the Office of the Inspector General or by other agencies; constituent units of the Department of Treasury and members of the general public in connection with the authorized functions of the Inspector General.

C) Current and former senior Treasury and bureau officials who are the subject of investigations initiated and conducted by the Office of the Inspector General.

Categories of records in the system: Letters, memorandums, and other documents citing complaints of alleged criminal or administrative misconduct. Investigative files which include: reports of investigations to resolve allegations of misconduct or violations of law with related exhibits, statements, affidavits or records obtained during investigations; transcripts and documentation concerning requests and approval for consensual (telephone and consensual non-telephone) monitoring; reports from or to other law enforcement bodies; prior criminal or non-criminal records of those as it relates to the investigations; reports of actions taken by management personnel regarding misconduct and reports of legal actions resulting from violations of statutes referred to the Department of Justice for prosecution.

Authority for maintenance of the system: Reorganization Plan No. 26 of 1950 and Treasury Department Order No. 256, dated July 18, 1950.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system may be made to the Secretary and Deputy Secretary to the Treasury Department, to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation and to the Office of the General Counsel in connection with requests for legal advice; and to authorized investigative offices of the Treasury Department, constituent units or other Federal Agencies. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal Agencies in connection with security procedures. Disclosures to those officers and employees of the Department of Treasury who have a need for the records such as those disclosures required for the administration of the Freedom of Information Act (5 U.S.C. 552) as amended. For additional routine uses see the Appendix entitled "Department of the Treasury Appendix Additional Routine Uses".

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records in file jackets maintained in locked safes. Retrievability: By name and case number. Access to the physical files containing records is by case number obtained from alphabetized card indices.

Safeguards: Records are maintained in locked safes and all access doors are locked when office is vacant. The records are available to personnel in the Office of the Inspector General on a need-to-know basis, each of whom have appropriate security clearances.

Retention and disposal: Investigative files are stored on-site for 3 years and indices to those files are stored on-site for 5 years. Upon expiration of their respective retention periods the investigative files and their indices are transferred to the Federal Records Center for retention and in most instances destroyed by burning, maceration, or pulping when 20 years old.

System manager(s) and address: Inspector General, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed under the Privacy Act for purposes of inspection or for the contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system contains investigatory material whose sources need not be reported.

TREASURY/OS 00.191
System name: Buildings Management Employee Folder—Treasury/OS.
System location: Office of Buildings Manager.

Categories of individuals covered by the system: All employees of Buildings Management—includes all shops as follows: Cabinet shop, Elevator shop, Electric shop, Engineer shop, Day and Night Maintenance, Paint shop, Locksmith.

Categories of records in the system: Each employee has individual folder containing items such as Letters of Counseling, suspensions, awards, step increases, evaluations as well as copies of official personnel actions such as SF-52's.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Normally a storage file for information purposes. Can be examined during periods of potential promotion, training needs, proposed advise actions. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Normal 8 x 10 letter size paper.

Retrievability: By name.

Safeguards: Maintained in locked file cabinet utilizing Treasury "Open" "Closed" security program.
Retention and disposal: Maintained until employee leaves Treasury service—erased annually to remove adverse comments not followed through with legal actions.

System manager(s) and address: Buildings Manager, The Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.


Record access procedures: Simple presentation to the individual at the Building Management Office during normal work hours is sufficient to review personal record. Office is located in Main Treasury, Washington, D.C. 20220.

Contesting record procedures: See System Manager above.

Record source categories: Information received from official records such as time cards and SF-52’s. Information also received through interviews and private counseling with the individual employee.

System name: Employee Locator and Automated Directory System.


Categories of individuals covered by the system: All Department of the Treasury employees located in the Washington, D.C., Metropolitan Area.

Record access procedures: Assistant Director (Telecommunications Management), Office of Administrative Programs, Office of the Secretary, Washington, D.C. 20220.

Notification procedure: Assistant Director (Telecommunications Management), Office of the Administrative Programs, see above.

Contesting record procedures: Assistant Director (Telecommunications Management), Office of Administrative Programs, see above.

Record source categories: Information is provided by individual employees, who are given the option of non-release of the home address, telephone and emergency information.

System name: Document Delivery Control System

System location: Department of the Treasury, Office of Administrative Programs, Library Division, Room 5030, Main Treasury Building, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Department employees who are library users.

Categories of records in the system: Employees who borrow library materials or receive current news publications or library material on distribution.

Authority for maintenance of the system: 5 U.S.C. 301

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used by the Library staff to locate materials withdrawn from the Library collection, to distribute library periodicals and current news publications, to conduct surveys of continuing user needs, and to complete procedures for employee leave of absence

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records of publications borrowed from the Library are maintained in a computer file or in a card file. The computer files are maintained by name of the subscribers and by office locator information, room number and office billing location. The card file is maintained in the name of the individual borrower and title of publications.

Retrievability: Computer files are maintained by both individual name and office locator information, such as room number and billing location, and title of publication. The card file is maintained by individual name only.

Retention and disposal: Only current data is maintained in the computer and card files. Hard copy of the computer data is kept for one year. One year is defined as the current fiscal year and one fiscal year back.

System name: Parking Permit Application—Treasury/OS.

System location: The system of records is maintained at: (1) The Great Plaza Parking Lot, 14th & D Streets, N.W., Washington, D.C., in the custody of the Manager, and (2) Office of the Assistant Director (Environmental Programs), OAP, OS, Room 701, Washington Building.

Categories of individuals covered by the system: Treasury employees who meet specified requirements for application, and are working in locations within the zone of consideration for parking at the Great Plaza Parking Lot.

Categories of records in the system: The card (GA, Form R3-1615) includes the following information: (1) Name of applicant; (2) Permit No.; (3) Organization; (4) Office Phone No.; (5) Applicant’s signature; (6) Agency Parking Representative’s signature. In addition, the applicant’s car pool members are listed on the card, along with their organization and office phone numbers. The system is set up by Permit Number.

Authority for maintenance of the system: See 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used only on an individual basis, by the holder of the Form R3-1615, on a monthly basis, in addition to a fee for parking. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Records are maintained in a file cabinet and retained for six months, at which time GSA revises departmental quotas, eligibility requirements, and car color, resulting in a new Treasury Department-wide survey and issuance of new parking permit applications.

System manager(s) and address: Assistant Director (Environmental Programs), Office of Administrative Programs, Room 701, Washington Building, c/o Main Treasury, Mailroom, Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: The information in this system came from individuals who are included in the system.

System name: Treasury/OS 02.240


Categories of individuals covered by the system: (1) Contractors; and (2) Solicited and unsolicited research proposals by author.

Categories of records in the system: Contains information about technical and administrative aspects of external research contracts or proposals.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Routine administration
of contract matters; (2) Evaluation of performance of contracts and utility of research proposals; and (3) If joint contract sponsored with another Federal agency, share information necessary to carry out contract. (4) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Files. Retrieval: By name.
Safeguards: Bar lock filing cabinet. Retention and disposal: Held a maximum of three (3) years.
System manager(s) and address: Privacy Act Coordinator, OASIA Research, Office of the Secretary, U.S. Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220. Notification procedure: Privacy Act Representative, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. Individual should, if possible, submit the following information in order to assist us in determining whether we maintain information on him/her: (1) Full name; (2) Explanation: in what regard OASIA Research may have collected information on the individual; and (3) Specify dates as nearly as possible of any contact with OASIA Research.

Contesting record procedures: See Access above.
Record source categories: (1) Information submitted by researcher voluntarily or by request; (2) Office notations on the status of contracts; and (3) Evaluation by Treasury personnel of contract work.

Treasury/OS 00.243
System name: Personnel. Personnel; Recruitment. Personnel; Evaluations— Treasury/OS.
System location: Office of Statistical Reports, OASIA Research, Office of the Secretary, Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.
Categories of individuals covered by the system: Employees, past employees, employee prospects.
Categories of records in the system: Personnel records.
Authority for maintenance of the system: 5 U.S.C. 301.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel recruitment and employee prospects. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Notes in file folders. Retrieval: Name.
System manager(s) and address: Director, Office of Statistical Reports, Deputy Director, Office of Statistical Reports. OASIA Notification procedure: Privacy Act Representative, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. Individual should, if possible, submit the following information in order to assist us in determining whether we maintain information on him/her: (1) Full name; (2) Explanation: in what regard OASIA Research may have collected information on the individual; and (3) Specify dates as nearly as possible of any contact with OASIA Research.

Record source categories: (1) Employment applications; (2) Description of current work in office; (3) Personnel Office of OASIA; (4) Supervisor's observations; and (5) Information submitted by individuals for their own files.

Treasury/OS 00.300
System name: Personnel Files— Treasury/OS.
System location: Department of the Treasury, Office of the Secretary, Office of the Special Assistant to the Secretary for Public Affairs, Room 2324, Washington, D.C. 20220.
Categories of individuals covered by the system: Employees in the Office of Public Affairs.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records used by timekeeper, for leave purposes; by supervisor, when information is needed; by employees, when requesting information from their files. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Duplicates of original documents in file folders in safe. Retrieval: Filed alphabetically by employee's first name. Safeguards: Only the two persons authorized to use the safe have access to personnel files; Deputy Special Assistant to the Secretary for Public Affairs and his secretary.
Retention and disposal: Records are kept until employee is separated and then destroyed.
System manager(s) and address: Deputy Special Assistant to the Secretary for Public Affairs, Office of the Secretary, Room 2324, Main Treasury, Washington, D.C. 20220. Notification procedure: Inquiries should be addressed to the Deputy Special Assistant to the Secretary for Public Affairs: Department of the Treasury, Room 2324, Washington, D.C. 20220 or if he is not available, his secretary, same address. The individual must be inquiring about his own records and clearly identify himself, if not known to the persons named above.

Record access procedures: To obtain information on procedures for gaining access to and contesting records, mail the request to the Systems Manager.
Contesting record procedures: See Access above.
Record source categories: Information for the personnel records are obtained from: 1. Office of the Secretary Personnel Division; 2. Information provided by employees themselves; and 3. Copies of letters from officials congratulating employees for special achievements.

Treasury/OS 00.400
System name: Merit System Complaints—Treasury/OS.
System location: Office of the Director, Office of Audit, Room 902, 1025 Vermont Ave., N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employees who have a basis for believing that Civil Service personnel laws or rules are being violated, and the matter is not appropriate for the grievance or appeals procedure.

Categories of records in the system: Letters, personnel documents, memorandums, investigation reports, and extracts from investigation files.

Authority for maintenance of the system: Memorandum from the Secretary of the Treasury, dated November 21, 1974.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To furnish direction and suggestions to Treasury Personnel Office for follow-up action.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Locked combination safe.
Retrievability: By name.
Safeguards: Locked safe, access by Director of office only.
Retention and disposal: One year after matter resolved, material is then shredded.

System manager(s) and address: Office of Audit, Director, Room 902, 1025 Vermont Avenue, N.W., Washington, D.C. 20220.
Notification procedure: See System Manager above.
Record access procedures: See System Manager above.
Contesting record procedures: See System Manager above.
Record source categories: Complaints, official personnel files, investigation files and grievance files.

Treasury/OS 00.502

System name: Reference Letters—Special Assignments Branch, Office of the Secretary of the Treasury.

System location: Room 1312, Main Treasury Building, Personnel Division, Special Assignments Branch, Office of the Secretary, Department of the Treasury. Room 1330, Diebold File, after candidate is on board.

Categories of individuals covered by the system: Secretarial candidates applying for positions within the Office of the Secretary.

Categories of records in the system: Evaluation for placement of applicants.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in conjunction with other records to determine the relative qualifications of applicants for employment. For additional routine uses, see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Completed letters are retained with SF-171 while an applicant.
Retrievability: After employment, letters are retrieved from SF-171 or OPF.
Safeguards: Letters are filed in an envelope marked “Confidential” in OPF.

Retention and disposal: Letters are retained in the OPF during tenure of employee and destroyed when incumbent terminates employment with the Department of the Treasury.

System manager(s) and address: Personnel Staffing Specialist, Personnel Division, Room 1312, Assistant Secretary of the Treasury (Administration), Office of the Secretary, Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.
Notification procedure: Same as above.
Record access procedures: Same as above.
Contesting record procedures: Same as above.
Record source categories: The information reflected on the reference form was obtained from previous supervisors and personal references candidate fills in on employment application forms.

Treasury/OS 00.503

System name: Roster of Office of the Secretary Employees—Treasury/OS.

System location: Room 1330, Main Treasury Building.

Categories of individuals covered by the system: All Office of the Secretary employees.

Categories of records in the system: Position titles, grades and salary for Office of Secretary employees.

Authority for maintenance of the system: Assistant Secretary (Administration) request.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Position control, ceiling control and general information for a very limited number of high level officials. For additional routine uses, see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Locked file cabinet.
Retrievability: By name.
Safeguards: Strict control of distribution.

Retention and disposal: Rosters are maintained for at least 3 years and after that time are destroyed.

System manager(s) and address: Personnel Officer.
Notification procedure: Room 1330, Main Treasury Building. Employee must give his name and office.

Record access procedures: Personnel Officer.
Contesting record procedures: Personnel Officer.

Record source categories: Standard Form 50.

Treasury/OS 00.504

System name: Summer Employees Listing Treasury/OS.

System location: Office of the Secretary Personnel Division, Room 1330, Main Treasury Building.

Categories of individuals covered by the system: All Summer Employees within the Office of the Secretary, excluding OASIA.

Categories of records in the system: Summer Employees’ names, college majors, academic level, grade, position title and office.

Authority for maintenance of the system: Chapter 410 of the Federal Personnel Manual, Subchapter 3, Section 3-1, paragraph (b), Section (c).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to determine who is eligible for seminars offered by the Office of the Secretary and the Department. Used also in the preparation of the 113 D Statistical Report for the Office of the Secretary. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Names listed on bond paper with respective information and stored in a file cabinet.
Retrievability: Alphabetical listing by name.

Safeguards: This list is used only by the Youth Employment Coordinator or others authorized by Personnel Officer.

Retention and disposal: Records are kept for one year then are destroyed by burning.

System manager(s) and address: Office of the Secretary, Personnel Division, Room 1330, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.
Notification procedure: See System Manager above.
Record access procedures: See System Manager above.
Contesting record procedures: See System Manager above.
Record source categories: Information taken from Official Personnel Folder and SF-171.

Treasury/OS 00.505

System name: Upward Mobility Program; Counseling Application—Treasury/OS.

System location: Room 1330, Main Treasury Building, Personnel Division, Office of the Secretary, Department of the Treasury.

Categories of individuals covered by the system: Government employees occupying positions within the Office of the Secretary, occupying GS-7 and below or equivalent, and Wage Board employees below VP-9 and WG-7 positions.

Categories of records in the system: Application form reflecting identification of employees, type of position, degree of education and skill, etc.

Authority for maintenance of the system: Affirmative Action Plan.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Application will be used in conjunction with interviews on Upward Mobility Counseling, to give an idea of what type of training and/or experience the applicant has,
and what career goals the applicant may have. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The alphabetized applications are presently kept with other upward mobility materials, but will be filed into the Official Personnel Files shortly.

Retrievability: The system is indexed by name.

Safeguards: The applications are kept in a locked file, and will ultimately be inserted into Official Personnel Files, which are also kept in locked files.

Retention and disposal: Maintained on left hand side of personnel folder and are retained until employee leaves Treasury.

System manager(s) and address: Upward Mobility Counselor, Room 1235, Main Treasury Building, Office of the Secretary, Department of the Treasury, Washington, D.C.

Notification procedure: Office of the Secretary, Personnel Division, Room 1330, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. The employee should submit name and social security number.

Record access procedures: Request for gaining access can be made orally. See access and notification above.

Record source category: The information reflected on these applications was obtained from personnel data provided by each applicant.

Treasury/GFO 00.001

System name: Administrative Records—Treasury/GFO.


Categories of individuals covered by the system: Personnel.


Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide information on holders of Civil Service Records, drivers permits, parking permits, accident reports, credentials, and for servicing public on Treasury publications. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hardcopy.

Retrievability: By name.

Safeguards: Locked containers.

Retention and disposal: (1) Destroy upon resignation, retirement or transfer; (2) Destroy 3 years from date of separation or 3 years after rescission of authorization; (3) Destroy when superseded; (4) Destroy when superseded; (5) Destroy upon retirement, resignation or transfer.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR, Part I, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974. Telephone 202-566-5707.

Contesting record procedures: See Access above.

Record source categories: Vouchers certifications by departments and agencies for whom payments are made.

Treasury/GFO 00.003

System name: Claims and Inquiry Records on Treasury checks, U.S. currencies, and International claims—Treasury/GFO.


Categories of individuals covered by the system: (1) Payees and holders of Treasury checks, (2) Holders of mutilated currency, (3) Claimants awarded benefits under War Claims Act and International Claims Settlement Act of 1949.

Categories of records in the system: (1) Treasury check claim file: Treasury check, claim of payee with name and address, settlement action taken. (2) Mutilated currency claim file: Mutilated currency, name of bank assisting claim of individual, settlement action taken. (3) Awards for claims for losses sustained by individuals.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Check Claims—Information is used routinely by the Division of Check Claims and by auditors on checks having liability, Federal Reserve, State and Local Law enforcement agencies, General Accounting Office, Congressional offices and media assistance offices on behalf of payee claimants. (2) Mutilated Currency Claims—Information is furnished to the Internal Revenue Service for claims of $1000 or more by claimants, banks, state and local enforcement agencies. (3) International Claims—Information in files is used by employees of the Division of Financial Management, claimants (awardees) and their repre-
sentatives; Foreign Claims Settlement Commission, and congressmen (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: (1) Claim file folders, Card checks, microfilm. (2) Correspondence within file folders.
Retrievability: (1) Name of payee and check number and symbol. (2) Alpha cross-reference to case number. (3) Name of claimant or alpha reference to claim number.
Safeguards: (1) Secured building. (2) Secured building, (3) Secured files in secured building.
Retention and disposal: (1) Claim files and checks; six years seven months; Microfilm—indefinitely. (2) Seven years. (3) Indefinitely.
System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record accuracy: Individual's requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C, which governments of this Department with respect to the Privacy Act of 1974. Telephone 202-566-8707.

Contesting record procedures: See Access above.

Record source categories: (1) Individual payees of Treasury checks, indorsers of Treasury checks, investigative agencies, disbursing officers issuing checks, contesting claimants. (2) Individual owners of mutilated currencies, commercial banks. (3) Awards certified to Treasury for payment by Foreign Claims Settlement Commission.

System/GFO 00.004 System name: Freedom of Information Requests—Treyury/GFO.

Categories of individuals covered by the system: All Employees of Bureau (Separated—employees—in certain cases) and applicants.


Routine uses of records maintained in the system, including categories and the purposes of each use: (A) Official Personnel Actions, (B) Verifying Service Computation Date, (E) Evaluating Qualifications for Promotion, B—(1) Compile Statistical Reports, (2) For Budget and Manpower Planning, (3) For Promotion Information. (2) Verification of Employment: C—(1) Control of Proceeding SF-52's, (2) Verify Receipt and Non Receipt of SF-52's. D—(1) Emergency Notifications, (2) Listing for Bureau Phone Directory, (3) Verification of Employment. E—(1) Regulatory Audits, (2) Personnel Management Evaluations, (3) Compilation of Data for Statistical Reports on Personnel Actions. F—(1) Tekler System, (2) A Comprehensive and Continuing Record of each Employee's Status, Skills, etc., (3) Control over budgeted positions both filled and vacant, (4) Reports on Separated Employees. G—(1) Data for Statistical Reports, (2) Reference of prior actions. H—(1) Verify current or past employment. I—(1) Retirement Planning Program, (2) Projected Staffing Plans, (3) Personnel Management Evaluations, (4) Compilation of Data for Statistical Reports on Personnel Actions. J—(1) Tekler System, (2) A Comprehensive and Continuing Record of each Employee's Status, Skills, etc., (3) Control over budgeted positions both filled and vacant, (4) Reports on Separated Employees. K—(1) Data for Statistical Reports, (2) Reference of prior actions. L—(1) fork Current or past employment. M—(1) Federal Employees' Insurance, (2) Federal Employees' Retirement System. N—(1) Record of all trainee interview for future training as needed. O—(1) Reference by Appraisal Committee and Employee Development. P—(1) Documented duties of employees for original allocated positions. Q—(1) Audit and Evaluation of programs and specific positions. S—(1) Official Record of annual review process of positions required by FPM. T—(1) Current Source of Recruitment. U—(1) Reconciliation of case if salary was again, (2) Keep data current in handling of separated employees, (3) Document positive efforts made to rehabilitate employees, (4) Serve as source documents for suspensions or reprimands as cases (S) warranted. V—(1) Quarterly and Annual Reports, (2) Used to determine the stability of management's annual review and regulations. W—(1) Used as statistical base for planning future recruitment activities and reports for Fiscal Year. X—(1) Reconciliation of actions if appeal is filed, (2) Assure compliance with FPM regulations. Y—(1) Annual statistical, (2) Turnover rate and reasons for leaving, (2) Obtain feedback from supervisors on advisability of rehiring. Z—(1) To determine skills and employee performance, AA—(1) Maintenance of records for reporting and statistical purposes, BB—(1) Use of records for personnel activities for staffing reports, (2) Used to assure compliance with CSC regulations. CC—(1) Used to determine conflict of interest or possible conflict of interest. DD—For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Hard copy and Magnetic Storage.
Retrievability: Alphabetically by name—also in some instances by organization, then social security number.
Safeguards: Personnel Records are locked when unattended—Access is limited to Personnel Employees, Supervisors, Promotion Panels, Investigators from CSC, etc., Top Management, and members of Fair Employment Staff.

Retention and disposal: Records are maintained and disposed of in accordance with General Services Administration Schedule.
System manager(s) and address: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S.
Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual. Telephone: 202-366-8707.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Applicant Personnel Action Forms (SF-50), SF-171 (Completed by applicant), Payroll Actions, Educational Institutions, etc.

Treasury/GFO 00.005
System name: Identification files on individuals cashing Treasury checks—Treasury/GFO.

Categories of individuals covered by the system: All individuals not employed by the Treasury Department cashing Treasury checks.

Categories of records in the system: (1) Identifying information by name, address, date of birth, social security number, and agency responsible for issuance of check. (2) Film records with picture and other identification.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Identification for check cashing purposes. (2) Identification for check cashing purposes and for apprehension of forgers. (3) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper file in individual's handwriting; Film.
Retrievability: By name; By identification number and date.
Safeguards: Locked files; By contractor in secured building.
Retention and disposal: Indefinite.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974. Telephone 202-964-8707.

Contesting record procedures: See Access above.

Record source categories: From individual Bureau employees.

Treasury/GFO 00.006
System name: Personnel Security Records—Treasury/GFO.

Categories of individuals covered by the system: Employees and applicants for position in the bureau.

Categories of records in the system: Results of full field investigations, special agency checks and written inquiries, and other limited investigations.

Authority for maintenance of the system: Title 5, U.S.C., Chap 73, Executive Order 10450 as amended, and Treasury Order 82.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are reviewed for purpose of granting clearances for employees to occupy non-sensitive and sensitive positions. Users—Federal Agencies, Federal, State and Local Law Enforcement Agencies. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The records are hard copy, filed alphabetically by name and stored in a safe cabinet secured with a combination lock. Access to the records is restricted to key personnel who have been granted clearances to occupy critical-sensitive positions. The records on employees are retained by the bureau during their employment. The records on applicants not selected and separated employees are returned to the investigating agency.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this...
bureau maintains the record requested by the individual. Telephone 
202-566-8707.

Record access procedures: Individuals requesting information under
the Privacy Act of 1974 concerning procedures for gaining access or
contesting records should write to the Systems Manager at the
address shown above. All individuals are urged to examine the rules of
the U.S. Department of the Treasury published in 31 CFR Part 1,
Subpart C concerning requirements of this Department with respect
to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Sources are applicants, employers, former
employees, references, fellow workers, neighbors, educational au-
thorities, military records, police and criminal records, credit records
and others as required.

Treasury/GFO 00.009
System name: Postal Savings Deposits—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S.
Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: Postal Savings
depositories.

Categories of records in the system: (1) Account cards of Open
Deposits. (2) Paid cases from 1967. (c) Record of reissued certifi-
cates.

Authority for maintenance of the system: 39 U.S.C. 5225-5229; 31
CFR 257.

Routine uses of records maintained in the system, including catego-
ries of users and the purposes of such uses: For payment by deposi-
tors, legal representatives, heirs; Internal Revenue Service Inspectors
tax purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Filing system.

Retrievability: Name, account number, within Post Office, City,
State, by Postal Data Center.

Safeguards: In secured filing system. Accessible only to office
personnel.

Retention and disposal: Closed cases; disposed after payment (10
yrs). Open accounts indefinitely.

System manager(s) and address: The Systems Manager is: Special
Assistant (P.A.), Bureau of Government Financial Operations, U.S.
Department of the Treasury, Room 600, Treasury Annex No. 1,
Pennsylvania Avenue and Madison Place, N.W., Washington, D.C.
20226.

Notification procedure: Inquiries under the Privacy Act of 1974
shall be addressed to the Systems Manager as shown above. All
individuals making inquiries should provide with their request as
much descriptive matter as is possible to identify the particular
record desired. The Systems Manager will advise as to whether this
bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under
the Privacy Act of 1974 concerning procedures for gaining access or
contesting records should write to the Systems Manager at the
address shown above. All individuals are urged to examine the rules of
the U.S. Department of the Treasury published in 31 CFR Part 1,
Subpart C concerning requirements of this Department with respect
to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Government Departments and Agencies
requiring services of Treasury Department for issuance and payment of
Treasury checks.

Treasury/GFO 00.011
System name: Individual Retirement Cards, SF2806—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S.
Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: Employees of the
Bureau.

Categories of records in the system: Individual retirement cards
maintained to record annual contribution to the Retirement Fund of
each employee.

Authority for maintenance of the system: 5 U.S.C. 8707; 5 CFR
831.102.

Routine uses of records maintained in the system, including catego-
ries of users and the purposes of such uses: Used by the Bureau to
record annual contribution to the Retirement Fund. For additional
routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Card.

Retrievability: By name.

Safeguards: All persons requesting information are screened.

Retention and disposal: Retained for tenure of employment then
transferred to CSC or other Government agency.

System manager(s) and address: Special Assistant (P.A.), Bureau of
Government Financial Operations, U.S. Department of the Treasury,
Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison
Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974
shall be addressed to the Systems Manager as shown above. All
individuals making inquiries should provide with their request as
much descriptive matter as is possible to identify the particular
record desired. The Systems Manager will advise as to whether this
bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under
the Privacy Act of 1974 concerning procedures for gaining access or
contesting records should write to the Systems Manager at the
address shown above. All individuals are urged to examine the rules of
the U.S. Department of the Treasury concerning requirements of this
Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Bureau personnel office.
TREASURY/GOFO 00.012
System name: Precomplaint Counseling and Complaint Activities—
GOFO.
System location: B/GOFO-U.S. Treasury Department, Treasury
Annex No. 1 Madison Place & Penna. Ave., N.W., Washington, D.C.
20226.
Categories of individuals covered by the system: Employees seeking
services of EEO Counselors.
Categories of records in the system: Monthly precomplaint activity
reports from twelve Disbursing Centers and Headquarters.
Authority for maintenance of the system: 5 U.S.C. 7154; 42 U.S.C.
2004-16; 5 C.F.R. 1113.
Routine use of records maintained in the system, including cate-
gories of users and the purposes of such use: Used to keep records on
EEO Counseling activities for monthly submission to Treasury.
Policies and practices for storing, retrieving, accessing, retaining,
and disposing of records in the system:
- Storage: File cabinet.
- Retrievability: Filed by station and date of receipt.
- Safeguards: Staff supervision during day. Records under lock and
key.
Retention and disposal: Reports destroyed at the end of four years.
System manager(s) and address: Special Assistant (P.A.): Bureau of
Government Financial Operations, Treasury Annex No. 1, Madison
Notification procedure: Inquiries under the PRIVACY ACT of
1974 shall be addressed to the Systems Manager as shown above.
All individuals making inquiries should provide with their request
as much descriptive matter as is possible to identify the particular
record desired. The Systems Manager will advise as to whether this
bureau maintains the record requested by the individual.
Record access procedures: Individuals requesting information under
the Privacy Act of 1974 concerning procedures for gaining access or
contesting records should write to the Systems Manager at the ad-
dress shown above. All individuals are urged to examine the rules of
the U.S. Department of the Treasury published in 31 CFR Part I,
Subpart C concerning requirements of this Department with respect
to the Privacy Act of 1974.
Contesting record procedures: See Access above.
Record source categories: Monthly submissions by Disbursing Cen-
ters and Headquarters.
Billing Code 4810-35.
TREASURY/ATF 00.001
System name: Administrative Record System-Treasury/ATF.
System location: Bureau of Alcohol, Tobacco and Firearms, 1200
Pennsylvania Avenue, N.W., Washington, D.C. 20226.
Components of this record system are geographically dispersed
throughout the Bureau's field offices. For nearest office location, see
addresses listed in Appendix E-1.
Categories of individuals covered by the system: (1) Present em-
ployees of the Bureau of ATF. (2) Former employees of the Bureau of
ATF. (3) Claimants against the Bureau of ATF.
Categories of records in the system: (1) Accident Report—non-
vehicle (2) Accident Report—Vehicle (3) Accountability records for
Government property (4) Credit card purchases (5) Disability reports
(6) Fatality reports (7) Motor pool records (8) Injury reports (9)
Motor vehicle operator's records. (10) Chief Counsel and Regional
Counsel memoranda and opinions.
Authority for maintenance of the system: (1) Federal Claims Collection
Act (2) Federal Employees Compensation Act (3) Federal Property
and Administration Services Act of 1954 as amended. (4) Federal
Tort Claims Act (5) Military Personnel and Federal Employees
Claim Act (6) Office of Safety and Health Act of 1970 (7) Small
Routine use of records maintained in the system, including cate-
gories of users and the purposes of such use: The purpose of this system
is to provide administrative services for the Bureau; to maintain
administrative records as required by law or regulation; and to be
used in the adjudication of any claims for or against the Bureau.
When required or authorized by statute, regulation, or executive
order, information in the record system is made available to members of
the public and employees of other government agencies.
A record in this system of records may be disclosed as a "routine
use" to a federal, state or local agency maintaining civil, criminal or
other relevant enforcement information or other pertinent informa-
tion if necessary to obtain information relevant to an agency decision
concerning the letting of a contract, grant or other benefit.
A record in this system of records may be disclosed to a federal
agency, in response to its request, in connection with the letting of a
contract, grant, or other benefit by the requesting agency, to the
extent that the information is relevant and necessary to the requesting
agency's decision on the matter.
A record in this system may be disclosed as a routine use to
Officers and employees of the Department of the Treasury who have
a need for the record in the performance of their duties; Local, state
and Federal law enforcement agencies; Local, state and Federal
regulatory agencies; Members of the public, employees of other Gov-
ernmental agencies, or members of news media (on a case by case
basis where determination that such disclosure would be in accord-
552 and 552a, and would not compromise enforcement of laws ad-
ministered by Bureau of Alcohol, Tobacco and Firearms). For addi-
tional routine uses, see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining,
and disposing of records in the system:
Storage: Active records stored in file folders in security filing cabinets.
Inactive records stored in file folders at Federal Records Centers.
Retrievability: Records are retrievable by name of individual.
- Safeguards: Direct access restricted to personnel in Department of
the Treasury in the performance of their duty. Transmitted to routine
users on a need to know basis or where a right to access is estab-
lished, and to others upon verification of the substance and propriety
of the request. Stored in lockable metal file cabinets in rooms locked
during non-duty hours.
Retention and disposal: Records are retained in accordance with
General Services Administration General Records Schedules Numbers
1 through 20 and Bureau of Alcohol, Tobacco and Firearms records
control schedules numbers 101 and 201 and disposed of by shredding
or burning.
System manager(s) and address: Chief, Administrative Services Di-
vision Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania
Avenue, N.W., Washington, D.C. 20226.
Notification procedure: Inquiries should be addressed to: Privacy
Act, Bureau of Alcohol, Tobacco and Firearms, 1200 Penn-
sylvania Avenue, N.W., Washington, D.C. 20226.
Requests may be delivered personally to Room 2232, Bureau of
Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W.,
Washington, D.C. 20226.
Record access procedures: Requests for access to records made by
mail should be addressed to: Privacy Act Request, Bureau of Alco-
hol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Wash-
ton, D.C.
Requests may be delivered personally to Room 2232, Bureau of
Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W.,
Washington, D.C. 20226.
Appeals of determinations refusing amendment of records should
be addressed to: Privacy Act Appeal, Bureau of Alcohol, Tobacco
and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.
20226.
Appeals may be delivered personally to Room 2232, Bureau of
Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W.,
Washington, D.C.
Contingent record procedures: See Access above.
Record source categories: (1) Administrative records. (2) Claim-
ants. (3) Doctors. (4) Employee records. (5) Fiscal records. (6)
Former employees of the Bureau of ATF. (7) Former employers. (8)
General Services Administration. (9) Individuals who have informa-
tion relevant to claims. (10) Inspections records. (11) Internal Inves-
tigation reports. (12) Police records. (13) Present employees of the Bureau of
ATF. (14) Supervisors. (15) Witnesses.
TREASURY/ATF 00.002
System name: Correspondence Record System—Treasury/ATF.
System location: Bureau of Alcohol, Tobacco and Firearms, 1200
Pennsylvania Avenue, N.W., Washington, D.C. 20226.
Components of this record system are geographically dispersed
throughout the Bureau's field offices. For nearest office location, see
addresses listed in Appendix E-1.
Categories of individuals covered by the system: (1) Persons who
consult with the Bureau requesting information relating to
Bureau personnel. (2) Persons who consult with the Bureau re-
questing information relating to Bureau activities. (3) Persons who
consult with the Bureau requesting rulings, interpretations, or
informations.
technical and scientific matters of a general nature. (4) Persons who correspond with officers and whose correspondence is referred to ATF for response. (5) Persons referred to in correspondence with the Bureau. (6) Authors and publishers of technical and scientific matters relating to Bureau activities.

Categories of records in the system: Correspondence with individuals who contact the Bureau requesting information relating to Bureau personnel and/or activities, Chief Council and Regional Counsel memoranda and opinions.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to record the nature of responses made to public; to maintain a record of responses to individuals who correspond with the Bureau. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other Government agencies. A record in this system may be disclosed as a routine use to: officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties, members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set out under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Safeguards: Transmitted to routine users on a need to know basis. Stored in lockable file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 29 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding or burning.

System manager(s) and address: Assistant to the Director (Public Affairs) Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Requests may be delivered personally to Room 2322, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedures: Records are disclosed if the purpose of the system is to record the nature of responses made to public; to maintain a record of responses to individuals who correspond with the Bureau. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other Government agencies. A record in this system may be disclosed as a routine use to: officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties, members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set out under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Safeguards: Transmitted to routine users on a need to know basis. Stored in lockable file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 29 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding or burning.

System manager(s) and address: Assistant to the Director (Public Affairs) Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Requests may be delivered personally to Room 2322, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Appeals of determinations refusing amendment of records should be made to: Privacy Act Appeal, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Appeals may be delivered personally to Room 2322, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Conducting record procedures: See Access above.

Record source categories: Persons who correspond with the Bureau on general, technical or scientific matters.

Treasurer/ATF 00.003 System name: Crime Investigation Report System—Treasurer/ATF

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout Bureau of Alcohol, Tobacco and Firearms’ field offices. For nearest location, see addresses listed Appendix AA.

Categories of records maintained in the system: (1) Criminal offenders or alleged criminal offenders acting alone or in concert with other individuals and suspects who have been or are under investigation for a violation or suspected violation of laws enforced by the Bureau. (2) Criminal offenders or alleged criminal offenders acting alone or in concert with other individuals and suspects who have been or are under investigation for a violation or suspected violation of laws enforced by the Bureau.
mining the parole and probation status of criminal offenders or suspected criminal offenders; Personnel of other Federal, State and local law enforcement agencies, foreign and domestic, for the purpose of developing information on subjects involved in Bureau criminal investigations and for assisting law enforcement agencies in the investigation and prosecution of violations of the criminal laws those agencies are responsible for enforcing; Personnel of Federal, State, and local governmental agencies, foreign and domestic, where there is a showing of reasonable necessity to obtain such information to accomplish a valid law enforcement purpose; Employees and officials of financial and commercial business firms and private individuals for identification purposes pertaining to actual or suspected criminal offenders where it is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; Personnel of Federal, State and local governmental agencies, foreign and domestic, where there is a showing of reasonable necessity to obtain such information to accomplish a valid law enforcement purpose; Employees and officials of financial and commercial business firms and private individuals for identification purposes pertaining to actual or suspected criminal offenders where it is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and when appropriate, to apprehend, criminal offenders and suspected criminal offenders; Defense attorneys under discovery, Procedures; Members of the public, employees of other governmental agencies, or members of the news media on a case by case basis where determination that such disclosure would be in accordance with guidelines set out under 28 C.F.R. Part 50.2, 5 U.S.C. 552, and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms. For additional routine uses, see Appendix AA.


Categories of records maintained in the system, including categories of users and the purposes of such uses: (1) Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (H). Tobacco and Firearms has exempted, this system of records from enforcement, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Records of records maintained in the system, including categories of users and the purposes of such uses: (1) Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (H). Tobacco and Firearms has exempted, this system of records from enforcement, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Appeals of determinations refusing amendment of records should be addressed to: Privacy Act Appeal, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

System name: Fiscal Record System—Treasury/ATF. System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this system of records are generically dispersed throughout the Bureau's field offices. For nearest office location, see addresses listed Appendix E-1.
and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Appeals may be delivered personally to Room 2252, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Contesting record procedures: See Access above.

Record source categories: (1) Former employees of the Bureau of ATF. (2) IRS (Treasury/ATF). (3) Former employees of the Bureau of ATF. (4) Surviving spouse of deceased personnel. (5) Vendors of necessary goods and services for operations of Bureau of ATF.

Treasury/ATF 00.005

System name: Freedom of Information Requests—Treasury/ATF.


Categories of individuals covered by the system: Individuals requesting information.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For reporting requirements as required by the Act, to respond to other Department officials who request information regarding persons making a FOI request; evaluation purposes and determination of volume of requests received and the impact on the current workload; preparation of budget; disclosures in accordance with Freedom of Information Act, to other agencies when required for coordination of response or referral; to Department of Justice for defending law suits; to Congressional Committees; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storager: File folders.

Retrievability: By name of requester(s) and date of request.

Safeguards: Filed in filing cabinet and released only to individuals with legitimate right to review.

Retention and disposition: In accordance with the provisions of the Act and Departmental records management procedures.

System manager(s) and address: Assistant Director, Inspection, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: See system manager.

Record access procedures: See system manager.

Contesting record procedures: See system manager.

Record source categories: Individuals who make Freedom of Information Act requests and federal officials regarding Freedom of Information Act requests and documents from official records.

Treasury/ATF 00.006

System name: Internal Security Record System—Treasury/ATF.


Categories of individuals covered by the system: (1) Present employees of the Bureau of ATF. (2) Former employees of the Bureau of ATF. (3) Applicants for employment.

Authority for maintenance of the system: (1) 18 U.S.C. 201 (2) E.O. 10450 (3) E.O. 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This system is used to assure the Bureau Director, the Department of the Treasury, and the public that the Bureau is an efficient and efficient system to maintain the highest standards of integrity, loyalty, conduct, and security among Bureau personnel. When a criminal investigation results in a compilation of information contained in this system, the information so compiled shall be transferred to the ATF Criminal Investigation Report System and shall become a part of that system for all purposes of the Privacy Act of 1974.

A record in this system of records may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevantto an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.

A record in this system of records may be disclosed to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation to an employee, the letting of a contract, the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record in this system of records may be disclosed as a routine use to persons and employees of the Department of Justice who have a need for the record in the performance of their duties; personnel of Department of Justice and other agencies, Federal, state, and local, foreign and domestic, having executive and civil law enforcement functions; attorneys, magistrates, and judges; and parole and probation authorities for the purpose of developing information on subjects involved in Bureau criminal investigations and for assisting law enforcement agencies in the investigation and prosecution of violations of the criminal laws those agencies are responsible for enforcing; personnel of Federal, state and local governmental agencies, where such information is reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; personnel of Federal, state and local governmental agencies, foreign and domestic, where such information is reasonably necessary to obtain such information to accomplish a valid law enforcement purpose; employees and officials of financial and commercial business firms and private individuals for identification purposes pertaining to actual or suspected criminal offenders where it is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; defense attorneys under Discovery Procedures; members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set under 28 C.F.R. Part 50.2; 5 U.S.C. 552, and 552a, and would not compromise enforcement of laws) cited by the Bureau of Alcohol, Tobacco and Firearms.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Center.

Retrievability: Records are retrievable by name.

Safeguards: Direct access restricted to personnel in Department of the Treasury in the performance of their duties. Transmitted to routine users on a need to know basis and to others upon verification of the substance and propriety of the request. Stored in lockable metal file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules Numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms records control schedules numbers 101 and 201 and disposed of by shredding or burning.

System manager(s) and address: Assistant Director, Inspection, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (4) (G).

Record access procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (4) (G).

Contesting record procedures: See Access above.

Systems exempted from certain provisions of the act: Portions exempt under 5 USC 552a(k)(6) (relating to security clearances) and 5 USC 552a(k)(2) (relating to conduct and integrity) and 31 CFR 1.56.

Treasury/ATF 00.007

System name: Personnel Record System—Treasury/ATF

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout the Bureau’s field offices. For nearest office location, see addresses listed in Appendix B-1.

Categories of individuals covered by the system: (1) Present Employees of the Bureau of ATF. (2) Former Employees of the Bureau of ATF. (3) Applicants for employment with ATF.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to provide personnel services to employees of the Bureau, former employees of the Bureau, and state and local law enforcement agencies. Fornearest office location, see addresses listed in Appendix B-1.

A record in this system of records may be disclosed as a "routine use" to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of a license, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.

A record in this system of records also may be disclosed as a routine use: (1) The Department of Labor; (2) Congressmen; (3) Equal Employment Opportunity Commission; (4) Members of the public, employees of other governmental agencies, or members of the news media; (5) Manufacturers and distributors of alcohol, tobacco, firearms, and ammunition.

A record in this system of records also may be disclosed as a "routine use" to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of a license, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.

A record in this system of records also may be disclosed as a routine use: (1) The Department of Labor; (2) Congressmen; (3) Equal Employment Opportunity Commission; (4) Members of the public, employees of other governmental agencies, or members of the news media; (5) Manufacturers and distributors of alcohol, tobacco, firearms, and ammunition.

A record in this system of records also may be disclosed as a "routine use" to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of a license, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.

A record in this system of records also may be disclosed as a routine use: (1) The Department of Labor; (2) Congressmen; (3) Equal Employment Opportunity Commission; (4) Members of the public, employees of other governmental agencies, or members of the news media; (5) Manufacturers and distributors of alcohol, tobacco, firearms, and ammunition.

A record in this system of records also may be disclosed as a "routine use" to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of a license, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.

A record in this system of records also may be disclosed as a routine use: (1) The Department of Labor; (2) Congressmen; (3) Equal Employment Opportunity Commission; (4) Members of the public, employees of other governmental agencies, or members of the news media; (5) Manufacturers and distributors of alcohol, tobacco, firearms, and ammunition.

A record in this system of records also may be disclosed as a "routine use" to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of a license, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.
otors of vinegar plants, fruit—flavor concentrate plants or class 6 bonded warehouses; (a) Proprietors of bonded wine cellars, bonded wineries, taxpaid wine bottling houses, or bonded wine warehouses; (v) Retailers of beer, wine or distilled spirits; (x) Users of tax-free intoxicating alcohol, dealers or users of specially denatured spirits or recoverers of specially denatured or completely denatured spirits.

(2) Individuals who are employed by businesses engaged in the activities specified in paragraph 1 and who are officers, directors, partners, principals, or controlling persons of such businesses, or proprietors, agents, or responsible persons. (3) Individuals who are not qualified to engage in any of the activities listed in paragraph 1, but who are assessed or excise or special occupational taxes. (4) Individuals who submit Offers in Compromise.
System name: Technical and Scientific Services Record System — Treasury/ATF.

System location: Bureau of Alcohol, Tobacco, and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout Bureau of Alcohol, Tobacco and Firearms field offices. For nearest location, see addresses listed Appendix E—1.


Authority for maintenance of the system: (1) 18 U.S.C. Chapter 40. (2) 18 U.S.C. Chapter 44. (3) 18 U.S.C. Section 3056. (4) 26 U.S.C. Sections 6001(a), 5001, 5003, 5009(a), 5006(a), 5021—5023, 5041, 5042(a), 5031, 5053, 5056, 5065(e), 5705, 5701, 6001, 6005, 5111(e), 5122, 5172, 5176(b)(1), 5275, 5301(b), 5350, 5401, 5417, 5502(b), 5511(b), 5521(a), 5179(a), 5712, 7011. (5) 27 U.S.C. Sections 204(c). (6) 26 U.S.C. Chapter 35. (7) Executive Order 10973 as amended by Executive Order 11432.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to provide technical and scientific support and expertise to Criminal and Regulatory Enforcement activities of the Bureau; to other Federal, State, local government agencies, and industries involved in activities regulated by the Bureau. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other government agencies. When a criminal investigation results in a compilation of information contained in this system, the information so compiled shall be transferred to the ATF Criminal Investigation Report System and shall become a part of that system for all purposes of the Privacy Act.

In the event that a record in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, these records may be released to the proper Federal, state, local or foreign law enforcement agency. When a record includes information as to whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. The purpose of this system is, as a rule, not to be disclosed as routine use to: (1) Officers and employees of the Department of the Treasury who need the record in the performance of their duties. (2) Federal law enforcement agencies. (3) State law enforcement agencies. (4) Local law enforcement agencies. (5) Foreign law enforcement agencies. (6) Local government agencies. (7) Federal regulatory agencies. (8) State regulatory agencies. (9) Local regulatory agencies. (10) Members of the news media. (11) residents of the District of Columbia. (12) Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. (13) Smithsonin Institution. (14) For additional routine use, see Appendix A4.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in filing cabinets; on 3 by 5 and 5 by 8 cards stored in card file cabinets; on microfilm reels; magnetic tape reels and discs; and on keypunch cards.

Retrievability: Records are retrievable by name, by unique identifier, control number, serial number of National Firearms Act firearms.

Safeguards: Stored in file cabinets locked during non—duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding or burning. Records stored on tape discs or on-line mass storage are disposed of by degaussing.

System manager and address: Assistant Director, Technical and Scientific Services, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a(e)(4)—(5).

Record access procedures: See Access above.


Systems exempted from certain provisions of the act: Exempt under 5 U.S.C. 552a(k)(2) and 31 CFR 1.36.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 211, 150 Court Street, NEW HAVEN, CONNECTICUT 06510.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Courthouse, Rooms 34, 36 and 36A, 126 Federal Street, PORTLAND, MAINE 04112.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 346, 436 Dwight Street, SPRINGFIELD, MASSACHUSETTS 01103.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1529 WORCESTER, MASSACHUSETTS 01601.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 120 Church Street, P.O. Box 3482, Church Street Station, NEW YORK, NEW YORK 10008.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building—Room 217, 111 W Huron Street, BUFFALO, NEW YORK 14202.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 487, ALBANY, NEW YORK 12201.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1036, PLATTSBURGH, NEW YORK 12901.

Criminal Enforcement, Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 300 Hamilton Ave., Room 200, WHITE PLAINS, NEW YORK 10601.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 1343, U.S. Courthouse and Federal Bldg., 100 S. Clinton St., SYRACUSE, NEW YORK 13202.


Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 206, 701 W Broad Street, FALLS CHURCH, VIRGINIA 22046.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 938, 31 Hopkins Plaza, BALTIMORE, MARYLAND 21201.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Building, 9th & King Streets, WILMINGTON, DELAWARE 19802.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 2401 Morris Ave., UNION, NEW JERSEY 07030.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Reutter Building, 3rd Floor, 840 Cooper Street, CAMDEN, NEW JERSEY 08102.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Post Office Building, Room 210, TRENTON, NEW JERSEY 08608.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Customs House, Room 504, Second & Chestnut Streets, PHILADELPHIA, PENNSYLVANIA 19102.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 845, Federal Square Station, HARRISBURG, PENNSYLVANIA 17108.

Regulatory Enforcement, Bureau of Alcohol, Tobacco, and Firearms, Suite 401, 100 W. Main St. Landsdale, Pennsylvania 19446.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1979, ERIE, PENNSYLVANIA 16507.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 2104, Federal Building, PITTSBURGH, PENNSYLVANIA 15230.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 6008, 400 N 8th Street, RICHMOND, VIRGINIA 23240.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 897, DANVILLE, VIRGINIA 24541.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 685, BRISTOL, VIRGINIA 24201.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 12121, Thomas Corner Station, NORFOLK, VIRGINIA 23502.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 4718, Grandin Road Station, ROANOKE, VIRGINIA 24015.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 304 U.S.P.O. & Courthouse Bldg. 5th and Walnut Streets CINCINNATI, OHIO 45202.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 2414, 500 Quarter Street, CHARLESTON, WEST VIRGINIA 25301.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 4455, BLUEFIELD, WEST VIRGINIA 24701.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 440, PORTSMOUTH, OHIO 45662.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 437, Chaplin & 12th Streets, WHEELING, WEST VIRGINIA 26003.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 329, 1240 E 9th Street, CLEVELAND, OHIO 44117.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Court & Customs Building, Room 302, 1716 Spielbush, TOLEDO, OHIO 43624.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 477, YOUNGSTOWN, OHIO 44501.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 245, 65 Marconi Boulevard, COLUMBUS, OHIO 43215.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 804, DAYTON, OHIO 45401.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 371, 231 West LaFayette Street, DETROIT, MICHIGAN 48226.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1025, Kalamazoo Rapids, MICHIGAN 49001.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 872-D, 600 Federal Place, LOUISVILLE, KENTUCKY 40202.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1281, ASHLAND, KENTUCKY 41101.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 7, BOWLING GREEN, KENTUCKY 42101.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3144, EVANSVILLE, INDIANA 47731.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 655, FORT WAYNE, INDIANA 46802.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 907, HAMMOND, INDIANA 46325.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Courthouse & Post Office Building, Room 507, INDIANAPOLIS, INDIANA 46204.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1556, LEXINGTON, KENTUCKY 40501.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1062, PADUCAH, KENTUCKY 42001.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 374, PINEVILLE, KENTUCKY 40977.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 556, SOMERSET, KENTUCKY 42501.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1028, SPRINGFIELD, ILLINOIS 62704.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 207 Main Street, 2nd Floor, PEORIA, ILLINOIS 61602.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 780, ROCK ISLAND, ILLINOIS 61201.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 941 U.S. Courthouse, 811 Grand Avenue, KANSAS CITY, MISSOURI 64102.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 400, U.S. Courthouse, E. First and Walnut, DES MOINES, IOWA 50309.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1168, OMAHA, NEBRASKA 68101.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2834, WICHITA, KANSAS 67201.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 309 N Jefferson Street, Room 205, SPRINGFIELD, MISSOURI 65804.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 14th Market Street, Room 615, ST. LOUIS, MISSOURI 63101.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 106, 316 N Robert Street, ST. PAUL, MINNESOTA 55101.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 92065, MILWAUKEE, WISCONSIN 53202.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2571, FARGO, NORTH DAKOTA 58102.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 109, 210 3rd Avenue South, MINNEAPOLIS, MINNESOTA 55401.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1945, RAPID CITY, SOUTH DAKOTA 57701.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 310 Federal Bldg., 400 Phillips Ave. South SIOUX FALLS, SOUTH DAKOTA 57101.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1 West Court Square, C&S Bank Building, Suite 265, DECATUR, GEORGIA 30030.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 33, AUGUSTA, GEORGIA 30903.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 669, COLUMBUS, GEORGIA 31902.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 601, GOLDEN, COLORADO 80401.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 4655, MACON, GEORGIA 31208.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Executive Court, Room 211, 5105 Paulsen Street, SAVANNAH, GEORGIA 31406.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1535, VALDOSTA, GEORGIA 31601.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1468, ROME, GEORGIA 30161.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 2121 Building, Room 1025, 2121 8th Avenue, BIRMINGHAM, ALABAMA 35203.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1268, ANNISTON, ALABAMA 36201.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Franklin Building, Suite 250, 400 W. Bay St., JACKSONVILLE, FLORIDA 32202.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 1414 23rd Avenue, MERIDIAN, MISSISSIPPI 39301.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 86, FLORENCE, ALABAMA 35630.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1483, HUNTSVILLE, ALABAMA 35807.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 1009, 109 St. Joseph Street, MOBILE, ALABAMA 36602.

Regulatory Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 655, TUSCALOOSA, ALABAMA 35401.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Suite 204, 521 Park Road, CHARLOTTE, NORTH CAROLINA 28209.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1370, ASHEVILLE, NORTH CAROLINA 28802.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 231, WILKESBORO, NORTH CAROLINA 28697.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 15065, Ardmore Station, WINSTON—SALEM, NORTH CAROLINA 27103.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3761, Azalea Station, WILMINGTON, NORTH CAROLINA 28401.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 190, NEW BERN, NORTH CAROLINA 28560.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 274, FAYETTEVILLE, NORTH CAROLINA 28302.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 25699, RALEIGH, NORTH CAROLINA 27611.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1671, ELIZABETH CITY, NORTH CAROLINA 27909.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 501, 901 Summer Street, COLUMBIA, SOUTH CAROLINA 29201.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1700 Highway 171, Suite C, Northbridge Shopping Center, CHARLESTON, SOUTH CAROLINA 29407.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 231 South Warely Street, FLORENCE, SOUTH CAROLINA 29501.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 10102, Federal Station, GREENVILLE, SOUTH CAROLINA 29603.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 301 Building, Room 306, 301 N Lamar Street, JACKSON, MISSISSIPPI 39269.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 801 Security S&L Building, 23DI 14th Street, GULFPORT, MISSISSIPPI 33701.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1414 23rd Avenue, MERIDIAN, MISSISSIPPI 39301.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 137, 911 Jackson Avenue, OXFORD, MISSISSIPPI 38655.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 8410 N.W. 33rd Terrace, Suite 219, MIAMI, FLORIDA 33166.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 858, MARIANNA, FLORIDA 32446.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 400 W. Bay St., JACKSONVILLE, FLORIDA 32202.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Bradshaw Building, 14 East Washington Street, ORLANDO, FLORIDA 32891.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Barnett Bank Building, Room 810, 1000 N Ashley Street, TAMPA, FLORIDA 33601.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 4004 Hillisboro Road, Room 210, NASHVILLE, TENNESSEE 37215.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Franklin Building, Suite 303, E. Gate Center, CHATTANOOGA, TENNESSEE 37401.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 336, GREENEVILLE, TENNESSEE 37743.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Parkway Building, Room 100, 1212 Pierce Parkway, KNOXVILLE, TENNESSEE 37921.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 167 N. Main St., Room 22, MEMPHIS, TENNESSEE 38103.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Corporate Square Building—Suite 250, 3910 Kirby Drive, HOUSTON, TEXAS 77025.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 204, 2101 S. Interregional Highway, AUSTIN, TEXAS.

Resident Agent in Charge Bureau of Alcohol, Tobacco, and Firearms, Room 1816, 600 Leopard Street, Corpus Christi, Texas 78403.

Resident Agent in Charge, Bureau of Alcohol, Tobacco, and Firearms, Koger Building, Suite 233, 444 Executive Center Boulevard, EL PASO, TEXAS 79902.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2047/10 East Elizabeth Street, BROWNSVILLE, TEXAS 78520.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, New Federal Building—Room A—405, 722 E Durango Street, SAN ANTONIO, TEXAS 78206.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Main Tower Building, Room 349, 1200 Main St., DALLAS, TEXAS 75202.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 816/110 East Houston, TYLER, TEXAS 75701.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Old Federal Building—Room 308, 517 Gold Ave. SW, ALBUQUERQUE, NEW MEXICO 87103 or P.O. Box 901, Albuquerque, New Mexico 87101.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 625, 1305 Texas Avenue, LUBBOCK, TEXAS 79401.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building—Room 11C01, 819 Taylor Street, or P.O. Box 17088, FORT WORTH, TEXAS 76102.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 546 Carondelet, Room 301, NEW ORLEANS, LOUISIANA 70110.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building—Room 278, FORT SMITH, ARKANSAS 72902.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Post Office, Room 213, TExARKANA, ARKANSAS 71859.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2461, LITTLE ROCK, ARKANSAS 72203.

Regulatory Enforcement, Bureau of Alcohol, Tobacco, and Firearms, P.O. Box 1944, BATON ROUGE, LOUISIANA 70821.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 225/Room S115, 500 Fannin Street, SHREVEPORT, LOUISIANA 71162.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 50 Penn Place, Suite 810, OKLAHOMA CITY, OKLAHOMA 73118.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 107, CHEYENNE, WYOMING 82001.

Regulatory Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3523/19th and Stout Streets, DENVER, COLORADO 80201.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 934/Room 131, Federal Building, MUSKOGEE, OKLAHOMA 74401.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 500 East Market St., Room 411,巡查, INDIANAPOLIS, INDIANA 46204.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 2721 North Central, Suite 910, PHOENIX, ARIZONA 85004.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 610 A Street, Room 202, SAN DIEGO, CALIFORNIA 92101.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 34 Civic Center Plaza, SANTA ANA, CALIFORNIA 92712.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 3610 Central Ave., Suite 511, Riverside, CALIFORNIA 92506.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2510, TUCSON, ARIZONA 85702.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 400 Ocean Gate, Suite 1150, LONG BEACH, CALIFORNIA 90802.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 6230 Van Nuys Blvd., VAN NUYS, CALIFORNIA 91408.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 525 Market Street, Room 2540, SAN FRANCISCO, CALIFORNIA 94103.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 5019, 1120 O Street, FRESNO, CALIFORNIA 93721.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 16022, LAS VEGAS, NEVADA 89101.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1515 Clay Street, Room 906, OAKLAND, CALIFORNIA 94612.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 300 Booth Street, Room 4117, RENO, NEVADA 89502.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 125, 131 East Gish Road, SAN JOSE, CALIFORNIA 95112.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 3514, 650 Capitol Mall, SACRAMENTO, CALIFORNIA 95814.

Resident Agent in Charge, Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1855, P.O. Building, SALT LAKE CITY, UTAH 84110.

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 806, 915 Second Avenue, SEATTLE, WASHINGTON 98174.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, G-9, 4th and F Streets, ANCHORAGE, ALASKA 99501.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, American Reserve Building, Room 3280, 2410 Banks Drive, BOISE, IDAHO 83705.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1682, HELENA, MONTANA 59601.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Crown Plaza Building, Room 123, 319 S.W. Pine Avenue, PORTLAND, OREGON 97204.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2202, SPOKANE, WASHINGTON 99210.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3313, HONOLULU, HAWAII 96801.

REGULATORY ENFORCEMENT OFFICES

AREA OFFICES


Area Supervisor, Bureau of ATF, Room 1308, 150 Causeway Street, Boston, MA 02114 (formerly Boston Areas, I, II, and III).

Area Supervisor, Bureau of ATF, 111 West Huron St., Buffalo, NY 14202.

Area Supervisor, Bureau of ATF, Room 310, 702 West Broadway, Falls Church, VA 22046.

Area Supervisor, Bureau of ATF, Room 928, 31 Hopkins Plaza, Baltimore, MD 21201.

Area Supervisor, Bureau of ATF, Suite 401, Century Plaza Bldg., 100 West Main Street, Landis, PA 19446.

Regulatory Enforcement, Bureau of ATF, Room 734, Newark, NJ 07102.

Area Supervisor, Bureau of ATF, 2401 Morris Avenue, Union, NJ 07083.

Area Supervisor, Bureau of ATF, Room 500, U.S. Customs House, 2nd & Chestnut Streets, Philadelphia, PA 19106.

Area Supervisor, Bureau of ATF, Room 2104, Federal Building, 1000 Liberty Avenue, Pittsburgh, PA 19106.

Area Supervisor, Bureau of ATF, Room 10-225, Federal Building, 8th & Main Streets, Richmond, VA. Mail: P.O. Box 10185, Richmond, VA 23240.

Area Supervisor, Bureau of ATF, Suite 504A, 5821 Park Road, Charlotte, N.C. 28209.

Area Supervisor, Bureau of ATF, Suite 260, One West Court Square, Decatur, GA 30030.

Area Supervisor, Bureau of ATF, Room 506, Federal Building, 500 North Main St., Zack Street, Tampa, FL 33602.

Area Supervisor, Bureau of ATF, Room 511, Courthouse & P.O. Building, 46 Ohio Street, Indianapolis, IN 46204.

Area Supervisor, Bureau of ATF, Room 659, Federal Office Building, 500 Main Street, Cincinnati, OH 45202.

Area Supervisor, Bureau of ATF, Room 329 Federal Office Building, 1240 East 9th Street, Cleveland, OH 44119.

Area Supervisor, Bureau of ATF, Room 376 Federal Building, 231 Lafayette Blvd., Detroit, MI 48226.

Area Supervisor, Bureau of ATF, Room 144, John C. Watts Federal Building and U.S. Courthouse, 330 West Broadway, Franklin, KY 40061.Mail: P.O. Box 574, Franklin, KY 40060.

Area Supervisor, Bureau of ATF, Room 872 Federal Office Building, 600 Federal Place, Louisville, KY. Mail: P.O. Box 1707, Louisville, KY 40202.

Area Supervisor, Bureau of ATF, Room 101 Cathedral Building, 100 Cathedral Manor, Bardstown, KY. Mail: P.O. Box 9, Bardstown, KY 40004.
Authority for maintenance of the system: Five U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses include general reference to the records by supervisors when considering appropriate actions to take with regard to the individuals; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, a particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance or the letting of a contract, or to the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, or the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in locked file cabinets. Retrievability: The records are filed by the individual's name.

Safeguards: Direct access is limited to the Director and five employees of the Personnel Division. In addition to being stored in locked cabinets, the area in which these records are stored is locked during non-duty hours and the building is guarded by uniformed security police.

Retention and disposal: Records are retained until the employee leaves the Customs Service.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02210.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is furnished by the employee, employee's supervisor and the Federal Employee Appeals Authority.

Treasurer/Customs 00.002

System name: Accident Reports—Treasury/Customs.

System location: Logistics Management Division, Region IV, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Any employee of Region IV who has had an accident on Government property or in an official vehicle since 1973.

Category of records in the system: Standard Government forms dealing with accidents and personal injuries.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Treasurer/Customs 00.001

System location: Acceptable Level of Competence, Negative Determination—Treasury/Customs.

Categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those persons and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Files are made available to Headquarters for accumulation of statistics; (d) Each
A record of automobile accidents is turned over to Regional Counsel for determination of tort claims, liability by Federal Government or other party, etc.; (e) Available for personnel actions, if needed; (f) Disclosure to employee's beneficiary in case of death following the accident or injury to employee's agent in case of disability.

For additional routine uses see Appendix AA.

Categories of individuals covered by the system: Present and past employees.

Categories of records in the system: Information furnished by employee and/or supervisors regarding facts relating to accidents or injuries involving the employee. Sometimes includes doctor's statements and doctors' reports.

Retrievability: Each case is identified by employee name and date of accident.

Safeguards: The metal container described above is maintained within the area assigned to the Logistics Management Division within the Region IV Headquarters Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: Accident Record files are retained in accordance with the Records Disposal Manual.

System manager(s) and address: Director, Logistics Management Division, Region IV, Headquarters, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Report source categories: Information originates with employees who have been injured and/or have been involved in accidents during the exercise of their official duties. Also included are witness reports and statements, the employees' supervisors' statements and doctors' reports.

System name: Accident and Injury Report File—Treasury/Customs.

System location: Logistics Management Division, U.S. Customs Service, 211 Main Street, San Francisco, California 94105.

System name: Accounts Receivable-Treasury/Customs.

System name: Accounts Receivable Correspondence and Follow-Up—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

System name: Treasury/Customs 00.005.

System name: Accounts Receivable—Treasury/Customs.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act; (c) In the event that this system indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed to the personnel of the Financial Management Division to effect collections or refund overpayments for duties and services.

For additional routine uses see Appendix AA.

Polices and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case folder is maintained in a locked filing cabinet.

Retrievability: The file for each employee is placed according to when it is received during the calendar year. The name of the employee is shown on an index sheet on top of the file.

Safeguards: The files are contained within a lockable file cabinet within an office which is locked during non-working hours.

Retention and disposal: Active files are retained indefinitely. Files of deceased, retired, etc., employees are forwarded to U.S. Customs Region VIII Personnel Division for inclusion within the personnel's official personnel folder.

System manager(s) and address: Logistics Management Specialist, Logistics Management Division, U.S. Customs Service, 211 Main Street, San Francisco, California 94105.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

To employee's beneficiary in event of death following the accident or injury to employee's agent in case of disability.

For additional routine uses see Appendix AA.

Polices and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case folder is maintained in a locked filing cabinet.

Retrievability: The file for each employee is placed according to when it is received during the calendar year. The name of the employee is shown on an index sheet on top of the file.

Safeguards: The files are contained within a lockable file cabinet within an office which is locked during non-working hours.

Retention and disposal: Active files are retained indefinitely. Files of deceased, retired, etc., employees are forwarded to U.S. Customs Region VIII Personnel Division for inclusion within the personnel's official personnel folder.

System manager(s) and address: Logistics Management Specialist, Logistics Management Division, U.S. Customs Service, 211 Main Street, San Francisco, California 94105.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with employees who have been injured and/or have been involved in accidents during the exercise of their official duties. Also included are witness reports and statements, the employees' supervisors' statements and doctors' reports.

System name: Treasury/Customs 00.006.

System name: Accounts Receivable—Treasury/Customs.
System name: Accounts Receivable—Treasury/Customs.


Categories of individuals covered by the system: All individuals incurring Customs obligations (duties, taxes, fines, and penalties).

Categories of records in the system: Customs bills and related supporting documentation and correspondence.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Revenue Accounting Personnel to ascertain receivables and to determine appropriate follow up action to effect collection. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Rules maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Monthly hard copy printout by bill category, alphabetized within.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record access procedures: See Access, Customs Appendix A.

Record source categories: Headquarters Data Center.

System name: Accounts Receivable Files—Treasury/Customs.

System location: Financial Management Division—District and ports, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Importers and Brokers, Corporations, and Individuals.

Categories of records in the system: Names, Entry Number, Amounts Due (Reimbursement Name, Importer Number, Amounts Due.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Revenue Accounting Personnel to ascertain receivables and to determine appropriate follow up action to effect collection. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Monthly hard copy printout by bill category, alphabetized within.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record access procedures: See Access, Customs Appendix A.

Record source categories: Headquarters Data Center.

System name: Acting Customs Inspector (Excepted)—Treasury/Customs.


Categories of individuals covered by the system: Employees or members of other Federal agencies who are designated by the District Directors as Customs Inspectors (Excepted).

Categories of records in the system: System has name, social security number, rank or grade and duty station of the individual.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To expedite collection of money and to hold refunds. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored in cabinets.

Retrievability: Rolodex file maintained alphabetically refers to all cases.

Safeguards: Office locked.

Retention and disposal: Retained until case is closed and then destroyed.

System manager(s) and address: Regional Commissioner of Customs, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Newspapers, Regional Counsel, Customs-house Brokers.
Record source categories: Information is supplied by the individual and his or her agency.

*Treasury/Customs 00.010*

System name: Acting Customs Inspectors (Excepted)—Treasury/Customs.

System location: Inspection and Control Division, U.S. Customs Service, Region VI, 500 Dallas St., Suite 1240, Houston, Texas 77002.

Categories of individuals covered by the system: Those employees of other agencies (including military) within Region VI designated as Customs Inspectors.

Categories of records in the system: The name of individual, location, social security number, rank or grade, position and agency and date of designation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in a file cabinet which is filed in an unlocked metal file cabinet.

Retrieval: Each sheet is identified by the name of the designee. Records are retrieved in the name of the designee.

Retention and disposal: Active sheets are maintained in the file indefinitely. However, the system is reviewed every six months and outdated sheets are discarded.

System manager(s) and address: Director, Inspection and Control Division, U.S. Customs Service, Region VI, 500 Dallas St., Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Notification procedure: See Customs Appendix A.

System name: Acting Customs Officer (Excepted)—Treasury/Customs.

System location: In the office of all District Directors in Region IX, Chicago, Illinois. (See Customs Appendix A).

Categories of individuals covered by the system: Employees of other Government agencies who have been designated to act as Customs officers excepted.

Categories of records in the system: Names, social security numbers, rank or grade, Customs designation, position and agency or service, duty stations, and effective date. Customs Form 55.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended and the Customs Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records maintained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, having the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency maintaining a law, civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention or an employee, the issuance of a license, the granting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Maintain a list of those employees of other agencies who have met the minimum requirements of Acting Customs Inspectors and record of training for such designation. Maintain minimum requirements for primary and secondary inspection programs, policies, procedures, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper in manilla folder in a file cabinet.

Retrieval: By name of individual and duty station.

Safety measures: Locked file cabinets, buildings locked during non-working hours.

Retention and disposal: Indefinitely or until rescinded.

System manager(s) and address: Director, Port Directors, and Division Directors within the San Diego Customs District, according to the list that is attached as Customs Appendix A.

Notification procedure: See Customs Appendix A.

Access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Officers, employees, and officers of other Government agencies.

Treasury/Customs 00.012

System name: Acting Customs Officer (Excepted)—Treasury/Customs.

System location: In the office of all District Directors in Region IX, Chicago, Illinois. (See Customs Appendix A).

Categories of individuals covered by the system: Employees of other Government agencies who have been designated to act as Customs officers excepted.

Categories of records in the system: Names, social security numbers, rank or grade, Customs designation, position and agency or service, duty stations, and effective date. Customs Form 55.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in file folders in open file cabinets.

Retrieval: These forms are maintained in alphabetical order by name of employee.

Safety measures: The file cabinet is maintained in the offices or areas assigned to the District Directors. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Retention period is indefinite or until canceled, the expiration of transfer order, or other.

System manager(s) and address: All District Directors, as appropriate, in Region IX, Chicago, Illinois. (See Customs Appendix A).

Notification procedure: See Customs Appendix A.
Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Requests for designation by the agencies involved and memoranda certifying completion of training.

Treasury/Customs 00.013

System name: Acting Customs Officer (Exceptioned)—Treasury/Customs.

System location: U.S. CUSTOMHOUSE, P.O. Box 113, District Director's Office, St. Albans, Vermont 05478.

Categories of individuals covered by the system: Present employees of other agencies designated as Customs Officers (Exceptioned).

Categories of records in the system: Name, social security number, rank or grade, Customs designation, position and agency or service, duty station.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in the files is used in handling the request for advice and in preparing response to the request; (d) Information contained in the files may be used internally by the Regional Counsel and members of his staff insofar as it relates to precedent or guidelines for their handling of future cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders stored in filing cabinet.

Retrievability: Alphabetically by name.

Safeguards: These records are filed at the District Director's office under the supervision of District Director's secretary during working hours. The office is locked during non-working hours.

Retention and disposal: Retention period is indefinite or until canceled by reason of transfer or retirement.

System manager(s) and address: District Director, U.S. Customs Service, St. Albans, Vermont 05478.

Notification procedures: See Customs Appendix A.

Record access procedures: See Access, Customs Appendix A.

Record source categories: Information is furnished by the individual employee and his agency.

Treasury/Customs 00.014

System name: Advice Requests (Legal) (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Categories of individuals covered by the system: Individuals who are the subject of any request for legal advice by another office within Customs, another Government agency, or the private individual himself.

An example would be a request by a District Director for advice as to whether or not a violation of a Customs law for which a penalty may be assessed has occurred.

Categories of records in the system: Intra-agency or inter-agency memoranda and reports of investigation and other documents submitted by the requesting office for use in handling the request. Correspondence from the private individual submitting the request.

Authority for maintenance of the system: 5 U.S.C. 301; Reorganization Plan No. 1 of 1950; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1955.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in the files is used in handling the request for advice and in preparing response to the request; (d) Information contained in the files may be used internally by the Regional Counsel and members of his staff insofar as it relates to precedent or guidelines for their handling of future cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is indexed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified in a manual alphabetical card by the name of the individual whose records are contained in the file and in the alphabetical file folder within the metal file cabinet by the name of the individual who is the subject of the request.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Request for legal advice files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time some files may be transferred to the Federal Record Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Notification procedures: See Customs Appendix A.

Record source categories: Information which may concern the subject individual is sourced in the memoranda or correspondence from the office or individual requesting the advice and in any supporting documents that office or individual may transmit.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has provided exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.016

System name: Aircraft Ownership File—Treasury/Customs.


Categories of individuals covered by the system: Persons, partnerships, or corporations who are the registered owners of record of U.S. Customs.

Categories of records in the system: This file contains the name and address of the registered owner of the aircraft; the registration number, category and type of aircraft; and an indication of whether or not the aircraft is on record with the TECS system.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the records by Customs Officers to determine whether certain aircraft are in the Treasury/Customs system or are under enforcing laws and regulations relating to aircraft entering and leaving the United States; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of
records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, order, or other issuances under them. The records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violations of law, enforcing or administering the statutes or regulations, or issuing orders or licenses. The information may be used to determine whether certain aircraft are in the custody to the records by Customs Officers to determine whether certain aircraft are in the custody of the agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, and the issuance of a license, grant, or other benefit. A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information, or the custodian thereof, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Police and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information files are numerically filed in a looseleaf container within a security area.

Retrievability: The information is in the form of Micro-films and is retrieved by means of an alphabetical code identifier.

Safeguards: The information files are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are maintained for a period of three months at which time they are replaced with current information.

System manager(s) and address: Chief, Support Branch, U.S. Customs, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (d) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasurys/Customs 00.017

System name: Aircraft Registers—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, SAC 600 South Street, Room 444, New Orleans, LA. 70130; RA, 1719 West End Building, Rm. 303, Nashville, Tennessee 37203.

Categories of individuals covered by the system: Owners and co-owners of U.S. registered aircraft.

Categories of records in the system: Name indices of owners of U.S. registered aircraft and indices of co-owners of U.S. registered aircraft by aircraft registration number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(1) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the records and other uses to determine whether certain aircraft are in the TECS system and in enforcing laws and regulations relating to aircraft entering and leaving the United States.

(2) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552a); (c) Information contained in these microfiche files is provided for the routine use of the Office of Investigations of the Customs Service for the purpose of verifying information received from other sources in conjunction with investigations with which the Office of Investigations is concerned.

For additional routine uses see Appendix AA.

Police and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: This microfiche is maintained in a file folder in a metal cabinet. The cabinet is located in a room which is manned during normal office hours, and locked after hours.

Retrievability: This microfiche file is identified by alphabetical number of the categories of individuals maintained in that file and information is retrievable manually from these files.

Safeguards: These microfiche files are kept in a metal cabinet and are maintained within the room which is manned by Officer of Investigations personnel during normal office hours. During non-working hours the room in which the metal cabinet is located is locked and entrances to the office which contains that room are locked. Access to the building containing the office is controlled during non-working hours by a uniformed guard.

Retention and disposal: These files are retained indefinitely or until updated by more current records at which time the old records are disposed of by shredding.

System manager(s) and address: Special Agent in Charge, U.S. Customs Service, Office of Investigations, 600 South Street, Room 444, New Orleans, LA. 70130; Regional Agent, U.S. Customs Service, Office of Investigations, 1719 West End Building, Rm. 303, Nashville, Tenn. 37203.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (d) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempt and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasurys/Customs 00.021


System location: Office of the Director of Customs, Room 228, United States Customs Service, 335 Merchant Street, Honolulu, Hawaii 96813.

Categories of individuals covered by the system: Persons who are suspected of attempting to smuggle, or have smuggled, merchandise or contraband into the United States; individuals who have undervalued merchandise upon entry into the United States; vessels and aircraft which have been found to be in violation of Customs laws.

Categories of records in the system: Names of individuals, vessels, aircraft; identifying factors; nature of violation or suspected violation; circumstances surrounding violation or suspected violation; date and place of violation or suspected violation; and on-site disposition actions.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(1) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) The records are required in the administration of the Freedom of Information Act (5 U.S.C. 552a); (d) Enforcement by Customs Patrol Officers.

For additional routine uses see Appendix AA.

Police and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each report is assigned a case number and filed accordingly in a locked, metal file located in the office of the Director of Patrol.

Retrievability: Each report is identified in a manual alphabetical card file by the name of the individual, vessel or aircraft.

Safeguards: In addition to being stored in a locked metal cabinet, these records are located in a locked room, the keys of which are controlled and issued only to authorized personnel.

Retention and disposal: These records are retained for one year or until action has been completed.

System manager(s) and address: District Director of Customs, U.S. Customs Service, Post Office Box 1641, Honolulu, Hawaii 96806.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (d) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.
Treasury/Customs 09.022
System name: Attorney Case File—Treasury/Customs
System location: Office of Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.
Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or sub-units, or employees or officers thereof, in matters which affect or involve the U.S. Customs Service.
Categories of records in the system: Seizure reports, investigative reports, Customs forms and documents relative to the case, petitions for relief, decisions, other background information, and exhibits relating to the litigation, motions, orders, etc., filed in connection with the litigation; investigative reports relative to an individual's economic ability to pay a claim.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the routine use of records in the system may be disclosed as a "routine use" to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the law. You will be provided with adequate safeguards the identity of the routine users and the purposes of such uses; the routine uses of the records maintained in the system: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the routine use of records in the system may be disclosed as a "routine use" to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the law. You will be provided with adequate safeguards the identity of the routine users and the purposes of such uses.
Retrievability: By name or Social Security number of the person to whom the badge or gun has been issued.
Safeguards: The magnetic tape and computer equipment are maintained under physical and physical safeguards such as adequate security and access, guards patrolling the area, restricted access, and alarm systems. Print-outs are stored in locked metal file cabinets. The room where the cabinets are kept is locked during non-working hours. The building is patrolled by security police on a round-the-clock basis, and only authorized persons are permitted in the building.
Retention and disposal: The records in this system are kept for as long as the individual remains in possession of a badge or gun. Thereafter the record is erased from the tape. The print-outs are retained for two years and then destroyed.
System manager(s) and address: Director, Logistics Management Division, U.S. Customs Service, Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.
Notification procedure: See Customs Appendix A.
Contesting record procedures: See Access, Customs Appendix A.
Retrieval procedures: See Customs Appendix A.
System record categories: Information is recorded by the issuing officer at the time the badge or gun is issued.
Treasury/Customs 00.026
System name: Badge and Gun Report—Treasury/Customs
Categories of individuals covered by the system: Customs employees assigned to the Office of Investigations and the Office of Internal Security to whom badges and guns have been issued.
Categories of records in the system: Name, Social Security number, badge number, serial number of badge, date of issue
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the routine use of records in the system may be disclosed as a "routine use" to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the law. You will be provided with adequate safeguards the identity of the routine users and the purposes of such uses.
Retrievability: By name or Social Security number of the person to whom the badge or gun has been issued.
Safeguards: The magnetic tape and computer equipment are maintained under physical and physical safeguards such as adequate security and access, guards patrolling the area, restricted access, and alarm systems. Print-outs are stored in locked metal file cabinets. The room where the cabinets are kept is locked during non-working hours. The building is patrolled by security police on a round-the-clock basis, and only authorized persons are permitted in the building.
Retention and disposal: The records in this system are kept for as long as the individual remains in possession of a badge or gun. Thereafter the record is erased from the tape. The print-outs are retained for two years and then destroyed.
System manager(s) and address: Director, Logistics Management Division, U.S. Customs Service, Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.
Notification procedure: See Customs Appendix A.
Contesting record procedures: See Access, Customs Appendix A.
Retrieval procedures: See Customs Appendix A.
System record categories: Information is recorded by the issuing officer at the time the badge or gun is issued.
Treasury/Customs 00.027
System name: Badge Number File—Treasury/Customs
System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif., see Customs Appendix A.
Categories of individuals covered by the system: Customs officers who have been assigned badges.
Categories of records in the system: Names, Badge Numbers.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the routine use of records in the system may be disclosed as a "routine use" to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the law. You will be provided with adequate safeguards the identity of the routine users and the purposes of such uses.
Retrievability: By name or Social Security number of the person to whom the badge or gun has been issued.
Safeguards: The magnetic tape and computer equipment are maintained under physical and physical safeguards such as adequate security and access, guards patrolling the area, restricted access, and alarm systems. Print-outs are stored in locked metal file cabinets. The room where the cabinets are kept is locked during non-working hours. The building is patrolled by security police on a round-the-clock basis, and only authorized persons are permitted in the building.
Retention and disposal: The records in this system are kept for as long as the individual remains in possession of a badge or gun. Thereafter the record is erased from the tape. The print-outs are retained for two years and then destroyed.
System manager(s) and address: Director, Logistics Management Division, U.S. Customs Service, Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.
Notification procedure: See Customs Appendix A.
Contesting record procedures: See Access, Customs Appendix A.
Retrieval procedures: See Customs Appendix A.
System record categories: Information is recorded by the issuing officer at the time the badge or gun is issued.
violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation, for charging with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in connection with the hiring or retention of an employee; the issuance of a security clearance, the reporting of an investigation of an employee; the letting of a contract; or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders in file cabinet.
Retrievability: By name.

Safeguards: Available to authorized Customs personnel only.
Retention and disposal: Free entry declarations are retained for three years after the date on which they were made, and then destroyed. Retained declarations are retained for three years at the Port, seven years at the Federal Record Center, and then destroyed.

System manager(s) and address: District and Port Directors.
Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.
Contesting record procedures: See Access, Customs Appendix A.
Record source categories: The individual who files the baggage declaration.

TREASURY/CUSTOMS 00.030

System name: Bankrupt Parties-in-Interest—Treasury/Customs.
System location: Regional Headquarters in Region VI, U.S. Customs Service, 500 Dallas St., Suite 1260, Houston, Texas 77002.
Categories of individuals covered by the system: Individuals indebted to U.S. Customs.
Categories of records in the system: Listed by name, address, port of service, bill number, and dollar amount of delinquent receivables.

Authority for maintenance of the system: Public Law 89-508, the "Federal Claims Collection Act of 1966"; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Provide ready reference to officers and employees of the U.S. Customs Service in Region VI of parties-in-interest with past due accounts who have filed in bankruptcy court; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Cases are maintained in file folders at work site.
Retrievability: Alphabetical order by name.

Safeguards: These cases are placed in locked cabinets during non-working hours. The building is guarded by uniformed security police.
Retention and disposal: As satisfaction received, cases are closed.
Records are maintained per Records Control Manual FIS-4 No. 124.

System manager(s) and address: Director, Financial Management Division, 500 Dallas St., Suite 1265, Houston, Texas 77002.
Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.
Contesting record procedures: See Access, Customs Appendix A.
Record source categories: The source of information is obtained from individuals, bankruptcy courts, Customhouse brokers, and sureties.

TREASURY/CUSTOMS 00.031

System name: Bills Issued Files—Treasury/Customs.
System location: Regional Commissioner of Customs, Suite 1501, 55 East Monroe Street, Chicago, Illinois 60603.
Categories of individuals covered by the system: Individuals to whom bills have been issued.
Categories of records in the system: Correspondence received from or sent to individuals in relation to bills issued by the United States Customs Service.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury as may be required for the records in the system to be useful in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual records are stored in file folders in file cabinet.

Retrievability: Access by name of individual.

Safeguards: The file cabinet is maintained in the offices of the Regional Commissioner, Region VI, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access is limited to authorized personnel.

Retention and disposal: Correspondence file is maintained for a period of three years then destroyed.

System manager(s) and address: Regional Commissioner of Customs, Room JS01, 55 East Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Categories of records in the system: General biographical records are maintained on all employees for news release and public information purposes.

Categories of individuals covered by the system: General biographical information including home address, date and place of birth, educational background, work experience, honors and awards, hobbies, and other information.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses include release of information to the news media and for use in internal Customs publications; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is maintained in file cabinets in the Public Information Division at Customs Headquarters.

Retrievability: File folders are identified by the name and the person and are filed in alphabetical order.

Records in the office in which the records are located are locked during non-working hours and the building is guarded by uniformed guards.

Retention and disposal: Files are retained during the individual's tenure as an employee of the Customs Service, after which the files are destroyed.

System manager(s) and address: Director, Public Information Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Categories of records in the system: General biographical information including home address, date and place of birth, educational background, work experience, honors and awards, hobbies, and other information.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties and/or to cooperating Law Enforcement Agencies; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is maintained in file cabinets in the Public Information Division at Customs Headquarters.

Retrievability: File folders are identified by the name and the person and are filed in alphabetical order.

Records in the office in which the records are located are locked during non-working hours and the building is guarded by uniformed guards.

Retention and disposal: Files are retained during the individual's tenure as an employee of the Customs Service, after which the files are destroyed.

System manager(s) and address: Director, Public Information Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Categories of records in the system: General biographical information including home address, date and place of birth, educational background, work experience, honors and awards, hobbies, and other information.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in unsegmented files in the Order Office. They are not retained in the Order Office longer than necessary.

Retrievability: Any officer or employee of the Customs Service who has a need for the records and whose access thereto is authorized by the Commissioner of Customs or the District Director may request the records.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in unsegmented files in the Order Office. They are not retained in the Order Office longer than necessary.

Retrievability: Any officer or employee of the Customs Service who has a need for the records and whose access thereto is authorized by the Commissioner of Customs or the District Director may request the records.

For additional routine uses see Appendix AA.
System location: Located in the Office of the District Director, San Diego, Calif; Offices of the Port Directors, San Ysidro, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif.; see Customs Appendix A.

Categories of individuals covered by the system: Officers or owners, employees, associates of Customs Bonded Carriers; Lightermen; employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, a record from this system of records may be released, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as an "routine use" to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be released, as a "routine use," to the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (g) Maintain a current file relating to operations, bond status, possible violations, and employment history of individuals working for the Bonded Carrier. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained in a metal file cabinet in the office maintaining the system.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the licensed cartman or lightman and in the alphabetical file folder by the name of the licensed cartman or lightman. Each employee's record is filed in a manual alphabetical card file cross-referenced with company names.

Safeguards: The file is placed in a metal file cabinet at the work site. At locations where work is not performed on a 24-hour basis the work area is locked and only authorized persons are permitted in the building.

Retention and disposal: Files are reviewed at least once a year at which time cancelled I.D. cards may be removed. Closed CF 3078's may also be removed, but normally are held for approximately three years and then are transferred to another company after a new investigation.

System manager(s) and address: Port Directors, District Directors, and Regional Commissioners of the U.S. Customs Service. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Retaining record procedures: See Access, Customs Appendix A.

Record source categories: Customs Bonded Carriers' employees and correspondence.

System name: Cartmen or Lightermen—Treasury/Customs.

Categories of individuals covered by the system: Individuals and firms who have applied for or hold a license as a bonded cartman or lightman and individuals employed by cartmen or lightmen.

Categories of records in the system: Internal Customs Service memoranda and related materials regarding applications for licenses and identification cards, reports of investigations for approving these licenses and identification cards and cases files showing outstanding identification cards and their location. Files also include fingerprint cards.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Categories of records in the system: The System contains the individual's name, the type of case, the uniform filing guide number, the Regional Counsel's office file number, by whom the record was referred, the district where the action originated, if applicable.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the system are as follows: (a) Disclosures to the officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to retrieve files by attorneys and staff by use of the individual's name.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each alphabetical card is inserted in a metal file drawer.

Retrievability: Each card is identified alphabetically by the individual's name described in Category of Individual and the filing is alphabetical by last name.

Safeguards: The metal filing drawer containing the alphabetical cards described above is maintained within the area assigned to the Office of the Regional Counsel, Region IX at 55 E. Monroe Street, Chicago, Illinois. During normal working hours, the metal filing drawer is located in the building and access to the building is controlled at all times. Uniformed guards are stationed at the building entrance and exit.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing drawer at which time the oldest cards for closed files will be transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets, unable to be locked.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained on these cards originates with the initiation of any action by an individual which is channeled through the Regional Counsel's office. Additional information is identifying information for locating the particular case file relating to the court case, personnel action, tort claim, relief petition, or request under the Freedom of Information Act.

Treasury/Customs 00.043

System name: Case Files (Regional Counsel-Region V)—Treasury/Customs

System location: The system is located at 1440 Canal Street, New Orleans, Louisiana 70112, Office of the Regional Counsel, Region V, United States Customs Service.

Categories of individuals covered by the system: (1) The first category of individuals on whom records are maintained in the system includes employees who have filed adverse actions, equal employment opportunity complaints, and grievances within Region V; employees who have filed tort claims under the Military Personnel and Civilian Employees Act; employees of the Regional Counsel's staff with regard to travel, training, evaluations, and other related personnel records; and applications for employment submitted to the Office of the Regional Counsel by prospective employees; (2) The second category of individuals on whom records are maintained in the system includes those individuals not employed by the agency who have filed equal employment opportunity complaints; tort claims under the Federal Tort Claims Act; tort claims filed under the Small Claims Act; individuals who have submitted for collection; individuals, corporations, partnerships, and proprietors who have filed supplemental petitions on fines, penalties, and forfeitures within Region V; files relating to individuals, corporations, partnerships, and proprietors; and applications for positions in the Office of the Regional Counsel, Region V.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as appropriate, to the Attorney General, a state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as if it is necessary to obtain information relevant to an agency decision concerning the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as if it is necessary to obtain information relevant to an agency decision concerning the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Routine uses of the records contained in the system are for the processing and evaluation of complaints and claims submitted in adverse actions, equal employment opportunity complaints, grievances, tort claims, criminal investigations, civil investigations, collection efforts, employment actions, and personnel actions; (g) Routine uses include reference for the processing of claims; continuous updating of the records necessary for the processing of claims; references to the files for the satisfaction of claims and closing cases by or against the United States Customs Service within Region V; submission of copies of records to the General Services Administration and the Justice Department for purposes related to the administrative and criminal prosecution of criminal and other violations of federal laws; and the dissemination of copies of records to various branches of the United States Customs Service within Region V for the purposes of investigating and/or processing outstanding civil and criminal cases, collection cases, tort claims, and various other types of actions; (h) Routine uses include the processing of all of the above-cited claims by the Office of the Regional Counsel and the preparation of legal memoranda to various department heads and other agencies to expedite the ordinary course of business of the United States Customs Service within and without Region V.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are currently maintained in alphabetical file folders which are filed in two steel filing cabinets in the Office of the Regional Counsel, Region V, and they are maintained under lock and key outside the ordinary business hours.

Retrievability: Records maintained by the Office of the Regional Counsel, Region V, are retrievable by identifying the character of the record (i.e., adverse action, grievance, tort claim, criminal case), then by comparable statute or regulation, and then by alphabetically by name and identifier. In addition, each case file is similarly identified on the alphabetical file folder within the steel filing cabinet.

Safeguards: (a) The steel filing cabinets described above are maintained within the area assigned to the Office of the Regional Counsel, 1440 Canal Street, New Orleans, Louisiana 70112. During non-working hours the room in which the locked steel cabinets are located is locked. (b) All lockers are equipped with a combination lock. (c) The steel filing cabinet is protected by uniformed guards. (d) The policies and practices of the Office of the Regional Counsel regarding access controls are that only members of
the staff of the Office of the Regional Counsel have access to the records maintained by the office. Retention and disposal: (a) Records collected by this office are currently retained on a permanent basis as the office has only been in operation since August 1973. (b) Individual information in the possession of the office is maintained in a file maintained by the Office of the Regional Counsel, Region V, and are simply placed into a further file of closed cases by category as stated above, and within the system to the extent that the information is relevant and necessary to the requesting agency's decision on the security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or contract. (c) When appropriate, records maintained by the office will comply with appropriate disposal regulations whereby the oldest closed cases will be forwarded to the Federal Records Center in accordance with the Treasury Records Control Manual. 

System manager(s) and address: The agency official responsible for the management of this system of records is the Regional Counsel, Region V, United States Customs Service, 1440 Canal Street, New Orleans, Louisiana 70112.

Notification procedure: See Appendix A.

Record source categories: The categories of sources of records in this system are the individual himself and files compiled by the United States Customs Services by using employers, other government agency resources, financial institutions, educational institutions attended, and previous employers.

Additional information in these files is also derived from reports of investigation regarding the enforcement of civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal opportunity complaints, investigations of tort claims, the processing of inter-office memorandum information requested under the Freedom of Information Act, and the investigation regarding the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasvry/Customs 00.044

System name: Certificates of Clearance—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: All employees of Region I, Boston, Massachusetts who have transferred, retired or resigned.

Categories of records in the system: Documented detailed information on an in-house prepared form indicating that the employee has returned all Government property and money. The system is used to formulate recommendations, and is made available to the officials within the Customs Services who make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees' Claim Act of 1964 for damage to or loss of personal property incident to their service.

Authority for maintenance of the system: Current or former Customs employees who have filed, or may file claims under the Military Personnel and Civilian Employees' Claim Act of 1964 for damage to or loss of personal property incident to their service.

Routine uses of records maintained in the system, including categories of records, the purposes of such uses: The information contained in this file is utilized to formulate recommendations, and is made available to the officials within the Customs Services who make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees' Claim Act of 1964.

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is included alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified alphabetically in the file folder within the metal container by the name of the person who has filed or may file a claim.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files are kept for 10 years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant's supervisor. Where a claim is not
filed, the information is limited to the investigative reports of damage to or loss of personal property of a Customs employee.

Treasury/Customs 00.046

System name: Claims Case File--Treasury/Customs.

System location: Office of Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Parties who have filed claims—either for damage or injury against the Government, or against whom the Government has a claim for damage or injury in matters which affect or involve the U.S. Customs Service, private individuals or Government employees who are involved in the incident which gave rise to the claim.

Categories of records in the system: Reports relative to the circumstances of the claim (including accident reports provided by Customs personnel, agents’ investigative reports, correspondence between Customs employees and other interested parties), claims and related reports relative to an individual’s ability to pay a claim for damages.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation of Federal, State or local civil criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto; (d) A record in this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency maintaining control, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in file folders.

Retrievability: Records indexed by name of private individual making a claim or against whom a claim is made; cross-referenced file with name of Government employee, if any, involved.

Safeguards: (a) Open case files maintained in file cabinets with access by Regional Counsel and his staff only; closed case files maintained in locked cabinets with keys retained by Regional Counsel and staff only.

Retention and disposal: Retained indefinitely or until there is no longer any space available within metal cabinets, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files is received from U.S. Customs employees, reports of investigation, credit checks, private individuals involved in the claims, other Government agencies and other individuals with pertinent information.

System exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.047

System name: Claims Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, CA 94103.

Categories of individuals covered by the system: The categories included are: (1) Private persons filing claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment; (2) Current or former Customs employees filing claims under the Military Personnel and Civilian Employees’ Claims Act of 1964 for damage to or loss of personal property incident to their service; (3) Individuals against whom a claim is made for property damage caused by a wrongful or negligent act or omission on the part of the individual.

Categories of records in the system: Reports of investigation regarding claims filed, documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Revelation to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation of Federal, State or local civil criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto; (d) A record in this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency possessing control, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is indexed in a manual alphabetical file by the name of the person who filed the claim and in the alphabetical file folder within the metal file cabinet by the name of the person who filed the claim in the case of Categories 1 and 2 above and by the name of the individual against whom the Government has a claim in the case of Category 3.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked; access to the building is controlled at all times by uniformed guards.

Retention and disposal: Claim files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time the oldest files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, CA 94103.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in the Federal Tort Claim files originates with a Standard Form 335, Claim for Damage or Injury, which is completed and filed in the Customs Service by the claimant. Investigations are conducted by authorized Customs Service investigative personnel in order to determine the
facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

The information contained in the Military Personnel and Civilian Employees Claims Act files originates with a Treasury Department supervisor. Service Employees Claims Act files originates with a Treasury Department supervisor. Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Information contained in the files concerning property damage claims by the Government originates with a report by a Government employee of an incident or of facts from which it appears that the Government may be liable. Based upon the reports, investigations are conducted to determine the facts surrounding the claims. During the investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.048
System name: Claims for Automobile Accidents—Treasury/Customs.
System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.
Categories of individuals covered by the system: Persons involved in automobile accidents for which Customs is bearing the loss.
Categories of records in the system: Accident reports, estimate of damages, insurance company correspondence, statements of witnesses, scope of employment of motor vehicle operation, and copies of bills sent to debtors.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation of or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute or by regulation, rule, or order issued pursuant thereto the relevant records in the system of records may be released, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the Operating Accountant and Collection Section employees to collect claims resulting from damage to Customs vehicles.
For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Data is stored in file folders maintained in the office of the Revenue Accountant in a 4-drawer metal file under his physical security.
Retrievability: The file is retrieved by the name of the individual which is kept in alphabetical order.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed Security Police, and only authorized persons are permitted entry to the building.

Retention and disposal: The file is retained until collection is effectuated or referred to the Regional Counsel. The file is kept for three years, but may be destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.
Notification procedures: See Customs Appendix A.
Record source categories: The information in the system originates with a report by a Government employee of an incident or of facts from which it appears that the Government may be liable. Based upon the reports, investigations are conducted to determine the facts surrounding the claims. During the investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.049
System name: Claims (Receiveable and Payable)—Treasury/Customs.
System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.
Categories of individuals covered by the system: Persons who have presented claims for payments by Customs or one involved in debts due Customs.
Categories of records in the system: Documented detailed information concerning the claims or debts involved in each case and related financial data on individuals involved in the debt or claim.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation of or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be released, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local or foreign agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used by the Chief, Accounting Branch as a guide in information gathering to effect payment or collection.
For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Data is stored in file folders maintained in a metal file cabinet under the physical security of the Chief, Accounting Branch of the Financial Management Division.
Retrievability: The file is retrieved by the name of the individual on subject matter.
Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.
Retention and disposal: The files on unusual cases are kept indefinitely for reference; routine cases are destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system is obtained from the Personnel Department and the Payroll Data Center.

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18723

Treasury/Custons 00.266
System name: Collection File—Treasury/Custons.

System location: Office of the Regional Counsel, Suite 1220, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Individuals against whom the Customs Service has asserted monetary claims and maintains collection efforts, principally for damages done to Government property.

Categories of records in the system: Reports of investigation regarding damage done to Government property by private individuals and documents relating to the administrative determination and handling of the claim.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the U.S. Customs Service and a Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in this file is used to administratively process claims asserted by the Customs Service for damage done to government property by private individuals. The information is made available to those officials within the Customs Service who review and process such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the government's case when suit is filed in court because administrative collection efforts are unsuccessful.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retailling, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder within a metal container.

Retrievability: Each case file is identified in the alphabetical file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained with the area assigned to the Office of the Regional Counsel on the 12th Floor of 500 Dallas Street, Houston, Texas. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed security guards provided by the lessor.

Retention and disposal: These files are retained until closed at which time the closed files are transferred to the Division of Facilities Management, Office of the Regional Commissioner, Houston, Texas for ultimate transportation to the Federal Record Center.

System manager(s) and address: Regional Counsel, Suite 1220, United States Customs Service, 500 Dallas Street, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these records will consist of a card index of the names, titles, and organization of community leaders.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) To provide an evaluation of the Regional equal employment opportunity posture; (b) To provide planning for an affirmative action program and to assist in evaluating and monitoring progress in the equal employment opportunity program area; (c) To disclose to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (d) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retailling, and disposing of records in the system:

Storage: To be prepared in an alphabetical card listing and filed in a metal file cabinet.

Retrievability: To be listed and filed alphabetically.

Safeguards: The metal file cabinet described above will be maintained in the area assigned to the Equal Employment Opportunity Program Director. During non-working hours this office area is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: To be useful, this information file must be kept current. Non-current files will be destroyed locally.

System manager(s) and address: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas St., Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information to be included in these records will be obtained from local agencies (city, county, state, and Federal) and from local civic organizations.

Treasury/Custons 00.050
System name: Community Leader Survey—Treasury/Custons.


Categories of individuals covered by the system: The names, titles, and organization of persons who may be construed to be occupying a community leadership role and who may be in a position to furnish information or have some influence in regard to the equal employment opportunity program area.

Categories of records in the system: These records will consist of a card index of the names, titles, and organization of community leaders.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) To provide an evaluation of the Equal employment opportunity posture; (b) To provide planning for an affirmative action program and to assist in evaluating and monitoring progress in the equal employment opportunity program area; (c) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (d) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.
the Office of Investigations or Office of Management Integrity in connection with civil or criminal investigations and in connection with payments made for information received. Stringent controls are placed upon access to files, even among Office of Investigations or Office of Management Integrity personnel. In extremely rare and unusual situations, information with full source concurrence may be supplied to such organizations as Federal, state, and/or other law enforcement or prosecutorial agencies as the demands of law or justice might require.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in locked cabinets. Access during working hours is limited to authorized personnel.

Safeguards: In addition to being stored in secure metal cabinets with government approved locks, the files are located in closely watched rooms of the Office of Investigations and the Office of Management Integrity. The name of each source is filed in both alphabetical order and by locating of the submission office.

Retention and disposal: Indefinite retention periods have been established for all records contained in the file. The Office of Investigations destroys a file when it no longer has any utility by either shredding or burning; the Office of Management Integrity reviews files annually for relevance and necessity, and affords access only after having been cleared by a full field investigation. During non-working hours the rooms in which the records are located are locked and access to the building is controlled by uniformed security guards.

Retention and disposal: Indefinite retention periods have been established for all records contained in the file. The Office of Investigations destroys a file when it no longer has any utility by either shredding or burning; the Office of Management Integrity reviews files annually for relevance and necessity, and affords access only after having been cleared by a full field investigation. During non-working hours the rooms in which the records are located are locked and access to the building is controlled by uniformed security guards.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Records are kept in locked cabinets. Access during working hours is limited to authorized personnel.

Safeguards: In addition to being stored in secure metal cabinets with government approved locks, the files are located in closely watched rooms of the Office of Investigations and the Office of Management Integrity. The name of each source is filed in both alphabetical order and by locating of the submission office.

Retention and disposal: Indefinite retention periods have been established for all records contained in the file. The Office of Investigations destroys a file when it no longer has any utility by either shredding or burning; the Office of Management Integrity reviews files annually for relevance and necessity, and affords access only after having been cleared by a full field investigation. During non-working hours the rooms in which the records are located are locked and access to the building is controlled by uniformed security guards.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in locked cabinets. Access during working hours is limited to authorized personnel.

Safeguards: In addition to being stored in secure metal cabinets with government approved locks, the files are located in closely watched rooms of the Office of Investigations and the Office of Management Integrity. The name of each source is filed in both alphabetical order and by locating of the submission office.

Retention and disposal: Indefinite retention periods have been established for all records contained in the file. The Office of Investigations destroys a file when it no longer has any utility by either shredding or burning; the Office of Management Integrity reviews files annually for relevance and necessity, and affords access only after having been cleared by a full field investigation. During non-working hours the rooms in which the records are located are locked and access to the building is controlled by uniformed security guards.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in locked cabinets. Access during working hours is limited to authorized personnel.

Safeguards: In addition to being stored in secure metal cabinets with government approved locks, the files are located in closely watched rooms of the Office of Investigations and the Office of Management Integrity. The name of each source is filed in both alphabetical order and by locating of the submission office.

Retention and disposal: Indefinite retention periods have been established for all records contained in the file. The Office of Investigations destroys a file when it no longer has any utility by either shredding or burning; the Office of Management Integrity reviews files annually for relevance and necessity, and affords access only after having been cleared by a full field investigation. During non-working hours the rooms in which the records are located are locked and access to the building is controlled by uniformed security guards.
thereto; (d) All financial statements are to be reviewed for an indication of any conflict of interest or apparent conflict of interest as defined in the Federal, Treasury, and Customs Personnel Manuals.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked safe.

Retention and disposal: Records are destroyed two (2) years after employee leaves a position in which a statement is required, or two (2) years after the employee leaves the agency, whichever is earlier.

System managers and addresses: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229, or appropriate Regional Principal Field Officer.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individuals required to submit Form TD 3087.

Treasury/Customs 00.055

System name: Congressional and Employment Correspondence—Treasury/Customs.


Categories of individuals covered by the system: Records are maintained in this system on individuals who have written to their Congressman or directly to Customs requesting assistance in resolving problems and/or securing employment.

Categories of records in the system: Incoming letters and the agency’s reply and related materials.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine use of records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) To maintain a record of public inquiries and complaints received by the Division, to determine priority for responding to inquiries and complaints; and to provide quick retrieval of such correspondence; to ensure timely response to inquiries and complaints; and to prepare responses to inquiries and complaints.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Correspondence records are maintained on 3 x 5 index cards. The cards are placed within a 6 x 9 metal file box, which is kept in an unlocked desk drawer. The correspondence files themselves are placed in legal and letter size metal file cabinets which are kept in a locked file room.

Retrievability: Correspondence records are identified by the name of the person making inquiry or complaint. The cards are then filed alphabetically.

Safeguards: Access to the file is granted only to authorized Customs personnel. During non-working hours the room in which the records are located is locked and access to the building is controlled by uniformed security police.

Retention and disposal: The records are maintained indefinitely.


Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained in this record system is obtained from the correspondence of the individual making the inquiry or complaint and from investigations and/or inquiries into complaints conducted by Customs Service personnel which include interviews of involved Customs employees and private individuals or others witnesses to the incident in question.

Treasury/Customs 00.057

System name: Container Station Operator Files—Treasury/Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois. See Customs Appendix A.

Categories of individuals covered by the system: Present and past container station operators and employees that require an investigation and related information.

Categories of records in the system: Report of investigations, applications, and/or building in which the station operator works.

Categories of records in the system: Applications and/or building in which the station operator works.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Customs Regulations, Part 19.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in file cabinets in each District Director’s office within Region IX, Chicago, Illinois.

Retrievability: Each file is identified by the name of the container station operator.

Safeguards: The file cabinets are maintained within the area, and/or building in which the file cabinet is located is locked.

Retention and disposal: These files are retained indefinitely. Employee name data retained for period of employment with container station operator.
Situations when demands of law or justice require that information be exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

System name: Counseling Reports—Treasury/Customs.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine use of the records contained in this system of records are as follows: (a) To gather information to prove or disprove complainant's allegation and to resolve complaint informally, if possible; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in a locked metal file cabinet drawer.

Retrievability: Each case file is identified on the alphabetical file folder within the metal file drawer.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Equal Employment Opportunity Officer. During non-working hours the office area is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer adequate local storage space at which time they are transferred to the Federal Records Center at Ft. Worth, Texas.

System manager(s) and address: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas Street, Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.


Categories of individuals covered by the system: Present and past employees who have received counseling service offered by the Equal Employment Opportunity Officer or a designated counselor.

Categories of records in the system: Internal Customs Service memoranda, notes, excerpts from the official personnel file and other information pertinent to the counseling session(s).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine use of the records contained in this system of records are as follows: (a) To gather information to prove or disprove complainant's allegation and to resolve complaint informally, if possible; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in a locked metal file cabinet drawer.

Retrievability: Each case file is identified on the alphabetical file folder within the metal file drawer.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Equal Employment Opportunity Officer. During non-working hours the office area is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer adequate local storage space at which time they are transferred to the Federal Records Center at Ft. Worth, Texas.

System manager(s) and address: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas Street, Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.


Categories of individuals covered by the system: Present and past employees who have received counseling service offered by the Equal Employment Opportunity Officer or a designated counselor.

Categories of records in the system: Internal Customs Service memoranda, notes, excerpts from the official personnel file and other information pertinent to the counseling session(s).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine use of the records contained in this system of records are as follows: (a) To gather information to prove or disprove complainant's allegation and to resolve complaint informally, if possible; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in a locked metal file cabinet drawer.

Retrievability: Each case file is identified on the alphabetical file folder within the metal file drawer.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Equal Employment Opportunity Officer. During non-working hours the office area is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer adequate local storage space at which time they are transferred to the Federal Records Center at Ft. Worth, Texas.

System manager(s) and address: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas Street, Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

to Treasury and Customs employees who have need for the records in the performance of their duties and disclosures under the Freedom of Information Act.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified by the name of the person against whom the Government has initiated the litigation, or by the name of the person who initiated the litigation against the Government.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the New Federal Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest closed files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 7422, United States Customs Service, 300 N. Los Angeles St., Los Angeles, California 90053.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a request by a District Director to the appropriate United States Attorney that he institute suitable judicial action to enforce the laws of commerce and public safety, or the value thereof, which had been imported or used in violation of the Customs laws, and upon which final administration action has taken place. Information in this file also originates with the filing of a complaint by a private person against the Government, and by the filing of a complaint by the Government against private persons or former employees to enforce the collection of debts due the Government. Information in this file is also derived from reports of investigations regarding the enforcement of civil or criminal statutes and denial of tort claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (i) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasu/Customs 00.062

System name: Court Case Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, S.F. CA 94103.

Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or subunits or employees or officers thereof, in matters which affect or involve the United States Customs Service.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.


Routine use of records maintained in the system, including categories of users and the purposes of such uses: The routine use of the records maintained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Foreign Corrupt Practices Act (5 U.S.C. 552); (c) Information contained in these files is routinely provided to the Department of Justice or an individual United States Attorney which results in a written report to that office or to U.S. Attorney regarding the facts of the particular case. Information in this file is also derived from reports of investigations regarding civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal employment opportunity complaints, denials of tort claims, denials of information requested under the Freedom of Information Act, and the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasu/Customs 00.063

System name: Court Docke/Records System—Treasury/Customs.


Categories of individuals covered by the system: Persons either suing or being sued by the U.S. Customs Service in civil actions for damages; recovery or enforcement of obligations to U.S. Customs, including duties, fines, penalties, forfeitures, seizures; reversal of adverse personnel actions; and any other proceeding in U.S. District Courts and U.S. Circuit Courts of Appeal involving U.S. Customs Service in their official capacity, or persons accused of violation of laws enforced by the United States Customs Service.

Categories of records in the system: Court pleadings, litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.


Routine use of records maintained in the system, including categories of users and the purposes of such uses: The routine use of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these files is routinely provided to the Department of Justice or an individual U.S. Attorney upon request to assist that Department or individual U.S. Attorney in representing the interests of the Government, the agency or officer or employee involved in the litigation, or to other agencies involved in the same or similar litigation. Files are often channeled through the office of the Chief Counsel of Customs and the Treasury Department; (d) Information contained in these files may also be used internally by the Regional Counsel or members of his staff insofar as it relates to precedent or guidelines for handling subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the litigation against the Government, agency, officer or employee or by the name of the person against whom the Government initiated the litigation. In each case file is identified in the alphabetical file folder within the metal file cabinet.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Regional Counsel at 211 Main Street, San Francisco. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time some files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, United States Customs Service, 211 Main Street, San Francisco, Calif. 94105.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of a written request from the Department of Justice or an individual United States Attorney which results in a written report to that Department or U.S. Attorney regarding the facts of the particular case. Information in this file is also derived from reports of investigations regarding civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal employment opportunity complaints, denials of tort claims, denials of information requested under the Freedom of Information Act, and the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasu/Customs 00.063

System name: Court Docke/Records System—Treasury/Customs.


Categories of individuals covered by the system: Persons either suing or being sued by the U.S. Customs Service in civil actions for damages; recovery or enforcement of obligations to U.S. Customs, including duties, fines, penalties, forfeitures, seizures; reversal of adverse personnel actions; and any other proceeding in U.S. District Courts and U.S. Circuit Courts of Appeal involving U.S. Customs Service in their official capacity, or persons accused of violation of laws enforced by the United States Customs Service.

Categories of records in the system: Court pleadings, litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.


Routine use of records maintained in the system, including categories of users and the purposes of such uses: The routine use of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these files is routinely provided to the Department of Justice or an individual U.S. Attorney upon request to assist that Department or individual U.S. Attorney in representing the interests of the Government, the agency or officer or employee involved in the litigation, or to other agencies involved in the same or similar litigation. Files are often channeled through the office of the Chief Counsel of Customs and the Treasury Department; (d) Information contained in these files may also be used internally by the Regional Counsel or members of his staff insofar as it relates to precedent or guidelines for handling subsequent cases.
state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed to a Federal, state, or local law enforcement or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the listing of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the listing of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Information contained in this file is provided as a routine use to the Department of Justice to assist that department in representing the interest of the Government, the agency or officer involved in the litigation, or to other agencies involved in the same or similar litigations.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet container.

Retrievability: Each case file is identified in a master alphabetical card file by the name of the plaintiff in a proceeding against the U.S. Government, Customs Service, and/or employee, or by the name of the defendant in proceedings brought by the Government. In addition, each case file is similarly identified on the file folder with the alphabetical number on the file which is placed and located within the metal container.

Safeguards: The metal file drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX, at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Services Administration, 105 10th Street, S.W., Washington, D.C. 20402, in accordance with the U.S. Customs Service Records Disposal Manual.


Categories of individuals covered by the system: Headquarters Customs Service Employees to whom national gasoline credit cards and Hertz and Avis automobile rental cards have been issued.

Categories of records in the system: Credit card numbers, names, and signatures of employees to whom credit cards have been issued, and the date of issuance.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include: maintaining an inventory of gasoline credit cards and automobile rental cards which are assigned to high level Customs Service officials or which are issued on a one transaction basis to other Customs Service officials or employees for their use in connection with the performance of their official duties; ensuring accountability for the cards issued; and providing a means for collecting issued cards when they are no longer needed by the officers or employees to whom they were issued; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in their entirety may be released to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on index cards which are placed in a locked metal file cabinet within one office. Retrievability: A portion of the index cards are identified by the name of the person to whom the credit card was issued in the case of credit cards which have been permanently assigned to higher level Customs Service officers and such index cards are filed alphabetically. The remainder of the index cards relate to credit cards which are issued to Customs Service employees or officers on a one transaction basis, and these index cards are filed by the applicable credit card number.

Safeguards: The metal cabinet in which the index cards are placed is locked, and the room in which the metal cabinet is located is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted in the building.

Retention and disposal: Index cards filed alphabetically by name are retained during the period that the officials named thereon are in possession of the credit cards, and these index cards are disposed of when the officials are no longer in possession of the credit cards. Index cards filed by credit card number are retained until all signature lines have been used, at which time these index cards are discarded.

System location: One or more offices of District Directors of Customs or suboffices under the District Director's jurisdiction (for addresses of District Directors, see Customs Appendix 60602).

Categories of individuals covered by the system: District Directors of Customs or suboffices under the District Director's jurisdiction (for addresses of District Directors, see Customs Appendix A).
Categories of records in the system: Name, identifying number, birthdate, address, citizenship, visa date and place, immigration alien number, kinds and amounts of monetary instruments, address in the United States, identity document, passport number and country, and arrival or departure information.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such duties include referencing and verifying currency declarations filed; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The Form 4790 is maintained in a file folder or binder in an open storage rack.

Retrievability: They are indexed and filed by name in the folder or binder.

Safeguards: The office and building are locked during nonworking hours.

Retention and disposal: The records are retained from one to five years and then destroyed.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Licensed customhouse brokers.

Categories of records in the system: Internal Customs Service memoranda and related material regarding proposed administrative disciplinary action against customhouse brokers for violation of the regulations governing the conduct of their business.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

System name: Licensed customhouse brokers.


System name: Customhouse Brokers File, Chief Counsel—Treasury/Customs.


CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM: LICENSED CUSTOMHOUSE BROKERS.

CATEGORIES OF RECORDS IN THE SYSTEM: INTERNAL CUSTOMS SERVICE MEMORANDA AND RELATED MATERIAL REGARDING PROPOSED ADMINISTRATIVE DISCIPLINARY ACTION AGAINST CUSTOMHOUSE BROKERS FOR VIOLATION OF THE REGULATIONS GOVERNING THE CONDUCT OF THEIR BUSINESS.


ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES: THE ROUTINE USES OF THE RECORDS CONTAINED IN THIS SYSTEM OF RECORDS ARE AS FOLLOWS: (A) DISCLOSURE TO THOSE OFFICERS AND EMPLOYEES OF THE CUSTOMS SERVICE AND THE DEPARTMENT OF THE TREASURY WHO HAVE A NEED FOR THE RECORDS IN THE PERFORMANCE OF THEIR DUTIES; (B) DISCLOSURES REQUIRED IN ADMINISTRATION OF THE FREEDOM OF INFORMATION ACT (5 U.S.C. 552); (C) IN THE EVENT THAT THIS SYSTEM OF RECORDS IS PROPOSED TO BE EXEMPTED AND THE JUSTIFICATION FOR THE EXEMPTION IS CONTAINED IN A GENERAL NOTICE WHICH APPEARS ELSEWHERE IN THE FEDERAL REGISTER.
Record source categories: The information contained in these files originates from audits of or investigations into the conduct of customhouse brokers’ businesses which, include review of customhouse brokers’ business records and interviews of customhouse brokers personnel, private parties, customers, and employees of customhouse brokers. The audits or investigations result in recommendations from appropriate Customs Service field personnel regarding possible disciplinary action against customhouse brokers which in turn result in the information contained in these files which consists of evaluations of the recommendations and the proposed disposition of the cases.

Systems exempted from certain provisions of the act: The Commissioner of Customs may exempt under section 552a of the act any system of records from the provisions of section 552a to the extent that he determines that the exemption is necessary to prevent the detection or exposure of violations of law, whether civil; criminal, or regulatory in nature, and whether arising in connection with the enforcement and administration of the Internal Revenue Code or the regulations promulgated pursuant to section 6103 of the Code; any system of records from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

**TREASURY/CUSTOMS 00.070**

**System name:** Customhouse Brokers, Headquarters Records—Treasury/Customs.

**System location:** Entry and Licensing Branch, Entry Procedures and Penalties Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

**Categories of individuals covered by the system:** Citizens of the United States, 21 years of age or older, who have been granted individual customhouse brokers’ license for specific U.S. Customs Districts and such individuals who have applied for an individual broker’s license which has been denied for cause other than failure to pass the qualifying individual customhouse brokers’ license examination.

**Categories of records in the system:** The records system contains executed individual customhouse brokers’ license application forms, report of investigation concerning individual broker applicants, transmittal letters, and general correspondence correlative of information disclosed in an individual’s license application or report of investigation as to the applicant’s character and business integrity. The records system also contains information relating to actual or proposed disciplinary action against licensed customhouse brokers.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto. (c) Processing of individual applications for individual customhouse brokers’ licenses; processing of corporation, partnership, and association brokers’ licenses; approval of use of trade or fictitious brokers’ names; determination of centralization of licensed customhouse brokers for verification and updating of names, addresses, place of employment, district where licensed, and compliance with regulatory requirements of duties and obligations of customhouse brokers; determination of centralization of central licensed customhouse brokers for verification and updating of names and addresses of corporate, association, or partnership licensed as a broker have not less than two officers or members licensed as individual brokers; and the availability of file information to verify licensing of customhouse brokers and to provide data or information upon request to the Federal Maritime Commission, the Civil Aeronautics Board, an appropriate U.S. Attorney’s office, or other Federal agency.

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each file is kept in an alphabetical file folder in metal file cabinets.

Retrieval/Referability: Each file is indexed in a file card system by alphabetical name and Customs District where located. Records are retrieved alphabetically by reference to the card file index, or by license certificate number assigned to a broker upon the granting and issuance of a customhouse broker’s license. Cancelled brokers’ records are arranged in cancelled file alphabetically.

Safeguards: Direct access to the files is limited to Customhouse employees assigned to processing and reviewing Customhouse brokers’ license applications. At least one employee of the Entry and Licensing Branch is present in the office at all times during working hours. At all other times the room is locked. The building is guarded by security police on a round-the-clock basis, and only authorized persons are permitted in the building.

Retention and disposal: Cancelled Customhouse brokers’ records are retained in cancelled files and used for precedent purposes. The records are maintained indefinitely.

**TREASURY/CUSTOMS 00.071**

System name: Customhouse Brokers Records—Treasury/Customs.

System location: Customs regional, district and port offices. See Customs Appendix A.

Categories of individuals covered by the system: Individuals and firms who have applied for or hold status as a licensed customhouse broker and individuals employed by licensed customhouse brokers.

Categories of records in the system: License applications, internal Customs memoranda and related materials regarding background investigations, complaints, names, addresses, social security numbers, dates and places of birth, investigative reports, telephone numbers, credit information and other related business data. Information on disciplinary action and legal actions regarding the customhouse broker.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) In order to carry out the authority to discipline customhouse brokers for violation of regulations promulgated pursuant to 19 U.S.C. 1641, information in this file is used to recommend to the Commissioner of Customs that disciplinary action be initiated or to recommend that it used to prepare written reports of findings to brokers and to prepare proposed charges in connection with recommendations that proceedings be held looking toward the suspension or revocation of brokers’ licenses. Information in these files is also used in connection with administrative proceedings looking toward the suspension or revocation of licenses. (d) In addition, information contained in these files is provided to the Department of Justice for its use in connection with appeals from orders resulting in the suspension or revocation of licenses.

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The records are maintained in file folders in drawers and metal file cabinets.

Retrievability: The records are filed either alphabetically or chronologically with each card identified by the name of the individual to whom the badge is issued and these cards are filed in numerical sequence based on the number assigned to the badge.

Safeguards: Offices in which the records are maintained are locked during non-working hours. Office buildings are locked and/or patrolled by uniform guards during non-working hours.

Retention and disposal: Records are maintained indefinitely or as long as the customhouse broker retains an active license.

System manager(s) and address: Chief, Headquarters Support Branch, Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Record access procedures: See Customs Appendix A.

System location: Located in the Personnel Management Division of each Region and Headquarters, and in District, Port, and appropriate post of duty offices. (See Customs Appendix A).

System name: Customs Officer Badge Inventory—Treasury/Customs.

Categories of records covered by the system: Customs employees on whom discipline is pending or has occurred.

Verification requirements of users and the purposes of such uses: The routine uses of the records covered by this system are as follows: (a) Keeping track ofudds and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include maintaining administrative control over badges, providing for accountability for badges, and preventing a means for records from badges from officers who are leaving the Customs Service or who no longer have a need for the badges. (b) Ascertaining required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) To the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (d) To meet any other legal obligations.

Retrievability: The records are filed either alphabetically or chronologically with each card identified by the name of the individual to whom the badge is issued and these cards are filed in numerical sequence based on the number assigned to the badge.

Safeguards: Offices in which the records are maintained are locked during non-working hours. Office buildings are locked and/or patrolled by uniform guards during non-working hours.

Retention and disposal: Records are maintained indefinitely or as long as the customhouse broker retains an active license.

System manager(s) and address: Chief, Headquarters Support Branch, Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

System location: Located in the Personnel Management Division of each Region and Headquarters, and in District, Port, and appropriate post of duty offices. (See Customs Appendix A).

System name: Disciplinary Action and Resulting Grievance or Appeal Case Files—Treasury/Custums.

Categories of records covered by the system: Customs employees on whom discipline is pending or has occurred.

Verification requirements of users and the purposes of such uses: The routine uses of the records covered by this system are as follows: (a) Keeping track ofudds and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include maintaining administrative control over badges, providing for accountability for badges, and preventing a means for records from badges from officers who are leaving the Customs Service or who no longer have a need for the badges. (b) Ascertaining required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) To the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (d) To meet any other legal obligations.

Retrievability: The records are filed either alphabetically or chronologically with each card identified by the name of the individual to whom the badge is issued and these cards are filed in numerical sequence based on the number assigned to the badge.

Safeguards: Offices in which the records are maintained are locked during non-working hours. Office buildings are locked and/or patrolled by uniform guards during non-working hours.

Retention and disposal: Records are maintained indefinitely or as long as the customhouse broker retains an active license.
Authority for maintenance of the system: 5 U.S.C. 552; U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service who have a need to know such records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosures to the Department of Justice and/or United States Attorney in any litigation arising from any decision regarding the disclosure of information. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the person requesting disclosure.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customshouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Customshouse broker files are retained indefinitely or until there is no longer any space available for them within the metal filing cabinet at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customshouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record source categories: Supervisors and supervisory records and notes; evidentiary materials supporting planned, proposed, or accomplished actions; grievance letters submitted by employee, grievance examiner, etc.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (i) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasary/Customs 00.079

System name: Disclosure of Information File—Treasary/Customs.


Categories of individuals covered by the system: Requesters under the Freedom of Information Act.

Categories of records in the system: Correspondence containing the individual's name, address, and whatever information deemed necessary to locate record being sought if that record is of a personal nature. Information will also include whatever records relating to that individual are sought and ultimately are gathered for use in denying or granting the Freedom of Information Act request.

Authority for maintenance of the system: 5 U.S.C. 552; U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service who have a need to know such records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that the U.S. system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records, in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent such information is relevant and necessary to the requesting agency's decision or matter. Information otherwise maintained in this file is used by the attorneys in the Regional Counsel's office in determining whether to recommend granting or denial of the request made pursuant to the Freedom of Information Act. Litigation regarding the Freedom of information request will require disclosure of the information to the Department of Justice and the appellate level before this step would require information to be disclosed to the Department of the Treasury. Information disclosed to the Department of Justice is often routed through the Department of the Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the person requesting disclosure.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customshouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Customshouse broker files are retained indefinitely or until there is no longer any space available for them within the metal filing cabinet at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customshouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.
Treasury Department, or any agency of the Government if the record sought and ultimately placed within the file is within the possession and control of the U.S. Customs Service, Region IX.

However, the only information placed within these files is that information being sought by the individual making the request and the information supplied by the requester. The information being sought, and therefore filed, could be from any of the following, given as examples: present or former Customs employees, private parties, corporations, other Government agencies as outlined above, credit bureaus, past employers, official Government or other forms filed by any entity or person, confidential informers, foreign countries or officials thereof, and foreign citizens.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.080

System name: Disclosure of Information Request Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, Ca. 94105.

Categories of individuals covered by the system: Individuals who have submitted requests for disclosure of information contained within files or records of the Customs Service.

Categories of records in the system: Letters and other documents submitted by the individual requesting disclosure, and documents relating to the internal review and consideration of the request for disclosure and the decision thereon.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965; 31 CFR 1.5 and Appendix C; 5 U.S.C. 552(a)(3); 19 CFR Part 103.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552), (c) Information contained in the files is used to process requests for disclosure and is made available to the officials within the Customs Service who review recommendations and who make final decisions with respect to them; (d) Information contained in an individual file may be made available to officials within the Customs Service responsible for considering and making a final decision with respect to any appeal from a decision denying disclosure in that case; (e) Information contained in the files may also be used internally by the Regional Counsel and members of his staff as it relates to precedent or guide lines for their handling of subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet. Retrieval: Each case file is identified in a manual alphabetical card file by the name of the requester and in the alphabetical file folder within the metal file cabinet by the name of the requester. Safeguards: The metal file cabinets described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards. Retention and disposal: Disclosure of information files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, Ca. 94105.

Retrival procedure: See Customs Appendix A.

Record source categories: Information contained in these files originates with the individual requesting for disclosure of information. Records are searched for the information which is the subject of the request, and advice and recommendations with respect to the information and its disclosure may be elicited and received from offices within Customs, other agencies, or private individuals concerned with the information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.081

System name: Dock Passes—Treasury/Customs.

System location: District Director's office, U.S. Customs Service, 228 Federal Bldg., 335 Merchant Street, Honolulu, Hawaii.

Categories of individuals covered by the system: Consulate staff members, brokers, private individuals, etc.

Categories of records in the system: Form lists following information: pass no.; port; date of issue; name of individual; organizational affiliation; expiration date of pass; and vessel name.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Copies of each pass issued is retained for record purpose and a log maintained showing name of holder of annual dock pass. Disclosure to Customs and Treasury officers and employees who have a need for the records in the performance of their duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 3 x 5 card box loose leaf binder.

Retrievability: By name.

Safeguards: Building locked during non-working hours. Retention and disposal: Retained until expiration.

System manager(s) and address: District Director, U.S. Customs Service, 228 Federal Bldg., 335 Merchant Street, Honolulu, Hawaii.

Notification procedure: See Customs Appendix A.

Record access procedure: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individual applicants.

Treasury/Customs 00.277

System name: Drivers License File—Treasury/Custums.

System location: Logistics Management Division, U.S. Customs Service 211 Main Street, San Francisco, Ca. 94105.

Categories of individuals covered by the system: Present and past employees.

Categories of records in the system: Personal information furnished by employee before being issued a government drivers license. It may also include information furnished to the Driver Register Service if they have any information on the individual.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552), (c) Information contained in the files is used to process requests for disclosure and is made available to the officials within the Customs Service who review recommendations and who make final decisions with respect to them; (d) Information contained in an individual file may be made available to officials within the Customs Service responsible for considering and making a final decision with respect to any appeal from a decision denying disclosure in that case; (e) Information contained in the files may also be used internally by the Regional Counsel and members of his staff as it relates to precedent or guide lines for their handling of subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Standard Form 47 (physical fitness inquiry for motor vehicle operators) and Treasury Form 2770 (application for motor vehicle operator's ID card and operator's record) These forms are kept in a desk file.

Retrievability: Each set of forms for a particular employee is numbered and those numbers are shown on an index sheet pertaining to the particular employee.
System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system originates from the employee, other Regions of Customs, and the Payment Section of the Financial Management Division.

Treasury Customs 00.083

System name: Employee Relations Case Files—Treasury/Customs.


Categories of individuals covered by the system: Records maintained on all individuals who have requested assistance in a problem, such as: OFWC, leave, health and life insurance, etc.

Categories of records in the system: Copies of correspondence. Copies of initiating correspondence.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Use is in assessing the problem and having the capability to provide timely follow-up. Used by the employee relations staff only (professional and clerical).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: Records are maintained in file folders.
- Retrievability: Records are indexed by name.
- Safeguards: Records are maintained in a locked file.

Retention and disposal: Maintained for period of time employee remains with Customs. Records destroyed upon separation of employee.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individuals and offices regarding problem.

For additional routine uses see Appendix AA.


System location: Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603, and District Director's Offices in Chicago, Cleveland and Detroit.

Categories of individuals covered by the system: Customs employees and applicants for Customs positions who make allegations of discriminatory job treatment based on sex, religion, race or national origin, color and age.

Categories of records in the system: An individual file is opened when an oral or written complaint is received. Eventually, a Civil Service Form 844 and all other pertinent correspondences such as responses from various offices, decisions and appeals are added to the file.

Authority for maintenance of the system: Title VII of the 1964 Civil Rights Act, as last amended by Public Law 92-261 effective March 24, 1972.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The file is used to organize relevant documents within the E.E.O. office. As appropriate, it may also be transferred to such offices as Regional Counsel and Customs Service Headquarters.

For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored in file at Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603.

Retrievability: Case file by last name of complainant.

Safeguards: The file cabinet is locked and only the E.E.O. Specialist has a key. Other files will be available to additional staff as office expands. The building has limited access and a uniformed patrol in non-working hours.

Retention and disposal: Retained indefinitely by E.E.O. Specialist. When cases are closed, they are removed from the alphabetical file but still maintained in the same locked cabinet.

System manager(s) and address: E.E.O. Specialist. (See location above).

Notification procedure: See Customs Appendix A.

Records access procedure: See Customs Appendix A.

Posting record procedures: See Access, Customs Appendix A.

Record source categories: Information is supplied by complaining parties originally and supplemented by other interested parties as the case progresses.

Treasury/Customs 00.068

System name: Equal Opportunity Complaint Processing Records

System location: Office of Regional Commissioner, Customs Region V, 1440 Canal Street, New Orleans, Louisiana 70112, District Director, 423 Canal Street, New Orleans, La. 70130, District Director, P.O. Box 2748, Mobile, Ala. 36601.

Categories of individuals covered by the system: Employees of Customs Region V (New Orleans) who file Equal Opportunity complaints.

Categories of records in the system: Notices of efforts by counselors to resolve charges of discriminatory actions or conditions. These records are in the form of lists, memorandums, interview notices, transcripts, and reports.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Title 5, United States Code; Title 5, Code of Federal Regulations.

Routine use of records maintained in the system, including categories of users and the purposes of such use: The routine use of the records contained in this system are as follows: (a) Records in this system of records are disclosed to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include reviewing charges or procedures in complaints, making informal attempts to adjust complaints, preparing documents relating to proposed disposition of cases, preparing documents relating to final decisions in cases, and determining formal hearing status. (b) Records in this system of records are also made available to Civil Service Commission Hearing Examiners and to the Civil Service Commission Appeals and Review Board for use in making decisions on administrative hearings held in connection with the complaints. Records are also provided to the Department of Justice in connection with civil court actions arising in respect of the complaints. (c) Records in this system of records are, on occasion, disclosed in response to requests from members of Congress and are disclosed as required by the Administration of the Freedom of Information Act (5 U.S.C. 552). (d) Records in this system of records are disclosed to the complainants and to attorneys, labor unions or other parties representing the interests of the complainants. (e) In the event that this system of records contains information which indicates a violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records are to be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The case files are inserted alphabetically in file drawers within locked metal or wooden containers.

Retrievability: Each case file is identified on each alphabetical file folder within the metal or wooden container by the complainant's name.

Safeguards: The metal or wooden containers in which the file folders are placed are locked during non-working hours, and the room in which the containers are located is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted within the building.

Retention and disposal: Informal case files are retained during the time period in which the case is open for investigation, or until there is no longer a specific need for them, or until they are forwarded to the Regional EO Officer or Director, Equal Opportunity Division, at which time they are periodically destroyed.

System manager(s) and address: Equal Opportunity Officers and EO Counselors at the above locations.

Notification procedure: See Customs Appendix A.

Records access procedure: See Customs Appendix A.

Record source categories: The information in this system originated with an informal written or oral complaint to the appropriate equal opportunity counselor. Information in this system is obtained from the complainant through interviews during the informal complaint procedures and through other interviews during the informal complaint procedures. This system also contains information derived from a complaint Customs employee's official personnel file, from Evaluation and Promotion Board papers relating to the complainant, and from inquiries into the complaints which include interviews of supervisors, interested third parties including non-Government persons, and former Customs Service employees. Information in this system is also derived from labor unions or attorneys representing the interests of the complainants and from documentary evidence.

Systems exempted from certain provisions of the act: The Commission exempts Customs pursuant to 5 U.S.C. 552a (K)(2) provisions where the provision of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.
System name: Equal Opportunity Discrimination Complaint Case File—Treasury/Customs.


Categories of individuals covered by the system: Government and private industry employees, including present and former Customs Service employees and the files of formal complaints alleging discrimination on the part of the Customs Service or its officers or employees in violation of Equal Employment Opportunity Act of 1972.

Categories of records in the system: Names, addresses, employment and medical histories, reports of investigation, complaints filed, correspondence from members of Congress and attorneys representing the complainants and labor unions, hearing records and transcripts, Government documents regarding the administrative processing of complaints, and affidavits and other sworn statements.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Title 5, United States Code; Title 5, Code of Federal Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Records in this system of records are disclosed to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include reviewing charges or procedures in complaints, making informal attempts to adjust complaints, preparing documents relating to proposed disposition of cases, preparing documents relating to final decisions in cases, and determining formal hearing status. (b) Records in this system of records are also made available to Civil Service Commission Hearing Examiners and to the Civil Service Commission Appeals and Review Board for use in making decisions on administrative hearing held in connection with the complaints. Records are also provided to the Department of Justice in connection with civil court actions arising in respect of the complaints. (c) Records in this system of records are, on occasion, disclosed in response to requests from members of Congress and are disclosed as required in the administration of the Freedom of Information Act (5 U.S.C. 552). (d) Records in this system of records are disclosed to the complainant and the relevant labor unions or other parties representing the interests of the complainants. (e) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, local or foreign, or to Federal, state or local agencies, in response to requests from members of Congress and are disclosed as required in the administration of the Freedom of Information Act (5 U.S.C. 552). (e) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage: The cases files are inserted alphabetically in file drawers within locked metal containers.

Retrievability: Each case file is identified in an alphabetical index card file by the name of the complainant and on each alphabetical file folder within the metal container by the complainant's name.

Safeguards: The metal containers in which the file folders are placed are locked during non-working hours, and the room in which the metal containers are located is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted within the building.

Retention and disposal: Case files are retained during the time period in which the cases remain open and, thereafter, until there is no longer any space available for them within the metal containers, at which time the files are transferred periodically to the Federal Record Center for storage. Records having no pertinent information are periodically destroyed.

System manager(s) and address: Director, Equal Opportunity Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with an informal written or oral complaint to the appropriate equal opportunity counselor and with the filing of a Civil Service Commission Form 894 (Formal Complaint of complaint procedures and through the filing of Civil Service Commission Form 171 (Personal Qualification Statement)). This system also contains information derived from a complaining Customs Service employee's official personnel file, from Evaluation and Promotion Board work papers relating to the complainant, and from investigations into the complaints which include interviews of supervisors, interested third parties including non-Government persons, and former Customs Service employees. Information in this system is also derived from labor unions or attorneys representing the interests of the complainants and from documentary evidence or testimony adduced at administrative hearings held in connection with the complaints.

System exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

System name: Equipment Record File—Treasury/Customs.

System description: Office of the District Director, United States Customs Service, 103 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Customs employees in the Baltimore District to whom equipment is assigned.

Categories of records in the system: Names of individuals and type and serial numbers of equipment signed out (including non-expendable property, weapons, etc.).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include reviewing charges or procedures in complaints, making informal attempts to adjust complaints, preparing documents relating to formal complaints, preparing documents relating to final decisions in cases, and determining formal hearing status. (b) Records in this system of records are, on occasion, disclosed to the claiming agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (c) Records in this system of records may be disclosed as follows: (1) A record from this system of records may be disclosed to a Federal, state, local, or foreign agency, in response to its request, in connection with the implementation of a contract, or the issuance of a license, grant, or other benefit. (2) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

Retrievability: Records are filed alphabetically by names of those to whom equipment is assigned.

Safeguards: File cards and folders located in locked metal containers.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage: File cards and folders located in metal containers.

Retention and disposal: Records are retained indefinitely.

System manager(s) and address: Office of the District Director of Customs, 103 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.
Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Property Officer and personnel to whom equipment is assigned.

Treasury/Customs 00.091
System name: Equipment Record File—Treasury/Customs.
System location: Patrol Office, Room 607, 103 South Gay Street, Baltimore, Maryland 21202. (After August, Room 15, 40 South Gay Street, Baltimore, Maryland 21202).

Categories of individuals covered by the system: Customs employees in the Baltimore District to whom equipment is assigned.

Categories of records in the system: Names of individuals and type and identifying numbers of equipment signed out (including non-expendable property, weapons etc.).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officials and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or other entity, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, or regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cards and folders located in metal containers.

Retrievability: Cards are filed alphabetically by names of those to whom equipment is assigned.

Safeguards: File cards and folders are located in unlocked metal containers. The building is guarded by uniformed security police who control access to the building.

Retention and disposal: Records are retained indefinitely.

System manager(s) and address: Office of the Director of Customs, 103 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Property Officer and personnel to whom equipment is assigned.

Treasury/Customs 00.092
System name: Exit Interview—Treasury/Customs.
System location: Personnel Management Division, in each Region and Headquarters. See Customs Appendix A.

Categories of records in the system: Employees terminating from the Customs Service.

Categories of individuals covered by the system: Employees terminating from the Customs Service.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Personnel Management Specialists and managers to monitor employee morale and for statistical purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Forms are kept in manilla folders.

Retrievability: By organization or by name.

Safeguards: Locked office.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Director, Personnel Management Division. See location above.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information obtained from departing employee and Employee's supervisor.

Treasury/Customs 00.093
System name: Federal & New York State licenses for commercial importation of alcoholic beverages—Treasury/Customs
System location: Area Director, N.Y. Seaport Area, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: All companies and individuals who have filed import licenses for alcoholic beverages with this office.

Categories of records in the system: Licenses.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To verify that importers of alcoholic beverages have the required permit.

For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: Alphabetical listing.

Safeguards: Office locked at end of day.

Retention and disposal: Permanent.

System manager(s) and address: Regional Commissioner (Area Director, New York Seaport Area).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Companies and individuals who have filed import licenses for alcoholic beverages.

Treasury/Customs 00.267
System name: Federal Tort Claims Act File—Treasury/Customs.
System location: Office of Regional Counsel, Suite 1220, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Private persons filing claims under the Federal Tort Claims Act.

Categories of records in the system: Reports of Investigation regarding claims filed, documents relating to the administrative handling of the claims and documents submitted by the claimant in support of the claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosures to those officers and employees of the U.S. Customs Service and the Depart-
The information contained in this system of records will be disclosed to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth in that Circular.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is locked in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical file folder by the name of the person who filed the claim and in the alphabetical file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled by uniformed security guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

System name: Fines, Penalties and Forfeiture Control and Information Retrieval System—Tort/Customs.


Categories of individuals covered by the system: Individuals and/or businesses who have been fined, penalized, or forfeited merchandise because of violations of Customs and/or related laws or breaches of bond conditions.

Categories of records in the system: Individual and business names, assessment, monetary identifying numbers, dates, actions taken, parties entitled to legal notice or who are legally liable, case information, bond and petition information, and actions (administrative) taken by U.S. Customs. Also included are actions taken by violator prior to the disposition of the penalty or liquidated damage case.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to these officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures made in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) Disclosure to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.
Safeguards: All inquiries are made by officers with a full field background investigation on a "need to know" basis. Procedural and physical security are maintained such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: A maximum of 10 years. Erasure of disc/tapes and shredding and/or burning of hard copy Customs Form 5955a.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W.; Washington, D.C. 20229.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contacting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Form 5955a (Notice of Penalty or Liquidated Damages Incurred and Demand for Payment) and CF 151 (Search/Arrest/Seizure Report) prepared by Customs Employees at the time and place where the violation has occurred.

Treasury/Customs 00.097

System name: Fines, Penalties, and Forfeitures (Supplemental Petitions)—Treasury/Customs

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 380 N. Los Angeles St., Los Angeles, California 90053.

Categories of individuals covered by the system: Any person requesting further relief from the Regional Commissioner after having their Petition acted on by the District Director from any fine, penalty, forfeiture or claim for liquidated damages incurred under the provisions of any law administered by the Customs Service.

Categories of records in the system: Case file including copies of the notice of penalty, seizure or claim for liquidated damages, search or seizure forms, investigative reports, documents relating to any importation having to do with the penalty, forfeiture or claim, documents relating to the administrative handling of the case and documents submitted by the Petitioner in support of his Petition and Supplemental Petition.

Authority for maintenance of the system: Case file including copies of the petition,Attachments to the petition, and recommendations of the appropriate agency with the Director of the Office of the Regional Counsel referring the case to the Regional Commissioner for review and recommendation.

During this period of review further information may be elicited from Customs Service employees, private persons, or other parties who may have information regarding the facts surrounding the case.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 3 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.098

System name: Fines, Penalties and Forfeitures Records—Treasury/Customs

System location: Customs district offices. (See Customs Appendix A).

Categories of individuals covered by the system: Individuals and firms who have been administratively charged with violations of Customs laws and regulations and other laws and regulations enforced by the Customs Service.

Categories of records in the system: Entry documentation, notices, investigative reports, memoranda, petitions, recommendations, referrals, and dispositions of fines, penalties and forfeiture cases.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such uses would be made in connection with the performance of the Custom Service's responsibilities for enforcement of the law.

(b) Disclosures to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting any violation or potential violation of the law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order in the course of official duties, or order issued pursuant thereto, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or enforcing such violation or charged with enforcing or implementing the statute, or rule, or order, or order issued pursuant thereto, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or enforcing such violation or charged with enforcing or implementing the statute, or rule, or order, or order issued pursuant thereto, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or enforcing such violation or charged with enforcing or implementing the statute, or rule, or order, or order issued pursuant thereto.

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in file cabinets in file rooms and safes.

Retrievability: The records are filed either by the name of the individual or chronologically with a cross reference index by the name of the individual.

Safeguards: During non-working hours, the records are maintained in locked rooms, locked buildings and buildings guarded by uniform guards.

Retention and disposal: The records are retained for three to five years after which they are either destroyed or forwarded to the Federal Records Center.

System manager(s) and address: District Directors of Customs. (See Customs Appendix A).

Notification procedures: See Customs Appendix A.

Record source categories: Information and representations supplied by importers, brokers and other agents pursuant to the entry and presentation of merchandise or in the clearing of individuals or baggage through Customs. Information also includes information gathered pursuant to Customs investigations of suspected of actual viola-
tions of Customs and related laws and regulations and recommendation
and information supplied by other agencies.

Systems exempted from certain provisions of the act: The Commissi-
oner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has pro-
posed to exempt the system of records from certain requirements of
5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this
system of records is proposed to be exempted and the justification for
the exemption is contained in a general notice which appears else-
where in the Federal Register.

Treasur/s/Customs 00.099
System name: Fines, Penalties, and Forfeiture Files (Supplemental
Petitions)—Treasury/Customs
System location: Office of the Regional Counsel, 211 Main Street,
San Francisco, CA 94105.

Categories of individuals covered by the system: Individuals who
have filed supplemental petitions for relief from fines, penalties and
forfeitures assessed for violations of the laws and regulations adminis-
tered by Customs.

Categories of records in the system: Petitions and supplemental
petitions and other documents filed by the individual; reports of
investigation concerning the fine, penalty or forfeiture; and docu-
ments relating to the internal review and consideration of the request
for relief and decision thereon.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury
Department Order No. 165, Revised, as amended; Reorganization

Routine uses of records maintained in the system, including catego-
ries of users and the purposes of such uses: The routine uses of the
records contained in this system of records are as follows: (a) Disclo-
sure to those officers and employees of the Customs Service and the
Department of the Treasury who have a need for the records in the
performance of their duties; (b) Disclosures required in administration
of the Freedom of Information Act (5 U.S.C. 552); (c) Information
contained in the files is used to process requests for relief from fines,
penalties and forfeitures and is made available to the officials within
the Customs Service who review recommendations and who make
final decisions with respect to them; (d) Information contained in the
files may be made available to the Department of Justice or an
individual United States Attorney to assist that Department or
United States Attorney when suit is filed by the Government in civil
prosecution of the fine, penalty or forfeiture; (e) Information con-
tained in the files may also be used internally by the Regional
Counsel or members of his staff insofar as it relates to precedent or
guidelines for their handling of subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder
which is filed in alphabetical order in a metal file cabinet.
Retrievability: Each case file is identified in a manual alphabetical
file card by the name of the petitioner and in the alphabetical file
folder within the metal file cabinet by the name of the petitioner.

Safeguards: The metal file cabinet described above is maintained
within the area assigned to the Office of the Regional Counsel within
the Federal Building. During non-working hours the room in which
the metal file cabinet is located is locked, and access to the building
is controlled at all times by uniformed guards.

Retention and disposal: Supplemental petition files are retained in-
definitely or until there is no longer any space available for them
within the metal file cabinet, at which time the oldest files may be
transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street,
San Francisco, CA 94105.

Notification procedure: See Customs Appendix A.

Record source categories: Information contained in these files is
obtained from the individual petitioning for relief and from the Dis-

Record source categories: Information contained in these files is
obtained from the individual petitioning for relief and from the Dis-

System exempted from certain provisions of the act: The Commissi-
oner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has pro-
posed to exempt this system of records from certain requirements of
5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this
system of records is proposed to be exempted and the justification for
the exemption is contained in a general notice which appears else-
where in the Federal Register.
referred pursuant to Customs investigations of suspected or actual violations of Customs and related laws and regulations and recommendations and information supplied by other agencies.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records may be exempted are specified in the justification for the exemption. The justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.101


Categories of records in the system: Statements from individuals concerning allegations of violations of law, whether civil, criminal, or regulatory in nature, arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; 19 CFR part 171 and 172.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service, the Department of the Treasury, and the Department of Justice, who have need for the records in the performance of their duties; (b) Dislosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policy and procedures for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrieval: Each file folder which is filed in a lockable drawer within a metal filing cabinet container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX, at 55 E. Monroe Street, Chicago, Illinois. Except in cases where the file folder in the metal filing drawer is locked, the file container itself is locked, and access to the building is controlled at all times by uniform guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the building.

Notifications: See Customs Appendix A.

System manager and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Routine uses of records: The information contained in these files originates either with the receipt of any correspondence indicating that the information is relevant and necessary to the requesting agency's decision on the matter.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX, at 55 E. Monroe Street, Chicago, Illinois. Except in cases where the file folder in the metal filing drawer is locked, the file container itself is locked, and access to the building is controlled at all times by uniform guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the building.

Notifications: See Customs Appendix A.

System manager and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Routine uses of records: The information contained in these files originates either with the receipt of any correspondence indicating that the information is relevant and necessary to the requesting agency's decision on the matter.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX, at 55 E. Monroe Street, Chicago, Illinois. Except in cases where the file folder in the metal filing drawer is locked, the file container itself is locked, and access to the building is controlled at all times by uniform guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the building.

Notifications: See Customs Appendix A.
System location: Office of the Assistant Regional Commissioner (Operations), Region IX, Chicago, Illinois and Offices of District Directors, Region IX, Chicago, Illinois. (See Customs Appendix A).

Categories of individuals covered by the system: Customs Inspectors receiving firearms training.

Categories of records in the system: Records include date of firing, qualification status, date of qualification, card issuance.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked file cabinet in the office of the Assistant Regional Commissioner (Operations) of Region IX, Chicago, Illinois.

Retrievability: Folders identified by individual's name.

Safeguards: The file described is maintained in the office of the Assistant Regional Commissioner (Operations) in Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access is limited to authorized Customs personnel.

Retention and disposal: Until employee separates/transfers. Transfer to National Personnel Records Center (NPRC), (CPR), St. Louis, Missouri, 30 days after employee is separated.

System manager(s) and address: Assistant Regional Commissioner (Operations).

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files results from firing scores recorded on targets used during the course of firearm qualification firings.

Treasury/Customs 00.104

System name: Florida Boat Registration File—Treasury/Customs.


Categories of individuals covered by the system: Persons, partnerships, or corporations who are registered owners of record of boats or vessels bearing Florida registration numbers.

Categories of records in the system: This file contains the name and address of the registered owner of the vessel; a description of the vessel; and an indication of whether or not the vessel is record with the Treasury Enforcement Communications Systems (TECS).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in a metal file cabinet.

Retrievability: The record is filed alphabetically by name.

Safeguards: The file is stored in a metal file cabinet in a private inner case of a government building protected by 24 hour guard service with limited access. The file is only used on a need to know basis and only by the laboratory employees.

Retention and disposal: Files are maintained for reference purposes only. The file is permanent to office use.

System manager(s) and address: Director, Laboratory Division, U.S. Customs Service, 630 Sansome Street, Room 1508, San Francisco, California, 94111.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained in personnel action files.

Treasury/Customs 00.109

System name: Handicapped Employee File—Treasury/Customs.

System location: Personnel Management Division, Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: All employees identified as handicapped.

Categories of records in the system: Indicate employee's home and organizational location and various physical and mental handicaps, infirmities and conditions. Also shows veteran's preference.

The reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to assure that employees are not placed in positions that they cannot physically perform. Also, for reporting and statistical purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: List.

Retrievability: Lists employees alphabetically by district.

Safeguards: None at present and none required.

Retention and disposal: Employee's name removed from list at time of termination.

System manager(s) and address: Director, Personnel Management Division. (See Customs Appendix A).

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Data furnished by employee and employee's physician.

Treasurer/Custums 00.111

System name: I.D. Cards—Treasury/Custums.

System location: District Director's office, U.S. Customs Service, 228 Federal Bldg., 335 Merchand Street, Honolulu, Hawaii.

Categories of individuals covered by the system: All employees to whom I.D. card, such as Correction, OF 55, Red-bordered cards, have been issued.

Categories of records in the system: The register lists the following information: name; I.D. card no.; and date issued.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Provides information of name, date and number of I.D. card issued to district employees. Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Kardex file card.

Retrievability: By name.

Safeguards: Locked Kardex file.

Retention and disposal: Information is kept current until employee is terminated and I.D. cards are canceled.

System manager(s) and address: District Director, 228 Federal Bldg., Merchant Street, Honolulu, Hawaii.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Data obtained from employees.

Treasurer/Custums 00.112

System name: Immediate Delivery Violation Record—Treasury/Custums.

System location: Finers, Penalties and Forfeiture Section, Room 102, United States Customhouse, 2nd & Chestnut Street, Philadelphia, Pa. 19106.

Categories of individuals covered by the system: Persons qualified to obtain release of imported merchandise under the immediate delivery privilege.

Categories of records in the system: The record reveals the individuals' or Customhouse broker's name, case number assigned and date, amount of penalty incurred, mitigated sum or remission and date closed. The record reveals a summary of repetitive violations by any one individual, firm, or Customhouse broker and disciplinary action toward reduction or elimination of violations.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to assure that employees are not placed in positions that they cannot physically perform. Also, for reporting and statistical purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Immediate Delivery Record Cards are filed alphabetically in an unmarked metal file cabinet.

Retrievability: Each record card is filed alphabetically within the metal cabinet.

Safeguards: The metal cabinet described above is maintained within the area assigned to the Fine, Penalties and Forfeiture section in Room 102 of the Customhouse, Philadelphia; during non-working hours, access to the building and area of storage is controlled by uniformed guards.

Retention and disposal: Immediate Delivery Record cards are retained at location 3 years; then destroyed.

System manager(s) and address: District Director of Customs, Room 102, U.S. Customhouse, 2nd & Chestnut Street, Philadelphia, Pa. 19106.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained on these cards originates from liquidated damage cases instituted for failure to timely file entries and pay duty.

Treasurer/Custums 00.274

System name: Importer, Brokers, Carriers, Individuals and Sureties Master File—Treasury/Custums.

System location: Financial Management Division, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Brokers, Importers, Individuals, Carriers, and Sureties.

Categories of records in the system: Copies of correspondence—incoming and outgoing, copies of bonds, entries, bills, data center listings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Revenue technicians to retain in one place all correspondence with a debtor. Bonds, entries and bills when available are included in the file.

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Alphabetical by name appearing on correspondence.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During working hours, the room in which the metal container is located is locked.

Retention and disposal: These files are retained until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Correspondence, Customs Service Data Center, Districts and Ports.
Treasury/Customs 00.113
System name: Import specialist activities—investigation referrals—Treasury/Customs.
Categories of individuals covered by the system: Import specialist.
Categories of records in the system: A monthly report of the number of referrals made to the Office of Investigations in connection with 220 visits.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) To keep track of the referrals made by each import specialist to the Office of Investigation.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The information is listed by name and location of the import specialist.
Retrievability: Indexed by name and location of the import specialist.
Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.
Retention and disposal: The information is erased after two years.
Notification procedure: See Customs Appendix A.
Categories of individuals covered by the system: Import specialist.
Categories of records in the system: Laboratory samples processed by the import specialist teams.
System name: Import specialist activities—laboratory samples—Treasury/Customs.
Categories of individuals covered by the system: Import specialist team.
Categories of records in the system: Monthly report of the number of laboratory samples processed by the import specialist teams.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used as an administrative tool to keep track of laboratory samples processed by import specialists.
For additional routine uses see Appendix A.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The information is listed by team number and located on a wall chart in the training room.
Retrievability: Indexed by team number and located on a wall chart in the training room.
Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.
Retention and disposal: Information is erased after two years.
System manager(s) and address: Assistant Regional Commissioner (Operations), 55 East Monroe, Chicago, Illinois 60603.
Notification procedure: See Customs Appendix A.
Record access procedures: See Customs Appendix A.
Record source categories: A monthly report submitted by the Director of the Laboratory Division.
Treasury/Customs 00.115
System name: Import Specialist Activities—Seminars—Treasury/Customs.
Categories of individuals covered by the system: Import specialist.
Categories of records in the system: A monthly report of the number of seminars the import specialist has attended.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used by the Classification and Value Division to keep track of special training given to import specialists.
For additional routine uses see Appendix A.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The information is listed by name and located on a wall chart in the training room.
Retrievability: Indexed by name and location of the import specialist.
Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.
Retention and disposal: Information is erased after two years.
System manager(s) and address: Assistant Regional Commissioner, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.
Notification procedure: See Customs Appendix A.
Categories of individuals covered by the system: Import specialist.
Categories of records in the system: A record of importer premises visits performed by the import specialist including the time, cost, and number of visits listed monthly.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used by the Classification and Value Division to keep track of special training given to import specialists.
For additional routine uses see Appendix A.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The information is listed by name and located on a wall chart in the training room.
Retrievability: Indexed by name and location of the import specialist.
Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.
Retention and disposal: Information is erased after two years.
System manager(s) and address: Assistant Regional Commissioner, U.S. Customs Service, 55 East Monroe, Chicago, Illinois 60603.
Notification procedure: See Customs Appendix A.
Record access procedures: See Customs Appendix A.
Record source categories: The records of the seminar.
System name: Information and Investigative Reports from other Federal Agencies—Treasury/Customs.

Category of individual covered by the system: Employees of the Customs Service and the Department of the Treasury who have a need for the records in the system, including categories of individuals on whom information has been received indicating unlawful or suspicious activity.

Categories of records in the system: Name, address, phone number, place of business, associates, vessels or aircraft identified with, make, year, license number, such as current licenses, area of activity, method of operation and other relevant and necessary information on individuals suspected of activity contrary to law.

Categories of records in the system: Records are proposed to exempt from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Retention and disposal memoranda of information received are Subject to the same procedure as the retention and disposal memoranda of information received under the departmental program statute, or rule, regulation, or order issued pursuant thereto. The relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto. A record from this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the exercising of a license, grant, or other benefit. A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, the issuance of a license, grant, or other benefit.

Safeguards: The information files are inserted in alphabetical and chronological file folders which are filed within a metal container.

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: The information files and master card index are locked when not in use, and the building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are retained indefinitely or until such time as it has been determined that there is no longer a need for their existence, at which time the oldest files are destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, U.S. Customs, Patrol Division, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

System name: Information Received File (Patrol Division—New Orleans, LA)—Treasury/Customs.

System location: Office of the Director, Regional Patrol, 600 South Peters Street, New Orleans, LA 70130.

Categories of individuals covered by the system: Individuals on whom information has been received indicating unlawful or suspicious activity.
Categories of records in the system: Name, file number, location, and date information received on individuals suspected of unlawful activity.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Exemption from certain provisions of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the metal cabinets is locked.

For additional routine uses see Appendix AA.

Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552): (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the metal cabinets is locked.

Retrievability: System indexed by identifying file number and manually retrieved.

Safeguards: File maintained in locked, metal file cabinet the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally have access to the system are granted access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the metal cabinets is locked.

System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif.; Tijuana, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif.; Los Angeles, Calif.; San Diego, Calif. See Customs Appendix A. Categories of individuals covered by the system: All Persons who have been found or suspected of being in violation of Customs or other Governmental Agency Regulations or laws. Categories of records in the system: Name, physical description, DOB, driver's license information and informing individual if suspect of being involved.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Exemption from certain provisions of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the granting of access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the metal cabinets is locked.

Retrievability: System indexed by identifying file number and manually retrieved.

Safeguards: File maintained in locked, metal file cabinet the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally have access to the system are granted access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the metal cabinets is locked.

System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif.; Tijuana, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif.; Los Angeles, Calif.; San Diego, Calif. See Customs Appendix A. Categories of individuals covered by the system: All Persons who have been found or suspected of being in violation of Customs or other Governmental Agency Regulations or laws. Categories of records in the system: Name, physical description, DOB, driver's license information and informing individual if suspect of being involved.
regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order in question; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information in the possession of such agency, necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit thereto; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. To alert all Customs personnel or interested Agencies of possible violations or whereabouts of individuals.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each memorandum, or group of memoranda, is placed in a folder which is filed in a numerical order according to the assigned case file number. These files are kept in a locked metal cabinet.

Retrievability: The above-mentioned case file numbers are cross-indexed by name to such numbers, and cards are filed alphabetically within the metal file box.

Safeguards: The metal file cabinet and the metal file box are located within an office that is locked during non-working hours. The building is guarded by uniformed Customs guards, and only authorized persons are permitted in the building.

Retention and disposal: These memorandum are retained for a period of three years after which they are destroyed together with related index cards.

System manager(s) and address:

1. Director, District Patrol Division, United States Custom Service, Room 200, La Marina, Old San Juan, P.R. 00901.

2. Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasuty/Customs 00.123

System name: Injury Notice—Treasury/Customs.

System location: Each Logistics Management Division in each regional HQ Office (see Customs Appendix A, for addresses) and all offices of the District Director, Region IX, Chicago, III.

Categories of individuals covered by the system: Individuals who sustain an injury in performance of duty as an employee of U.S. Customs.

Categories of records in the system: Name, date of birth, home address, organization, place of injury, date and hour of injury, dependants, occupation, cause of injury, nature of injury, statement of witness, supervisor's report of injury.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure to the Department of Labor for that agency's official use.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on CA forms. The CA forms are filed in a loose leaf, alphabetical binder and placed in a metal file container.

Retrievability: Each CA form is identified by the name of the injured employee and filed alphabetically in a binder.

Safeguards: The binder is placed within a metal file container located within an office that is locked during non-working hours. The building is guarded by uniformed security personnel and only authorized persons are permitted in the building.

Retention and disposal: Notice of injury reports are maintained in the employee's OFF indefinitely. Copies maintained by the systems manager are maintained at location for two years and then destroyed.

System manager(s) and address: Each Director, Logistics Management Division, in each Customs Regional Headquarters (see Appendix A, for address), each District Safety Officer, Region IX, Chicago, Ill. (see Customs Appendix A, for addresses of District Directors).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with and consists solely of information supplied by the injured employee, his supervisor, appropriate witness and attending physician on CA forms.

Treasuty/Customs 00.124

System name: Inspector Training Quarterly Checklist Forms and Inspector Correspondence Course Enrollment Forms (CF-66)—Treasury/Customs.

System locations: Regional Office, Personnel Management, Career and Staff Development, U.S. Customs, 300 N. Los Angeles Street, Los Angeles, California 90012.
Categories of individuals covered by the system: All Inspectors in training status in Region VII.

Categories of records in the system: Progress records of on-the-job Inspector training and enrollment forms for the correspondence course.

Authority for maintenance of the system: U.S. Customs Headquarters Training Manual, 5 USC 301; Treasury Dept. Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Loose leaf binder.

Retrievability: By name.

Safeguards: Locked office.

Retention and disposal: Until employee completes his training.

System manager(s) and address: Regional Training Officer, Personnel Management Division, U.S. Customs Service, 300 N. Los Angeles Street, Los Angeles, California 90012.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The inspectors' supervisors originate both the training progress checklists and the Correspondence Course Enrollment forms.

System name: Intelligence Log-Treasury/Customs.

System location: Internal Security Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229. Tort Claim Investigation Files and index card files at each Regional Director (Internal Affairs) Office. See Customs Appendix A.

Categories of individuals covered by the system: Present and past employees, applicants for positions that require an investigation, and others that are the subject of an investigation.

Categories of records in the system: Security clearance records; background, tort claim, special inquiry, conduct, and equal employment opportunity investigations and other Customs related investigations including individuals involved in accidents under investigation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purpose of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: The information files and master card index are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are retained indefinitely or until such time that it has been determined that there is no longer a need for their existence, at which time the oldest files are destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, Patrol Division, U.S. Customs, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

System exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.


System location: Internal Security Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229. Tort Claim Investigation Files and index card files at each Regional Director (Internal Affairs) Office. See Customs Appendix A.

Categories of individuals covered by the system: Present and past employees, applicants for positions that require an investigation, and others that are the subject of an investigation.

Categories of records in the system: Security clearance records; background, tort claim, special inquiry, conduct, and equal employment opportunity investigations and other Customs related investigations including individuals involved in accidents under investigation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: The information files and master card index are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are retained indefinitely or until such time that it has been determined that there is no longer a need for their existence, at which time the oldest files are destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, Patrol Division, U.S. Customs, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.
necessary, advise Government agencies and private industry of employees having security clearances; (f) To provide basis for disciplinary action, criminal prosecution establishing guilt or innocence, identifying prohibited activity in tort claims investigations, give information to Equal Employment Opportunity Division in EEO cases, provide information to appropriate officials, management and Congressmen; (g) In the event that this system of records includes information which is needed to be removed because of violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (h) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining civil, criminal or other relevant information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, etc. If a record is filed in this system of records, the record may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record contained in this system of records may be disclosed as a routine use to persons of information in connection with civil or criminal investigations or inquiries under circumstances where it is necessary to disclose certain information in order to pose a question to those sources.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Investigative records are maintained on microfiche and in file folders and stored in metal security cabinets secured by government approved three-position, combination locks. Security clearance records are stored on magnetic tape with a manual back-up system.

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: In addition to being stored in secure metal containers with government approved, combination locks, the metal containers are located in locked rooms which are controlled and issued to the custodians of the files. The security specialists and administrative personnel who maintain the files are selected for their experience and afforded access only after having been cleared by a full field background investigation and granted appropriate security clearances for critical sensitive positions. Those departmental officials who may occasionally be granted access consistent with their positions to employ and concur in the granting of security clearances have also been given restricted access to such material.

Retention and disposal: With exception of tort claim investigations, the file records are maintained as long as the subject of the investigation is employed by the U.S. Customs Service and for 1 year after the subject terminates employment. The files are then transferred to the Federal Records Center.

Tort Claim investigations are retained for 5 years after the close of the investigation and then destroyed. Once files are transferred, they are retained for the following periods of time by the Federal Records Center and then destroyed: Background investigations—25 years, Conduct and special inquiry investigations—15 years, Equal Employment Opportunity investigations—10 years.

System manager(s) and address: Director, Internal Security Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, NW, Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records may be exempted are specified in the Federal Register. For the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Record source categories: Sources of information for background investigations are: employers; educational institutions; police; government agencies; credit bureaus; references; neighborhood checks; medical sources; personal interviews; military, financial, citizenship, birth and tax records; and the applicants or employee's personal history and application forms.

Treasury/Customs 00.128

System name: Investigations Program Analysis—Treasury/Customs.


Categories of individuals covered by the system: Special Agents assigned to office.

Categories of records in the system: Record of cases assigned to individual agent showing date assigned, status, date closed, time spent on each case, interests, dispositions of, searches, seizures, penalties, and recoveries, if any. An inventory of types of cases handled by an agent.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is contained in a printout.

Retrievability: Information is retrieved from the printout by case number, name of agent, and date by month and year.

Safeguards: During non-working hours the room in which the above described printouts are located is locked.

Retention and disposal: Indefinitely.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service, 1301 Constitution Avenue, NW, Washington, D.C. 20229.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information furnished by Customs Special Agents.

Treasury/Customs 00.129

System name: Investigations Record System—Treasury/Customs.

System location: All Office of Investigations offices located within each Customs Service Region in the United States and within each Office of Investigations office located in a foreign country. (See Customs Appendix A.

Categories of individuals covered by the system: Records are maintained on individuals who may bear some necessary relevance to investigations conducted within the scope of authority of the Office of Investigations, United States Customs Service. The categories include but are not limited to: (1) Known violators of U.S. Customs laws. (2) Convicted violators of U.S. Customs and/or drug laws in the U.S. and foreign countries. (3) Fugitives with outstanding warrants, Federal or State. (4) Suspect violators of U.S. Customs or other related laws. (5) Victims of violations of the U.S. Customs or related laws.

Categories of records in the system: The subject records may contain any identifying or other relevant information on subject individuals which might relate to the following categories of investigations: Smuggling Investigations Branch: Smuggling, Diamonds & Jewelry; Smuggling, Liquor; Smuggling, Narcotics; Smuggling, All Other; Prohibited Importations; Navigation, Airplane and Vehicle Violations; Neutrality Violations; Illegal Exports.

Regulatory Investigations Branch: Baggage Declaration Violations; Customs Brokers and Customs Attorneys; Applications for Licenses; Theft, Loss, Damage and Shortage; Irregular Deliveries; All Other Criminal Cases; Currency Violations.

Special Investigations Branch: Organized Crime; Personnel Deceptions; Other Departments, Bureaus and Agencies; Federal Tort Claims; Personnel Background Investigations.

Technical Investigations Branch: Undervailing and False Invoicing; False Statements for Relief.

Verification Investigations Branch: Drawback; Marking of Merchandise; Customs Bonds; Customs Procedures; Collections of Duties.
and Penalties; Trademarks and Copyrights; Foreign Repairs to Vessels and Aircraft.

Foreign Investigations Branch: Classification; Market Value; Dumping; Countering Duties.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; 19 U.S.C. 2072; Title 19, United States Code; Title 18, United States Code.

Routine uses of records maintained in the system, including categories of users and the purposes of such use: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of Treasury who have a need for the records in the performance of their duties which may include the administration, investigation, enforcement and prosecution of civil and criminal Customs and related laws; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure may be made to any civil or criminal law enforcement authorities, whether Federal, state, local or foreign; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local or agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a license, the retiring of a contract, or the issuance of a license, grant or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to a request, to the extent that the record contains information necessary to the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record contained in this system of records may be disclosed as a routine use to provide sources of information in connection with civil or criminal investigations or inquiries under circumstances where it is necessary to disclose certain information in order to pose a question to those sources.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are retained in both hard copy files and on microfiche which are placed in locked metal containers.

Retrievability: The record system is indexed on 3 x 5 file cards by the individual's name and/or identification number and by the investigative case numbers to which the information relates. The hard copy and microfiche records are retrieved by means of the investigative case numbers.

Safeguards: All records are held in steel cabinets and are maintained according to the requirements of the United States Customs Records Manual and the United States Customs Security Manual. Access is limited by visual controls and/or a lock system. During normal working hours, files are either attended by responsible Office of Investigations employees or the file area is restricted. The office in which the records are located is locked during non-working hours and the building is patrolled by uniformed security guards.

Retention and disposal: The index cards are retained indefinitely.

The hard copy and microfiche records are retained for one year or more depending on the subject matter to which they relate and are then either destroyed or transferred to the appropriate Federal Record Center, all in accordance with standard Customs Service record retention and disposal procedures.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (3) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. Therefore, no new provisions of 5 U.S.C. 552a are applicable to this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.130

System name: Investigative Program Analysis—Treasury/Customs.


Categories of individuals covered by the system: United States Customs Special Agents and Operations Officers.

Categories of records in the system: Case assignment information such as case number, case hours, count hours, case description and disposition, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such use: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local or agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to a request, to the extent that the record contains information necessary to the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Provide management information such as case load, budget, manpower, performance and experience, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Magnetic tape. (2) Manual files maintained by the Office of Investigations field officer.

Retrievability: By name and Social Security Number of subject Customs Officer.

Safeguards: (a) A need-to-know basis to the Office of Investigations personnel only. Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communication security, etc.

Retention and disposal: Indefinite: None.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Supervisory Customs Special Agents who render assignments to Special Agents or Operations Officers on foreign assignments.

Treasury/Customs 00.132

System name: Justice Court Case File—Treasury/Customs.


Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or agencies or employees or officers thereof, in matters which affect or involve the Customs Service.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin.
of the litigation, including any information on individuals which has relevance to the matter in litigation.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a); (b) Information contained in this file is provided as a routine use to the Department of Justice or directly to United States Attorneys upon request to assist in representing the interests of the Government, the agency or officer, or employee involved in the litigation, or to other agencies involved in same or similar litigation; (c) Information contained in this file is provided as a routine use to the Department of Justice to assist that Department in representing the interests of the Government, the agency or officer, or employee involved in the litigation, and may be provided to other agencies involved in same or similar litigation.

For additional routine uses see Appendix AA.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a); (b) Information contained in this file is provided as a routine use to the Department of Justice or directly to United States Attorneys upon request to assist in representing the interests of the Government, the agency or officer, or employee involved in the litigation, or to other agencies involved in same or similar litigation; (c) Information contained in this file is provided as a routine use to the Department of Justice to assist that Department in representing the interests of the Government, the agency or officer, or employee involved in the litigation, and may be provided to other agencies involved in same or similar litigation.

For additional routine uses see Appendix AA.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a); (b) Information contained in this file is provided as a routine use to the Department of Justice or directly to United States Attorneys upon request to assist in representing the interests of the Government, the agency or officer, or employee involved in the litigation, or to other agencies involved in same or similar litigation; (c) Information contained in this file is provided as a routine use to the Department of Justice to assist that Department in representing the interests of the Government, the agency or officer, or employee involved in the litigation, and may be provided to other agencies involved in same or similar litigation.

For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and hard copy print-out.

Retrieval: A weekly print-out for each division in the Office of Regulations and Rulings by the name of the employee assigned to the case is prepared. Retrieval of information by employee is accomplished by a manual search of the print-out.

Safeguards: The weekly print-out is used only by division personnel to locate a specific case. Each branch in the division receives a copy of the print-out for their use. During non-working hours the room is locked and the building is guarded by security police. Only authorized persons are permitted in the building.

Retention and disposal: An inquiry name will remain in the computer until 90 days after a reply has been made to afford the inquirer ample time for resubmission of a request for consideration. Thereafter, his name is expunged by erasure of the tape. The print-out is disposed of as paper trash when a new print-out is received.

System manager(s) and address: Director, Classification and Value Division, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Categories of records in the system: (a) Records used to obtain information, including the names, addresses, Social Security numbers, and dates and places of birth of persons employed. (b) Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to the officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Open file.

Retrieval: Alphabetical listing of employees by vessel agent name.

Safeguards: The file is maintained in the Offices of the District Directors in Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access limited to authorized Customs personnel.

Retention and disposal: Employee name retained for period of employment with vessel agent agency.

System manager(s) and address: District Director, as appropriate, in Region IX, Chicago, Illinois. See Location above.

Notification procedures: See Customs Appendix A.

Record access procedures: See Access, Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Categories of records in the system: Submission of data by importing carrier or his agent.

System location: Office of the Assistant Chief Counsel, Customs Court Litigation, Second Floor, 26 Federal Plaza, New York, New York, 10007.

Categories of individuals covered by the system: Parties in litigation before the United States Customs Court (or subunits or employees or officers thereof), and other individuals with knowledge of the issues in controversy, e.g., trade witnesses, foreign or domestic manufacturers, etc.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these files is routinely provided to the Department of Justice upon request to assist that Department in representing the interests of the Government, or agency involved in the litigation.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each issue file is inserted in a numerical file folder (according to issue) which is filed in an unlocked drawer within a metal container.

Retrieval: Each issue filed is cross-indexed in the following card files: (a) by name of party, plaintiff; (b) by issue; and, (c) by titles of decided cases.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Assistant Chief Counsel within the Federal Building. At all times the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.
Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices

18753

System manager(s) and address: Assistant Chief Counsel, Customs Court Litigation, Second Floor, 26 Federal Plaza, New York, New York, 10007.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of protest reports (based on information supplied by the subject individuals or by their authorized agents or attorneys) from the various Districts and/or litigation report requests from the parties in interest which result in a written report to that Department regarding the facts of the particular case.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.140

System name: Lookout Notice—Treasury/Customs.

System location: Lookout files are maintained in Offices of the District and Port Directors and the District and Local offices of the Patrol Division. See Customs Appendix A.

Categories of individuals covered by the system: Suspected violators of laws enforced by Customs.

Categories of records in the system: Name, address, height, weight, and any other information available on the individual at the time the notice is instituted.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The Lookout notices are used by Customs to identify persons suspected of violating laws and to keep them under active review.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The notice is printed on regular paper and then copied and stored within a file box.

Retrievability: Alphabetically, by name.

Safeguards: Stored in locked filing cabinet and access is limited to administrative personnel.

Retention and disposal: Retained for two years and then destroyed.

System manager(s) and address: Regional Commissioner of Customs, Regional Director of Investigations, Regional Patrol Director at appropriate Region. See Customs Appendix A.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.142

System name: Mail Entry Protest—Treasury/Customs.

System location: District Director of Customs, 3180 Bladensburg Road, N.E., Washington, D.C. 20018.

Categories of individuals covered by the system: Individual member of armed forces, commercial importers, commercial exporters and private importers.

Categories of records in the system: Name, home address, and action by customers for which relief is sought.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is placed in alphabetical file folder and placed within a metal file cabinet.

Retrievability: Each letter of protest is filed in alphabetical order by last name first.

Safeguards: The metal cabinet is located in an office that is locked during non-working hours.

Retention and disposal: The records are maintained in the files for a complete fiscal year. Records are removed to inactive files and held for three years prior to destruction.

System manager(s) and address: District Director of Customs, 3180 Bladensburg Road, N.E., Washington, D.C. 20018.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information of this office originated with and consists solely of information supplied by the protestor or requester.

Treasury/Customs 00.143

System name: Mail Protest—Treasury/Customs.

System location: District: Anchorage, 204 E. 5th Ave., Anchorage, Alaska; Great Falls, 215 1st Ave. No., Great Falls, Montana; Honolulu, Hawaii; Indianapolis, Indiana; Portland, Oreg.; San Francisco, 555 Battery St., San Francisco, California; Seattle, First & Marion Sts., Seattle, Washington.

Categories of individuals covered by the system: Individual protesting duty assessed.

Categories of records in the system: Correspondence relating to individual protests.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the regulation, rule, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information if necessary for the effective exercise of law enforcement functions relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in folders and stored in metal file cabinet.

Retrievability: The office and building are locked during non-working hours.

Safeguards: Protest number.

Retention and disposal: Records are maintained and disposed of in accordance with Records Disposal Manual.

System manager(s) and address: Treasury/Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individual and Customs employees involved with case.

Treasury/Customs 00.144

System name: Mail Protest File—Treasury/Customs.

System location: Foreign Mail Section, District Office, 444 Brickell Ave., Miami, Fla.
Categories of individuals covered by the system: Individuals who have filed formal protest of the amount of duty assessed against mail parcels.

Categories of records in the system: Letters, invoices, and other pertinent documents pertaining to protests.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Customs Personnel processing mail protests; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency, to the extent that the information is relevant and necessary to the requesting agency's decision as to whether to grant the claim, to the extent that the information is relevant and necessary to the requesting agency's decision as to whether to grant the claim.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in the Foreign Mail Section of the District Office, 444 Brickell Ave., Miami, Fla.

Retrievability: Records are retrievable by name or protest number.

Safeguards: Access is limited to appropriate personnel and office is locked during non-working hours.

Retention and disposal: Records are kept until 3 years after the protest is decided.

System manager(s) and address: District Director of Customs, 444 Brickell Ave., Miami, Fla.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with the claimant in support of the claim.

Retrievalability: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Authority for maintenance of the system: 5 U.S.C. 552; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include making recommendations for decisions on claims and making final decisions on claims; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who filed the claim and alphabetically in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customs House, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Current or former Customs employees filing claims under the Military Personnel and Civilian Employees' Claim Act of 1964 for damage to or loss of personal property incident to their service.

Categories of records in the system: Documents related to the administrative handling of the claim and documents submitted by the claimant in support of the claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include making recommendations for decisions on claims and making final decisions on claims; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.
Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18755

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant, the claimant’s supervisor, or other reviewing Customs officers.

Treasury/Customs 00.150
System name: Military Personnel and Civilian Employees’ Claims Act File—Treasury/Customs.

System location: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Current or former Customs employees filing claims under the Military Personnel and Civilian Employees’ Claims Act of 1964; claims submitted pursuant to the Military Personnel and Civilian Employees’ Claims Act of 1964 for damage to or loss of personal property incident to their service.

Categories of records in the system: Documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in this file is utilized to formulate recommendations, and is made available, to the officials within the Customs Service to make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees’ Claims Act of 1964.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified alphabetically in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed security guards.

Retention and disposal: These files are retained until closed at which time the closed files are transferred to the Director, Logistics Management Division, Office of the Regional Commissioner, Houston, Texas for ultimate transportation to the Federal Records Center.

System manager(s) and address: Regional Counsel, Suite 1220, United States Customs Service, 500 Dallas Street, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant’s supervisor.

Treasury/Customs 00.151
System name: Motor Vehicle Accident Reports—Treasury/Customs.

System location: Each Logistics Management Division, in each Customs Regional Headquarters, Houston, Texas.

Categories of individuals covered by the system: U.S. Customs Employee involved in an automobile accident while on official duty.

Categories of records in the system: Name, Social Security Number, home address, telephone number, age, title, date of accident, place of accident, make, year, license number of vehicles, description of accident, and information on driver of other vehicle.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified alphabetically in the file folder by the name of the Customs employee involved in the automobile accident.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Regional Safety Coordinator within the office of the Regional Commissioner of Customs. Access to the building during nonworking hours is controlled.
Retention and disposal: Files are maintained at location for 2 years and then transferred to the Federal Records Center where they are retained for four years.

System manager(s) and address: Each Director, Logistics Management Division in each Regional Headquarters (see Customs Appendix A for addresses).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in these files originates from the employee involved in the automobile accidents, police report and report of investigation conducted by the Office of Internal Affairs.


System location: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601; Regional Commissioner, 1440 Canal Street, New Orleans, Louisiana 70112.

Categories of individuals covered by the system: All employees in Region V whose official duties require the use and operation of an agency-owned vehicle or a government-owned vehicle leased from General Service Motor Vehicle Pool.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating and/or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining criminal, civil, or enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On SF-47 and Treasury Department Form 2770 in appropriate file.

Retrievability: By name.

Safeguards: Unlocked file cabinet in locked office.

Retention and disposal: Forms are maintained for the period in which the individual is employed in the Customs Service, or until revoked. Upon separation or revocation, forms are removed from active file and placed in an inactive file for a period of three years, after which time the cards are disposed of as provided in GSA's General Records Schedules.

System manager(s) and address: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601; District, Logistics Management Division, 1440 Canal Street, New Orleans, LA 70112.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: All information contained on SF-47 and TD Form 2770 is obtained directly from employee.

System name: Motor Vehicle Operators I.D. Card and Record—Treasury/Customs.

System location: Room 102, Federal Building, 511 N.W. Broadway, Portland, Oregon 97209.

Categories of individuals covered by the system: Employees of Portland District authorized to drive government vehicles.

Categories of records in the system: Name, position, driving record of employee.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To account for those employees to whom driver's licences have been issued and to maintain information concerning their driving record.

For additional routine uses see Appendix AA.

Policies for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in index card file. Stored in unlocked metal cabinet.

Retrievability: Information is on cards, indexed alphabetically by name of individual.

Safeguards: The office and building are locked during non-working hours.

Retention and disposal: Retained 2 years, then destroyed.

System manager(s) and address: District Director, Federal Building Room 195; 511 N.W. Broadway, Portland, Oregon 97209.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information furnished by employee and his supervisors. Accident reports.

System name: Narcotics Suspect File—Treasury/Customs.

System location: Customs Patrol Office, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Known violators of Federal narcotics laws. Suspected violators of Federal narcotics laws. Associates of known and suspected violators. Informants and other persons of interest to patrol officers in the performance of their duties.

Categories of records in the system: Criminal and civil case files; information of possible violators, informant files, known suspect files, and files of information of interest to patrol officers.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On SF-47 and Treasury Department Form 2770 in appropriate file.

Retrievability: By name.

Safeguards: Unlocked file cabinet in locked office.

Retention and disposal: Forms are maintained for the period in which the individual is employed in the Customs Service, or until revoked. Upon separation or revocation, forms are removed from active file and placed in an inactive file for a period of three years, after which time the cards are disposed of as provided in GSA's General Records Schedules.

System manager(s) and address: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601; District, Logistics Management Division, 1440 Canal Street, New Orleans, LA 70112.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: All information contained on SF-47 and TD Form 2770 is obtained directly from employee.
local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current license, grant, or other benefit relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (b) A record from this system of records may be disclosed to the Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in metal file cabinets which are located in locked or occupied offices which are located in locked and guarded Federal Buildings.

Retrieval: A manual master card index is maintained for the entire system. This index includes name and/or numerical identifier. The containers are maintained in offices which are either occupied or locked at all times. These offices are located in locked Federal buildings.

Retention and disposal: The file records are maintained indefinitely.

System name: Narcotic Violator File-Treasury/Customs.

System location: Penalties and Forfeitures Office, District Director of Customs, Room 603, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of records covered by the system: Individuals suspected of misconduct.

Categories of individuals covered by the system: Employees who are suspected of misconduct.

System exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt the records or the system of records of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exception are contained in a general notice which appears elsewhere in the Federal Register.

System exempted under 24-hour guard.

System exempted under 24-hour guard.

Retiring and disposal: The file records have a purge date of two years after which cross reference cards are destroyed and case numbered file is no longer accessible by name of the individual.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Notifications serve to notify certain Personnel Management Division employees of the information in these files is obtained from the Office of Internal Affairs that an investigation has been opened on an individual employee.

Categories of users of records and the purposes of such uses: Notifications serve to notify certain Personnel Management Division employees of the information in these files is obtained from the Office of Internal Affairs that an investigation has been opened on an individual employee.

Storage: Notifications provided by the Office of Internal Affairs are maintained in a file folder and stored in a metal security cabinet equipped with a lock.
Retrievability: The file contains the name of the employee; therefore, retrievability is by the individual’s name.

Safeguards: A metal container, described above, is maintained within the area assigned to the employee in the One Allen Center Building. During non-working hours, the room in which the metal container is kept is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: The same file is retained until notification has been received that the investigation has been cancelled or on receipt of a report of investigation from the Office of Internal Affairs.

System manager(s) and address: Director, Personnel Management Division, 500 Summer Street, Houston, Texas 77002.

Record source categories: The only source of notification that an employee has been placed under investigation is the Regional Director, Internal Affairs.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (c) and (r) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treauny/Customs 00.160
System name: Operations Officer’s (Classification and Value) Work Accomplishments—Treasury/ U.S. Customs.

System location: Office of the Regional Commissioner, U.S. Customs Service, Classification and Value Division, 55 East Monroe Street, Suite 100, Chicago, Illinois 60603.

Categories of individuals covered by the system: Operations Officers in the Classification and Value Division.

Categories of records in the system: Travel File—Names, dates, purpose and locations travelled. Assignments Folder—Correspondence of specific assignments filed by name. Reading File—Copies of all originally written documents filed by name.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) In the event that this system of records includes information which indicates a violation or potential violation of law whether civil, criminal, or regulatory in nature and whether arising by general statute or particular program statute or by regulation, order issued pursuant thereto the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto.

Retirement: By name.

Safeguards: Accessible only to the Personnel Officer. The area in which these records are stored is locked during non-working hours, and the building is guarded by uniformed security police.

Retention and disposal: Records are retained for two years then destroyed.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information is obtained from the Employees Service Record Report.

Treauny/Customs 00.162
System name: Organization (Customs) and Automated Position Management System (COAPMS)—Treasury/ Customs.


Categories of individuals covered by the system: All Customs employees by organizational entity.

Categories of records in the system: Position control number and other personnel data such as social security number, date of birth, and sex.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by managers and planners at all levels for position control purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on magnetic tape.

Retrievability: Records are indexed by organizational segment code, position control number, and name.

Safeguards: Access.

Retention and disposal: Records are maintained on mag-tapes indefinitely or until employee separation.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: COAPMS is composed of four basic inputs: CF-105-Position Change Form—presently prepared by Headquarters Personnel Branch and operating offices, Post of Duty Codes—established by the Accounting Division, Ceilings—established by the Budget Division, CF-112—a Request for PPBS Code and Standard Abbreviation of Position.

In addition to these four sources, the IRS payroll tape has many inputs—1150, 1125, 50, 52, union dues, etc., and Accounting tape K from IRS.

System name: Outside Employment Requests—Treasury/Customs.

System location: Located in the Personnel Management Division of each Region and Headquarters and/or appropriate District, Port, or post of duty office of employee making request.

Categories of individuals covered by the system: All employees engaged in outside employment.

Categories of records in the system: Outside Employment request.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used to determine whether the Customs employees are not engaged in outside employment that would create a conflict of interest with Customs Service or Treasury Department.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: CF-3031 kept in main folder.

Retrievability: By employee name.

Safeguards: Locked file cabinet or limited access offices.

Retention and disposal: Until disengagement from outside employment or employee separation.

System manager(s) and address: Director, Personnel Management Division in each regional office and Headquarters and/or appropriate managerial official in each port of district of employee.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Employee submission of form CF-3031.

System name: Overtime Earnings—Treasury/Customs.

System location: Inspection and Control Division, Region IX, 55 East Monroe, Suite 1501, Chicago, Illinois 60603 and respective District Directors.

Categories of individuals covered by the system: Inspection and Control personnel participating in overtime assignments within the district of San Francisco.

Categories of records in the system: Name and the total current monetary earnings computed to the nearest dollar.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) To maintain records of extra compensation earnings on a yearly basis for each employee in each participating group, i.e., Customs Inspectors, Supervisory Customs Inspectors, Inspectional Aids, etc. (b) A report of the monetary earnings from each overtime participant is given to the each station supervisor every two weeks. (c) The report is available to all employees.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is kept on a visible chart board.

Retrievability: The individual's name appears alphabetically according to their geographical location within the Port.

Safeguards: The room wherein the visible chart is kept, is locked at other than regular working hours.

Retention and disposal: The information on each employee constantly changes and is maintained as long as the employee is working in the inspection overtime system.

System manager(s) and address: Supervisor Customs Inspector, Station 1, U.S. Customs Service, 555 Battery Street, Room 111, San Francisco, California 94125.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from actual overtime earnings made by each employee in the system.

System name: Overtime Earnings Daily Log Book—Treasury/Customs.

System location: Chief Inspector, 1001 N. American Way, Miami, Fla., Port Director of Customs, Port Everglades, Fla., Port Director of Customs, West Palm Beach, Fla., Director Airport Operations, Miami International Airport.

Categories of individuals covered by the system: All participants in overtime assignments.

Categories of records in the system: Monetary amount of overtime earned.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the system.
performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Supervisors and authorized officers of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (d) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (e) A record from this system of records may be disclosed as a "routine use" to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by Federal, state, or local agency maintaining civil, criminal, or other relevant information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record is kept in file folders at assignment offices listed under location.

Retrievability: Records are retrievable by name of participant.

Safeguards: Record is kept in non-public portions of listed offices.

Retention and disposal: Records are kept on a calendar year basis and are disposed of after computer printouts are received.

System manager(s) and address: District Director of Customs, 444 Brickell Ave., Miami, Fla. 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information for this record is obtained from the overtime work tickets.

Treasury/Customs 00.167

System name: Overtime Earnings Record—Customs Warehouse Officers—Treasury/Customs.


Categories of individuals covered by the system: Customs Warehouse Officers under the supervision of the Philadelphia District who participate in 1911 Overtime earnings.

Categories of records in the system: Bi-monthly postings of participating employees' actual 1911 Overtime earnings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefits by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each posting is made manually on a 5 inch by 8 inch index card which is filed in the Supervisory Warehouse Officer's desk.

Retrieval: Each card is identified by the name of the Warehouse Officer and his grade.

Safeguards: The records are kept in a closed desk drawer; during working hours, only the Supervisory Warehouse Officer has access to the desk. During and after regular working hours, the building is either locked or controlled by uniformed guards.

Retention and disposal: Overtime earnings records of Customs Warehouse Officers are kept for one year and then destroyed.

System manager(s) and address: District Director of Customs, U.S. Customs House, 2nd & Chestnut Streets, Philadelphia, Pa. 19106.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates from a bi-monthly data center print-out, number RCS-AA66-10, for the Port of Philadelphia.

Treasury/Customs 00.169

System name: Overtime Log—Treasury/Customs.

System location: U.S. Customs Service, Honolulu International Airport, Honolulu, Hawaii 96819.

Categories of individuals covered by the system: Employees of U.S. Customs Service participating in overtime under the Act of Feb. 13, 1911.

Categories of records in the system: Name, overtime rate, overtime amount earned by date, overtime earned on holiday or Sunday or during any other night, and running total of earnings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(a) To keep a record of overtime earnings worked by each individual participant. (b) To keep a record of overtime earnings by different participating groups; i.e., Inspectors, Supervisory Customs Inspectors, Warehouse officers, etc. (c) Used to make a daily list of overtime participants by earnings.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on loose leaf folder paper in a black binder. This binder is located adjacent to the documents examiner's desk.

Retrievability: Each loose leaf folder paper is identified by name and is filed according to current overtime earnings and participating groups.

Safeguards: The documents examiner's desk is located next to the manifest desk in the U.S. Customs Service office. The manifest desk is manned 24 hours a day, seven days a week by Customs personnel.

Retention and disposal: The files are kept in the binder for one calendar year then placed in a folder, boxed, sealed, labeled and placed in our locked storeroom. The files are destroyed after five years.

System manager(s) and address: District Director of Customs, U.S. Customs Service, Honolulu, Hawaii 96819.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Overtime records are compiled by Customs personnel responsible for accounting for overtime.

Treasury/Customs 00.170

System name: Overtime Reports—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, Region V. RDI, 1440 Canal Street, New Orleans, La. 70112; SAC, 600 South Street, Room 444, New Orleans, La. 70130; SAC, P.O. Box 1708, Mobile, Ala., 36601; RA, 1719 West End Building, Rm. 303, Nashville, Tenn., 37203.

Categories of individuals covered by the system: All Special Agents in region certified to receive premium compensation.

Categories of records in the system: Numbers of hours worked by Special Agents over and above the normal 40 hour week.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(a) Disclosures to those officers and employees of the Customs Service and the
Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Record of number of unscheduled overtime hours worked by each Special Agent.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These forms are maintained in file folders in a locked filing cabinet.

Retrievability: Files are kept in alphabetical order.

Safeguards: During non-working hours the rooms in which the above described containers are located are locked.

Retention and disposal: These files are maintained on Special Agents and are destroyed three years after agent leaves agency or office.

System manager(s) and address: Regional Director, Investigations, U.S. Customs Service, Office of Investigations, 1440 Canal Street, New Orleans, La. 70112; Special Agent in Charge, Office of Investigations, 600 South Street, Room 444, New Orleans, La. 70132; Special Agent in Charge, U.S. Customs Service, Office of Investigations, P.O. Box 1704, Mobile, Ala., 36601; Resident Agent, U.S. Customs Service, Office of Investigations, 1719 West End Building, Rm. 303, Nashville, Tenn., 37203.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with, and consists solely of, information supplied by employees.

Treasury/Customs 00.171

System name: Parking Permit File—Treasury/Customs.


Categories of individuals covered by the system: Individuals and members of car pools to whom vehicle parking permits have been issued.

Categories of records in the system: Name, home phone number, home address, organization, office telephone number, years of service, and make, year, license number and registration number of vehicle.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (b) Used exclusively by Customs Service for purposes of maintaining personnel and payroll records; (c) Used to evaluate and monitor employee performance.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on GSA Forms 7415 (Parking Permit Applications) which consist of index card applications. The index card applications are placed within a file box which is located in a metal file container.

Retrievability: Each index card is identified by the name of the applicant, and the cards are filed alphabetically within the file box.

Safeguards: The card file box is placed within a metal cabinet which is located within an office that is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted in the building.

Retention and disposal: Active index cards are retained in this system indefinitely. However, the information in this system is reviewed every six months, and outdated index cards are discarded.

System manager(s) and address: Chief, Headquarters Support Branch, Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with, and consists solely of, information supplied by the applicant(s) on GSA Form 7415 (Parking Permit Application).

Treasury/Customs 00.172.

System name: Parking Permits File (N.Y. Region)—Treasury/Customs.

System location: U.S. Customs Service, Assistant Regional Commissioner (Administration), 6 World Trade Center, New York, N.Y. 10048.

Categories of Individuals covered by the system: Organizational units and persons holding parking permits.

Categories of records in the system: Name of person issued parking permit, and number of parking spot assigned.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To keep track of permits issued and to identify the holder of the permit if violations occur or problems develop (i.e., car lights left on).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper files.

Retrievability: By name.

Safeguards: Office locked at night.

Retention and disposal: Retained until changed.

System manager(s) and address: Regional Commissioner of Customs, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is compiled when parking permit is assigned.

Treasury/Customs 00.175

System name: Patrol Division Daily Activity Report—Treasury/Customs.

System location: Offices of the Patrol Division, Chicago District, Detroit District and Pemba District, Region IX, Chicago, Illinois. See Customs Appendix A.

Categories of Individuals covered by the system: Individuals employed as Customs Patrol Officers.

Categories of records in the system: Internal Patrol Division folder maintained by Director, Patrol, by name to record work performance on a daily basis of each individual Patrol Officer. Also, individual record of scheduled overtime, sick or annual leave hours.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to maintain evaluation rating on employees of Patrol Division.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual activity records are maintained in file folders stored in a locked metal file cabinet.

Retrievability: Each folder is filed alphabetically by an individual's name.

Safeguards: File folders are kept in a locked metal file cabinet which is located in an office that is locked during non-working hours.
Retention and disposal: Folders are maintained for the duration of an employee's service with the Patrol Division and then destroyed.

System manager(s) and address: Supervisory Customs Patrol Officers, Chicago District, Detroit District and Pembina District, Region I, 900 North Michigan Avenue, Chicago, Illinois 60602.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in this record system originates from reports of Customs Patrol Officers involving records of their daily patrol activities.

Treasury/Customs 00.180

System name: Payroll Record of Employees Not Covered by The Automated System Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Records of various types of earnings and taxes withheld from employees who are not covered by the automated payroll system.

Categories of records in the system: Recorded detail of earnings and taxes.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is placed in a file folder maintained in an unlocked file cabinet located within the Payment Section work area.

Retrievability: Each file is identified by the name of the individual.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files are retained for two years on the premises and then sent to the Federal Records Center where they are retained for three years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in the system originates from the individual's preparation of his travel voucher and compensation forms.
System name: Personal Search N.Y. District (Negative results) - Treasury/Custums.

System location: District Director, Room 218, International Trade Center, 250 North Water Street, Mobile, Alabama 36602.

Categories of individuals covered by the system: Individuals who have been subjected to search by Customs Officers in which no arrest or seizure is made.

Categories of records covered by the system: Any person who was an inspector at any port or station in the district has been searched because of his appearance, associations, or other valid reason.

Categories of records in the system: Name, address, date of birth, social security number, place of birth, occupation, driver's license number, and other identification number.

Authority for maintenance of the system: 5 U.S.C. 301.

Retention and disposal: Files are retained in Port Office for five years after which they are destroyed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
- Storage: Files are located in Port Office.
- Retrievability: Records are in alphabetical order.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Records are retained in Port Office for five years after which they are destroyed.

System manager(s) and address: District Director, U.S. Customs, 127 North Water Street, Ogdenburg, N.Y. 13699.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Controlling record procedures: See Access, Customs Appendix A.

Record source categories: From inspectors throughout the district.

Authority for maintenance of the system: 5 U.S.C. 301.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
- Storage: Files are located in Port Office.
- Retrievability: Records are in alphabetical order.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Records are retained in Port Office for five years after which they are destroyed.

System manager(s) and address: District Director, U.S. Customs, 127 North Water Street, Ogdenburg, N.Y. 13699.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Controlling record procedures: See Access, Customs Appendix A.

Record source categories: From inspectors throughout the district.

Authority for maintenance of the system: 5 U.S.C. 301.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
- Storage: Files are located in Port Office.
- Retrievability: Records are in alphabetical order.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Records are retained in Port Office for five years after which they are destroyed.

System manager(s) and address: District Director, U.S. Customs, 127 North Water Street, Ogdenburg, N.Y. 13699.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Controlling record procedures: See Access, Customs Appendix A.

Record source categories: From inspectors throughout the district.

Authority for maintenance of the system: 5 U.S.C. 301.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
- Storage: Files are located in Port Office.
- Retrievability: Records are in alphabetical order.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Records are retained in Port Office for five years after which they are destroyed.

System manager(s) and address: District Director, U.S. Customs, 127 North Water Street, Ogdenburg, N.Y. 13699.
Query and NCIC Query—These systems provide data by name, license numbers and other numerical identifiers on prior records of violations.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.186
System name: Personal Search—Negative (District Patrol—New Orleans, La. and Mobile, Al.)—Treasury/Custums.

System location: Office of the Director, District Patrol, 600 South Street, New Orleans, Louisiana 70130; Director, District Patrol Division, Room 213, International Trade Center, 250 N. Water Street, Mobile, Al. 36602.

Categories of individuals covered by the system: Individuals indicating unlawful or suspicious activity that might result in a Customs violation.

Categories of records in the system: Name, address, phone number, place of business, physical description, associates, vehicle, automobile, or aircraft identified with, make, year, license number and registration of vehicles, area of activity, method of operation and other relevant and necessary information on individuals suspected of activity contrary to law.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and purposes of such uses: The routine use of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; (e) The routine use of the records contained in this system is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in standard Customs file folders in locked metal cabinets.

Retrieval: System indexed by identifying file number and manually retrieved.

Safeguards: File maintained in locked metal file cabinet, the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally be granted access, consistent with their positions, have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours, the room housing the metal cabinets is locked.

Retention and disposal: Negative Search Reports are destroyed after a five year period. Method of disposal is shredding.

System manager(s) and address: Director, District Patrol Division, 600 South Street, New Orleans, Louisiana 70130.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with, and consists solely of, information supplied by the individual being searched and the patrol officer doing the search.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Custums 00.187
System name: Personal Search File—Treasury/Custums.

System location: U.S. Customs Service, Honolulu International Airport, Honolulu, Hawaii 96819.

Categories of individuals covered by the system: Passengers, arriving from foreign countries, the Customs Service found necessary to search.

Categories of records in the system: Name, address, date, time, date of birth, region, port, race, sex, carrier, (airline and flight number), description, passport number, birth place, social security number, marital status, citizenship, reasons for search, searching officer, witness, result of search, search authorized by, and extent or type of search.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of uses and the purposes of such uses: The routine use of the records contained in this system are as follows: (a) All three forms used in this system are kept so as to have a clear and concise record of all searches conducted by the U.S. Customs Service; (b) The files are used to answer all inquiries into any aspect of any personal searches conducted at this station; (c) The current CF 151 file is used as the primary input document for the Customs Law Enforcement Activity Reporting (CLEAR) system which provides, in turn, accurate and timely statistical reporting; (d) Disclosure to officers and employees of the Customs Service and the Department of the Treasury who have a need for records in the performance of their duties; (e) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Region Form VIII-RC-SI-BNF, CF 43, CF 151. These forms are found in binders on the reference shelves in the Customs office.

Retrieval: Each form is identified by name and is filed alphabetically. The files are further broken down by form number and calendar year.

Safeguards: The files are located in the Customs office. This office is manned 24 hours a day, 7 days a week by Customs personnel.

Retention: Active file forms are kept for five years. After five years the files are destroyed.

System manager(s) and address: Director of Customs, U.S. Customs Service, Honolulu, Hawaii 96819.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates from personal searches conducted on passengers arriving from foreign destinations.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in Federal Register.

Treasury/Custums 00.188

System location: Port of Beaumont, Texas, and Mobile, Alabama.

Categories of individuals covered by the system: Persons on whom personal searches are performed at the various ports of entry.

Categories of records in the system: Customs Form 151 containing personal information on individual searched and reasons for search.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Retained as record to answer inquiries into any aspects of personal searches conducted by the Customs Service. Disclosed to Treasury Department Personnel as necessary for performance of duties. Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each CF 151 covering personal searches is maintained in alphabetical order at the various ports of entry.

Retrievability: By name of person searched.

Safeguards: Records are retained in file cabinets (lockable) and buildings are locked during other than office hours.

Retention and disposal: Records are retained for 5 years and destroyed by burning.

System manager(s) and address: Port Directors at the various ports of entry in the Nogales, Arizona District.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained herein originates with the Inspector and contains personal information obtained from the individual searched and reasons for performing the search.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.189

System name: Personnel Action Records System—Treasury/Customs.


Categories of individuals covered by the system: Persons employed by the Treasury Department, Customs Service who either initiate a grievance, discrimination complaint, an unfair labor practice complaint against the U.S. Customs Service and officer or employee thereof, or have a disciplinary or other adverse action initiated against him or her by the U.S. Customs Service in Region IX.

Categories of records in the system: The Personnel Action Records System contains information regarding employment history, i.e. basic personnel data, age, birth, marital status, address, phone number, general health, details of the incident leading to the grievance, discrimination, or disciplinary action, adverse action, or unfair labor practice complaint.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Personnel Action Records System of the United States Customs Service are as follows: (a) Disclosure to those officers and employees of the Customs Service, the Department of the Treasury, the Civil Service Commission, and the Department of Justice who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as occupational necessity to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, the employee, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) Information contained in these files is routinely used by the attorneys in the Regional Counsel's office in determining and resolving legal issues related to the personnel action involved, in preparing for, and representing management in pre-adjudicative hearings and in preparing information and assistance to U.S. Attorneys and the Department of Justice in litigation. Potential litigation or litigation will require disclosure of the information to the Department of Justice, and this information is often channeled through the Department of the Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each record from the file folder which is filed in a lockable drawer within a metal filing cabinet.

Retrievability: Each file is identified in a manual alphabetical card file by the name of the person who initiated the action against the Customs Service, or against whom it was initiated. In addition, each file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is locked is located, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Service Administration, 7358 Pulaski, Chicago, Illinois 60602 in accordance with the U.S. Customs Service Record Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedures: See Customs Appendix A.

Record source categories: The information contained in these files originates with any personnel action initiated either by or against an employee. The information submitted by the employee is in the file as will be the information submitted by the employer if the action is initiated by the employer. Further information in this file is derived from both employee and employer information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.190

System name: Personnel Case File—Treasury/Customs.


Categories of individuals covered by the system: Current or former Customs Service employees against whom disciplinary action has been proposed or taken, who have filed grievances, and who have...
filed complaints under the Equal Opportunity (EO) Program, in most cases where administrative proceedings have been instituted.

Categories of records in the system: Reports of investigation into alleged employee misconduct, internal Customs Service memoranda recommending disciplinary action, documents relating to the filing and administrative disposition of formal and informal grievances and documents relating to the filing and administrative disposition of EO complaints.

Authority for maintenance of the system: Title 5, United States Code; Title 5, Code of Federal Regulations; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) The information contained in this file is used administratively to evaluate proposed disciplinary actions, grievances and EO complaints, to recommend action to be taken in such cases, to prepare necessary decisional documents in such cases, and to prepare proposed charges where appropriate. The information contained in this file is used to prepare for administrative hearings held in regard to these matters and is routinely made a part of the record at such hearings; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure to the Civil Service Commission in connection with court proceedings resulting from appeals from decisions rendered at the administrative level; (d) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include evaluating proposed disciplinary actions, grievances and EO complaints, recommending action to be taken in such cases, preparing necessary decisional documents in such cases, preparing proposed charges where appropriate, preparing for administrative hearings held in regard to these matters and making the records a part of the record at such hearings.

Categories of records in the system: Reports of investigation into alleged employee misconduct, internal Customs Service memoranda recommending disciplinary action, documents relating to the filing and administrative disposition of formal and informal grievances and documents relating to the filing and administrative disposition of EO complaints.

Authority for maintenance of the system: Title 5, United States Code; Title 5, Code of Federal Regulations; 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) The information contained in this file is used administratively to evaluate proposed disciplinary actions, grievances and EO complaints, to recommend action to be taken in such cases, to prepare necessary decisional documents in such cases, and to prepare proposed charges where appropriate. The information contained in this file is used to prepare for administrative hearings held in regard to these matters and is routinely made a part of the record at such hearings. Information contained in this file is also made available to the Civil Service Commission in connection with court proceedings resulting from appeals from decisions rendered at the administrative level; (b) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person, and each case file is similarly identified in alphabetical order within the metal container.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours, the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System managers and address: Regional Counsel of Customs, New York, N.Y. 10048.

Notification procedures: See Customs Appendix A.

Record source categories: The information contained in these files results from investigations into alleged misconduct on the part of Customs Service employees, recommendations from appropriate Customs Service field personnel that disciplinary proceedings be instituted against Customs Service employees, the filing of grievances by Customs Service employees, the filing of EO complaints by Customs Service employees, the statements of Customs Service employees including the employees who are directly affected by the administrative proceedings, and statements or other information provided by private nongovernmental individuals.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

System name: Personnel Case Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, Calif. 94105.

Categories of individuals covered by the system: Current or former Customs Service employees against whom disciplinary action has been proposed or taken, who have filed grievances, and who have filed complaints under the Equal Opportunity (EO) Program, in most cases where administrative proceedings have been instituted.

Categories of records in the system: Reports of investigation into alleged employee misconduct, Internal Customs Service memoranda recommending disciplinary action, documents relating to the institution or conduct of disciplinary proceedings, documents relating to the filing and administrative disposition of formal and informal grievances and documents relating to the filing and administrative disposition of EO complaints.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965, Title 5, United States Code; Title 5, Code of Federal Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to the officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in these files is used to support disciplinary actions, grievances and EO complaints, to recommend action to be taken in such cases, and to prepare necessary decisional documents in such cases, and to prepare proposed charges where appropriate. The information contained in this file is used to prepare for administrative hearings held in regard to these matters and is routinely made a part of the record at such hearings. Information contained in this file is also made available to the Civil Service Commission in connection with such hearings and may be made available to the Department of Justice in connection with court proceedings resulting from appeals from decisions rendered at the administrative level.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is stored alphabetically in an unlocked drawer within a metal file cabinet.

Retrieval: Each case file is identified in a manual alphabetical card file by the name of the person, and each case file is similarly identified in alphabetical order within the metal file cabinet.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours, the metal file cabinet and all attached access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time the oldest files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, Calif. 94105.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files results from investigations into alleged misconduct on the part of Customs Service employees, recommendations from appropriate Customs Service field personnel that disciplinary proceedings be instituted against Customs Service employees, the filing of grievances by Customs Service employees, the filing of EO complaints by Customs Service employees including the employees who are directly affected by the administrative proceedings, and statements or other information provided by private non-governmental individuals.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Custums 00.193

System name: Operating Personnel Folder Files—Treasury/Custums.

System location: Files are located in Headquarters, Regional, District, Port and other post of duty offices throughout the Customs Service depending upon post of duty of employee. See Customs Appendix A.

Categories of individuals covered by the system: Customs employees, present.

Categories of records in the system: In addition to the appropriate Personnel offices, records are also maintained by district, port, or other post of duty of Customs employees. This is maintained on personnel matters such as, but not limited to name, Social Security number, awards, letters of appreciation, promotions, step increases, memoranda, forms and materials related to hiring, address, pay, transfer and separation, service time, salary, performance, discipline, memberships, publications, skills, chronological work history, position descriptions, reports of discussions held with employee regarding performance, copies of letters written to employee concerning performance, overtime hours, seniority status, leave, overtime earnings, productivity, locator card information, and related employment records.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (b) Disclosures required in administration of the Privacy Act of 1974 (5 U.S.C. 552a); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) For supervisors and appropriate managers in making job-related determinations about employees (g) For employment and salary verification upon request from public.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders, and/or on index cards, and/or ledgers.

Retrieval: Records are indexed by name, or social security number.

Safeguards: Records are located in lockable metal file cabinets or in secured rooms with limited access.

Retention and disposal: When the employee leaves the Customs Service through transfer or other separation, the file will immediately be forwarded to the office maintaining the Official Personnel Folder. There it will be screened to insure that it contains no documents that should be permanently filed in the Official Personnel Folder other than duplicate of paper already so filed. The file and its contents will then be destroyed.

System manager(s) and address: Director, Personnel Management Division in each Region or Headquarters, or managerial official in appropriate posts of duty of employee. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information in this system of records comes from employee, from personnel actions as noted in official personnel folders, and from supervisor.

Treasury/Custums 00.194

System name: Personnel/Payroll System—Treasury/Custums.

System location: Located in Personnel and Financial Management Division of each region and headquarters. Computerized through a Servicing Data Processing Center. See Customs Appendix A.

Categories of individuals covered by the system: All Customs employees, present and former.

Categories of records in the system: These records contain Personnel data maintained in the Official Personnel Folder and Payroll information such as name, social security number, grade, series, step, organizational codes, tax withholding information, bond purchase and issuance, emergency salaries, overtime and holiday pay, optional payroll deductions, other deductions, and all payroll information. Also in this system are records of time and attendance and leave.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Dislosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) Records are used for payroll and accounting purposes and for issuance of payroll checks for employees stationed in Canada. Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and on mag-tape and computer printout.

Retrievability: Records are indexed by name or social security number.

Safeguards: Records are maintained in locked files, secured rooms, or limited access.

Retention and disposition: Individual records are not in system after separation; Official Personnel Records of separated employees either are sent to new agency or to Records Center. Time and attendance records are maintained three years or until after audit; then destroyed.

System manager(s) and address: Directors, Personnel and Financial Management Division in each region and headquarters.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from Official Personnel Records of separated employees either are sent to new agency or to Records Center. Time and attendance records are maintained three years or until after audit; then destroyed.


Categories of individuals covered by the system: Names of pilots and vessel masters arriving in the United States.

Categories of records in the system: Names and personal identifiers of pilots, vessel masters, and owners of vessels with appropriate registration and/or documentation numbers and characteristics, and arrival dates at port of entry.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) Records are used for payroll and accounting purposes and for issuance of payroll checks for employees stationed in Canada. Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in a file folder within two metal file cabinets in the work area of the Budget Section.

Retrievability: The files are identified as the "Pre-clearance Record" which contains the names of all employees listed in alphabetical order with corresponding costs associated with each employee.

Safeguards: The files are located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files are kept for 3 years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system is obtained from the Bi-weekly Comprehensive Payroll Listing, Treasury Form 2979, supplied by the Payroll Data Center.

Treasury/Customs 00.197

System name: Private Aircraft/Vessel Inspection Reporting System—Treasury/Customs.


Categories of individuals covered by the system: Names of pilots and vessel masters arriving in the United States.

Categories of records in the system: Names and personal identifiers of pilots, vessel masters, and owners of vessels with appropriate registration and/or documentation numbers and characteristics, and arrival dates at port of entry.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used by Customs Officers for documenting the arrival of aircraft or vessels from foreign countries and in the enforcing of Customs and related laws; (b) Used for Customs management functions such as measuring workload of Customs officers in performing aircraft or vessel inspections; (c) Used as an intelligence gathering source; (d) Used by Department of Justice in prosecuting court cases; (e) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (f) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.
Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18769

Storage: Magnetic disc and tape storage; microfiche.
Retrievability: By individual name, private aircraft/vessel registration number; vessel name.

Authority for maintenance of the system: Any Customs employees.

Safeguards: All inquiries are made by officers with full field background investigation on a "need to know" basis only. Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: Indefinite, then destroyed. (Minimum of five years for aircraft arrival reports.)

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A. Record source categories: Proposed Customs. Form 178 (Private Aircraft Inspection Report) which will be prepared by Customs officers, unnumbered forms prepared by vessel masters or owners who report their arrival to a United States port of entry, and other Federal agencies.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(k)(2) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasurer/Customs 00.199
System name: Property Assigned to Employee—Treasury/Customs.
System location: District Director's Office, Entry Control Section, U.S. Customs Service, 228 Federal Bldg., 335 Merchant Street, Honolulu, Hawaii 96813.

Categories of records in the system: Individual assignment of Customs badges, listing name, Social Security number and duty phone. Signed by Custodian and Property Officer.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system: By individual name.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Kept in alphabetical order in an unlocked filing cabinet in the Entry Control Section.
Retrievability: By name.

Safeguards: The office where the records are located is locked during non-working hours and access to the building is controlled at all times by uniformed guards.

Retention and disposal: The records are maintained for the duration of the property assignment. Outdated records are destroyed after 3 years.

System manager(s) and address: Local Property Officer, Room 228, federal Building, Entry Control Section. See location above.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is provided by the property officer and the person to whom the property is assigned.

Treasurer/Customs 00.200
System name: Property File—Treasury/Customs.
System location: Logistics Management Division, Region IV, U.S. Customs Service, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Any Customs employee who is designated as the official custodian of a serially numbered badge, weapon, or transportation request forms.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used administratively by Customs Service employees to record names of individuals designated as custodians of accountable property.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The information in this system is stored, in printout form, in files organized by District. The file folders are stored in an unlocked drawer in a metal file cabinet.
Retrievability: Each folder is filed by District.

Safeguards: The files are stored in a metal cabinet located within an office that is locked during non-working hours.

Retention and disposal: Records have been retained since the inception of the system, three years. No policy exists for disposal.

System manager(s) and address: Director, Logistics Management Division, U.S. Customs Service, Region IV, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The source document for this record is the Customs form 35.

Treasurer/Customs 00.201
System name: Property File—Non-Expendable-Treasury/Customs.
System location: Offices of District Directors, Region IX, Chicago, Illinois (see Customs Appendix A).

Categories of individuals covered by the system: Employees issued non-expendable property.

Categories of records in the system: Receipts for property assigned to property assigned to employees, bonded warehouse keys, identification cards, Government driver's licenses, firearms and other non-expendable property.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such users: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Locked file cabinet in the offices of the District Directors in Region IX, Chicago, Illinois.
Retrievability: Folders identified by individual's name.

Safeguards: The file described is maintained in the offices of the District Directors in Region IX, Chicago, Illinois. During non-working hours the building in which the file is located is locked. Access limited to authorized Customs personnel.

Retention and disposal: Until employee separates/transfers. Transfer to National Personnel Records Center (NPRC), (CPR), St. Louis, Missouri, 30 days after employee is separated.

System manager(s) and address: District Directors in Region IX, Chicago, Illinois.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system consists of receipts of employees receiving non-expendable property.

Treasurer/Customs 00.203
System name: Receipt for Property Assigned—Treasury/Customs.
System location: Federal Building, Room 198, 511 N.W. Broadway, Portland, Oregon 97209.

Categories of individuals covered by the system: Employees of Portland District to whom property had been assigned.

Categories of records in the system: Name, address, Social Security number, and listing of articles assigned.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To make accountable those employees to whom property has been assigned.
For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Maintained in wooden index card file on desk of property clerk.
Retrievability: Cards are filed alphabetically by name of individual.
Safeguards: The office and building are locked during non-working hours.
Retention and disposal: Retained 2 years after transfer or separation, then destroyed.

System manager and address: District Director, Federal Building, Room 198, 511 N.W. Broadway, Portland, Oregon 97209.
Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.
Record source categories: Cards filled out by property clerk when property is issued.

Treasury/Customs 00.204
System name: Receipt for Property Assignment—Treasury/Customs.
System location: Office of District Director, Administrative Officer, U.S. Customs Service, 555 Battery Street, Room 318, San Francisco, California 94126.
Categories of individuals covered by the system: Employees of Customs to whom Government property is issued—such as badges, cap insignia, guns, etc.
Categories of records in the system: Name, home address, District number, Port, social security number, signature of person receiving property, phone number, date.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: The information in this system is contained on Customs Form 35. These forms are kept in a metal Index File Box.
Retrievability: Each receipt is filed by the name of the employee to whom property has been assigned.
Safeguards: The metal Index File Box is stored in an office under constant supervision during regular working hours, and the office is locked during other than regular working hours.
Retention and disposal: These records forms are retained until the property is surrendered and/or the employee leaves Customs.

System manager(s) and address: Administrative Officer, U.S. Customs Service, 555 Battery Street, Room 318, San Francisco, California 94126.
Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.
- Contesting record procedures: See Access, Customs Appendix A.
- Record source categories: The information in this system originates with and consists of information developed when property is given to employees.

Treasury/Customs 00.206
System name: Regulatory Audits of Customhouse Brokers—Treasury/Customs.
System location: Division of Regulatory Audit, United States Customs Service Headquarters, 1301 Constitution Avenue, NW., Washington, D.C. 20229.
Categories of individuals covered by the system: Persons licensed to conduct customhouse brokerage business pursuant to 19 U.S.C. 1641.
Categories of records in the system: Financial records of customhouse brokers who perform reimbursable services and parties in interest for whom reimbursable services are performed.

Congressional inquiries concerning customhouse broker and disposition made of such inquiries; names of officers of customhouse brokerage enterprises such as freight forwarding and insurance, and these records are made available to other government agencies which may have an interest in the broker.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Each customhouse broker file is inserted in alphabetical order by name of the firm in one of the regional file folders which are filed in an unlocked drawer within a metal file cabinet. Duplicate copies are maintained at Customs Service Headquarters.
Retrievability: Each broker file is identified on alphabetical file locator cards which contain the name and address of the broker, the region and district in which he operates, and the number of the file drawer. In addition each regional office maintains their own alphabetical file, containing the above information, for brokerage activities within the region.
Safeguards: The files described above are maintained within the area assigned to the Regional Audit Division within the Customs Service Building. During non-working hours, the room in which the files are located is locked, and access to the building is controlled at all times by uniformed guards.
Retention and disposal: Customhouse broker files are retained indefinitely, or until there is no longer any space available for them at which time the oldest files are destroyed by burning or shredding.

System manager(s) and address: Director, Regulatory Audit Division, United States Customs Service Headquarters, 1301 Constitution Avenue, NW., Washington, D.C. 20229, Regional Director, Regulatory Audit Division at each of the nine regional offices (see Customs Appendix A for addresses).
Notification procedures: See Customs Appendix A.
Record source categories: The information contained in these files originates from audits conducted by the regional Regulatory Audit staff, of customhouse brokers' businesses. The audits may be supplemented with information furnished by the Office of the Chief Counsel, the Office of Investigation, and the Office of Regulations and Rulings. These audits include examinations of brokers business records, including all financial books of accounts, check books, and financial statements. In the event that the broker is engaged in various business enterprises such as freight forwarding and insurance, and these financial records are commingled with those financial records relating to the brokerage business, it would be necessary to examine the other business records to complete the audit.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (l) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.207
System name: Reimbursable Assignment System—Treasury/Customs.
System location: U.S. Customs Service Data Center, William Building, 7923 Eastern Avenue, Silver Spring, Maryland 20910.
Categories of individuals covered by the system: Customs Service employees who perform reimbursable services and parties in interest for whom reimbursable services are performed.
Categories of records in the system: Name, address, social security number or importer of record number assigned by the Customs Service, listings of reimbursable overtime assignments of Customs
employees, bills and refund checks issued to parties in interest, travel expenses incurred by Customs employees in connection with the reimbursement of their duties, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigatory action, the letting of a contract, or the issuance of a license, grant, or other benefit. (c) A record from this system of records may be disclosed to a federal agency, in response to a request, in connection with the hiring, or retention of an employee, the issuance of a security clearance, the reporting of an investigatory action, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (d) Certain employees of the Personnel Management Division and supervisors, justification of action, respond to inquiries, post-audit of action, statistics, reports to Treasury and Civil Service Commission.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in manila folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked files.

Retention and disposal: Records are retained indefinitely or until Treasury issues instructions.

System manager(s) and address: Director, Personnel Management Division, in each region and Headquarters.

Notification procedures: See Access, Customs Appendix A.

Record access procedures: See Access, Customs Appendix A.

Record source categories: Evidential materials supporting employment applications for restoration of forfeited annual leave. Evidential materials supporting management decisions.

Treasury/Customs 00.209

System name: Resumes of Professional Artists—Treasury/Customs.

System location: Area Director, N.Y. Seaport Area, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Professional Artists.

Categories of records in the system: Artist's name and professional art background.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of the records:

Access to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To classify imported works of art.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: Alphabetical listing.

Safeguards: Filing cabinet, office locked at end of day.

Retention and disposal: Permanent.

System manager(s) and address: Area Director N.Y. Seaport Area, 6 World Trade Center, New York, N.Y. 10048.

Notification procedures: See Access, Customs Appendix A.

Record access procedures: See Access, Customs Appendix A.

Record source categories: Resume information provided by artist.

Treasury/Customs 00.210


System location: Financial Management Division—Districts and Ports, 39 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Corporate and Individual Brokers, Importers, Corporations, and Private Individuals.

Categories of records in the system: Corporations and individuals in financial difficulty.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a); (c) This is a monthly list originated in the Region informing all Districts and Ports of debtors for which no credit may be extended.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Alpha File in Manila Folder by Month.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are maintained as prescribed by OAD Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

System location: Patrol Division Offices of the U.S. Customs Service. See Customs Appendix A.

Categories of Individuals Covered by the System: Persons who have or may have violated a law of the United States.

Categories of records in the system: Name, alias, date of birth, personal data, addresses, home and business telephone numbers, occupation, background information, associations, license number and registration number of vehicle, vessel, and/or aircraft, mode of entry and/or exit, individual or contraband, fingerprints, pictures, declaration forms, cash receipts, receipt for seized goods, all other forms pertinent to the case, such as Notice to Master, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their official duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a); (c) Used as an aid to law enforcement and to identify persons suspected of violating the law; (d) Cases are referred to Investigative Branch since same are evident of violations of law; (e) Since this system of records includes information which indicates a violation or potential violation of any law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records may, in the custody of the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case is placed in a folder which is filed in a numerical order according to the assigned case file number. These files are kept in a locked metal cabinet.

Retrievability: The above-mentioned case file numbers are cross-indexed by name to such numbers, and cards are filed alphabetically within a metal file box.

Safeguards: The metal file cabinet and the metal file box are located within an office that is locked during non-working hours. The building is guarded by uniformed customs guards, and only authorized persons are permitted in the building.

Retention and disposal: These cases are retained for a period of three years after which they are destroyed together with related index cards.

System manager(s) and address: Director, District Patrol Division. See Customs Appendix A.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and (k) has proposed to exempt this system from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

System name: Seizure File—Treasury/Customs.

System location: Patrol Division, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Ships Masters, Ships Crew Members, Longshoremen, Vessels, Private Aircraft, Private Vessels. Individuals from whom seizures have been made, or upon whom Memoranda of Information have been received.

Categories of records in the system: Memoranda of Information Received, which are reports from law enforcement agencies of suspects or arrests. Reports of Seizures by Customs, other information indicating violators or suspected violators.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a); (c) Reference file for identifying violators or suspected violators.

System name: Search/Arrest/Seizure Report—Treasury/Customs.

System location: Patrol Division Offices of the U.S. Customs Service. See Customs Appendix A.

System name: Treasury/Custome 00.211

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.

System name: Sanction List Treasury/Customs.
For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manila files within metal file cabinets.

Retrievability: Alphabetical; for aircraft or car by number; by seizure number; by name of individual.

Safeguards: Room has a 24-hour guard and is locked.

Retention and disposal: Seizure files are maintained for three years after final disposition. Memoranda of information received: not determined how long they will be retained at present.

System manager(s) and address: Director, Patrol Division, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and (k) has proposed this system of records in the Treasury which is exempt from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.215

System name: Seizure Report File—Treasury/Customs.

System location: U.S. Customs Mail Facility, 1675—7th Street, Oakland, California 94618.

Categories of individuals covered by the system: Individuals to whom prohibited merchandise is addressed.

Categories of records in the system: Name, address, property description, estimated foreign value, duty, domestic value, circumstances of seizure, section of law violated, delivery to San Francisco seizure clerk.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used administratively by the Mail Facility to record seizures and their disposal.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Customs Form 5955 Police Reports, Customs Form 6043—Delivery Ticket, and Customs Form 151—Search/Arrest/Seizure Report. These forms are placed within file folders which are located in a metal file cabinet.

Retrievability: Each Seizure Report and Delivery Ticket (stapled together) are identified by the name of the person to which the seized items are addressed and the names are filed alphabetically by fiscal year.

Safeguards: The file folders are placed within a metal cabinet which is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted in the building.

Retention and disposal: Last three fiscal years records are kept in a file cabinet in the office. Three fiscal years prior are kept in boxes in the detention area.

System manager(s) and address: Head, Surface Mail Section. See location above.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with and consists of information obtained from mail shipments.

Treasury/Customs 00.217

System name: Set Off Files—Treasury/Customs.

System location: Financial Management Division, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Importer of Record, Customs House Broker, Parties In Interest.

Categories of records in the system: Name, address, identification number, copies of refund checks and copies of set off bills.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Document files identifying debtors for whom refunds are withheld and amounts applied against delinquent bills.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: File folders with individual's name annotated with set off.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by GAO Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 55 SE 5th Street, Miami, Florida 33131.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: District Directors, Port Directors, Import Control Officers, External Auditors and Other Customs Employees.

Treasury/Customs 00.219

System name: Skills Inventory Records—Treasury/Customs.


Categories of individuals covered by the system: Records are maintained in this system on Customs personnel in grades GS-13 and above.

Categories of records in the system: Data elements to provide a complete skills profile of the employee.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To identify potential candidates for managerial positions. Used by members of the Evaluation Boards.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on a Customs Form 121 and Mag-tape.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked file and limited access to computer system.

Retention and disposal: Records are maintained until separation of an employee from the Customs Service.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedures: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: CF-121 which is prepared by the employee.

Treasury/Customs 00.220

System name: Supervisory Notes and Files SF-7B—Treasury/Customs.
System location: Records are located with the immediate supervisor or at the immediate supervisor's operating office. See Customs Appendix A.

Categories of individuals covered by the system: Customs employees.

Categories of records in the system: SF-7B card contains identical information to that contained on SF-7 card; in addition it can have attached or separately-maintained supervisory notes concerning an employee's performance on-the-job, counseling sessions, training recommended or taken, awards granted, emergency contact information, job assignments, to be used for administration resource purposes in recommending or authorizing discipline, recognition, assignment of performance rating, training, job assignments, leave requests, and/or similar matters.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records maintained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or regulatory information, such as current licenses, if necessary to obtain information relevant to a agency decision-concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) Used by supervisors as documentation or for discipline, counseling, training recommended or taken, awards granted, emergency contact information, job assignments, to be used for administration resource purposes in recommending or authorizing discipline, recognition, assignment of performance rating, training, job assignments, leave requests, and/or similar matters.

Retention and disposal: Records are retained while employee is employed, and are destroyed upon separation.

Safeguards: Records are stored in lockable metal filing cabinets or in a secured room. Alternative storage facilities may be employed provided they furnish an equivalent or greater degree of physical security.

Retention and disposal: Records are retained while employee is employed, and are destroyed upon separation.

System manager(s) and address: District Director of Customs, 444 Brickell Avenue, Miami, Fla.

System name: Suspect File—Treasury/Customs.


Categories of individuals covered by the system: Persons in whom Customs is interested from a law enforcement point of view.

Categories of records in the system: Name, address, file reference number, memoranda of information, lookout notices.

Authority for maintenance of the system: Memorandum dated 6/16/74, File ADM-4-01-O-P, from Director of Patrol Division, Headquarters, 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide rapid and accurate retrieval of information previously recorded to officers of the United States Service who have need of such information in the performance of their official duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets in restricted area of offices.

Retrievability: Records are retrievable by name.

Safeguards: Records are kept in locked buildings when unattended.

Retention and disposal: Records are retained as long as information is pertinent but destroyed immediately after information becomes obsolete.

System manager(s) and address: District Director of Customs, 444 Brickell Avenue, Miami, Fla.

System name: Suspect File—Treasury/Customs.


Categories of individuals covered by the system: Persons in whom Customs is interested from a law enforcement point of view.

Categories of records in the system: Name, address, file reference number, memoranda of information, lookout notices.

Authority for maintenance of the system: Memorandum dated 6/16/74, File ADM-4-01-O-P, from Director of Patrol Division, Headquarters, 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide rapid and accurate retrieval of information previously recorded to officers of the United States Service who have need of such information in the performance of their official duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Unlocked metal card file.
Retention and disposal: Indefinite.

Retrievability: Cassettes are retrievable by record number and/or serial number.

Safeguards: Cassettes are retrieved by the requesting individual or by the Government's custodian of records.

For additional routine uses see Appendix AA.

Policy and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.

Categories of records in the system: The records include audio-visual cassettes containing taped interviews, surveillance tapes, and other audio-visual material used in the investigation and pursuit of criminal and regulatory violations.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in an unlocked drawer within a metal container.

Retrieval: Each case file is identified in the file folder within the metal container by the name of the person who has filed a claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Centers.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originated with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or others who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a to the effect that the system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

System name: Tort Claims Act File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, CA 90053.

Categories of individuals covered by the system: Private persons who have filed or may file claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment.

Categories of records in the system: Reports of Investigation regarding accidents involving Customs employees, documents relating to the administrative handling of the claims filed thereon, and documents submitted by the claimant in support of the claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in this file is used to administratively process claims filed under the Federal Tort Claims Act and is made available to the offices which the Customs Service who review recommendations and who make final decisions on such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the Government’s case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.

For additional routine uses see Appendix AA.

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrieval: Each case file is identified in the alphabetical file folder within the metal container by the name of the person who has filed or may file a claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Centers.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originated with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or others who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a to the effect that the system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

System name: Tort Claims Act File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, CA 90053.

Categories of individuals covered by the system: Private persons who have filed or may file claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment.

Categories of records in the system: Reports of Investigation regarding accidents involving Customs employees, documents relating to the administrative handling of the claims filed thereon, and documents submitted by the claimant in support of the claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in this file is used to administratively process claims filed under the Federal Tort Claims Act and is made available to the offices which the Customs Service who review recommendations and who make final decisions on such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the Government’s case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.

For additional routine uses see Appendix AA.
Notification procedure: See Customs Appendix A.

System manager(s) and address: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims. When a claim is not filed, the information is limited to the investigatory reports of the property damage or personal injury.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (f) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Tort Claims Act Records System—Treasury/Customs

System location: Offices of the District Directors, Region IX, Chicago, Illinois. (See Customs Appendix A.)

Categories of records in the system: Written damage claims supported by estimates, bills, claim forms and internal Customs Service memoranda.

Authority for maintenance of the system: 31 C.F.R. Part 3; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder within the metal container. Access to the building is controlled at all times by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (f) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Tort Claims Act Records System—Treasury/Customs

System location: Offices of the District Directors, Region IX, Chicago, Illinois. (See Customs Appendix A.)

Categories of records in the system: Written damage claims supported by estimates, bills, claim forms and internal Customs Service memoranda.

Authority for maintenance of the system: 31 C.F.R. Part 3; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder within the metal container. Access to the building is controlled at all times by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (f) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Tort Claims Act Records System—Treasury/Customs

System location: Offices of the District Directors, Region IX, Chicago, Illinois. (See Customs Appendix A.)

Categories of records in the system: Written damage claims supported by estimates, bills, claim forms and internal Customs Service memoranda.

Authority for maintenance of the system: 31 C.F.R. Part 3; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552a). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder within the metal container. Access to the building is controlled at all times by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.
their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) in the event that this system of records contains information which indicates a potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a “routine use” to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as a Federal, state, or local agency, or department within the confines of the office. There is no longer space available for them within the metal filing cabinet container. For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the tort claim against the Customs Service, and officer or employee thereof. In addition, each case file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the Office of the Regional Counsel of Customs, Region IX at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel’s office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area in the Regional Counsel’s office. The storage area is a room containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Service Administration, 7355 S. Pulaski, Chicago, Illinois 60621, in accordance with the U.S. Customs Service Records Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of any correspondence indicating an alleged claim for damage or injury resulting from the conduct of the Government, U.S. Customs Service or an employee or officer of the U.S. Customs Service in Region IX. Further information in this file is derived from correspondence from the individual, Standard Form 95 (Claim for Damage or Injury) filed by the claimant, other information submitted by the claimant, information submitted by Customs employee and/or his supervisors, and an investigation made by the Office of Internal Affairs or the Office of Investigations regarding the alleged claim or injury, as applicable. Information in these reports can be obtained from private parties, (witnesses), businesses, medical professionals, hospitals and other medical institutions.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (i) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.238


System location: Located in the Personnel Management Division of each region and headquarters and/or district, ports, and post of duty of employees.

Categories of individuals covered by the system: All U.S. Customs employees.

Categories of records in the system: Records are maintained on training or other development activities completed and or planned for individual employees, whether for programs such as Executive Development or Upward Mobility, or special emphasis development programs. Records also include such things as, but not limited to, skills, abilities, education, experience, career plans and goals, and other related information.

For maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to the officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) in the event that this system of records includes information which indicates a violation of potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a “routine use” to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed as a “routine use” to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (g) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (h) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (i) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (j) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (k) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (m) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (n) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (o) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (p) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (q) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (r) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (s) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (t) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (u) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (v) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (w) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (x) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (y) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (z) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are located in file folders, and/or official personnel folder.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked file or office. Records are retained until separation or until employee is no longer part of a special emphasis program.

Retention and disposal: Records are retained until separation or until employee is no longer part of a special emphasis program.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from the employee and supervisors.

Treasury/Customs 00.239

System name: Training Records—Treasury/Customs.

System location: Personnel Management Division of each region and headquarters and/or district, ports, and post of duty of employees.

Categories of individuals covered by the system: All U.S. Customs employees.

Categories of records in the system: Certificates of completion of training courses, memoranda documenting successful completion of
training assignments, grades assigned by educational institutions when appropriate, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the Payment Section employees to determine the amount owed to or by Customs and Border Protection.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Data is stored in an unlocked metal, 5 x 8 file.
Retrievability: The name of each individual is listed on a record card in alphabetical order.

System Name: Travel Advances—Treasury/Customs
System location: Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.

Record source categories: The information in the system originates from the employee and his supervisor.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Categories of records in the system: Each record contains the following information: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the Payment Section employees to determine the amount owed to or by Customs and Border Protection.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Data is stored in an unlocked metal, 5 x 8 file.
Retrievability: The name of each individual is listed on a record card in alphabetical order.

System Name: Travel Advances—Treasury/Customs
System location: Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedures: See Customs Appendix A.
Record access procedures: See Customs Appendix A.

Record source categories: The information in the system originates from the employee and his supervisor.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Categories of records in the system: Each record contains the following information:

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the Payment Section employees to determine the amount owed to or by Customs and Border Protection.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Data is stored in an unlocked metal, 5 x 8 file.
Retrievability: The name of each individual is listed on a record card in alphabetical order.
Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the container is located is locked.

Retention and disposal: These files are retained as prescribed by GAD Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access; Customs Appendix A.

Record source categories: SF 1038—"Application and Account for Advance of Funds".

Treasurer/Customs 00.242

System name: Travel Advance File—Treasurer/Customs

System location: Regional Commissioner of Customs, Room 1501, 55 East Monroe Street, Chicago, Illinois 60603.

Categories of individuals covered by the system: Customers employees to whom advances have been issued.

Categories of records in the system: SF 1038, "Application and Account for Advance of Funds.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(a) Disclosure to those office and employees of the Department of the Treasury who have a need for the records for the performance of their duties;

(b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual alphabetical card file in file cabinet.

Retrivialability: Access by name of individual.

Safeguards: The file cabinet is maintained in the office of the Regional Commissioner, Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked.

Retention and disposal: Retained and disposed of in accordance with requirements of Records Control Manual.

System manager(s) and address: Regional Commissioner of Customs, 55 East Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access; Customs Appendix A.

Record source categories: Completed Form 1038 received from any Customs employee within Region IX, Chicago, Illinois.

Treasurer/Customs 00.243

System name: Travel Payment System—Treasurer/Customs


Categories of individuals covered by the system: Headquarters Customs Service officials and employees who travel on official business.

Categories of records in the system: Travel authorizations, travel vouchers, and travel advance records, which contain the officer's or employee's name, residence, place and mode of travel, travel dates, month of travel advance, expenses incurred, amount of travel advance, amount of advance outstanding and division code.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include preparing disbursement schedules so that the officer or employee will be paid for travel expenses, recording the cost of travel, advising the employee's supervisor when a travel advance is outstanding for an extended period, and compiling cost and budget information.

(b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on index cards placed in a file box, in file folders, or on separate sheets of paper within a metal container and in a computer system utilizing magnetic disc storage technologies.

Retrivialability: The information on the index cards relates only to travel advance payments, and the index cards are filed alphabetically by the name of the traveling individual; each file folder is placed within the metal container alphabetically by the name of the traveling individual to whom it pertains; the separate sheets of paper are grouped in disbursement schedule number sequence by consecutive numerical combinations showing a list of persons for whom the computer records are retrieved by the accounting number assigned by the Customs Service for each separate travel transaction.

Safeguards: The room in which this system of records is located is locked during non-working hours, the building is guarded by uniformed security police, and only authorized persons are permitted within the building.

Retention and disposal: The records in this system are retained for an indefinite period of time. There are no established procedures for disposal of the subject records.

System manager(s) and address: Director, Accounting Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system is derived from information supplied to the Accounting Division by the traveling individual on Standard Form 1012 (Travel Voucher) and from organizational and accounting information maintained by the Customs Service.

Treasurer/Customs 00.244

System name: Treasury Enforcement Communications System (TECS)—Treasurer/Customs


Categories of individuals covered by the system: (1) Violators or suspected violators of U.S. Customs or related laws (some of whom have been apprehended by Customs officers); (2) Individuals who are suspected of, or who have been arrested for, thefts from international commerce; (3) Violated violators of U.S. Customs and/or drug laws in the United States and foreign countries; (4) Fugitives with outstanding warrants—Federal or state; (5) Victims of U.S. Customs law violations; (6) Masters associated, in the capacity of ship agent or representative, with vessels in actual or suspected violation of U.S. Customs and related laws.

Categories of records in the system: Every possible type of information that contributes to effective law enforcement may be maintained in this system of records. Records include but are not limited to records pertaining to known violators, wanted persons, lookouts (temporary and permanent), and document reference records, vessel names and search results. Information about individuals included in the system is not limited to name, alias, date of birth, address, physical description, various identification numbers (i.e., seizure number), details and circumstances of a search, arrest, or seizure, case information such as respondent and values, methods of theft, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

(a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties;

(b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);

(c) As a law enforcement tool, for example, to aid in the delugeion and prevention of violations, to provide and disseminate information on suspected or known violators within system to Federal, state and local enforcement officers within the United States and to other cooperating foreign countries' enforcement authorities.

(d) To assist in the conduct of investigations and court cases.

(e) To determine violations and develop enforcement techniques and look out information for use in the enforcement of Customs and related laws.

(f) To give management and law enforcement personnel an idea of number of types of seizures, arrests, and searches associated with seizures or seizures.

(g) Used by Customs to identify high theft areas, types of cargo most susceptible to theft.
likely to be pilfered or stolen, to connect seemingly unrelated Customs theft cases and to provide management information such as the value and volume of theft from international shipping. (g) Documentation of vessel violations committed while in United States ports. (h) Determination of search operations by consideration of past violations and selective intelligence information. (i) In support of other regulatory and/or enforcement agencies, particularly the Department of Agriculture making decisions in the conduct control of infected vessels, and of the Immigration and Naturalization Service, by assisting in collection of payments attendant to the handling of aliens.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc and tape, microfiche, and hard copy (i.e. Customs Form 153).

Retrieval: By name (individual or master, owner, or agent name when concerning vessel violation profile); unique identifiers, identification numbers (i.e., personal, seizure number, system identification number); cargo location code or vessel name.

Safeguards: (1) All officers making inquiries have had a full field background investigation and are given information on a "need to know" basis only. (2) Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: Review is accomplished by Customs officers each time an entry is retrieved and on a periodic basis to determine if it should be retained or modified. Since both temporary and permanent records are maintained, period of retention will vary with type record entered. A complete review of each record in system is made annually at Headquarters. (4) The records are disposed of by virtue of magnetic tape or disc, and by shredding and/ or burning of hard copy documents.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.245

System name: Uniform Allowance—Treasury/Customs.

System location: Management Services Branch, Administration Division, District Director, San Juan, Puerto Rico.

Categories of individuals covered by the system: Employees entitled to uniform allowance and date received.

Retention and disposal: Card is dated for disposal per original Treasury Order No. 165, Revised, as amended.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in individual cards stored in an index card box which is within a locked drawer of a desk.

Retrieval: Each card is identified by the name of the employee and filed alphabetically within the box.

Safeguards: The drawer in which the cards are kept is locked and is in the area assigned to the Management Services Branch within the Customs Service building. During non-working hours the room in which the desk is located is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Records are maintained until the employee leaves the district or is no longer entitled to uniform allowance at which time his card is moved to the back of the box. When there is no more space available within the box, the oldest cards are destroyed.

System manager(s) and address: District Director, U.S. Customs Service, P.O. Box 2112, Old San Juan, Puerto Rico 00993.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information is posted on the cards when checks for uniform allowance are received.

Treasury/Customs 00.248

System name: Uniform Allowance—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Employees of the Customs Service who are eligible to receive payments for maintaining uniforms.

Categories of records in the system: Name of employee, date of eligibility, period of eligibility, amount paid and District assigned.

to its request in connection with the hiring or retention of an employee; the issuance of a security clearance; the reporting of an investigation of an employee; the letting of a contract; or the issuance of a discount, grant, or other benefit, by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (g) Also disclosed to the Drug Enforcement Administration.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Rolodex card file.

Retrieval: Alphabetically by name.

Safeguards: Available to inspectors only. Kept in rolodex file in custody of inspector on duty.

Retention and disposal: Card is dated for disposal per original TECS message.

System manager(s) and address: Port Director, RFD Constable, Trout River, New York 12926.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.247

System name: Uniform Allowance—Treasury/Customs.

System location: Management Services Branch, Administration Division, District Director, San Juan, Puerto Rico.

Categories of individuals covered by the system: Employees entitled to uniform allowance and date received.

Retention and disposal: Card is dated for disposal per original Treasury Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Verify payments are received as requested and authorized.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in individual cards stored in an index card box which is within a locked drawer of a desk.

Retrieval: Each card is identified by the name of the employee and filed alphabetically within the box.

Safeguards: The drawer in which the cards are kept is locked and is in the area assigned to the Management Services Branch within the Customs Service building. During non-working hours the room in which the desk is located is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Records are maintained until the employee leaves the district or is no longer entitled to uniform allowance at which time his card is moved to the back of the box. When there is no more space available within the box, the oldest cards are destroyed.

System manager(s) and address: District Director, U.S. Customs Service, P.O. Box 2112, Old San Juan, Puerto Rico 00993.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information is posted on the cards when checks for uniform allowance are received.

Treasury/Customs 00.248

System name: Uniform Allowance—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Employees of the Customs Service who are eligible to receive payments for maintaining uniforms.

Categories of records in the system: Name of employee, date of eligibility, period of eligibility, amount paid and District assigned.
Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program, statute, case, or regulation, it may be disclosed pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used to determine the status of eligibility of uniformed employees so that payment can be processed by the employees of the Payment Section.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in a 5 x 8 two drawer file, accessible to employees of the Payment Section of the Financial Management Division.

Retrievability: The name of each individual is listed on a card which is filed in alphabetical order.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed police and only authorized persons are permitted entry to the building.

Retention and disposal: Files are maintained until employee leaves position, retained for two years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in the system originates from the District office where the employee is stationed.

Treasury/Customs 00.251

System name: Unscheduled Overtime Report (Customs Form 31)—Treasury/Customs.

System location: Director, Office of Operations, 6 World Trade Center, Rm. 508, New York, New York.

Categories of individuals covered by the system: Special Agents assigned to the office of Regional Director of Investigations authorized to receive unscheduled overtime remuneration.

Categories of records in the system: Customs Form 31 enumerates the nature of overtime performed, the number of hours and the date on which the overtime was performed and the case number of investigation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Accounting technicians to record amounts paid to uniformed personnel annually.

For additional routine uses see Appendix AA.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filed in folders with the individual's name appearing at the top thereof in a file cabinet.

Retrievability: Each record folder is filed by name of individual.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the area in which the cabinet is located is secured.

Retention and disposal: Retained for three years and then forwarded to FRC for seven years retention.

System manager(s) and address: District Director, as appropriate, in Region IX, Chicago, Illinois.

Notification procedures see Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Data submitted by individual Customs employees involved.

System name: Vehicle Microfiche Files—Treasury/Customs.

System location: Office of Investigations of the Customs Service, SAC, 600 South Street, Room 444, New Orleans, La. 70130.

Categories of individuals covered in the system: Owners of movable vehicles registered in the State of Louisiana.

Categories of records in the system: Name indices of vehicles.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these microfiche files is provided for the routine use of the Office of Investigations of the Customs Service for the purpose of verifying information received from other sources in conjunction with investigations within the Office of Investigations.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These microfiche files are maintained in a file folder in a metal cabinet. The cabinet is located in a room which is manned during normal office hours, and locked after hours.

Retrievability: These microfiche files are identified by alphabetical name of the categories of individuals maintained in that file and information is retrievable manually from these files.

Safeguards: These microfiche files are kept in a metal cabinet and are maintained within the room which is manned by Office of Investigations personnel during normal office hours. During non-working hours, the room in which the metal cabinet is located is locked and access to the office which contains that room are locked. Access to the building containing the office is controlled during non-working hours by a uniformed guard.

Retention and disposal: These files are retained indefinitely or until updated by more current records at which time the old records are disposed of.

System manager(s) and address: Special Agent in Charge, U.S. Customs Service, Office of Investigations, 600 South Street, Room 444, New Orleans, La. 70130.

Notification procedures see Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these microfiche files originates and consists of information generated from vehicle registration forms submitted to the State of Louisiana.

System location: Office of District Director, U.S. Customs Service, U.S. Customhouse, 101 E. Main Street, Norfolk, Va. 23510.

Categories of individuals covered by the system: Persons or firms who have violated any law administered by the U.S. Customs Service within the Norfolk District.

Categories of records in the system: Name of individual or firm, case number and date and section of law violated. This information is obtained from Customs Forms, 151 and 5955-A.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as Amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Provide the proper authority within the U.S. Customs Service with a ready reference to make a decision for the remission or mitigation of penalties, forfeitures and claims for forfeiture value.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Routing: Each card is filed alphabetically in an unlocked drawer within a metal container.

Retrievability: Each manual alphabetical card file is identified by the name of the person or firm who has violated any law administered by the U.S. Customs Service within the Norfolk District.

Safeguards: The metal container described above is maintained within the area assigned to the officer, in the Customs Service's area, in which the metal container is located. Security for the building is maintained by GSA.

Retention and disposal: Active cards are retained in this system indefinitely. However, upon periodic review, information on firms no longer in business are destroyed.

System manager(s) and address: District Director of Customs, U.S. Customhouse, 101 E. Main St, Norfolk, Va. 23510.

Notification procedures see Customs Appendix A.

Record access procedures: See Customs Appendix A.

Record source categories: The information contained in these files is derived from Customs Form 151 (Search/Arrest/Seizure Report) and Customs Form 5955-A (Notice of Penalty or Liquidated Damages Incur- ed and Demand for Payment) which are prepared by the Customs Service.

System name: Violator’s Case Files—Treasury/Customs.

System location: District Director of Customs, U.S. Customs Service, St. Albans, Vermont, 05476.

Categories of individuals covered by the system: Individuals involved in smuggling, filing false invoices, documents or statements, violators of Customs bonds.

Categories of records in the system: Individual's name and address, social security number and physical description; alias, occupation, type of violation, previous record, driver's license, passport number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation of potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State, local, or foreign, charged with the duty of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, local, or foreign agency, for the purpose of verifying information received from other sources in conjunction with investigations within the Office of Investigations.

System manager(s) and address: Special Agent in Charge, U.S. Customs Service, Office of Investigations, 600 South Street, Room 444, New Orleans, La. 70130.

Notification procedures see Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these microfiche files originates and consists of information generated from vehicle registration forms submitted to the State of Louisiana.

System name: Violators Card File—Treasury/Customs.
rent information, such as current licenses, if necessary to obtain information relevant to any agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (c) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

-Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and 3 x 5 index cards.

Retrievability: Alphabetized by name of individual and local control number.

Safeguards: Files are under the supervision of the Fines, Penalties, and Forfeitures Officer 8 a.m. to 5 p.m., Monday through Friday. All other hours, office remains locked.

Retention and disposal: Files are maintained in the office of the Fines, Penalties, and Forfeitures Officer for a period of five years. At the conclusion of this period, they are destroyed by shredding.

System manager(s) and address: District Director, U.S. Customs Service, St. Albans, Vermont 05478.

System exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

-Treasury/Customs 00.260

System name: Warehouse Proprietor. Files-Treasury/U.S. Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois. See Customs Appendix A.

Categories of individuals covered by the system: Present and past warehouse proprietors and employees who have need for this information.

Categories of records in the system: Report of investigations, application for license, the letting of contract, or the issuance of a license, grant, or other benefit by the Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (c) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. Available to Inspectors, Import Specialists and Agents, and other Customs employees who have need for this information.

For additional routine uses see Appendix AA.

-Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in file cabinets in each District Director's office within Region IX, Chicago, Illinois. See Customs Appendix A.

Retrievability: Each file is identified by the name of the warehouse proprietor.

Safeguards: The file cabinets are maintained within the area assigned to the District Director. During nonworking hours the room and/or building in which the file cabinet is located is locked.

Retention and disposal: These files are retained indefinitely. Employee name data retained for period of employment with warehouse proprietor.

System manager(s) and address: District Director, as appropriate in Region IX, Chicago, Illinois. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record source categories: The information in this file originates from the individual applicant for warehouse proprietor's bond, from reports of investigation, and other Customs Memoranda.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

-Treasury/Customs 00.262

System name: Warnings to Importers in lieu of penalty.--Treasury/Customs.

System location: Located in the Office of the District Director, San Diego, Calif. Offices of the Port Directors; San Ysidro, Calif; Tecate, Califormia; and Calexico, Calif; San Diego, Calif; San Diego, Calif; and the Offices of the Customs Patrol Division, San Diego, Calif; San Ysidro, Calif; Calexico, Calif; Tecate, Calif. See Customs Appendix A.

Categories of individuals covered by the system: Individuals and firms in violation of Customs' laws.

Categories of records in the system: Brief record of violation and warning.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure of those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, criminal, or civil, and/or regulation in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulations, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (c) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. Available to Inspectors, Import Specialists and Agents, and other Customs employees who have need for this information.

For additional routine uses see Appendix AA.

-Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on 5 x 7 cards.

Retrievability: Alphabetically indexed.

Safeguards: Not accessible to other than Customs officers.

Retention and disposal: Indefinite.

System manager(s) and address: District Director, Port Directors, and Division Directors within the San Diego Customs District. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Officials.

-Treasury/Customs 00.269

System name: Accounts Payable Voucher File—Treasury/Customs.


Categories of individuals covered by the system: All Region V personnel to whom travel and other disbursements are made. All individuals who provide goods and services to Region V.

Categories of records in the system: Invoices and travel/other vouchers and supporting disbursements schedules.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Used to substantiate disbursements made; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in unlocked file cabinets.

Retrievability: By name.

Safeguards: Usage limited to Regional personnel; cabinets are located in rooms which are locked during non-working hours.

Retention and disposal: In accordance with Records Control Manual; records are disposed of when no longer needed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, Region V, 1440 Canal Street, New Orleans, Louisiana 70112.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Invoices and travel/other vouchers submitted by the individual.

Treasury/Custums 00.270
System name: Background—Record File of Non-Customs Employees—Treasury/Custums.

System location: Offices of District Directors, Region IX, Chicago, Illinois—for addresses see Customs Appendix A.

Categories of individuals covered by the system: Present and past non-Customs personnel requiring a background investigation to gain admittance to restricted U.S. Customs premises.

Categories of records in the system: Report of background investigations, names, addresses, social security numbers and date and place of birth, etc. of non-Customs employees.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties which include ensuring the security of merchandise, contraband and information in Customs custody; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in file cabinets in each District Director's office within Region IX, Chicago, Illinois.

Retrievability: Each file is identified by the name of the non-Customs employee.

Safeguards: The file cabinets are maintained within the area assigned to the District Director. During nonworking hours the room and/or building in which the file cabinet is located is locked.

Retention and disposal: These files are retained indefinitely. Employee name data is retained during the period the non-Customs employee requires admittance to restricted areas.

System manager(s) and address: District Director, as appropriate in Region IX, Chicago, Illinois.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this file originates from the individual non-Customs employee working for a private contractor who requires admittance to restricted U.S. Customs premises, from a background investigation which includes interviews of Customs personnel and private parties and from other Customs internal documents.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records are proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury Customs 00.278
System name: Early Implementation System (EIS)—Treasury/Custums.

System location: The Computer is located at 1900 West Park Drive, McLean, Virginia 22101. Computer terminals are located at Customshouses and ports throughout the United States and at U.S. Customs Headquarters, Washington D.C. (For addresses of Customshouses see Customs Appendix A.)

Categories of individuals covered by the system: U.S. Customs Service employees and individuals involved in the import trade.

Categories of records in the system: The system data base is comprised of commodity and merchandise processing information relating to Customs administration of trade laws. Certain portions contain no information about individuals. These are the Tariff Schedules of the United States Annotated (TSUSA) file, country file, criteria file, the selection of routine items, Team TSUSA file, district port file, and the entry master file. The following system files may contain information about U.S. Customs Service employees and/or individuals not involved in the import trade:

(1) Authorized Terminals Users File: Contains randomly established five-digit identification codes and passwords assigned to Customs Service employees authorized to use the system. The file consists of the names and identification of all Customs Service employees using the remote terminals to transmit information into the system. It does not contain Social Security numbers. The degree of access to the system varies according to the users responsibilities in the system.

(2) Importer/Broker/Consignee Bond File: Records consist of importer number, importer name, type of importation bond, expiration date, and surety code. The importer of record number is used as the method of accessing the file. The number is assigned by any one of three code formats according to availability and the following hierarchy. The first choice is the IRS employer identification number (EIN). The vast majority of importers have the EIN because of the business necessity of it.

The second alternative is the Social Security number (SSN). The third alternative is a Customs-assigned number. This file is referenced during entry processing to verify that the individual or company making entry is authorized to import and is properly bonded.

(3) Broker File: A record consists of a three-digit Customs-assigned code number and a Customs employee name. The file is referenced during entry processing to validate the broker number and to prepare and distribute the Broker ID Performance Report to the individual or company concerned. The subject of the record is in compliance with the import filing requirement under the immediate delivery program. Information is disclosed only to the party-of-record.

(4) Surety File: A record consists of a three-digit surety code (non-SSN) assigned by the Customs Accounting Division and the surety name. The file is referenced during entry processing to validate these surety codes. No information on surety company affairs is collected or disseminated in the system.

(5) Liquidator File: A record consists of a Customs-assigned three-digit liquidator identification (non-SSN) and a Customs employee's name. The employee's liquidator code is input into the system as a means of maintaining quality control and an audit trail on entries liquidated.

(6) Manufacturer File: The file contains only a three-digit manufacturer coded number (non-SSN) and manufacturer name.

Authority for maintenance of the system: 19 U.S.C. 66, 1448, 1481, 1483, 1484, 1505, and 1624.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure of those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To provide the Bureau of the Census with a magnetic tape containing foreign trade data.

For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Disk.

Retrievability: By identification codes and/or name.
Safeguards: Access to computer area is controlled by a security pass arrangement and personnel not connected with the operation of the computer are prohibited from entering. The building security is protected by a uniformed guard. At the points of processing, terminal rooms are under close surveillance during normal business hours and locked after close of business. The system security officer issues a unique private five digit identification code to each authorized user. The codes are changed periodically to enhance security. All computer terminals are locked when not in use.

Retention and disposal: Files are retained indefinitely but are periodically updated to reflect changes, etc.

System manager(s) and address: Director, AMPS Program Division, Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, is responsible for all data maintained in the files.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Customs Appendix A.

Record source categories: The sources include but are not limited to (1) the individual from whom the record relates; (2) internal Customs service records; (3) Personnel Verification System.

TREASURY/Customs 00.285

System name: Automated Index to Central Enforcement Files.


Categories of individuals covered by the system: (1) Known violators of U.S. Customs laws. (2) Convicted violators of U.S. Customs and/or drug laws in the United States and foreign countries. (3) Suspected violators of U.S. Customs or other related laws. (4) Private yacht masters and pilots arriving in the U.S. (5) Individuals filing official U.S. Government forms 4790 (Currency and Monetary Instruments Reporting), 4789 (Currency Transaction Report), 90.22-1 (Foreign Banking Act Report).

Categories of records in the system: A listing of Memoranda of Information Received, Reports of Investigations; Search/Arrest/Seizure Reports, Currency and Monetary Instrument Reports, Currency Transaction Reports, reports on Foreign Banking transactions, reports on Fines, Penalties, and Forfeitures, reports required by Private Aircraft Reporting System, reports required by the Private Yacht Reporting System, reports on vessel violations, investigation Program Analyst (IPA) reports relating to an individual, various other correspondence (letters, memoranda, etc.), which related to an individual in the Treasury Enforcement Communications System.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosure required in administration of the Freedom of Information act (5 U.S.C. 552). (c) To provide management information associated with arrest or seizures. (d) Used by Customs to identify high theft areas, types of cargo most likely to be pilfered or stolen, to connect seemingly unrelated Customs thefts cases and to provide management information such as the value and volume of goods stolen from international shipping. (e) To determine effective allocation of resources for surveillance and search operations by consideration of past violations and selective intelligence information. For additional information see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc and tape, microfiche.

Retrievability: Name, personal identification numbers, Customs case number, document's central file number.

Safeguards: (1) All Central Files users must have a full file background investigation. (2) The "need to know" principle applies. (3) Procedural and physical safeguards are utilized such as accountability and receipt records, guard patrol restricted areas, alarm protection systems, special communication security. (4) Access is limited to all offices of Investigations terminals and all Office of Enforcement Support Headquarters and San Diego terminals.

Retention and disposal: Records will be maintained in the Automated Index to Central Enforcement files for as long as the associated document or microfiche is retained. Records will be destroyed by erasure of the magnetic disc and by burning or shredding the microfiche.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue NW., Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a.

UNITED STATES CUSTOMS SERVICE APPENDIX A

Notification procedure: Requests by an individual to be notified if the system of records contains records pertaining to him shall be in writing and directed to the Director, Entry Procedures and Penalties Division, Office of Regulations and Rulings, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W. Washington, D.C.
If an individual can provide no suitable documents for identification, he may be required to make a signed statement asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars. Where the request is not presented in person, it shall be accompanied by a notarized statement executed by the requester asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars. A comparison of his signature and those in the records may be made where the records contain the necessary signature.

Record access procedures: Requests by an individual to be notified how he can gain access to a record containing information about him shall present adequate identification to establish his identity, and a comparison of his signature and those in the records may be made where the records contain the necessary signature. If an individual can provide no suitable documents for identification, he may be required to make a signed statement asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars. A comparison of his signature and those in the records may be made where the records contain the necessary signature.

Addresses of Headquarters, U.S. Customs Service, Regional Commissioners of Customs, Regional Directors (Internal Affairs), District Directors of Customs, and Customs Office of Investigations field offices:

Regional Commissioner of Customs, 40 S. Gay Street, Baltimore, Maryland 21202, (301)962-3288.
Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110. (617)223-7506.
Regional Commissioner of Customs, 55 E. Monroe Street, Chicago, Illinois 60603, (312)353-4731.
Regional Commissioner of Customs, 500 Dallas Street, Houston, Texas 77002, (713)226-4593.
Regional Commissioner of Customs, 300 N. Los Angeles Street, Los Angeles, California 90053, (213)688-5900.
Regional Commissioner of Customs, 99 S.E. 5th Street, Miami, Florida, (305)356-3952.
Regional Commissioner of Customs, 1440 Canal Street, New Orleans, Louisiana 70112, (504)389-6324.
Regional Commissioner of Customs, 6 World Trade Center, New York, New York 10048, (212)466-4444.
Regional Commissioner of Customs, 211 Main Street, San Francisco, California 94104, (415)556-3500.
Office of Internal Affairs field offices:
Regional Director (Internal Affairs), 100 Summer Street, Boston, Massachusetts 02110.
Regional Director (Internal Affairs), 6 World Trade Center, New York, New York 10048.
Regional Director (Internal Affairs), Gay and Water Streets, Baltimore, Maryland 21202.
Regional Director (Internal Affairs), P.O. Box 3201, Miami, Florida 33101.
Regional Director (Internal Affairs), 1440 Canal Street, New Orleans, Louisiana 70112.
Regional Director (Internal Affairs), 500 Dallas Street, Houston, Texas 77002.
Regional Director (Internal Affairs), 5 East Munroe Street, Chicago, Illinois 60603.
Regional Director (Internal Affairs), 211 Main Street, San Francisco, California 94105.
Regional Director (Internal Affairs), P.O. Box 951, Main Post Office, Los Angeles, California 90053.
Customs District Offices:
(Note: New York has Area Directors instead of District Directors. Roman numeral designates Customs region.)

VII Anchorage, Alaska 99516/1301 E. Fifth Ave. (907)222-9426.
III Baltimore, Maryland 21202/103 S. Gay St. (301)962-2666.
I Boston, Massachusetts 02109/2 India St. (617)223-6598.
I Bridgeport, Connecticut 06609/120 Middle St. (203)566-7851.
V Buffalo, New York 14202/120 H. Huron St. (716)853-1010.
IV Charleston, South Carolina 29401/200 E. Bay St. (803)577-4171.
IX Chicago, Illinois 60607/610 S. Canal St. (312)353-6100.
IV Cleveland, Ohio 44109/1240 E. 9th St. (216)522-4284.
IIII Detroit, Michigan 48226/243 W. Congress St. (313)226-3177.
IIII Duluth, Minnesota 55802/315 W. First St. (218)727-6692.
IIII El Paso, Texas 79955/Bldg. D, Room 134, Bridge of the Americas (P.O. Box 9116) (915)543-7435.
IIII Galveston, Texas 77550/17th & Strand Sts. (409)763-1211.
VIII Honolulu, Hawaii 96816/335 Merchant St. (808)546-3115.
VII Houston, Texas 77002/701 San Jacinto St. (713)226-4316.
IX Laredo, Texas 78040/Mann Road and Santa Maria (512)723-2956.
IIII Los Angeles/Long Beach/200 S. Ferry St., San Pedro, California 90731 (213)447-3501.
IV Miami, Florida 33131/444 Brickell Avenue (305)350-5791.
III Milwaukee, Wisconsin 53202/628 E. Michigan St. (414)224-3924.
IIII Minneapolis, Minnesota 55401/110 S. Fourth St. (612)725-2317.
V Mobile, Alabama 36602/250 N. Water St. (205)690-3106.
V New Orleans, Louisiana 70130/500 South (504)358-6533.
I New York, New York, Customhouse, 6 World Trade Center, (212)466-5817. Kennedy Airport Area, Jamaica, New York 11430, Seaboard World Building, Room 178, (212)895-7083.
VII Newark, New Jersey 07105, 400 Delaware St. (201)895-3760.
IIII Norfolk, Virginia 23510/101 E. Main St. (604)441-6546.
IIII Ogdensburg, New York 13669/127 N. Water St. (315)393-0660.
IIII Pembina, North Dakota 58271/Post Office Bldg. (701)825-6601.
IIII Port Arthur, Texas 77640/7th & Austin Ave. (713)682-2831.
IIII Portland, Maine 04111/312 Fore St. (207)773-3311.
IIII Portland, Oregon 97209/N.W. Broadway & Glisan Sts. (503)221-2825.
IIII Providence, Rhode Island 02903/24 Weybosset St. (401)528-4383.
IIII St. Albans, Vermont 05478/Main & Stobbins Sts. (802)524-6527.
IIII St. Louis, Missouri 63105/120 S. Central Ave. (314)622-4491.
IIII St. Thomas, Virgin Islands 00801/P.O. Box 510 (309)774-2510.
IIII San Diego, California 92101/2262 Columbia St. (714)292-5360.
IIII San Francisco, California 94111/355 Battery St. (415)554-3600.
IIII San Juan, Puerto Rico 00903/P.O. Box 2112) (787)723-2091.
IIII Savannah, Georgia 31401/1 East Bay St. (912)322-4231.
IIII Seattle, Washington 98104/First & Marion Sts. (206)442-5491.
IIII Tampa, Florida 33602/201 S. Ashley Dr. (813)228-2381.
IIII Wilmington, North Carolina 28401/2094 Polk St. (919)763-9971.
Office of Investigations field offices:
I-Boonton Office of Regional Director of Investigations, Room 1700, U.S. Customhouse, Boonton, 07005. 07005.
IIII Office of Resident Agent, P.O. Box 368, Derby Line, Vermont 05830.
IIII Office of Resident Agent, P.O. Box 432, Houlton, Maine 04911.
IIII Office of Special Agent In Charge, Room 17, U.S. Customhouse, Portland, Maine 04111.
Office of Special Agent In Charge, 127 N. Water St., Ogdensburg, New York 13669.
Office of Resident Agent, 770 Chapel Street, Suite 2B, New Haven, Connecticut.
Office of Special Agent In Charge, U.S. Federal Building, Rm. 1206, 111 W. Huron, Buffalo, New York 14202.
Office of Special Agent In Charge, P.O. Box 68, Rouses Point, New York 12979.

Region II-New York
Office of Regional Director of Inv., U.S. Customs Service, Room 508, 6 World Trade Center, New York, New York 10048.
Office of Special Agent In Charge, Room 203 C, 400 Delancey Street, Newark, New Jersey 07105.

Region III-Baltimore
Office of Regional Director of Inv., Room 810, U.S. Appraisers Stores Bldg., 103 South Gay Street, Baltimore, Maryland 21202.
Office of Resident Agent, 701 West Broad Street, Rm. 301, Falls Church, Virginia 22046.
Office of Regional Director of Inv., Room 2236, Federal Building, 100 Liberty Avenue, Pittsburgh, Pennsylvania 15222.
Office of Special Agent In Charge, 201 U.S. Customhouse, N. Ninth, Virginia 23510.

Region IV-Miami
Office of Regional Director of Inv., 99 S.E. 5th Street, Miami, Florida 33131.
Office of Resident Agent, 700 Clematis Street, Rm. 253, West Palm Beach, Florida 33401.
Office of Special Agent In Charge, P.O. Box 1516, Tampa, Florida 33601.
Office of Resident Agent, 2701. Talleyrand Avenue, Jacksonville, Florida 32205.
Office of Special Agent In Charge, Drawer A, Savannah, Georgia 31408.
Office of Resident Agent, 1585 Phoenix Boulevard, Suite 5, Atlanta, Georgia 30349.
Office of Special Agent In Charge, P.O. Box 898, Wilmington, North Carolina 28401.
Office of Special Agent In Charge, U.S. Customs Service, P.O. Box S-1272, Old San Juan, Puerto Rico 00902.
Office of Resident Agent, P.O. Box 127, Ponce Playa, Puerto Rico.
Office of Resident Agent, U.S. Customhouse, P.O. Box 3226, Marina Station, Mayaguez, Puerto Rico.
Office of Special Agent In Charge, P.O. Box 698, Charlotte-Amalie, St. Thomas, Virgin Islands.
Office of Resident Agent, P.O. Box 1801—Christianssted, St. Croix, Virgin Islands.

Region V-New Orleans
Office of Regional Director of Inv., 1440 Canal Street, New Orleans, Louisiana 70112.
Office of Special Agent in Charge, 600 South Street, Room 444, New Orleans, La. 70130.
Office of Special Agent in Charge, International Trade Center, P.O. Box 1704, Mobile, Alabama 36601.
Office of Resident Agent, 1719 West End Building, Room 303, Nashville, Tennessee 37203.

Region VI-Houston
Office of Regional Director of Inv., Suite 1380, 500 Dallas Street, Houston, Texas 77002.
Office of Resident Agent, Suite 210, 700 Paredes Ave., Brownsville, Texas 78520.
Office of Resident Agent, P.O. Drawer 1169, Del-Rio, Texas 78840.
Office of Resident Agent, 1114 Commerce Street, 17th Floor, Dallas, Texas 75202.
Office of Special Agent In Charge, P.O. Box 498, Laredo, Texas 78040.
Office of Resident Agent, P.O. Box 828, Eagle Pass, Texas 78852.
Office of Resident Agent, 2600 North 10th Street, McAllen, Texas 78501.
Office of Resident Agent, 1802 N.E. Loop 410, Suite 302, San Antonio, Texas 78217.
Office of Special Agent In Charge, P.O. Box 10719, El Paso, Texas 79997.

Office of Resident Agent, 5301 Central Avenue, N.E., Suite 919, 1st National Bank Building, East, Albuquerque, New Mexico 87108.
Office of Resident Agent, 721 19th Street, Room 404, P.O. Box 2771, Denver, Colorado 80201.

Region VII-Los Angeles
Office of Regional Director of Inv., 300 South Ferry Street, Room 2037, Terminal Island, San Pedro, California 90731.
Office of Special Agent In Charge, P.O. Box 1385, Nogales, Arizona 85621.
Office of Resident Agent, P.O. Box 5752, Suite 200, First Federal Savings Building, 350 West 16th Street, Yuma, Arizona 85364.
Office of Resident Agent, P.O. Box 1076, 1065 F Avenue, Glenn Bldg., Douglas, Arizona 85507.
Office of Resident Agent, Room 328, Amerco Towers, 2721 No. Central Avenue, P.O. Box 2259, Phoenix, Arizona 85002.
Office of Resident Agent, P.O. Box 2911, Tucson, Arizona 85702.
Office of Special Agent In Charge, P.O. Box 187, San Ysidro, California 92073.
Office of Resident Agent, P.O. Box 1510, Calexico, California 92231.

Region VIII-San Francisco
Office of Regional Director of Inv., 211 Main Street, San Francisco, California 94105.
Office of Special Agent In Charge, 4100 Federal Office Building, 909 First Avenue, Seattle, Washington 98174.
Office of Resident Agent, P.O. Box 1360, Blaine, Washington 98230.
Office of Resident Agent, P.O. Box 1483, Spokane, Washington 99210.
Office of Special Agent In Charge, P.O. Box 199, Anchorage, Alaska 99510.
Office of Special Agent In Charge, P.O. Box 71, Great Falls, Montana 59403.
Office of Special Agent In Charge, 1000 Bishop Street, Suite 1210, Honolulu, Hawaii 96813.
Office of Special Agent In Charge, P.O. Box 2841, Portland, Oregon 97208.

Region IX-Chicago
Office of Regional Director of Inv., 55 E. Monroe Street, Suite 1423, Chicago, Illinois 60603.
Office of Special Agent In Charge, 629 East Michigan Street, Room 208, Milwaukee, Wisconsin 53202.
Office of Special Agent In Charge, 120 South Central Ave., Suite 440, St. Louis, Missouri 63103.
Office of Resident Agent, Room 259, Federal Building, 301 West Lexington Street, Independence, Missouri 64050.
Office of Special Agent In Charge, Plaza Nine, 55 Erieview Plaza, Room 210, Cleveland, Ohio 44114.
Office of Resident-Agent, P.O. Box 1025, Fountain Square Station, Cincinnati, Ohio 45201.
Office of Resident Agent, P.O. Box 44353, Federal Building, Room 511 Indianapolis, Indiana 46204.
Office of Special Agent In Charge, Room 507, Meierhoff Building, 325 Lake Avenue, South, Duluth, Minnesota 55802.
Office of Special Agent In Charge, Room 501, 243 West Congress St., Detroit, Michigan 48226.
Office of Special Agent In Charge, P.O. Box 192, Pembina, North Dakota 58271.
Office of Special Agent In Charge, 574 Federal Building, Ft. Snelling, Twin Cities, Minneapolis, Minnesota 55111.

Billing Code 4810-22

Treasurer/BEP 00.002
System name: Civilian Employee Claim File—Treasurer/BEP.

Categories of individuals covered by the system: Civilian officers and employees of the Bureau of Engraving & Printing, former employees and their survivors having claim for damage to or loss of personal property incident to their service.

Categories of records in the system: Contains investigative and adjudication documents relative to personal property damage claim.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Director, Bureau of Engraving and Printing and/or his designee for adjudication and settlement of claims. For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

**Storage:** File Folder.

**Retrievability:** By Name.

**Safeguards:** Locked file cabinet—access limited to Safety Division Staff.

**Retention and disposal:** Retained two years after case is closed; destroyed by shredding.

- **System manager(s) and address:** Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

  - **Record access procedures:** See notification above.
  - **Contracting record procedures:** See notification above.
  - **Record source categories:** Individual employee.

**System name:** Compensation Claims—Treasury/BEP

**System location:** Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

**Categories of individuals covered by the system:** Bureau of Engraving & Printing employees, incurring work-connected injuries or illnesses, necessitating absence, making claim under Compensation Act. Categories of records in the system: All pertinent documentation, including investigative reports, forms, etc. relative to work-connected injuries of employees.

**Retention and disposal:** Retained for two years after close of file and then destroyed by shredding.

**System manager(s) and address:** Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


**Record access procedures:** See notification above.

**Contracting record procedures:** See notification above.

**Record source categories:** Individual employee.

**System name:** Continuation of Pay Record—Treasury/BEP

**System location:** Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

**Categories of individuals covered by the system:** Bureau of Engraving & Printing employees, incurring work-connected injuries or illnesses and making claim for continuation of pay.

**Authority for maintenance of the system:** Treasury Department Personnel Bulletin 74—73

**Routine uses of records maintained in the system, including categories of such uses:** Used by Safety Division and Department of Labor to initiate, adjudicate and maintain status of employees who made or have initiated claims for continuation of pay and compensation. For additional uses see Appendix AA.

**Authority for maintenance of the system:** Federal Employees Compensation Act, as amended—Public Law 93—416.

**Routine uses of records maintained in the system, including categories of such uses:** Used by Compensation Claims staff (Safety Division) and Department of Labor to initiate, adjudicate and maintain status of employees who may have initiated claims for continuation of pay and compensation. For additional uses see Appendix AA.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** File Folder.
- **Retrievability:** By Name.
- **Safeguards:** Locked file cabinet, access limited to Safety Manager and Compensation Claims Staff.
- **Retention and disposal:** Retained three years after case is closed; destroyed by shredding.
- **System manager(s) and address:** Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


**Record access procedures:** See notification above.

**Contracting record procedures:** See notification above.

**Record source categories:** Individual employee.

**System name:** Debt Files (Employees)—Treasury/BEP

**System location:** Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

**Categories of individuals covered by the system:** All Bureau of Engraving & Printing employees on whom debt complaints are received.

**Categories of records in the system:** Contains employee's name, complaint information, court judgements, counseling efforts, receipts, and final disposition of complaint.

**Authority for maintenance of the system:** Federal Personnel Manual.

**Routine uses of records maintained in the system, including categories of such uses:** Used by Employee Relations Branch personnel for employee counseling, credit reference, and disposition of complaint. For additional routine uses see Appendix AA.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** Maintained in file folders.
- **Retrievability:** By name.
- **Safeguards:** Locked in file cabinets; access limited to Employee Relations Branch personnel.
- **Retention and disposal:** Retained for two years and then destroyed by shredding.

**System name:** Counseling Records—Treasury/BEP

**System location:** Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

**Categories of individuals covered by the system:** Employees whose actions or conduct warrants counseling.

**Categories of records in the system:** Contains correspondence relative to counseling information and follow-up reports.

**Authority for maintenance of the system:** 5 U.S.C. 301.

**Routine uses of records maintained in the system, including categories of such uses:** Used by Employee Relations Branch personnel for counseling of individual employees. For additional routine uses see Appendix AA.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** Maintained in file folders.
- **Retrievability:** By name.
- **Safeguards:** Locked in file cabinets; access limited to Employee Relations Branch personnel.
- **Retention and disposal:** Retained for two years and then destroyed by shredding.

**System name:** Counseling Records—Treasury/BEP

**System location:** Bureau of Engraving and Printing; 14th & C Sts., Washington, D.C. 20228.

**Categories of individuals covered by the system:** Employees whose actions or conduct warrants counseling.

**Categories of records in the system:** Contains correspondence relative to counseling information and follow-up reports.

**Authority for maintenance of the system:** 5 U.S.C. 301.

**Routine uses of records maintained in the system, including categories of such uses:** Used by Employee Relations Branch personnel for counseling of individual employees. For additional routine uses see Appendix AA.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** Maintained in file folders.
- **Retrievability:** By name.
- **Safeguards:** Locked in file cabinets; access limited to Employee Relations Branch personnel.
- **Retention and disposal:** Retained for two years and then destroyed by shredding.

**System name:** Counseling Records—Treasury/BEP

**System location:** Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

**Categories of individuals covered by the system:** Employees whose actions or conduct warrants counseling.

**Categories of records in the system:** Contains correspondence relative to counseling information and follow-up reports.

**Authority for maintenance of the system:** 5 U.S.C. 301.

**Routine uses of records maintained in the system, including categories of such uses:** Used by Employee Relations Branch personnel for counseling of individual employees. For additional routine uses see Appendix AA.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** Maintained in file folders.
- **Retrievability:** By name.
- **Safeguards:** Locked in file cabinets; access limited to Employee Relations Branch personnel.
- **Retention and disposal:** Retained for two years and then destroyed by shredding.
System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts, SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employees, Complainants, and Court Judgments.

Treausy/BEP 00.008

System name: Employee Index File—Treasury/BEP.


Categories of records in the system: All employees.

Categories of individuals covered by the system: All employees of the Bureau of Engraving and Printing.

Record source categories: Bureau of Engraving and Printing employees who have been arrested.

Retention and disposal: Retained during employee's tenure with the Bureau of Engraving and Printing. For additional routine uses see Appendix AA.

Safeguards: Maintained in locked cabinets; access limited to Office of Security personnel.

Record access procedures: See notification above.

Contesting record procedures: See notification above.


Categories of records covered by the system: All Employees of Product Control Division.

Categories of records in the system: Record contains Name, Address and Designation of person to be contacted in case of emergency.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Product Control Division personnel for notification of designated person in case of emergency. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Name.

Safeguards: Access limited to Quality Control Branch personnel; kept in metal file cabinets.

Retention and disposal: Record maintained during employee's tenure with the Quality Control Branch. Destroyed after employee's separation by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts, SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual Product Control Division employees.

Treausy/BEP 00.009

System name: Employee Index File—Treasury/BEP.


Categories of records covered by the system: All Employees of the Bureau of Engraving and Printing.

Categories of records in the system: Telephone conversation memo for file regarding arrest information supplied by Office of Security.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Employee Relations Branch personnel as background material for possible discipline or adverse action. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Maintained in locked cabinets; access limited to Employee Relations Branch personnel.

Retention and disposal: Retained until conviction, acquittal or other disposition and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts, SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

System name: Employee's Production Record—Treasury/BEP.


Categories of records in the system: All Supply Branch Employees.

Categories of individuals covered by the system: All Supply Branch Employees.

Record source categories: Office of Security and police records.

Treausy/BEP 00.012

System name: Employee Production Record—Treasury/BEP.


Categories of records in the system: All Supply Branch Employees.

Categories of individuals covered by the system: All Supply Branch Employees.

Record source categories: All Supply Branch Employees.

Treausy/BEP 00.013

System name: Employee Index File—Treasury/BEP.


Categories of individuals covered by the system: All employees of the Bureau of Engraving & Printing.

Categories of records in the system: The system provides current information for the Treasury telephone directory. It also provides employee information data which is needed to locate employees in case of emergency.

Authority for maintenance of the system: The Department of the Treasury, Office of Administrative Programs, Telecommunications Circular No. 21—June 13, 1974.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Telephone Services Unit to locate employees within the Bureau of Engraving & Printing. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Record source categories: Supply Branch Employees.

Treausy/BEP 00.009

System name: Employee Arrest Record—Treasury/BEP.


Categories of records in the system: All employees or employees or employees who have been arrested.

Categories of records in the system: Telephone conversation memo for file regarding arrest information supplied by Office of Security.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Employee Relations Branch personnel as background material for possible discipline or adverse action. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Access limited to Telephone Services Unit personnel; maintained in locked cabinet.

Retention and disposal: Record maintained during employees tenure with the Bureau and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts, SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Bureau of Engraving and Printing Employees.

Treausy/BEP 00.014

System name: Employee's Production Record—Treasury/BEP.


Categories of records in the system: All Supply Branch Employees.

Categories of individuals covered by the system: All Supply Branch Employees.

Record source categories: Office of Security and police records.
Categories of individuals covered by the system: All incumbent Bureau of Engraving and Printing employees.

Categories of records in the system: Contains employee’s name, dates, work hours, record of production, history of work assignments, training, work performance, and progress reports.

Authority for maintenance of the system: Title 5 U.S.C. 301, 4103, 4302.

Routine use of records maintained in the system, including categories of users and the purposes of such use: Used by Bureau management personnel for evaluation of employee’s level of productivity, promotion evaluation, reference material for future assignments, and collection of production cost data. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in data entry diskettes, file folders, and production books.

Retrievability: Indexed by name, work code number and cross-referenced by project number.

Retention and disposal: Retained six years; destroyed by shredding, defacing or degaussing.

System manager(s) and address: Chief, Office of Audit; Chief, Office of Administrative Services; Chief, Office of Financial Management; Chief, Office of Industrial Relations; Chief, Office of Industrial Services; Chief, Office of Currency and Stamp Printing; Chief, Office of Engraving; Chief, Office of Security; Chief, Office of Security Processing; Chief, Office of Research and Technical Services; Head, Production Scheduling Staff. Address: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual Employee, Employee Supervisor, and review committee.

Appendix

Categories of production cost data. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 8 x 10 1/2 Ledger sheets in a notebook.

Retrievability: Alphabetical by guard’s name.


Retention and disposal: This is a new system and no retention period has yet been established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Guard Force Personnel of Bureau of Engraving and Printing.

Treasury/BEP 00.019

System name: Identification Files—Treasury/BEP.


Categories of individuals covered by the system: Incumbent Employees, Separated Bureau Employees, Visitors to the Bureau, Contractor & Service Company Employees (Incumbent & Separated).

Categories of records in the system: Category: Request for Issuance of Badge or Pass, Badge and Pass Photograph, Employee Identification Badge or Pass, Employee Identification Badge and Pass Record Card, Control Record for Issuance of Badges & Passes, Record of Lodges, Record of Funds Collected for Issuance of Badges or Passes and receipts for funds released to appropriate authority, Record of Destruction of Badges and Passes, Type of Information: The following information is retained as it applies to each individual issued a Pass and/or Badge in the present system: Name, Date of Birth, Place of Birth, Physical Description, Work area assignment, Name of Firm or Government Agency, Requesting Data for Pass/Badge, Signatures of persons receiving for Passes and Badges, Badge and Pass Numbers, Date of Issue, to whom issued and area or firm, Dates and method of destruction, Date, place and circumstance of loss of Pass/Badge.

Authority for maintenance of the system: 31 USC 427, Executive Order 11652 and implementing Treasury and Bureau regulations.

Routine use of records maintained in the system, including categories of users and the purposes of such use: Category of Users: Bureau of Engraving and Printing management officials, Bureau of Engraving and Printing security personnel, Federal, State, and local law enforcement or investigative agencies. For additional routine uses, see Appendix AA. Purpose of Each Use: Used to identify personnel, determine status of personnel, Limit access of personnel to specified Bureau security areas, and Control access to the Bureau.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Ledgers, paper tapes, tabulating cards and listings, cross-referencing badge numbers, file folders, loose leaf binders, and envelopes.

Retrievability: Numerical by Pass/Badge Number, Alphabetically by last name, Appropriate index by subject.

Safeguards: Maintained in locked cabinets in locked rooms; access limited to Office of Security staff.
Retention and disposal: Retention period—Seven years after separation. 

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Categories of individuals covered by the system: Bureau employees designated to operate self-propelled material and/or machinery handling equipment.

Categories of records in the system: Record of employee physical examination, testing, license number and issue date for purpose of operating one or more types of material handling equipment used within the Bureau of Engraving and Printing.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division staff personnel to validate employee operational authority and determine renewal dates. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:


Retrievability: By Name.

Safeguards: Locked file cabinet, access limited to Safety Division staff.

Retention and disposal: Destroyed upon separation by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Categories of records in the system: Record of employee physical examination, testing, license number and issue date for purpose of operating one or more types of material handling equipment used within the Bureau of Engraving and Printing.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division staff personnel to validate employee operational authority and determine renewal dates. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:


Retrievability: By Name.

Safeguards: Locked file cabinet, access limited to Safety Division staff.

Retention and disposal: Destroyed upon separation by shredding.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Categories of records in the system: Record of employee physical examination, testing, license number and issue date for purpose of operating one or more types of material handling equipment used within the Bureau of Engraving and Printing.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division staff personnel to validate employee operational authority and determine renewal dates. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:


Retrievability: By Name.

Safeguards: Locked file cabinet, access limited to Safety Division staff.

Retention and disposal: Destroyed upon separation by shredding.
Storage: Maintained in file folders.

Retrievability: Cross referenced by month and employee's name.

Safeguards: Access is limited to Bureau Equal Employment Opportunity Staff and is maintained in locked combination safe.

Retention and disposal: Retained five years and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Personnel Action Records maintained by Supervisor.

Treasurer/BEP 00.023

System name: Motor Vehicle Licensing Records—Treasurer/BEP.


Categories of individuals covered by the system: Bureau of Engraving and Printing employees designated to operate passenger vehicles, trucks, and/or vans for Bureau business.

Categories of records in the system: Record of employee physical examination, testing, license number and issue date for purpose of operating passenger and/or truck vehicles.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division and/or Security Staff, District of Columbia or State Enforcement Authorities to validate employee operational authority. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder and card file.

Retrievability: By name.

Safeguards: Kept in locked file cabinets; access limited to Safety Division staff.

Retention and disposal: Retained three years; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Supervisor request, results of physical examination, and data obtained during training or practical tests.

Treasurer/BEP 00.024

System name: Payroll Records—Treasurer/BEP.


Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees (Active).

Categories of records in the system: Current Pay and Cumulative Pay—Recording of all payroll transactions affecting the employee's pay checks, e.g., withholdings, allotments, net check, cumulative year—date total.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by payroll personnel to create the pay tape for the disbursing officer for routine payroll. Also used to produce the bi-weekly, quarterly and annual employee earnings statement which enables the employee to verify his or her record. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch card, magnetic tape, disc, microforms, and printouts.

Retrievability: By Social Security Number.

Safeguards: Records are maintained in locked files in locked area. Access limited to Payroll personnel.

Retention and disposal: Retained in accordance with General Records Schedule No. 2, destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employee time and attendance records, allotment authorizations, withholding statements or related financial information.

Treasurer/BEP 00.025

System name: Payroll Statistical Data—Treasurer/BEP.


Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees.

Categories of records in the system: Payroll History—Record consists of all required payroll information including leave data, time and attendance history, allotment information, retirement information, and required pay status information.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by payroll personnel for routine payroll reporting to Bureau financial and personnel record—keeping personnel; tax reporting at Federal, state and local levels, and required reports to Department of Treasury, Civil Service Commission and other Government agencies (as required by Federal directives). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch Card.

Retrievability: Social Security Number.

Safeguards: Maintained in locked files in locked area. Access limited to Payroll Personnel.

Retention and disposal: Retained in accordance with General Records Schedule No. 2, destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employee time and attendance records, payroll records, and related financial information.

Treasurer/BEP 00.027

System name: Security Access Control System (SACS)—Treasurer/BEP.


Categories of individuals covered by the system: Bureau of Engraving and Printing Employees, Official Visitors to the Bureau, Contractor & Service Company Employees.

Categories of records in the system: Record contains Name, Office, Division, Branch, Unit, Badge Number, Picture, Work Shift Code, Work Area Code, Personal Category, Time & Location of each passage through a Security Control Point.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by management and security employees for identification of personnel and for limiting access to and movement within controlled Bureau security areas. Also used by management and security employees as an investigative aid to determine ingress/egress times at security control points. For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Mini-computer, ledgers, paper tapes, tabulating cards, listings, cross reference badge numbers, file folders, loose leaf binders, and envelopes.

Retrievability: Numerical by Pass/Badge Number, Alphabetically by last name, Appropriate index by subject.

Safeguards: Maintained in locked cabinets in a locked room; access limited to Office of Security staff.

Retention and disposal: Retention period—Seven years; destroyed by shredding.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: The individual concerned, his supervisor, or an official of the individual's firm or agency.

Treasury/BEP 00.029

System name: Petition of Election—Treasury/BEP.


Categories of individuals covered by the system: Listing of employees eligible for inclusion within the union bargaining for recognition.

Categories of records in the system: Alphabetical listing of eligible employees to include position title & occupational codes.

Authority for maintenance of the system: Executive Order 11491, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information compiled & furnished to United States Dept. of Labor, Labor—Mgmt Services Admin. in order to supervise the election; to the Dept. of Treasury to fulfill reporting requirements in Treasury Personnel Manual 711; and to the petitioning Union to verify eligibility of voters at the poll.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Union Title.

Safeguards: Maintained in a locked cabinet; access limited to Labor—Mgmt. Relations staff & designated Union Representatives as required.

Retention and disposal: Retention and disposal procedures have not been established.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Position Description file & Personnel Manpower file.

Treasury/BEP 00.030

System name: Project REST (Reporting Employment Statistics in Treasury), Minority Statistics—Treasury/BEP.


Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees.

Categories of records in the system: Record contains employee name, Social Security Number, Minority Group Identification, Sex, Occupational Series, Grade, Pay Plan, and Date of Birth.

Authority for maintenance of the system: Assistant Secretary (Administration) Department of the Treasury, Administrative Circular No. 232 (October 5, 1972).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Equal Employment Opportunity staff to provide Minority Group Statistical Data for evaluating the Bureau Equal Employment Opportunity responsibilities. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On computer print—out.

Retrievability: By name, Sex and Minority Group Identifier.

Safeguards: Access limited to Bureau Equal Employment Opportunity staff; maintained in locked combination safe.

Retention and disposal: Retained five years; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.


Treasury/BEP 00.031

System name: Reemployment Information Record—Treasury/BEP.


Categories of individuals covered by the system: Former Bureau of Engraving & Printing employees.

Categories of records in the system: Information relative to reemployment rights and reemployment recommendations.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by personnel staff for re-hiring determinations and statistical studies and reports. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: Indexed by name and year.

Safeguards: Maintained in locked file cabinet, access limited to Personnel staff.

Retention and disposal: Retained three years after separation; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Information obtained from supervisor as employees separate.

Treasury/BEP 00.032

System name: Retention Register—Treasury/BEP.


Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees (Active).

Categories of records in the system: Information on retention rights of employees.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In cases of reduction in force, used primarily by personnel staff to determine retention rights and also by the Civil Service Commission on inspectors and for resolving appeals. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on punch cards.

Retrievability: Indexed by name, retention subgroup, and competitive level.

Safeguards: Access limited to Manpower Information and Records Section, Staffing Classification and Supervisory Personnel. Maintained in locked file cabinets.
Retention and disposal: Registers are maintained until a new register replaces it. The old register is destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.
Contesting record procedures: See notification above.
Record source categories: Individual Employee and Supervisor.

System name: Tort Claims (Against the United States)—Treasury/BEP.

Categories of individuals covered by the system: Individuals and/or organizations making claims for money damage against the United States for injury to or loss of property or personal injury or death caused by the neglect or wrongful act or omission of a Bureau of Engraving & Printing employee while acting within the scope of his office or employment.

Categories of records in the system: Contains investigative and adjudication documents relative to personal injury and/or property damage claims.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Director, Bureau of Engraving and Printing and/or his designee in conjunction with the General Counsel, Department of the Treasury for adjudication and settlement of claims. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: File folder.
Retrievability: By name.
Safeguards: Locked file cabinet; access limited to Safety Division staff.

Retention and disposal: Retained three years; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.
Contesting record procedures: See notification above.
Record source categories: Individual or organization’s claim and/or investigative report.

System name: Union Dues Allotments—Treasury/BEP.

Categories of individuals covered by the system: Employees who authorize or revoke Union dues allotments.

Categories of records in the system: An authorization for or revocation of voluntary union dues allotments.

Authority for maintenance of the system: Executive Order 11491, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Payroll Office employees to effect allotments of Union dues in accordance with voluntary determination of employee member. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Maintained in file folders.
Retrievability: Indexed by name of employee.
Safeguards: Maintained in locked file cabinet; access limited to personnel in the Records & Payroll Sections.

Retention and disposal: Record maintained for length of time necessary to perform payroll activities, disposed when superseded by new card or on transfer or separation of employee whichever is earlier. Destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Appendix supervisor to record the number of times an employee has un-

Categories of users and the purposes of such uses: Used for reporting purposes and determination of volume of requests received and the impact on the current workload; preparation of budget; disclosure in accordance with Freedom of Information Act, to other agencies when required for coordination of response or referral; to Department of Justice for defending law suits; to Congressional Committees; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Union Title.

Safeguards: Maintained in locked file; access limited to Labor- Mgmt Relations staff & Mgmt. officials as required.

Retention and disposal: Retained for duration of recognition of the Union and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Categories of individuals covered by the system: Employees who have had unrecorded absences.

Categories of records in the system: Record contains chronological documentation of all scheduled absences.


Routine uses of records in the system, including categories of users and the purposes of such uses: Used by employee's supervisor to record the number of times an employee has an unrecorded absence. This information is used by Employee Relations Branch for statistical reports depicting frequency rate for all Bureau employees. It is also used as a basis for counseling an employee concerning overall job performance. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Kept in locked file cabinets; access to these records is restricted to Supervisor and authorized timekeeping personnel.

Retention and disposal: Retained until separation; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual employee time & attendance record and employee's supervisor.

Treasury/BEP 00.040

System name: Freedom of Information Requests—Treasury/BEP


Categories of individuals covered by the system: Individuals requesting information.

Categories of records in the system: Correspondence pursuant to the Freedom of Information Act, internal processing documents and memoranda, referrals and copies of requested records.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For reporting requirements as required by the Act; to respond to other Department officials who request information regarding persons making a FOI request; evaluation purposes and determination of volume of requests received and the impact on the current workload; preparation of budget; disclosures in accordance with Freedom of Information Act, to other agencies when required for coordination of response or referral; to Department of Justice for defending law suits; to Congressional Committees; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of requester(s) and date of request.

Safeguards: Filed in filing cabinet and released only to individuals with legitimate right to review.

Retention and disposal: Retained in accordance with General Records Schedule No. 14, then destroyed by shredding.

System manager(s) and address: Chief, Office of Administrative Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See Notification above.

Contesting record procedures: See Notification above.


Treasury/BEP 00.041

System name: Record of Discrimination Complaints—Treasury/BEP


Categories of individuals covered by the system: Employees who have initiated discrimination complaints.

Categories of records in the system: Data developed as a result of inquiry by the person making the allegation of discrimination.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Equal Employment Opportunity Complaint Investigators and Hearing Officers to provide reference data for making rulings on discrimination cases. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Name.


Retention and disposal: Retained four years after resolution; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: See notification above.

Contesting record procedures: See notification above.
Record source categories: Individual employees who have discrimination complaints.

**Treasury/BEP 00.042**

System name: Informal EEO Complaint Processing Records—Treasury/BEP


Categories of individuals covered by the system: Employees who register informal EEO complaints.

Categories of records in the system: Contains information and data developed through counseling sessions and appropriate inquiries.

Authority for maintenance of the system: U.S. Civil Service Commission, Section 713 Treasury Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to inform complainants of the results of the counselor's inquiries. Also used to provide EEO Complaint Investigator with historical background data on cases which could not be resolved on an informal basis.

Policies and procedures for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Locked in combination lock safe. Access limited to EEO Specialists and Counselors.

Retention and disposal: Retained four years after resolution of case; then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source category: Individual complainants and other employees and supervisors necessary to make an appropriate inquiry.

**Treasury/BEP 00.043**

System name: Parking Program Records—Treasury/BEP.


Categories of individuals covered by the system: Non-Bureau personnel.

Categories of records in the system: Parking space applicant information—shows applicant's name and address, vehicle model and year, vehicle license number, work hours, work component, and work telephone number.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide information on all parking permit applicants.

Policies and procedures for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 5 x 8 card file and letter size file folders.

Retrievability: Alphabetically by applicant and parking space number.

Safeguards: Access is limited to Office of Security staff and maintained in locked office.

Retention and disposal: Retention period and disposal instructions have not been officially established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Parking permit applicants.

**Treasury/BEP 00.044**

System name: Personnel Security Files and Indices—Treasury/BEP.


Categories of individuals covered by the system: Employee applicants, current and former Bureau employees, contractor and service company employees.

Categories of records in the system: Investigative information related to personnel investigations conducted by the Bureau of Engraving and Printing Security office and other Federal Agencies.

Authority for maintenance of the system: Executive Order 10450.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are used for the purpose of granting security clearances and making employment suitability determinations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folders/3 x 5" Index cards.

Retrievability: Alphabetically by name.

Safeguards: Access is limited to Office of Security staff and records are maintained in locked file cabinets.

Retention and disposal: Retention periods and disposal instructions have not yet been officially established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.


Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Individual employee and information supplied by other Federal Investigative Agencies.

Systems exempted from certain provisions of the act: Exempt under 5 U.S.C. 552a(k) and/or (o) and 31 CFR 1.36.

Billing Code 4810-34

**Treasury/Mint 00.002**

System name: Applicants for selection for the current Annual Appointment Commission, and members of former Commissions—Treasury/Mint.


Categories of individuals covered by the system: Members of public seeking appointment to the Mint Commission and their sponsors or endorsers, and members of former Commissions.

Categories of records in the system: Biographies of candidates and correspondence with applicants, sponsors and endorsers.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used to screen applicants; (b) Used to select Presidential selection and to determine whether applicants had previously served on Assay Commission; (c) Used by appropriate agency officials and other Federal officials responsible for processing selection; (d) Used to select committee to test the nation's coinage; (e) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name.

Safeguards: Accessible only to appropriate agency and other Federal officials. Secured Files.

Retention and disposal: Retained permanently.

System manager(s) and address: Assistant to the Director (Public Affairs), Warner Building, Room 1037, 501 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: Same as listed under System Manager. Individuals are required to furnish their name.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Information submitted voluntarily by general public and Congressional or other endorsers and sponsors.

**Treasury/Mint 00.003**

System name: Cash Receivable Accounting Information System—Treasury/Mint.
System location: Washington, DC, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Philadelphia, PA, United States Mint, Independence Mall, Denver, CO, United States Mint, 320 West Colfax Avenue, San Francisco, CA, United States Mint, Independence Mall, 155 Hermann Street, New York, New York, United States Mint, 32 Old Slip Street, Fort Knox, KY, United States Bullion Depository, San Francisco, CA, United States Old Mint, 88 Fifth Street.

Categories of records covered by the system: Employees and former employees of the Bureau of the Mint and the general public who have: (a) Served on jury duty when employed by the Bureau of the Mint. (b) Paid for lost government property belonging to the Mint. (c) Purchased numismatic items from Mint sales outlets.

Categories of records in the system: (1) Cash received from Mint employees who have served on jury duty or received witness fees. (2) Cash received from Mint employees and general public for lost government property, assay sample work and cash sales of over-the-counter numismatic items.

Authority for maintenance of the system: 5 USC 5537 (Fees for jury services); 31 USC 369 (Sale of numismatic items).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Use: to record cash payment made to the Mint by employees, former employees and general public for lost property, government property and jury and witness fees. (B) Categories of users: accounting office, managers and supervisors and government officials. (C) Purpose of Use: to account for cash receivables. (D) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Documents.

Retrievability: Name or number substitute.

Safeguards: Storage in filing cabinets with access by authorized accounting personnel.

Retention and disposal: General records control schedule, GAO rules and regulations, Bureau of the Mint Records Control Schedule, Destroyed in accordance with General Services Administration regulations.

System manager(s) and address: Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220, Financial Manager; Philadelphia, PA 19106, United States Mint, Independence Mall, Budget and Accounting Office; Denver, CO 80204, United States Mint, 320 West Colfax Avenue, Budget and Accounting Office; San Francisco, CA 94103, United States Assay Office, 155 Hermann Street, Budget and Accounting Office; New York, NY 10005, United States Assay Office, 32 Old Slip Street, Budget and Accounting Office; Fort Knox, KY 40121, United States Bullion Depository, Officer in Charge; San Francisco, CA 94103, United States Old Mint, 88 Fifth Street.

Notification procedure: Same as included in System Manager. An employee or former employee is required to show an identification such as: (A) Employee identification, (B) Driver’s license, (C) Other means of identification including social security number and date of birth.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Submitted by individual.

Treasary/Mint 00.004

System name: Current Employee Security Identification Record—Treasury/Mint.

System location: Philadelphia, Pennsylvania, United States Mint, Independence Mall, Denver, Colorado, United States Mint, 320 West Colfax Avenue, San Francisco, United States Assay Office, 155 Hermann Street, New York, New York, United States Assay Office, 32 Old Slip Street, West Point, New York, United States Bullion Depository, Fort Knox, Kentucky, United States Bullion Depository, San Francisco, California, United States Old Mint, 88 Fifth Street.

Categories of individuals covered by the system: Current Bureau of the Mint employees.

Categories of records in the system: Security information system used to verify proper admittance to restricted areas within the Mint facilities detailed under “LOCATION”. The card record (Mint Form 8925) names the employee; provides date and place of birth; descriptive data on height, weight, hair and eyes; office and division in which employed; along with photograph and signature of the employee. The record also indicates approval by facility management for the issuance of personal identification to the employee, which is subsequently carried by the employee.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Use: security access control. (b) Categories of Users: security Force Officers. (c) Purpose of Use: protection of persons and property. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record is 5 inches x 8 inches card filed in Kardex Binder or circular-type index system.

Retrievability: Alphabetical by name.

Safeguards: Maintained in secure area by Security Force Officers.

Retention and disposal: Records are retained until separation of the employee and are destroyed upon termination in accordance with General Services Administration rules and regulations.

System manager(s) and address: Treasury Department, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220, Chief of Security (Coordinator); United States Mint, Independence Mall, Philadelphia, Pa, 19106, Security Officer (Facility Manager); United States Mint, 320 West Colfax Avenue, Denver, Colorado, Security Officer (Facility Manager); United States Assay Office, 155 Hermann Street, San Francisco, Ca, 94102, Security Offi-
Record source categories: Personal information provided by employee himself and identification approval by management staff.

**Treasury/Mint 00.006**

*System name:* Employee and Former Employee Travel and Training Accounting Information System—Treasury/Mint.


*Record access procedures:* For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Security, Bureau of the Mint, Room 1037, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

*Contesting record procedures:* See access above.

*Record source categories:* Personal information provided by employee himself and identification approval by management staff.

**Treasury/Mint 00.007**

*System name:* Employee, Former Employee and Visitors, Occupational Safety and Health, Accident and Injuries Records; and Claims for Injuries or Damage Compensation Records—Treasury/Mint.


*Categories of individuals covered by the system:* Employees, former employees, and visitors to Mint offices and facilities, reporting accidents and injuries occurring on Federal Government property or in areas immediately adjacent to Government property, for which potential liability exists due to local laws.

*Categories of records in the system:* (See Location).

*Authority for maintenance of the system:* 5 U.S.C. Chapter 41 (Training) and Chapter 57 (Travel).

*Routine uses of records maintained in the system,* including categories of users and the purposes of such uses:

1. **Use:** To record travel and training information on all Mint employees and former employees for the purpose of making disbursements.
   **Categories of Users:** Accounting, office, managers, and supervisors and government officials.
   **Purpose of Use:** To administer the travel program of the Bureau in accordance with the regulations. Also, to assist the Personnel Division in administering the training program.
   **Routine uses of records:** See Appendix AA.

2. **Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**
   **Storage:** Paper documents.
   **Retrievability:** Name or number substitute.
   **Safeguards:** Storage in filing cabinets with access by authorized accounting personnel.

**Retention and disposal General Records Control Schedule, GAO rules and regulations,** Bureau of the Mint Records Control Schedule, Destroyed in accordance with General Services, Administration regulations.

*System manager(s) and address:* Bureau of the Mint, Warner Buildings, 501 13th Street, N.W., Washington, DC 20220, Financial Manager; Philadelphia, PA 19106, United States Mint, Independence Mall, Budget and Accounting Officer; Denver, CO 80204, United States Mint, 320 West Colfax Avenue, Budget and Accounting Officer; San Francisco, CA 94102, United States Assay Office, 155 Hermann Street, Budget and Accounting Officer; New York, NY 10005, United States Mint, 320 Old Slip Street, Fort Knox, KY, United States Bullion Depository, Budget and Accounting Officer; San Francisco, California, United States Assay Office, 155 Hermann Street, Budget and Accounting Officer.

**Notification procedure:** Same as included in System Manager. An employee or former employee is required to show an identification such as: (A) Employee identification, (B) Driver's license, (C) Other names of identification including social security number and date of birth.

**Record access procedures:** For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems, And Documentation
Street, New York, New York 10005, Safety Manager; United States Bullion Depository, West Point, New York 10996, Safety Manager; United States Bullion Depository, Fort Knox, Kentucky 40212, Safety Manager; United States Old Mint, 88 Fifth Street, San Francisco, California 94103, Safety Manager.

Notification procedures: Same as included in System Manager. An employee or former employee is required to show an identification such as: (A) Employee identification, (B) Driver's license, (C) Other means of identification including social security number and date of birth.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Employees; supervisors, medical staff, general public, and visitors to the facilities of the Bureau of the Mint.

System name: Employee-Supervisor Performance, Evaluation, Counseling, and Attendance Records—Treasury/Mint.


Categories of individuals covered by the system: Bureau of the Mint employees and former employees.

Categories of records in the system: Information necessary for managers and supervisors to effectively carry out supervisory responsibilities. Included are such records as: copies of personnel actions, performance appraisal including production and control, disciplinary actions, overtime reports, tardiness reports, work assignments, training reports, applications for employment, home addresses, leave reports, employee awards. (Supervisors maintain varying combinations of the above records. Some supervisors may maintain all or none of the above records depending upon the nature and size of the organization or the number of individuals supervised.)

Authority for maintenance of the system: 5 U.S.C. 301 and FPM Supplement 590—1, Section 3.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Employee performance, evaluation, counseling, and operational control. Categories of Users—Managers and supervisors throughout the Mint. Purpose of Use—To effectively carry out their personnel management responsibilities. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage: Paper documents maintained in folders.

Retrievability: By name.

Safeguards: Stored in file cabinets and desks of supervisors.

Retention and disposal: Retained as long as employee is under their supervision.

System manager(s) and address: Bureau of the Mint, Warner Building, 201—13th Street, N.W., Washington, D.C. 20220; Assistant Directors; United States Mint, Independence Mall, Philadelphia, Pa. 19106, Superintendent; United States Mint, 320 West Colfax Avenue, Denver, CO. 80204, Superintendent; United States Assay Office, 155 Hermann Street, San Francisco, CA. 94102, Officer-in-Charge; United States Assay Office, 32 Old Slip Street, New York, N.Y. 10005, Officer-in-Charge; United States Bullion Depository, Fort Knox, Kentucky 40121, Officer-in-Charge; United States Old Mint, 88 Fifth Street, San Francisco, CA. 94103, Officer-in-Charge; United States Bullion Depository, West Point, N.Y., Officer-in-Charge.

Notification procedure: Same as listed under system manager, Employee or former employee is required to show identification such as: I.D. card, driver's license.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: Contest: See access above.

Record source categories: Employees; previous employers; and appropriate agency officials.


System location: Washington, D.C., Bureau of the Mint, Warner Building, 201 -13th Street, N.W.; Philadelphia, Pennsylvania, United States Mint, Independence Mall; Denver, Colorado, United States Mint, 320 West Colfax Avenue; San Francisco, California, United States Assay Office, 155 Hermann Street; New York, New York, United States Assay Office, 32 Old Slip Street; San Francisco, California, United States Old Mint, 88 Fifth Street; and Fort Knox, Kentucky, United States Bullion Depository.

Categories of individuals covered by the system: Employees and applicants for employment at the Bureau of the Mint.

Categories of records in the system: Allegations of discrimination and all evidence collected during the counseling process.

Authority for maintenance of the system: Public Law 92-261; Executive Order 11478; 2 CFR Part 713.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Informal processing of employee complaints of discrimination. Categories of users—Agency officials who have a need for the records in the performance of their duties. Purpose of use—Informal resolution of complaints of discrimination.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Folders of paper documents.

Retrievability: By name.

Safeguards: Stored in file cabinets and desks of Counselors and EEO Officer.

Retention and disposal: Indefinite.

System manager(s) and address: EEO Officer, Bureau of the Mint, Warner Building, 201—13th Street, N.W., Washington, D.C. 20220; EEO Officer, United States Mint, Independence Mall, Philadelphia, Pennsylvania 19106; EEO Officer, United States Mint, 320 West Colfax Avenue, Denver, Colorado 80204; EEO Officer, United States Assay Office, 32 Old Slip Street, New York, New York 10005; EEO Officer, United States Assay Office, 155 Hermann Street, San Francisco, California 94102; EEO Officer, United States Old Mint, 88 Fifth Street, San Francisco, California 94103; EEO Officer, United States Bullion Depository, Fort Knox, Kentucky 40121.

Notification procedure: See System Manager for address to which inquiries may be addressed. The individual must supply name.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the Systems Manager.

Contesting record procedures: See access above.

Record source categories: Information is voluntarily obtained from employee(s), management, applicants and pertinent documents.

System name: Examination reports of coins forwarded to Mint from U.S. Secret Service—Treasury/Mint.


Categories of individuals covered by the system: Individuals from whom U.S. Secret Service has seized coins suspected to be counterfeit or fraudulently altered.

Categories of records in the system: Reports prepared for U.S. Secret Service regarding the examination of the questioned coin or coins by Mint.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Uses, to determine whether a coin is counterfeit or altered and submit report to U.S. Secret Service or other Federal agency charged with law enforcement responsibilities. To give expert testimony as to the coins examined in a criminal or civil proceeding before a Federal or state court. (b) Categories of users, agency officials who have a need for the records in the performance of their duties. (c) Purpose of use, to preserve the integrity of the coinage system by detecting counterfeit or altered coins and to assist in the enforcement of Federal counterfeiting statutes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper documents.
Retrievability: By name.
Safeguards: Files are kept in locked metal file cabinets in area accessible only to authorized agency officials.

Retention and disposal: Retained in accordance with Bureau of the Mint Records Control Schedule.

System manager(s) and address: Assistant Director for Technology, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: This system is proposed for exemption elsewhere in the Federal Register.

Record access procedures: This system is proposed for exemption elsewhere in the Federal Register.

Contesting record procedures: Contest: This system is proposed for exemption elsewhere in the Federal Register.

Record source categories: This system is proposed for exemption elsewhere in the Federal Register.

Systems exempted from certain provisions of the act: This system is proposed for exemption elsewhere in the Federal Register.

System name: General Correspondence—Treasury/Mint.


Categories of individuals covered by the system: Members of the public, Members of Congress, agency officials and other Federal agencies.

Categories of records in the system: Incoming correspondence and replies pertaining to the mission, function and operation of the Bureau of the Mint.


Routine uses of records maintained in the system: All categories of users and the purposes of such uses: (a) To process an employee grievance, including submission to an arbitrator and appeal to the Federal Labor Relations Council. (b) To answer a question from an individual to whom the record pertains. (c) To provide information or disclosure to appropriate officials of the Department of Labor. (d) To provide information or disclosure to the appropriate Federal agency in connection with civil litigation involving the Bureau of the Mint.

Notification procedures: This system is proposed for exemption elsewhere in the Federal Register.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: Contest: This system is proposed for exemption elsewhere in the Federal Register.

Record source categories: The general public, Members of Congress, agency officials and other Federal agencies.

System name: Grievances. Union/Agency Negotiated—Treasury/Mint.


Categories of individuals covered by the system: Employees and former employees of the Bureau of the Mint.

Categories of records in the system: This system of records contains information or documents relating to employee grievances under provisions of negotiated grievance procedures, including decision of appropriate third parties where applicable.

Authority for maintenance of the system: Executive Orders 10159, 10161, 10163 and 10264; negotiated agreements between Mint and exclusively recognized labor unions.

Routine uses of records maintained in the system: (a) To process an employee grievance, including submission to an arbitrator and appeal to the Federal Labor Relations Council. (b) To provide information or disclosure to appropriate officials of the Department of Labor. (c) To provide information or disclosure to the appropriate Federal agency in connection with civil litigation involving the Bureau of the Mint.

Notification procedures: This system is proposed for exemption elsewhere in the Federal Register.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: Contest: This system is proposed for exemption elsewhere in the Federal Register.

Record source categories: The general public, Members of Congress, agency officials and other Federal agencies.

System name: Investigatory Files on Theft of Mint Property—Treasury/Mint.

Categories of individuals covered by the system: Mint employees and members of public suspected of the theft of Government property.

Categories of records in the system: Name of individual; location of Mint facility; and theft item.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Uses, to investigate suspected theft of Government property on Mint premises. To refer, where there is an indication of a violation or potential violation of law, whether civil, criminal, or regulatory in nature, to the appropriate Federal agency charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or rule, regulation, or order issued pursuant thereto. (b) Categories of users, agency officials who have a need for the record in the performance of their duties and other Federal officials charged with law enforcement responsibilities. (c) Purpose, to safeguard and account for Government property; assist in enforcement of applicable Federal criminal statutes; and attempt to recoup value of property stolen. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name.

Safeguards: Files are kept in a locked metal file cabinet in an area accessible to authorized agency officials.

Retention and disposal: Retained in accordance with Bureau of the Mint Records Control Schedule and destroyed in accordance with General Services Administration rules and regulations.

System manager(s) and address: Chief, Office of Security, Bureau of the Mint, Warner Building, 201 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: This system is proposed for exemption elsewhere in the Federal Register.

Record access procedures: This system is proposed for exemption elsewhere in the Federal Register.

Contesting record procedures: See access above.

Record source categories: Members of the public; appropriate agency officials; and General Services Administration.

Treasury/Mint 00.014

System name: Purchases, Sales, Exchange and Assays of Precious Metals—Treasury/Mint.


Categories of individuals covered by the system: General public and Government agencies who had previous metal transactions with the Bureau of the Mint.

Categories of records in the system: Bar schedules, deposit tickets, reports on special assays, records of sales and purchases of precious metals.

Authority for maintenance of the system: 31 U.S.C. 327, 328, 335, 358, 360, 361, 362, 405a—1, 733 and 734. 31 CFR Parts 90 and 92.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Use, to record and accomplish sale, purchase, exchange or assay of precious metals. (b) Categories of users, appropriate agency officials and appropriate officials of the General Services Administration. (c) Purpose of use, to determine and verify that proper payments are made or correct charges are assessed for services rendered and to accomplish sale and purchase of precious metals. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents and computer magnetic tapes.

Retrievability: Name or essay number.

Safeguards: Metal file cabinet with locks and secured area available to authorized personnel only.

Retention and disposal: Bureau of the Mint Records Control Schedule.

System manager(s) and address: Bureau of the Mint, Warner Building, 201 13th Street, N.W., Washington, D.C. 20220, Financial Manager; Philadelphia, PA 19106, United States Mint, Independence Mall, Budget and Accounting Officer; Denver, CO 80224, United States-Mint, 320 West Colfax Avenue, Budget and Accounting Officer; San Francisco, CA 94102, United States Assay Office, 155 Hermann Street, Budget and Accounting Officer; New York, NY 10005, United States Assay Office, 32 Old Slip Street, Budget and Accounting Officer; San Francisco, CA 94103, United States Old Mint, 88 Fifth Street, Budget and Accounting Officer.

Notification procedure: Same as included in System Manager. Member of the general public or Government agency is required to produce proper identification to satisfy the System Manager.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: Same as access above.

Record source categories: General public; agency officials; and other Federal agencies.
Treasury/Mint 00.015
System name: Redemption of Uncurrent or Mutilated Coins—Treasury/Mint.
Categories of individuals covered by the system: General public; financial institutions; and other Government agencies.
Categories of records in the system: Records of names, addresses and reference numbers pertaining to those submitting mutilated or uncurren coins for exchange.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Uses, issuance of payments in exchange of coins. (b) Uses of users, appropriate agency officials. (c) Purpose of use, to insure that payments are made to proper individuals, banks or Government agencies. (d) For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper documents.
Retrievability: By name or reference number.
Safeguards: Metal file cabinet with lock.
Retention and disposal: Retained in accordance with Bureau of the Mint Records Control Schedule and destroyed in accordance with General Services Administration rules and regulations.
System manager(s) and address: Budget and Accounting Officer, United States Assay Office, 32 Old Slip Street, New York, New York 10005.
Notification procedure: See System Manager. Individual is required to supply name and if available reference and/or settlement number.
Record access procedures: For information on procedure for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.
Contesting record procedures: See access above.
Record source categories: General public, financial institutions, and other Government agencies.
Billing Code 4810-37
Treasury/BPD 00.001
System name: Personnel and Administrative Records—Treasury/BPD.
System location: Bureau of the Public Debt: Washington, D.C.; Parkersburg, West Virginia; Ravenswood, West Virginia; Williamstown, West Virginia. Federal Records Centers: Center St. Louis, Missouri.
Categories of individuals covered by the system: Past, present and prospective employees.
Categories of records in the system: All personnel records not mentioned in this system, but maintained at the Bureau, are considered to belong to the Office of Personnel Management and will be reported by that agency. (1) Personnel Folders (Employee only): Contain the following types of records or information apart from official records, but which may duplicate or supplement official records: Pre-employment records and vouchering; orientation records; requests for personnel action; requests for training; performance evaluation records; letters of reference; debt correspondence; correspondence between employee and personnel office; disciplinary action papers; duplicate copies of personnel actions; payroll, leave and retirement benefits data; compensation forms and reports relating thereto; and tax check records, reduction-in-force data; requests for outside employment; merit promotion applications; and credit and employment verification. (2) Locator File: Contains the employee's office and home address and telephone numbers; emergency notification information and indication of permission or denial to disclose this information upon inquiry. (3) Applications for Employment File: Contains inquiries concerning employment, applicants' resumes and correspondences. (4) Upward Mobility Program File: Contains but are not limited to, pertinent information, such as applications, vouchering, correspondence, developmental plans and appraisal forms of candidates for said program. (5) Investigation Security File: Contains background information and the results of investigations conducted by Internal Revenue Service, Secret Service, or other Federal agencies, on Bureau attorneys and certain other employees. (6) Awards Case Files: Contains reports and background data concerning awards for employment. (7) Equal Employment Opportunity File: Contains records of informal EEO complaints and discussions thereof which have not reached the level of formal complaints. (8) Administrative Grievance Files: Contain informal or formal grievance complaints and background documentation. (9) General Correspondence Files: Contain inquiries concerning general administrative, policy, transactional and personnel matters. (10) Union-related Files: Contain formal and informal, contractual and administrative grievance complaints and background information thereon; expenses of appeal, and disposition of complaints. (11) Merit Promotion Files: Contain records of competitive promotion actions, including but not limited to vacancy announcements, candidates considered, assessments of candidates, and disposition of applications. (12) Exit Interview File: Contains records of employee's comments, Bureau, first, and supervisory appraisals. (13) Suitability Files: Contain information documenting the suitability for employment of prospective and current employees, such as arrest records, National Agency checks and background information. (14) Budget Files: (a) Employee Travel Records File: Contains travel vouchers, authorization and receipts for travel which an employee performed in connection with official business and which is reimbursable by government funds. (b) Comprehensive Listing of Employee Matter Files: Contains salary, grade, leave, retirement, health-life insurance, tax, payroll deduction and other information. (c) Time and Attendance Records: Contains the daily posting of employee's leave and/or attendance. (15) Medical Records File: Contains employee's appointment and filed Graduate School records. (16) Employee Relations files; containing, but not limited to, pertinent information on such matters as discipline, adverse actions, retirement, and compensation. (17) Confidential Financial Statements Files: Contains all personal financial statements required of employees as defined in the Department's Minimum Standards of Conduct. (18) Classification Appeals File: contains statements and pertinent information relating to the adjudication of an appeal. (19) History/Personnel File: contains records in cases.
Authority for maintenance of the system: Title 5 USC, Federal Personnel Manual, Executive Order 11478.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Within the Department of the Treasury, the aforementioned records are routinely used to determine the suitability of an applicant for employment; to verify an employee's orientation processing; to supplement official personnel records for clarification of personnel actions; to authorize deductions of state and/or local or District of Columbia taxes from an employee's salary for payroll functions; to provide information for determining the eligibility and suitability of an employee for promotion or award; to appraise an employee's performance following hiring or promotion; to judge the necessity for disciplinary action and to make record of same, to inform an employee of his present leave and retirement benefits status; to make record of all correspondence exchanged between an employee and the Division of Personnel for reference purposes; to locate an employee in an emergency situation if necessary; to pay employee's leave and/or attendance; to make record thereof; to record work-related injuries and illnesses and to process compensation therefor; to perform security investigations and to provide security clearance for attorneys and certain other employees in order to protect security information; to furnish Internal Revenue Service, Secret Service, and other Federal agencies with information in the course of their investigations; to document grievances or complaints of discrimination for purposes of hearing and passing decision on a case; to furnish management with information necessary for the hearing and resolution of administrative grievances and grievances arising within the framework of the labor-management framework; to record, analyze and approve travel expenditure, to furnish data not by the Division of Management and Support Services in the performance of its duties relating to the estimating and accounting for budget expenditures, maintaining records, providing payroll data to the Treasury Payroll/Personnel Information System, Old Mint Data Center, and other Federal agencies, to the individual employee, and to supervisors who have need of such information in the performance of their duties; to maintain records of correspondence for reference and precedence purposes; to provide the federal income tax, Social Security and Federal Unemployment Tax Administration the name and earnings records of Federal Government employees; to prepare formal and informal personnel actions, such as arrest records, National Agency checks and background information. (10) Budget Files: (a) Employee Travel Records File: Contains travel vouchers, authorization and receipts for travel which an employee performed in connection with official business and which is reimbursable by government funds. (b) Comprehensive Listing of Employee Matter Files: Contains salary, grade, leave, retirement, health-life insurance, tax, payroll deduction and other information. (c) Time and Attendance Records: Contains the daily posting of employee's leave and/or attendance. (15) Medical Records File: Contains employee's appointment and filed Graduate School records. (16) Employee Relations files; containing, but not limited to, pertinent information on such matters as discipline, adverse actions, retirement, and compensation. (17) Confidential Financial Statements Files: Contains all personal financial statements required of employees as defined in the Department's Minimum Standards of Conduct. (18) Classification Appeals File: contains statements and pertinent information relating to the adjudication of an appeal. (19) History/Personnel File: contains records in cases.
Routine uses of records within the Department are the Personnel Management Branch, Financial Management Branch, and other components within the Division of Management and Support Services; the EEO Officers; Internal Revenue Service; Secret Service; and all other employees who have need of such information in the performance of their authorized duties.
Requests for correction of records: (1) All requests by an individual for correction of records relating to him should be in writing, be signed by him, and, in order for the Privacy Act procedures to apply, clearly indicate that the request is made pursuant to the Privacy Act of 1974. (2) The request for correction should specify: (a) the dates of the prior correspondence had with the Bureau concerning the records in question, (b) the specific records alleged to be incorrect, (c) the corrections requested, and (d) the reasons therefor as well as any available evidence in support of the request.

Appeals from an initial denial of a request for correction of records: (1) All appeals from an initial denial of a request for correction of records in question must be made in writing by the requester, and should be handled under the Privacy Act procedures, be delivered to the address set forth for submission of appeals within 30 days of the individual's receipt of the initial denial of the requested correction. (2) All appeals must specify: (a) the date the initial request made for correction of the records, and (c) the date that initial denial of the request for correction was received. (4) All appeals must also specify the reasons for the requester's disagreement with the initial denial of correction and should furnish any applicable evidence available in support of his appeal.

Contestable record procedures: See notification and access. Record source categories: Information on the records in the system is furnished by the individuals, by creditors, by supervisors, medical personnel, other employees, or as the result of security investigations.

System name: United States Savings-Type Securities—Treasury/BFD.

System location: Bureau of the Public Debt, Washington, D.C. 20226 and Parkersburg, West Virginia 26101, Ravenswood, West Virginia 26164, Williamstown, West Virginia 26187, Federal Reserve Banks and Branches located at: Atlanta, Georgia; Baltimore, Maryland; Birmingham, Alabama; Boston, Massachusetts; Buffalo, New York; Chicago, Illinois; Cincinnati, Cincinnati, Ohio; Cleveland, Ohio; Dallas, Texas; Denver, Colorado; Detroit, Michigan; El Paso, Texas; Jacksonville, Florida; Helena, Montana; Houston, Texas; Kansas City, Missouri; Little Rock, Arkansas; Louisville, Kentucky; Los Angeles, California; Memphis, Tennessee; Miami, Florida; Minneapolis, Minnesota; Nashville, Tennessee; New Orleans, Louisiana; New York, New York; Oklahoma City, Oklahoma; Omaha, Nebraska; Philadelphia, Pennsylvania; Pittsburgh, Pennsylvania; Portland, Oregon; Richmond, Virginia; Salt Lake City, Utah; San Antonio, Texas; San Francisco, California; Seattle, Washington and St. Louis, Missouri; and at Federal Records Centers located at: Walla Walla, Washington; Charleston, New York; Philadelphia, Pennsylvania; Mechanicsburg, Pennsylvania; West Point, Georgia; Chicago, Illinois; Kansas City, Missouri; Fort Worth, Texas; Denver, Colorado; San Francisco, California; Bell, California and Seattle, Washington.

Categories of records covered by the system: Present and former owners or first-named coowners of, claimants to, persons entitled to, and inquirers concerning United States savings-type securities and interest thereon—including but not limited to United States Savings Bonds, Savings Notes, Retirement Plan Bonds, and Individual Retirement Bonds. In addition, second-named coowners of Series G savings bonds.

Categories of records in the system: (1) Issuance: Records relating to registration, registers of issuance of securities and records of correspondence in connection with issuance of savings-type securities. (2) Holdings: Records documenting ownership, status, payments by date and account numbers, including inscription information; interest activity; correspondence in connection with notice of change of name and residence, non-receipt of interest, and security transfers; and numerical registers of ownership. Such records include information relating to savings-type securities held in safekeeping in conjunction with the Department's program to deliver such securities to the holders thereof or persons entitled thereto. (3) Disposition: Records which include records of transfers, and reissues): Records which include securities transaction requests; interest activity; legal papers supporting transactions, applications for disposition or payment of securities and/or interest thereon; and releases; (4) Claims: Records including correspondence concerning lost, stolen, destroyed or mutilated savings-type securities, bonds of indemnity, legal documents supporting claims for reimbursement of check payment records, (5) Reports: Records of correspondence with individuals who have requested information concerning savings-type securities and/or interest thereon.
System manager(s) and address: Commissioner of the Public Debt, 15th St. and New York Ave., N.W., Washington, D.C. 20226.

Notification procedure: Address inquiries to: Privacy Act Request, Assistant Commissioner of the Public Debt, Savings Bond Operations Office, 200 Third Street, Parkersburg, West Virginia 26101, (304) 422-8551. Inquiries should include the full name, social security number, address and social security number(s), if known.

Record access procedures: Individuals who wish to request access to records containing holdings of Series G savings bonds, other than those held by or registered to them, must also specify the Bureau system within which the records are located as well as the number of the first-named coowner.

Requests for access to records: (1) All requests for access to records must be in writing, signed by the individual concerned, and, in order for the Privacy Act procedures to apply, clearly indicate that the request is made pursuant to the Privacy Act of 1974. The Bureau reserves the right to require additional verification of an individual's identity. (2) The request must specify the Bureau system of records within which the desired records are located as well as the categories of records within the system. These categories are set out in the applicable system of records description. (3) In the case of requests for information concerning holdings of securities, the type of securities involved (e.g., Series G savings bond, savings note, retirement plan bond, etc.) must be specified. Additionally, the request should, to the extent possible, specify the approximate date of issue, serial number(s) and exact form of registration, including social security number(s) and, wherever possible, the name or in some cases social security number of the first-named coowner. Requests for information concerning securities registered in coownership form must be accompanied by the name and, wherever possible, social security number of the first-named coowner. Requests for information concerning securities registered in beneficiary form must be accompanied by the name and social security number of the owner thereof and, if made by the beneficiary, must be accompanied by proof of death of the registered owner. (4) Requests for records or holdings or other information concerning a deceased or incapacitated individual should be accompanied by either evidence of the requester's appointment as legal representative of the individual or his estate or by a statement attesting that no such representation has been given the parties and the relationship between the requester and the individual.

Requests for correction of records: (1) All requests for an individual for correction of records relating to him should be in writing, be signed by him, and, in order for the Privacy Act procedures to apply, state that the request is made pursuant to the Privacy Act of 1974. (2) The request for correction should specify: (a) the dates of the prior correspondence had with the Bureau concerning the records in question, (b) the specific records alleged to be incorrect, (c) the basis for the request, and (d) the reasons therefor, as well as any available evidence in support of the request.

Appeals from an initial denial of a request for correction of records: (1) All appeals from an initial denial of a request for correction of records will be handled under the Privacy Act procedures, as set forth for submission of appeals within 35 days of the individual's receipt of the initial denial of the request for correction. (2) All appeals must, to be handled under the Privacy Act procedures, be delivered to the address set forth for submission of appeals within 35 days of the individual's receipt of the initial denial of the initial request of correction. (3) All appeals must specify: (a) the records to which the appeal relates, (b) the date of the initial request made for correction of the records, and (c) the date that initial denial of the request for correction was received. (4) All appeals must also specify the reasons for the requestor's disagreement with the initial denial of correction and should furnish any applicable evidence available in support of his appeal.

Contesting record procedures: See notification and access.

Record source categories: Information on records in this system is furnished by the individuals or their authorized representatives listed in "Categories of Individuals," by issuing agents for securities, or is generated within the system itself.

Treasury/BFD 08/03

System name: United States Securities (Other than Savings-Type Securities)—Treasury/BFD

System location: Bureau of the Public Debt, Washington, D.C. 20226, Ravenswood, West Virginia 26164, Williamstown, West Vir-
routinely disclosed pertaining to holdings of securities and transactions thereof to the Department of Justice in connection with lawsuits to which the Bureau is a party; users are law enforcement officials for the purpose of administering justice. (4) Information concerning holdings of Armed Forces Leave Bonds is routinely furnished to the Veterans Administration in connection with redemption or disposition of these securities. Users of the resultant information furnished by the Veterans Administration are officers and authorized employees of the Bureau, for the purpose of making proper disposition of these bonds. (5) Information concerning holdings of securities registered in the names of two or more owners is routinely disclosed to either owner upon his request. (Note: requests for disclosure by one owner other than the first-named owner should be directed to the name or social security number of the first-named owner.) (6) Information regarding holdings is routinely disclosed to Members of Congress who inquire on behalf of constituents. (7) For additional routine uses see Appendix AA.

Polices and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records in this system are stored in their original paper form and as information in electronic media and on microfilm.

Retrievability: Information can be retrieved alphabetically by name or, in some cases, numerically by social security number. In the case of securities registered in more than one name, which matured prior to January 1, 1973, information relating thereto can only be retrieved by the name or social security number of the first-named owner.

Safeguards: Information is contained in secure buildings, Federal Reserve Centers, or in areas which are occupied by responsible employees of either the Department who are subject to personnel screening procedures and the Treasury Department Code of Conduct, or by Federal Reserve Banks and Branches as Fiscal Agency. Additionally, since in most cases names and accounts are involved in the retrieval process, unauthorized persons would be unable to retrieve information in a meaningful form.

Records stored in electronic media are safeguarded by automatic data processing security procedures in addition to physical security measures.

Retention and disposal: Records of holdings are maintained permanently. Forms, documents and other legal papers which constitute the basis for transactions subsequent to original issue are maintained for such time as is necessary to protect the legal rights and interests of the U.S. Government and the persons affected or otherwise until they are no longer historically significant. Other records are disposed of at varying intervals in accordance with records retention schedules reviewed and approved by the National Archives and Records Service, General Services Administration. All records are destroyed by shredding, incineration or maceration. Records in electronic media are electronically erased using accepted techniques.

System manager(s) and address: Commissioner of the Public Debt, 15th St. and New York Avenue, N.W., Washington, D.C., 19726. For correction of records to: Privacy Act Request, Assistant Commissioner of the Public Debt, 13th and C Streets, S.W., Washington, D.C. 20226, (202) 964-7994. Inquiries should include the full name and social security number of the first-named owner.

Record access procedures: Individuals who wish to request access to records relating to them or who wish to request correction of records they believe to be in error should submit such requests pursuant to the procedures set out below in compliance with the applicable regulations (31 CFR Part 1, Subpart C Sections 1.7 and 1.8; 31 CFR Part 323). Requests which do not comply fully with these procedures may result in noncompliance with the request but will be answered to the extent possible.

Requests and access to records: (1) All requests for access to records must be in writing signed by the individual concerned, and, in order for the Privacy Act procedures to apply, clearly indicate that the request is made pursuant to the Privacy Act of 1974. The Bureau reserves the right to require additional verification of an individual's identity. (2) The request must specify the Bureau system of records within which the desired records are located as well as the categories of records within the system. These categories are set out in the applicable system of records description. (3) In the case of requests for information concerning holdings of securities registered in more than one name, which matured prior to January 1, 1973, information relating to holdings of securities which matured prior to January 1, 1973, information relating thereto can only be retrieved by the name or social security number of the first-named owner.

In the case of holdings of securities which matured prior to January 1, 1973, information relating thereto can only be retrieved by the name or social security number of the first-named owner.


Routine uses of records maintained in the system, including categories of users and purpose: The Department of the Treasury, the information contained in this system of records is routinely used in connection with the issuance of securities, the payment of interest thereon, including but not limited to Treasury Bonds, Notes and Bills, Adjusted Service Bonds, Armed Forcers Leave Bonds, securities of the Federal Financing Bank, the Federal Housing Administration and the Farm Credit Administration.

Categories of records in the system: (1) Identification: Records relating to owners of securities, authorized employees and agents of the Department for the payment of interest, over- and under-payments of interest; records of interest activity on United States Savings Bonds, bonds of the U.S. Government acting as agent for the issuance of savings-type securities or securities for which the Treasury acts as agent and interest thereon, including but not limited to Treasury Bonds, Notes and Bills, Adjusted Service Bonds, Armed Forcers Leave Bonds, securities of the Federal Financing Bank, the Federal Housing Administration and the Farm Credit Administration.

Categories of records in the system: (2) Use of records in the system: (a) Information is routinely used in connection with the issuance of securities, the payment of interest thereon, processing of claims therefor, respond
registered in the name of more than one person must include the name and, wherever possible, social security number of the first-named owner. (5) Requests for records of holdings or other information concerning a deceased or incapacitated individual must be accompanied by a copy of the requestor's appointment as legal representative of the individual or his estate or by a statement attesting that no such representative has been appointed and giving the nature of the relationship between the requestor and the individual.

Requests for correction of Records: (1) All requests by an individual for correction of records relating to him should be in writing, be signed by him, and, in order for the Privacy Act procedures to apply, state that it is made pursuant to the Privacy Act of 1974. (2) All appeals must, to be handled under the Privacy Act procedures, be delivered to the address set forth for submission of appeals within 35 days of the original request for correction. (3) All appeals must specify: (a) the record to which the appeal relates, (b) the date of the initial request made for correction of the records, and (c) the date that initial denial of the request for correction was received. (4) All appeals must also specify the reasons for the requester's dissatisfaction with the initial denial of correction and should furnish any applicable evidence available in support of his appeal.

Contesting record procedures: See Notification and Access above. Record source categories: Information contained in records in the system is furnished directly from individuals listed in "Categories of Individuals" or those specifically authorized to act on their behalf or is generated within the system itself.

Billing Code 4810-40

Treasury/FLET C 00.001

System name: FLET C Payroll System—Treasury/FLET C.

System location: FLET C, Office of Administration, Administration Building, Glynnco, Georgia, 31520.

Categories of individuals covered by the system: All payroll records including Standard Forms 50 and 52, leave status, and training authorizations.

Authority for maintenance of the system: Treasury Order No. 207, dated July 1, 1970.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Employment calculations, payroll deductions, and other information necessary for the administration of the system.

Retrieval: Name, class number, and social security number.

Retrievability: Access to these systems of records is controlled by software and hardware procedures. Software will be used to ensure, in all technically feasible ways, that data cannot be made available to unauthorized persons. User-identifiers and passwords will be used to ensure that data is available only to those persons who are authorized to have access.

Security measures: Physical Security, personnel screening and security check list are all utilized to prevent unauthorized disclosure of records.

Retention and disposal: Retained and disposed in accordance with the GSA General Records Schedule. For more information contact: Records Retention Officer, FLET C, Building 94, Glynnco, Georgia, 31520.

System manager(s) and address: FLET C, Office of Administration, Administration Building, Glynnco, Georgia, 31520.

Notification procedure: The individual must provide full name, date of birth, and social security number.

Record access procedures: By written request to the System Manager.

Contesting record procedures: See Access above.

Record source categories: Personnel documents and payroll documents computed by the Treasury Payroll Personnel Information System.

Treasury/FLET C 00.002

System name: FLET C Trai nee Records and FLET C Instructor Records—Treasury/FLET C.

System location: Records stored in the computer and all health records are stored in FLET C Building 94, Glynnco, Georgia, 31520. All other records are stored in FLET C Building 262, Glynnco, Georgia, 31520.

Categories of individuals covered by the system: Any person who officially attends a formal FLET C training program and all instructors engaged in teaching the FLET C training programs.

Categories of records in the system: Trai nee records: personal background information supplied by the trainee, grades and performance evaluation, student advisory form and relevant public health records. Instructor records: personal background information supplied by the instructor, and teaching qualifications. All records described in this system are other than those specifically authorized to be released and reported by the Civil Service Commission on behalf of all agencies.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Disclosure upon request to the individual himself, the individual's parent agency, and to any other agency or organization at the request of the individual to the student locator, mailroom, registration office, training and research officials and other government employees on a need-to-know basis.

To the Civil Service Commission concerning pay, leave, benefits, retirement, other deductions, and other information necessary for the Commission to carry out its government-wide personnel management functions. For additional routine uses, see Appendix AA, 40 FR 56419—56420, December 2, 1975.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc storage except for health records which are maintained on paper files and student records which are maintained on magnetic discs, paper files, and microfilm.

Retrievability: Access to these systems of records is controlled by software and hardware procedures. Software will be used to ensure, in all technically feasible ways, that data cannot be made available to unauthorized persons. User-identifiers and passwords will be used to ensure that data is available only to those persons who are authorized to have access.

Security measures: Physical Security, personnel screening and security check list are all utilized to prevent unauthorized disclosure of records.

Retention and disposal: Computer print-out records are destroyed within two months of production. All other records are retained and disposed in accordance with the GSA General Records Schedule. For more information contact: Records Retention Officer, FLET C, Building 94, Glynnco, Georgia, 31520.

System manager(s) and address: Assistant Director for Administration, Office of Administration, Administration Building, FLET C, Glynnco, Georgia, 31520.

Notification procedure: The individual must provide full name, date of birth, and parent agency, type of course and approximate date of attendance to the system manager.

Record access procedures: By written request to the system manager.

Contesting record procedures: By written request of the System Manager.

Record source categories: The trainee himself and members of the staff responsible for grading, rating or evaluating the trainee.

Instructors: The instructor himself and staff members responsible for upgrading the instructor's teaching qualifications.

Treasury/FLET C 00.003

System name: FLET C Confidential Financial Records—Treasury/FLET C.

System location: FLET C, Building 94, Glynnco, Georgia, 31520.

Categories of individuals covered by the system: Employees classified at GS-12 or above who are in positions identified as positions the basic duties and responsibilities of which require the incumbent to exercise judgment in making a Government decision or in taking Government action on contracting or procurement. Specifically, All officials GS-15 and above.

Assistant Director, Special Training Division
Deputy Associate Director for Administration  
Administrative Services Officer  
Chief, Instructional Services Division  
Procurement Officer  
Supervisory Public Information Specialist  
Facility Management Specialist  
Public Information Specialist  
Financial Management Specialist  
Executive Assistant  
Staff Assistant, Office of Training  

Categories of records in the system: All information required by or related to employment and financial interest of GS-12 and above employees.  


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide certification to the Civil Service Commission that Statements of Employment and Financial Interests are on file for each employee and special Government employees required to submit such statement under the regulations of the employing agency. Also, for the agency head only to be disclosed upon his determination or that of the Chairman of the U.S. Civil Service Commission for good cause shown. For additional routine uses see Appendix AA.  

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:  
Storage: Paper files.  
Retrievability: Name.  

Safeguards: Physical security, personnel screening and security check lists are all used to prevent unauthorized disclosure of records.  

Retention and disposal: Retained and updated annually and destroyed at the departure of employee from FLETC rolls.  

System manager(s) and address: Director, FLETC, Administration Building, Glynco, Georgia 31520.  

Notification procedures: The individual must provide full name, date of birth, dates of employment with the Center to: Director, FLETC, Administration Building, Glynco, Georgia 31520.  

Record access procedures: By formal letter to the System Manager.  
Contesting record procedures: See Access above.  

Record source categories: The employee on whom the record is maintained.  

TREASURY/FLETC 00,004  

System name: FLETC Employee Records—Treasury/FLETC.  

Personnel records Schedule 1 Item 9 1 yr.  
Health records GRS 1 21 6 yr.  
Training records Schedule 1 Item 1 26 1 yr.  
Vehicle records 11 4a 3 mo.  
Equal Employment Opportunity records 1 20b 1 yr.  
Locators records 11 4a 3 mo.  
Identification records 11 4a 3 mo.  
Equipment control records.  
Inventory pass files 18 10 3 mo.  
Lost, stolen, and found files 18 13b 1 yr.  
Key files 18 14b 2 mo.  


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel recruitment and management, management of health, unit, vehicle control, equipment control, equal employment opportunity program. Disclosure to the individual himself, Treasury Department employees on a need to know basis, and the individual's parent agency. Health records to the U.S. Department of Labor and the U.S. Department of Health, Education, and Welfare as required by regulation. To the Civil Service Commission concerning pay, leave, benefits, retirement deductions, and other information necessary for the Commission to carry out its government-wide personnel management functions. For additional routine uses, see Appendix AA, 40 FR 56419-56420, December 2, 1975.  

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:  
Storage: Paper files.  
Retrievability: Name.  

Safeguards: Physical security, personnel screening and security check lists are all used to prevent unauthorized disclosure of records. Retention and disposal: Disposition as prescribed by the schedules attached to Treasury Directives Manual chapter TD 80, Section 02.B, 5-12-76, except for health records covered by the General Service Administration's General Records Schedule 1 (GRS 1):  

System manager(s) and address: Associate Director for Administration, Building 94, FLETC, Glynco, Georgia 31520.  

Notification procedures: The individual must provide full name, date of birth and dates of employment with the Center to the system manager.  

Record access procedures: By written request to the system manager.  
Contesting record procedures: By written request to the system manager.  

Record source categories: The employee on whom the record is maintained, prior employees, and FLETC.  

System name: Biographical Files, Public Affairs—Treasury/IRS.  

System location: National, Regional and District Offices and Service Centers (see IRS Appendix A).  

Categories of individuals covered by the system: IRS Employees.  

Categories of records in the system: Records are biographical data on key IRS employees.  

Authority for maintenance of the system: 5 U.S.C. 301.  

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of these records are available to the public pursuant to the Freedom of Information Act, 5 U.S.C. 552.  

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:  
Storage: Stored in file cabinets without locks.  
Retrievability: By name.  
Safeguards: Office doors locked at night.  
Retention and disposal: Generally governed by the Records Management Handbook IRM 1 (15) 59.  

System manager(s) and address: Assistant to the Commissioner (Public Affairs), Regional, District and Service Centers (see IRS Appendix A).  

Notification procedures: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant to the Commissioner (Public Affairs), IRS, Washington, D.C. 20224, for national office file. See Appendix A for appropriate address for regional and district office or service center files.  

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed as in Notification Procedures.  

Contesting record procedures: See Access.  

Record source categories: Information is supplied by the IRS official on an IRS biographical data form.  

System name: Subject Files, Public Affairs—Treasury/IRS.  

System location: National, Regional and District Offices and Service Centers (see IRS Appendix A).
Categories of individuals covered by the system: In general, records are maintained on individuals whose names have been mentioned in the press in connection with their relationship with IRS.

Categories of records in the system: The records include correspondence, newspaper clippings, inter-office memoranda and similar documents.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of these records are available to the public pursuant to the Freedom of Information Act, 5 U.S.C. 552.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage: Records are stored in file cabinets without locks. Retrievability: Alphabetical by subject. Safeguards: Office doors locked at night.

Retention and disposal: Generally governed by the Records Management Handbook IRM 1(15)59.

System manager(s) and address: Assistant to the Commissioner (Public Affairs), Regional, District and Service Centers (see IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant to the Commissioner (Public Affairs), IRS, Washington, D.C. 20224, for national office file. See Appendix A for appropriate address for regional and district office or service center files.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed as in Notification Procedures.

Contesting record procedures: See Access.

Record source categories: Records generally are clippings from newspapers, magazines, and similar sources, internal documents and memoranda.

Treasury/IRS 21.001
System name: Tax Administration Advisors Resources File, Tax Administration Advisory Services Division—Treasury/IRS.
System location: National Office.

Categories of individuals covered by the system: Past and potential tax administration advisors who have served or indicated an interest in serving on advisory assignments, and selected officials engaged in tax administration and related fields.

Categories of records in the system: Locator cards and lists with names, addresses, telephone numbers, and organizational affiliations of officials engaged in tax administration and IRS employees and others who have been or have indicated an interest in tax advisory assignments; for employees serving or having served with TAAS, work assignments in the preceding year; and potential advisors, including information on experience of applicants; bio-data sketches on IRS and others engaged in tax administration and related fields.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintained for reference in obtaining and presenting information related to tax administration, and for administrative selection and processing of overseas and domestic assignments. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.


Retention and disposal: Disposed when no longer useful for official use.

System manager(s) and address: Director, Tax Administration Advisory Services Division, National Office (See IRS Appendix A).

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: From the individual, from the organization with which he is associated, or from other knowledgeable experts in the field of Tax Administration.

Treasury/IRS 22.003
System name: Annual Listing of Undelivered Refund Checks, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers and District Offices (see IRS Appendix A).

Categories of individuals covered by the system: Taxpayers whose refund checks have been returned as undeliverable since the last Annual Listing of Undelivered Refund Checks was produced.

Categories of records in the system: Taxpayer entity information (Name, Street Address, City, State, Zip Code and Taxpayer Identification Number) and records containing tax module information (Tax Period, Amount of Credit Balance and Control Document Locator Number).


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are available to the public pursuant to the Freedom of Information Act, 5 U.S.C. 552, and 7801, 26 U.S.C. 7802, 26 U.S.C. 7602. These routine uses include publishing and distributing the Annual Listing of Undelivered Refund Checks, preparing and distributing copies of the undelivered refund checks and, if necessary, preparing and distributing notices of undeliverable refund checks in the news media.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper document stored in files.

Retrievability: Information is retrievable by taxpayer’s SSN or EIN.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The policies and practices regarding retention and disposal are as specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual’s tax account.

Treasury/IRS 22.005
System name: Audit Underreporter Case File, TXR—Treasury/IRS.

Categories of individuals covered by the system: Recipients of income who appear not to have declared on their income tax returns (Forms 1040 and 1040A) all income paid to them in the tax year under study.

Categories of records in the system: Records maintained are taxpayer (i.e., payee) entity records containing payer name, address, taxpayer identification number, and other indicators relating to entity maintenance; and income records containing the types and amounts of income received, and information identifying the income payer.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On magnetic tape.

Retrievability: By matching on SSN and name control.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for one year; then data are magnetically erased.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX), National Office. Officials maintaining the system—Directors, Internal Revenue Service Centers. See IRS Appendix A for locations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 22.011

System name: File of Erroneous Refunds, TX:R Treasury/IRS.

System location: Internal Revenue Service Center. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers issued erroneous refunds.

Categories of records in the system: Case reference name, number, control number, date of erroneous refund, statute expiration date, status of case and location.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:


Retrievability: Retrieval by SSN or EIN.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained four years then destroyed.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entities made in the administration of the individual's tax account.

Treasury/IRS 22.012

System name: Collection Case File, TX:R Treasury/IRS.

System location: National Computer Center (NCC), Martinsburg, W. Va. (See IRS Appendix A.)

Categories of individuals covered by the system: Recipients of income who appear not to have filed income tax returns (Forms 1040 or 1040A) for the tax year in which that income was paid to them.

Categories of records in the system: Records maintained are taxpayer (i.e., payee) entity records containing payee name, address, taxpayer identification number, and other indicators relating to entity maintenance; and income records containing the types and amounts of income received, and information identifying the income payer.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On magnetic tape.

Retrievability: By matching on SSN and name control.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for up to one and a half years, then data are magnetically erased.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Retrievability: By taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Storage: Microfilm.

Retrievability: By taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained at FSC 5 years, then at Federal Records Center 25 years.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Director, Philadelphia Internal Revenue Service Center (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the
Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories; Tax returns and other filings made by the individual and agency entries made in the administration of the individual’s tax account.

Treasury/IRS 22.027

System name: Forms filed by U.S. Citizens or Residents relating to Foreign Companies, TXR-Treasury/IRS.

System location: Philadelphia Internal Revenue Service Center and its servicing Federal Records Centers (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who file Forms: 957—Foreign Personal Holding Company; 958—Foreign Personal Holding Company; 959—Return by an Officer, Director, or Shareholder—With Respect to the Organization or Reorganization of a Foreign Corporation and Acquisition or Disposition of a Shareholder.

Categories of records in the system: Forms filed by the taxpayer and case documents determined by the Service to be related.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper document files.

Retrieval procedures: Documents are stored and retrieved by Document Locator Numbers.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retention and Disposal Instructions for paper documents in these files is as specified in the Records Disposition Handbook, Service Centers, IRM 1(15)59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedure: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual’s tax account.

Treasury/IRS 22.032

System name: Individual Microfilm Retention Register, TXR—Treasury/IRS.

System location: All IRS Service Centers and District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Categories of individuals on whom records are maintained are: (a) For Individual Master File (IMF) registers—Individuals who file, or may be required to file, individual tax returns such as Form 1040 or 1040A, and (b) For Residual Master File (RMF) registers—Individuals who file, or may be required to file, or are otherwise responsible for returns relating to airways, motor vehicles, wagering, beer, etc., coin operated amusement or state gift tax including return forms such as 4638, 709, 706, 2290, 11, 11B, 11C and 730.

Categories of records in the system: The categories of records maintained are abstracts of tax and/or entity modules that have been removed from the IMF or RMF. These abstract records indicate the taxpayer name, identification number, specific tax returns, document location number, tax years, debt and credit amounts, balances, and other transactions which have been recorded relative to the module(s).


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper document files.

Retrievability: Documents are stored and retrieved by Document Locator Numbers. The Document Locator Numbers can be determined by reference to the Individual Master File or Residual Master File entries for the individual to whom they relate.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.
Retention and disposal: Retention and Disposal Instructions for paper documents in these files as specified in the Records Disposition Handbook, Service Centers, IRS 1(15)59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.036
System name: Interest Equalization Tax Forms File, TXR—Treasury/IRS.

System location: Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who filed Forms: 3969—Notice of Acquisition of Original or New Japanese Debt Obligation, 4410—Interest Equalization Tax—Quarterly Return of Tax Withheld by Participating Firm, 4363—Notice of Acquisition of Stock Pursuant to Conversion of an Original or New Japanese Debt Obligation, 4322—Validation Certificate of Prior American Ownership and Interest Equalization Tax Compliance, 3845—Interest Equalization Tax—Broker's Quarterly Information Return, 3779—Notice of Acquisition of Original or New Canadian Stock or Debt Obligation.

Categories of records in the system Forms filed by the taxpayer and case documents determined by the Service to be related.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper document files.

Retrievability: Documents are stored and retrieved by taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.043
System name: Potential Refund Litigation Case Files, TXR—Treasury/IRS.

System location: Internal Revenue Service Centers and Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayer who have indicated to the Service that they may file suit against the Service for a refund.

Categories of records in the system: Forms filed by the taxpayer and case documents determined by the Service to be related.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: This is a paper document file.

Retrievability: Documents are stored and retrieved by taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.044
System name: P.O.W.—M.I.A. Reference File, TXR—Treasury/IRS.

System location: Austin Service Center and each district office. (See IRS Appendix A).

Categories of Individuals covered by the system: P.O.W.—M.I.A.—originated from Department of Defense lists.

Categories of records in the system: Lists of P.O.W./M.I.A., Regulations, Rulings, and Instructions as to how to handle specific tax questions relating to their special tax status, copies of individual tax returns, claims, and other documents germane to specific classes.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents in file folders.

Retrievability: Cases filed alphabetically by last name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: All cases have been retained and no current provisions have been established for disposal.
System managers and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Director, Internal Revenue Service Centers and District Directors of Internal Revenue. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking to access any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Subpart 1.4--Policies and practices regarding disposal of records in the system.
Subpart 1.5--Authority for maintenance of the system.

Subpart C.2--Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system.

Storage: These are paper documents filed in folders.

Safeguards: Safeguards will not be less than provided by the General Services Administration, 41 CFR Part 60.600, and the Privacy Act.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Appendix A. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: See Access above.

System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper documents filed in folders.

Safeguards: Safeguards will not be less than provided by the General Services Administration, 41 CFR Part 60.600, and the Privacy Act.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Appendix A. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: See Access above.

System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account. Some Justice Department investigations are an additional source for the information contained in litigation case files.

Tentative/IRS 22.058
System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper documents filed in folders.

Safeguards: Safeguards will not be less than provided by the General Services Administration, 41 CFR Part 60.600, and the Privacy Act.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Appendix A. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: See Access above.

System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account. Some Justice Department investigations are an additional source for the information contained in litigation case files.

Tentative/IRS 22.058
System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account. Some Justice Department investigations are an additional source for the information contained in litigation case files.

Tentative/IRS 22.058
System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account. Some Justice Department investigations are an additional source for the information contained in litigation case files.

Tentative/IRS 22.058
System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account. Some Justice Department investigations are an additional source for the information contained in litigation case files.

Tentative/IRS 22.058
System name: Tentative Carryback Allowance File, TXR—Treasury/IRS.
money amount, and the transaction document locator number (DLN).


Routine use of records maintained in the system, including categories of users and the purposes of such uses: This file is used only to report Revenue Receipts Breakout and total amount shown on General Ledger to U.S. Treasury. The file is an internal file and contains a record of each remittance which is received but cannot be positively identified, either as to the taxpayer who sent it or the tax period to which it is to be credited.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk Storage

Retrievability: Remittance amount, Unidentified Remittance Control Number, taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: 180 days after the amount is reduced to zero balance.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Categories of individuals covered: Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual’s tax account.

Treasury/IRS 22.060

System name: Wage and Information Returns Processing (IRP) File; TX-R—Treasury/IRS.


Categories of individuals covered by the system: recipients of various types on income: wages; dividends; interest; rents and royalties; medical payments; capital gains distributions; non-taxable distributions; pensions and annuities; patronage dividends, distributions, and allocations; fishing crew distributions and prizes; gambling winnings and miscellaneous income.

Categories of records in the system: Records maintained are records of each remittance which is received but cannot be positively identified, either as to the taxpayer who sent it or the type of tax to which it is to be applied.

Treasury/IRS 22.060

System name: Unit Ledger Cards, TX-R—Treasury/IRS.

System location: Internal Revenue Service Centers and Records Center.

Categories of individuals covered by the system: Records are maintained on taxpayers having accounts with the Internal Revenue Service which are not compatible with normal master file processes, e.g., penalties, transfer assessments, termination assessments, excise protest accounts, RMIF overflow accounts, culpable and non-petitioning spouses, Form 1042, 1040-4, 1040-4-NR, 926, 5330, 4720, 590-AR (Penalty) and any pre-ADP returns.

Categories of records in the system: The categories of records maintained are taxpayer entity records (name, address, taxpayer identification number or employer identification number and other indicators relevant to entity maintenance) and records containing tax module information (the tax return, the tax period, the balance due or credit balance, and transactions which have been recorded relative to the module).


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The unit ledger cards are a card file.

Retrievability: Information is retrievable by Taxpayer Identification Number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Disposition is prescribed by the appropriate Records Control Schedule.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk Storage

Retrievability: Remittance amount, Unidentified Remittance Control Number, taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: 180 days after the amount is reduced to zero balance.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape in social security number sequence.

Retrievability: By taxpayer social security number and name control.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Until tax year 1974, only until processed; after tax year 1974, four years; then magnetically erased.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX), national office. Officials maintaining the system—Directors, Internal Revenue Service Centers. See IRS Appendix A for locations.

Notification procedures: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 24.013

System name: Combined Account Number File, Data Services: Treasury/IRS.
System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals and businesses having specific, current business with IRS.

Categories of records in the system: Taxpayer entity records (name, address, taxpayer identification number, and filing requirements related to entity liability); and tax modules (specific tax returns, tax years, and transactions which have been recorded relative to the module when specifically requested at a service center, or if a notice for balance of tax due has been issued; a specific tax period is in taxpayer delinquent account status (TDA); a specific tax period is either credit or debit balance; no return has posted and the return due date (RDD) has passed; or when a specific tax period is in taxpayer delinquent return (TDI) status.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk storage.

Retrievability: By individual's Social Security Number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for three years. Disposed of thereafter.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner, Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for the purpose of determining, if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for the purpose of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 24.017

System name: Employee Inquiry Look-Up for TDA Inquiries, Data Services—Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individual taxpayers who have paid Federal Insurance Contributions Act (FICA), wages to the Social Security Administration (SSA) through the 3rd quarter of the year and those taxpayers who file Federal Individual Income Tax returns Form 1040 and 1040A through the Individual Income Tax Delinquency File (IMF) Delinquency and Underreporting Program (WAID).

Categories of records in the system: Taxpayer's name, taxpayer's address, taxpayer's identification number, taxpayer's wages, taxpayer's marital status, taxpayer's employer(s) name(s), employer's address, employer's identification number, tax period and other indicators relating to the program operation.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: By taxpayer name or identity number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The file is maintained for six months and then destroyed and recreated as updated information is received from SSA or WAID.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner, TX. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Record access procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 24.014

System name: Discriminant Function File (DIF), Data Services—Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals whose Forms 1040 and 1040A have a DIF Score higher than a cutoff score determined by Audit Division, indicating high audit potential. The DIF Score is the output of a logistic regression equation which is based on form-16 variables prescribed by Audit.

Categories of records in the system: Document Locator Number of the return, the Regional and District Offices of the taxpayer, the taxpayer's DIF Score and data from the individual's Income Tax Return when specific criteria have been satisfied at an audit of his return servicing the areas in which the individual resides.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: By magnetic tape and computer printout.

Retrievability: By individual's Social Security Number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for three years. Disposed of thereafter.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner, Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for the purpose of determining, if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for the purpose of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.
Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

**Treas/FIS 24.029**

System name: Individual Account Number File (IANF), Data Services—Treas/FIS.

System location: Internal Revenue Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: Individuals having specific, current business with IRS.

Categories of records in the system: Taxpayer entity records (name, address, taxpayer identification number, and filing requirements related to entity liability and tax returns) for specific tax periods (e.g., tax years, and transactions which have been recorded relative to the module) when specifically requested by a service center, or if a notice for balance of tax due has been issued; a specific tax period is in taxpayer delinquent account status (TDA); a specific tax period is either credit or debit balance, no return has posted and the return due date (RDD) has passed; or when a specific tax period is in taxpayer delinquent return (TDR) status.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk storage.

Retrievability: Social security number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The policies and practices regarding storage, retention and disposal are governed by the principles that a specific tax period will be retained on the file until the time for which it is specifically authorized by a specific law or regulation (including regulations prescribed in the Internal Revenue Manual and in various Accounts and Data Processing Handbooks (ADP Handbooks)). The disposal of documents output from the system is specified in the Records Retention Handbook published by the Service and in the Internal Revenue Manual and in various Accounts and Data Processing Handbooks.

System manager(s) and address: Officials prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

**Treas/FIS 24.046**

System name: Residual Master File (RMF) Data Services—Treas/FIS.

System location: Internal Revenue Service Centers: See IRS Appendix A for locations.

Categories of individuals covered by the system: Persons in a sole proprietorship who file Excise Tax Returns (Form 111), Wagering Returns (Form 11B), Highway Use Tax Returns (Form 2290), and Airline Use Returns (Form 4638) and Estate and Gift Taxes (Form 706 and 709), the latter can be individuals not in a sole proprietorship role.

Categories of records in the system: Taxpayer entity records (name, address, identification number (TIN) which may be either EIN or SSN, and other indicators pertaining to entity maintenance, including Zip Code), and tax modules which are all the records relative to specific tax returns for each applicable tax period. Recorded are tax transactions such as tax amount, additions, subtractions of tax amounts, interest and like type transactions relative to each tax module.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tape.

Retrievability: By name, type of tax, and identifying number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Officials prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

**Treas/FIS 24.030**

System name: Individual Master File (IMF), Data Services—Treas/FIS.

System location: National Computer Center (NCC), Martinsburg, West Virginia.

Categories of individuals covered by the system: Taxpayers who file Federal Individual Income Tax Returns (i.e., Forms 1040, 1040A).

Categories of records in the system: Taxpayer entity records (name, address, identification number (SSN) and other indicators pertaining to entity maintenance, including Zip Code), and tax modules which contain all records relative to specific tax returns for each applicable tax period or year. Recorded here are tax transactions such as tax amount, additions, subtractions of tax amounts, interest and like type transactions recorded relative to each tax module.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: By identification number and alphabet.

Storage: Magnetic Tape.

Retrievability: By name, type of tax, and identifying number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Officials prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.
Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with Instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the Individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 24.057
System name: Taxpayer Delinquent Investigation Notice File; Data Services: Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individual and business taxpayers relating to taxpayer delinquency investigations and the generation of delinquency notices.

Categories of records in the system: Delinquency investigation information on business and individual taxpayers.


Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: Tape search by identity number or name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(10) 41.

Retention and disposal: Dependent upon the activity to each taxpayer record.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains data for purposes of determining if the system contains a record pertaining to a particular individual.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.005
System name: File of Persons Making Threats of Force or Forcible Assaults, CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Individuals who have or may threaten or assault collection activity personnel.

Categories of records in the system: Names, addresses, taxpayer identification number (if known), physical description (if known) of individuals.


Routine use of records maintained in the system, including categories of users and the purposes of such use: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made during judicial processes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By name of individual.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(10) 41.

Retention and disposal: Individual records will be not less than provided for by the Physical and Document Security Handbook, IRM 1(10) 41.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.006
System name: Form 2209, Courtesy Investigations, CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom a delinquent account or delinquency or other investigation is or was located in one IRS district office, but the individual is now living or has assets located in the jurisdiction of another IRS district office.

Categories of records in the system: Contains taxpayer name, current and former addresses, taxpayer identifying number, if known.
Contains the information, including class of tax, if applicable, or information concerning the action desired to be taken, and chronological investigative history.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors, Service Center Directors and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

---

Treasury/IRS 26.008

System name: Form 2990-Miscellaneous Investigations, CP:C—Treasury/IRS.

System location: National Office, Regional Offices, District Offices and Service Centers, National Computer Center and Data Center. (See IRS Appendix A).

Categories of individuals covered by the system: IRS and Treasury employees who are shown on the Master file as delinquent in meeting Federal tax requirements.

Categories of records in the system: Taxpayer name, social security number, address, fact of IRS or Treasury employment code, District location code.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—Assistant Commissioner (Compliance), Regional Commissioners, District Directors of Internal Revenue and Service Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

---

Treasury/IRS 26.009

System name: Lien Files (Open and Closed), CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom Federal Tax Liens have been filed.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment and/or lien; record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.
U.S.C. 6103 and 26 CFR 404.6103 where applicable), notices of lien and the index thereto are available to the public.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape and Microfiche.

Retrievability: Taxpayer name and lien sequence number.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—Directors of Internal Revenue Service, Service Center, and Data Center Directors and Director of International Operations. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may address inquiries to District Director for each District whose records are to be searched.

Record access procedures: This system contains copies of public records, the originals of which are filed in accordance with the laws of each State, the District of Columbia, the Commonwealth of Puerto Rico, or possessions of the United States. See Notification above.

Contesting record procedures: See notification above.

Record source categories: Taxpayers' Federal Tax Returns.

Treasury/IRS 26.010

System name: Lists of Prospective Bidders at Internal Revenue Sales of Seized Property—CP-C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals who have requested that they be notified of Internal Revenue sales of seized property.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to other parties as necessary in the administration and enforcement of law as authorized by 26 U.S.C. 7801 and 7802. Disclosure may be made during judicial processes. For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:


Retrievability: By name of Prospective Bidder.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors of Internal Revenue. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.011

System name: Litigation Case Files, CP-C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whose tax returns OIC investigations have been made but against whom litigation has been initiated or is being considered by the government or who have instituted suits against the government.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, and dollar amount. This file includes: Suit to Reduce Tax Claim to Judgement; Suit for Failure to Honor a Levy; Suit to Enforce Federal Tax lien; Suit Against Transfer; Suit to Set Aside Fraudulent Transfer; Suit to RecoverErroneous Refunds; other suits include those which the United States may intervene to assert a Federal Tax lien; a proceeding to require opening of a safe deposit box, etc. The following suits against the United States are also included in this file: Quiet Title Suit; Foreclosure of Mortgage or other lien; Partition; Condemnation; Interpleader; Refund Suits Involving 100-percent Penalty Assessments; Injunction Suits Under Freedom of Information Act. Also included in Litigation Files are: Individuals against whom bankruptcy proceedings are pending; decedent estates in probate with outstanding Federal tax liabilities; individuals executing Assignments for the Benefit of Creditors; individuals in Receivership Proceedings; individuals conducting a bulk sale; summons referrals; subpoena files; advisory opinions; revenue officer reports; and various other legal instruments and correspondence. Disclosure may be made during judicial processes.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By taxpayer name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors of Internal Revenue. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: Supplied voluntarily by the subject prospective bidder.

Treasury/IRS 26.012

System name: Offer in Compromise (OIC) File, CP-C—Treasury/IRS.

System location: District Offices, Service Center, Regional Offices, and National Office. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who have submitted an offer to compromise a liability imposed by the Internal Revenue Code.

Categories of records in the system: Form 2515, used to control offer cases, contains space for entering taxpayer name, address, taxpayer identification number, and all other pertinent information for identifying and assigning the OIC investigation. The file contains all records, documents, reports and work papers relating to the assignment, investigation, review and adjudication of the offer. This includes such items as the original offer, results of property records checking, interviews with third parties and the taxpayer, collateral income agreements, financial statements, tax return copies, and other information submitted by the taxpayer.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent permitted by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and to the extent necessary to permit public inspection of any accepted offer-in-compromise as required by 26 U.S.C. 6103(K)(1).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: Paper Records, Magnetic Tape.
- Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system Regional Commissioners, Service Center Directors, District Directors and Director, Data Center. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.013

System name: One Hundred Percent Penalty Cases, CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals against whom tax assessments have been made and whose real property was seized and sold to satisfy their tax liability. Also name and address of purchaser.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, dollar amount, property description.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of this System of Records evidences chain of title to real property and is a matter of public record.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: Paper records, file cabinets.
- Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system—District Directors: (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may address inquiries to District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may address inquiries to or appear in person at the office of District Director for each District whose records are to be searched.

Contesting record procedures: See Access above.

Record source categories: This System of Records evidences chain of title to real property and is a matter of public record. (See Category of Record above).

Treasury/IRS 26.016

System name: Returns Compliance Programs (RCP) CP:C—Treasury/IRS.

System location: District Offices and Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who may be delinquent in filing or paying Federal taxes.

Categories of records in the system: Records consist of name, address, taxpayer identification number (if known) and information concerning the potential tax liability. Returns Compliance Programs involve any type of Federal tax administered by the Collection Division and are conducted in accordance with Section 7601 of the Internal Revenue Code. RCP programs can be initiated by the National Office, Regional Offices, or by individual districts. Disclosure may be made during judicial processes.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: Paper Records, Magnetic Tape.
- Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.
System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system District Directors, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.019
System name: TDIA (Taxpayer Delinquent Accounts) including sub-systems: (a) Adjustment and Payment Tracer Files, (b) Collateral Records, (c) Seized Property Records, and (d) Tax Collection Waiver Forms 900 Files, CP-C-Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

CATEGORIES OF RECORDS

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, chronological investigative history, cancelled checks, amended returns, claims, collateral submitted to stay collection, copies of notices of federal tax liens, revenue officer reports, waivers to extend statutory period for collection, etc. This system includes Installment Agreement Files; Delinquent Account Inventory Profile (DIP); Currently Not Collectible, Currently Not Collectible Register; Advance Daigd Remittance Check Files; Currently Not Collectable Accounts Files; File of taxpayer names entered in the Treasury Enforcement Communication System.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disc and paper records, and magnetic tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM I(16).11.


System manager(s) and address: Official prescribing policies and practices, Assistant Commissioner (Compliance), Officials maintaining the system, District Directors, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.
Treas/IRS 26.022
System name: Delinquency Prevention Programs, CP--Treas/IRS.
System location: District Offices. (See IRS Appendix A.)
Categories of individuals covered by the system: Taxpayers having a history of Federal tax delinquency.
Categories of records in the system: Taxpayer name, taxpayer identification number, address, filing requirements, chronological investigative history.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper Records and Magnetic Tape.
Retrievability: By taxpayer name.
Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(641).
Retention and disposal: As specified in records disposition handbook, IRM 1(500).
System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors, Service and Data Center Directors. (See IRS Appendix A.)
Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.
Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.
Contesting record procedures: See Access above.
Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.
Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treas/IRS 30.005
System name: Accident Investigation Files System—Treas/IRS.
System location: National Office, Regional Offices. (See IRS Appendix A.)
Categories of individuals covered by the system: Individuals involved in accidents involving either property damage of over $500.00 or any personal injury.
Categories of records in the system: Information consisting of injuries, damages, dollar estimate, causes and conditions of accidents, interviews and statements pertaining to IRS employees and private individuals involved in accidents which result in over $500.00 in property damage or personal injury to either party.
Authority for maintenance of the system: 5 U.S.C. 301.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.
Safeguards—records are kept in offices which are locked or guarded during non-work hours. Retention and disposal—National Office—records are stored in the Space and Property Branch for a period of three years. They are then transferred to the Federal Records Center and destroyed seven years after case file year.
System manager(s) and address: National Office—Safety Management Officer, Space and Property Branch, Regional Offices. (See IRS Appendix A.)
Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be accessed or contested.
Record source categories: The information originates from the IRS Accident Investigation Files System.

Treas/IRS 30.003
System name: Requests for Printed Tax Materials Including Lists, —Treas/IRS.
System location: National Office, Regional Offices, District Offices, Service Centers. (See IRS Appendix A.)
Categories of individuals covered by the system: Files include those individuals and/or businesses that request various IRS printed materials such as Package X, TIRs, reproduction proofs, etc.
Categories of records in the system: Letter or order blank submitted by the individual and/or business and any related correspondence. A cross reference index may also be developed to identify and control requests.
Authority for maintenance of the system: 5 USC 301.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in the system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—magnetic tapes, paper records or mailing plates. Retrievability—alphabetically or numerically. Safeguards—not less than provided for by the Physical and Document Security Handbook, IRM 1(641).
Retention and disposal—names as dropped is updated.
System manager(s) and address: Chief, Publishing Services Branch National Office, and Chief, Resource Management in Regional Offices, District Offices and Service Centers. (See IRS Appendix A.)
Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched or contested.
Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be accessed or contested.
Record source categories: The information is supplied by the individual and/or company making the request. The Service adds data pertaining to the fulfillment of the request.

Treas/IRS 30.004
System name: Security Violations, —Treas/IRS.
System location: Service Centers and District Offices. (See IRS Appendix A.)
Categories of individuals covered by the system: Violators of IRS Security Regulations.
Categories of records in the system: Name of violator, circumstances of violation (date, time, actions of violator, etc.), supervisory action taken.
Authority for maintenance of the system: 5 U.S.C. 301.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folder (notices of violation); 3 x 5 inch card file. Retrievability—3 x 5 inch card file—name; lateral file cabinets, access by security officer and staff only.
Retention and disposal—as specified in the Records Disposition Handbook, IRM 1(500).
System manager(s) and address: Security offices, district offices and Service Centers. (See IRS Appendix A.)
Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Service Center Director for each Service Center whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Service Center Director for each Service Center whose records are to be accessed or contested.

Record source categories: Contract Guard Force and Security Inspections.

Treasury/IRS 32.001

System name: Travel Expense Record, Treasury/IRS.

System location: National Office, Regional Offices and District Offices. See IRS Appendix A for Locations.

Categories of individuals covered by the system: All IRS employees who have incurred travel or moving expenses in conjunction with official business.

Categories of records in the system: Records consist of travel advances for personal travel vouchers, household move vouchers, Forms W-2, schedule of disbursements, GAO decisions/findings, Transportation Requests, Overdue Notices, Certification of Deposits, Government Bills of Lading & Form Z221, (Schedule of Collections). Records are for the purpose of maintaining accurate financial accounting of travel and moving expenses of employees.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaling, and disposing of records in the system: Storage—locked files. Retrieval—indexed in alphabetical order or assigned numerical sequence. Safeguards—access is restricted to designated Fiscal Management employees, to individuals concerned on a need-to-know basis, and to administrative offices through the authority of the Travel Management Supervisor. As required, IRS Internal Audit and Security has access to the files. Retention and Disposal—retired after 3 years to Federal Records Center; disposed in accordance with Records Retention Handbook.

System manager(s) and address: Chief, Accounting Section, IRS National Office or Chief, Fiscal Section, IRS Regional Office. See IRS Appendix A for locations.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager.

Contesting record procedures: See Access above.

Record source categories: Information supplied by employees and by GAO as required.

Treasury/IRS 32.002

System name: Payroll/Personnel System, Treasury/IRS. (See Related System Treasury/OS 00.054)

System location: IRS Data Center, National Office, Regional Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Current and former IRS Employees. IRS also processes payroll data relating to current or former employees of the Bureau of Alcohol, Tobacco and Firearms, Secret Service, Customs, and the Office of the Secretary of the Treasury (exchange stabilization fund). See information contained in notices published by Department of the Treasury constituent units whose payroll is serviced by this system.

Categories of records in the system: Data storage and file records system for processing payroll and personnel actions, consisting of records of time and attendance, pay, benefits, deductions, sick leave, holidays, leave, jury duty and overpayments. Payroll administration includes withholding, bond purchase and insurance, emergency salaries, overtime and holiday pay, optional payroll deductions, and minority group designator codes. Aside from payroll processing, recorded personnel data is available on a need to know basis to personnel offices in accordance with Civil Service Commission, Treasury and IRS regulations.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Aside from payroll processing, recorded personnel data is available on a need to know basis to personnel offices in accordance with Civil Service Commission, Treasury and IRS regulations. Separations may be reported to State Unemployment Agencies. This System is used to determine qualified employees for Federal Employees' Group Life Insurance coverage. Information and statistics to be accessed or contested.

System manager(s) and address: Personnel Data: Director, Personnel Division, IRS National Office. Payroll Data: Chief, Centralized Services Staff, IRS National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking information pertaining to these records may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: Assistant Commissioner (Resources Management), IRS National Office.

Record access procedures: Same as "notification" above.

Record source categories: Information is compiled from the existing master records, i.e. employees' official personnel folders. Information also provided by payroll coordinators and administrative officers.

Treasury/IRS 32.003

System name: Schedules of Collections and Schedules of Canceled Checks, Treasury/IRS.

System location: National Office and Regional Offices. See IRS Appendix A for locations.

Categories of individuals covered by the system: Employees of Internal Revenue Service.

Categories of records in the system: Checks received from employees in connection with levy duty and overpayments. System maintained to place all collections under security control promptly upon receipt and to record amount of deposit to general ledger control accounts.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaling, and disposing of records in the system: Storage—records maintained in locked file cabinet. Retrieval—indexed by name. Safeguards—access controls are not less than provided for by the Physical and Document Security Handbook, IRM 1(6)41. Retention and disposal—records are maintained until transferred to the Federal Records Center and are retained in accordance with the Records Retention Manual.
System manager(s) and address: Chief, Accounting Section, National Office or Chief, Accounting Section, Regional Offices. See IRS Appendix A for locations.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR, Part I, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part I, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each Office whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Notification procedure: Same as System Manager above. Name must be provided to ascertain whether or not the system has a record pertaining to the individual.

Record access procedures: Individuals may go to Internal Revenue Service Regional Office to gain information on procedures for gaining access to and contesting records of this system. The Regional Security Officer is the official to be contacted.

Contesting record procedures: See Access above.

Notifications procedure: Information originates from the employee involved in the accident and his/her supervisor or the non-federal employee involved and, sometimes, a witness or an accident investigation.

System name: Treasury/IRS 32.004
System location: Regional Offices (See IRS Appendix A).
Categories of individuals covered by the system: Employees in the IRS Scholarship Program.

Categories of records in the system: Actual and estimated expenditure per student for purposes of monitoring and controlling costs.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—in file cabinet. Retrievability—indexed by name. Safeguards—employees in the budget and reports sections have access to records. Storage—file folders; card file. Retention and disposal—records maintained for 2 years and then disposed.

System manager(s) and address: Chief, Budget and Reports Section, Fiscal Management Branch, IRS Regional Offices. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part I, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: Information provided by employees concerned.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folders; card file. Retrievability—indexed by name. Safeguards—records are stored in the Space and Property Branch for three years and are then transferred to the Federal Records Center. Records are destroyed seven years after case file year.

System manager(s) and address: For National Office—Safety Management Officer, Space and Property Branch, Facilities Management Division, National Office. For Regional and District Offices—Chief, Facilities Management Branch, appropriate Regional or District office. (See IRS Appendix A).

System name: Treasury/IRS 34.010
System location: National Office and Regional Offices. (See IRS Appendix A).
Categories of individuals covered by the system: Internal Revenue Service employees and private individuals acting as claimants against the Service. Private parties against which the Service has a claim.

Categories of records in the system: Claims consisting of information on dollar amounts of claims of property damage and personal injuries and estimated property damage related to the Federal Claims Collection Act, Federal Tort Claims Act, the Military Personnel and Civilian Employees Claims Act of 1964, and the Small Claims Act.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folders; card file. Retrievability—indexed by name. Safeguards—records are kept for three years and are then transferred to the Federal Records Center. Records are destroyed seven years after the case file date.

System manager(s) and address: For National Office—Safety Management Officer, Space and Property Branch, Facilities Management Division. For Regional Offices—Chief, Facilities Management Branch, appropriate Regional Office. (See IRS Appendix A).

System name: Treasury/IRS 34.009
System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, National Computer Center. (See IRS Appendix A).
Categories of individuals covered by the system: Employees of the Internal Revenue Service and non-Federal employees.

Categories of records in the system: Information consists of conditions surrounding accident, injuries, and damages pertaining to Internal Revenue Service employees' accidents while on duty and non-Federal employees who suffered an accident on IRS space or who were employed through a temporary manpower agency.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.
Categories of records in the system: Information consists of personal information on driving records relating to motor vehicle records of IRS drivers.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—files kept in locked filing cabinets. Retrievalability—indexed alphabetically by name. Safeguards—records are kept in offices which are locked or guarded during non-work hours. Retention and disposal—records are actively maintained as long as license is in effect.

System manager(s) and address: Chief, Facilities Management in the appropriate office. (See IRS Appendix A).

Notification procedure: Same as System Manager above. Name of person must be submitted.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information originates from IRS motor vehicle drivers.

Treasury/IRS 34.012

System name: Emergency Preparedness Cadre Assignments and Alerting Rosters Files System—Treasury/IRS.

System location: National Office, Regional Offices, and Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Key IRS, Treasury and FPA personnel.

Categories of records in the system: Cadre assignments—personal information on employees, i.e., name, address, phone number, family data, security clearance, relocation assignment, etc. Alerting rosters—current listing of individuals by name and title stating their work and home address and phone numbers.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—individual form and correspondence kept in file folders. Retrievalability—cadre assignments are filed by relocation site and alerting rosters by title of list. Safeguards—records are kept in a secured office and disposal of records is as specified in the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: For National Office—Chief, Physical and Personnel Security Branch, Security Standards and Evaluation Division. For Regional Offices and Service Centers Security Officers. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Provided by individuals themselves.

Treasury/IRS 34.003

System name: Employee Accountability for Personal Property and Miscellaneous Items—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: All Employees.

Categories of records in the system: Receipts for Government property and miscellaneous items issued to employees.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution of civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained in locked filing cabinets. Retrievalability—indexed alphabetically by name. Safeguards—indexing controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and disposal—receipts are destroyed upon return of property.

System manager(s) and address: Chief, National Office Facilities Branch, National Office; Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is provided by individuals who receive property, such as credit cards, identification cards, pocket commissions, Government driver's license, keys, etc.

Treasury/IRS 34.013

System name: Identification Media Files System for Employees and Others Issued IRS ID—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: IRS employees having one or more items of identification and federal and non-federal personnel working in or visiting IRS facilities.

Categories of records in the system: Records contain individual's name, home address, and other personal information and reports on loss, theft, or destruction of pocket commissions, enforcement badges and other forms of identification.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—correspondence file folders; 3 x 5 inch card files computer memory. Retrievalability—indexed separately by name and Identification Media serial number. Access controls—access to the records is restricted to official use of Internal Revenue. The files are stored in locked security containers in offices which are locked or guarded during non-work hours. Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(15)59.

System manager(s) and address: Chief, Personnel Security Section, Physical and Personnel Security Branch, Security Standards and Evaluation Division. For Regional Offices and Service Centers Security Officers. (See IRS Appendix A).

Notification procedure: Individual's name, SSN, address and type of ID media, plus the approximate date that he/she was issued and/or turned in the particular item of identification, would have to be furnished the Service office that issued the item for them to be able to ascertain whether or not their system contains a record about the individual. (See IRS Appendix A).

Record access procedures: By writing or visiting applicable Service office.

Contesting record procedures: See Access above.

Record source categories: Information was furnished by each individual at the time they received the particular item of identification.

Treasury/IRS 34.014

System name: Motor Vehicle Registration and Entry Pass Files System—Treasury/IRS.

System location: Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals requiring issued access to the facility for use as officers.

Categories of records in the system: Name of employee, registered owner of vehicle, branch, telephone number, description of car, license number, employee's signature, name and expiration date of insurance, parking violations, decal number.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of
information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained on various sized forms. Retrieval—by name. Safeguards—access controls will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—Retained and disposed in accordance with Records Disposition Handbook, IRM 1(15)59-301.

System manager(s) and address: Chief, National Office Facilities Branch, National Office; Regional Office, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Government Books of Transportation Requests and employees to whom Books were issued.

Treasury/IRS 34.016

System name: Security clearance files system—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of the Internal Revenue Service requiring a security clearance, having their security clearance cancelled or transferred and individuals who have violated IRS security regulations regarding classified national security information.

Categories of records in the system: Records contain individual's name, employing office, date of security clearance, level of clearance, reason for the need for the national security clearance, and any changes in such clearance. Security violations records contain name of violator, circumstance of violation and supervisory action taken.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide information to agencies and individuals on a need-to-know basis to determine the current status of an individual's security clearance. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained on various sized forms. Retrieval—by name. Safeguards—access controls will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—Retained and disposed in accordance with Records Disposition Handbook, IRM 1(15)59-301.

System manager(s) and address: Chief, National Office Facilities Branch, National Office; Regional Office, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Individual requesting a property pass.

Treasury/IRS 34.007

System name: Record of Government Books of Transportation Requests—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: IRS employees issued Transportation Requests.

Categories of records in the system: Form 496, alphabetical card record by name of the serial numbers of Transportation Requests issued to the employee; and Form 4678, numerical list by serial number listing the name of the employee to whom issued.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained on file folders and card file. Retrieval—by name. Safeguards—records are kept in a secured container in a secured office. Retention and disposal—records are kept until obsolete.

System manager(s) and address: Security Officer, appropriate Service Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is supplied by individual except for parking violations information which is supplied by the security guard personnel.

Treasury/IRS 34.005

System name: Parking Space Application and Assignment—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: Service employees who apply for assignment of carpool or reserved parking spaces.

Categories of records in the system: Contains the name, grade, position title, organization, vehicle identification, arrival and departure time, and service computation date of individual or principal carpool applicant. Contains name, place of employment, duty telephone, vehicle license number and service computation date of applicants, individuals or carpool members for parking spaces.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained on varying sized forms. Retrieval—by name. Safeguards—records are kept in a secured container in a secured office. Retention and disposal—records are kept until obsolete.

System manager(s) and address: Security Officer, appropriate Service Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is supplied by individual except for parking violations information which is supplied by the security guard personnel.

Treasury/IRS 34.006

System name: Property Pass for Government Property—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: All individuals removing property from IRS space.

Categories of records in the system: Description of property and reason for removal.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.
ers. Retrieval indexed—by name and cross filed by functional area. Access controls stored in locked security container in offices which are locked or guarded during non-work hours. Access controls will be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and disposal— as specified in the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Chief, Personnel Security Section, Physical and Personnel Security Branch, Security Standards and Evaluation Division, National Office.

Notification procedure: Chief, Physical and Personnel Security Branch, Security Standards and Evaluation Division, National Office. Full name, to include previous names used, date of birth and current status should be provided with inquiry.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is provided by the employee, his/her supervisor or employee's personnel record. Security violation information is obtained from a variety of sources, such as guard reports, security inspections, supervisory’s reports, Internal Audit Reports, etc.

Treasury/IRS 34018

System name: Integrated Data Retrieval System (IDRS) Security Files, Treasury/IRS.

System location: Internal Revenue Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: System's individual employees who input or are authorized to input IDRS transactions or who are subjects of IDRS inputs.

Categories of records in the system: Record logs of the employees who are authorized access to IDRS and of employee inputs and inquiries processed through IDRS terms of employment.


Routine uses of records maintained in the system, including categories of users and purposes of such uses: The files are used to verify the employee's authorization to access records at the moment of attempted access to the computer files retained on IDRS.

The files are used to generate the identifying employee number of the using employee to be recorded on the computer retained disk files or on printed matter produced by the computer for purposes of case control or document routing.

The files are used in connection with computerized security routines to produce printed reports that are used by IRS security personnel to monitor use of IDRS. These security routines may include the processing of files of this system in conjunction with subsystem files of another reported system of records.

Use is restricted to IRS personnel and there are no routine uses in connection with other Federal agencies.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tape, disk, flat paper, lists, and card files.

Retrievability: These records are indexed by employee's SSN or other employee identifying number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: All records are disposed of in conformance with established Retention Schedules contained in IRM 1(15)59.

System manager(s) and address: Chief, Data Security Branch, Security Standards and Evaluation Division, National Office.

Notification procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due shall utilize existing procedures for doing so. Substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Information supplied by the IRS employee on standard personnel forms and computer generated records of all inputs to IDRS. Data may also be retrieved from other published systems of records used in processing of this system.

System name: Appeals, Grievances, and Complaints Records—Treasury/IRS.

System location: National, Regional and District Offices, POD's, Service Centers, The National Computer Center and The IRS Data Center (See IRS Appendix A).

Categories of individuals covered by the system: Applicants for Federal employment, current and former Federal employees (including annuitants) who submit appeals, grievances, or complaints for resolution.

Categories of records in the system: This system of records contains information or documents relating to a decision or determination made by an agency or another appropriate action organization (e.g., Office of Personnel Management, Equal Employment Opportunity Commission, Merit Systems Protection Board) affecting an individual. The records consist of the contents of the files, including materials placed into the record to support the decision or determination, affidavits, formal statements, testimony, investigative reports, instructions to an agency about action to be taken to comply with decisions, and related correspondence, opinions and recommendations.

Initial disclosure to the public: The right of initial disclosure to the public is made available by the Freedom of Information Act, 5 U.S.C. 552 and its implementing regulations.

System policies and procedures: The regulations of this system are within the authority of the principal component and reflect applicable laws, Executive Orders and regulations.


Routine use of records maintained in the system, including categories of users and purposes of such uses: These records and information in the records may be used: (a) To request a response from a Member of Congress regarding the status of an appeal, complaint or grievance. (b) To request information from a federal, state or local agency maintaining civil, criminal, or other relevant information or evidence or records of individuals, if necessary to obtain information or evidence or records of an individual, (c) To provide information or disclose to a Federal agency, in connection with the hiring or retention of an employee, the granting of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the decision on that matter. (d) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation in connection with requests for legal services or information, (e) Routine disclosure may be made during judicial processes. (f) Routine disclosure may be made to other agencies to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate laws enforcement agencies. (g) These records may also be subject to the provisions of an Act of Congress, including the Civil Rights Act of 1964, the Equal Pay Act of 1963, the Age Discrimination in Employment Act of 1967, the Civil Rights Act of 1991, the Americans with Disabilities Act of 1990, the Rehabilitation Act of 1973, the Equal Credit Opportunity Act, the Equal Credit Opportunity Act, and the Equal Credit Opportunity Act.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on card files, flat paper, lists, forms, folders, binder, microfilm and microfiche, punch card, and tape.

Retrievability: These records are indexed by the names of the individuals on whom they are maintained.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(15)59-301, General Records Schedule.

Retention and disposal: All records are disposed of in conformance with established retention schedules, IRM 1(15)59-301, General Records Schedule.

System manager(s) and address: (a) EEO Discrimination Complaint Records—IRS EEO Officer, National Office; (b) all other records.
Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: District Director for each District whose records are to be searched; Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Data Center (for data center employees only); Regional-Commissioner for each Regional Office whose records are to be searched; Director, Personnel Division, National Office; Assistant Commissioner for Enforcement (Management); OPC; Regional Counsel for each region whose records are to be searched; Director, Disclosure Litigation Division for records in the National Office of Chief Counsel; or other appropriate official. (See IRS Appendix A for Locations). Individuals should provide their name, date of birth, agency in which employed, and the approximate date, and the kind of action taken by the agency when making inquiries about records.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above. Individuals should provide their name, date of birth, POD, approximate date, and the kind of action taken by the agency when requesting access to, or contest of, records.

Contesting record procedures: See Access.

Record source categories: (a) Individual to whom the record pertains. (b) Agency and/or other authorized Federal officials. (c) Affidavits or statements from employee. (d) Testimonies of witnesses. (e) Official document relating to the appeal, grievance, or complaint. (f) Correspondence from specific organization or persons.

System name: Employee Activity Records—Treasury/IRS.

System location: National, Regional, and District Offices, POD's, Service Centers, The National Computer Center, and The IRS Data Center (See Appendix A.).

Categories of individuals covered by the system: Current and former employees of the Internal Revenue Service.

Categories of records in the system: This system contains records and information relating to voluntary employee activities and functions which are not directly related to the mission of the IRS or any of its functional components. These records will contain the names of participants and such other information only to the extent that it is necessary for the operation of the activity.

Authority for maintenance of the system: Title 5, U.S.C. Section 302.

Routine use of records maintained in the system, including categories of users and the purposes of such use: Information in these records is used or a record may be used: (a) To provide information to the Office of Personnel Management for the purpose of employment management or applicant classification action. Other records maintained about an individual in this system are evaluation records; suggestion files; award files; financial and tax matters; back pay files; jury duty records; special employees programs records, such as Upward Mobility outside employment statements; clearance upon separation; Unemployment Compensation records; adverse and disciplinary action files; supervisory files; records relating to personnel actions correcting a pay problem; employment of relatives; furlough/recall notices; emerency notification; employee locator and current address records; other information relating to the status of an individual; executive resources records and management career individual files; and correspondence files pertinent to any personnel information contained in the notice.

The records within this system may also be contained in TR/IRS 32.002, payroll/personnel system.

Authority for maintenance of the system: Title 5, U.S.C. Section 1302, 2961, 1411, 4308, 4506, and Executive Order 10561, September 13, 1954.

Routine uses of records maintained in the system, including categories of users and the purposes of such use: Information in these records is used or a record may be used: (a) To provide information to a Federal, state, or local agency, other organization, or individual in order to obtain relevant and pertinent information, such as licenses. If necessary, obtain relevant information or other pertinent information to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit. (b) To request information from a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent information, such as licenses. (c) To provide information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. (d) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. (e) Routine disclosure of information contained in this system of records may be made to other agencies to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. (f) Information in these records is used or a record may be used to refer, where there is an indication of a violation or potential violation of law, whether civil, criminal, or regulatory in nature, to any other appropriate agency, whether Federal, state, or local, charged with the responsibility of investigating or prosecuting such violation, or charged with enforcing or implementing the rule, regulation, or order issued pursuant thereto. (g) These records may also be disclosed to the Office of Personnel Management for the purpose of properly administering Federal Personnel Systems in accordance with applicable Federal Personnel Regulations.
or organizations involved in voluntary blood donation activities. (k) Routine disclosure may be made to educational institutions for re-
cruitment and education purposes. (l) Disclosures may be made to Federal, state, or local agency so that the agency may adjudicate an
individual's eligibility for a benefit, such as a state unemployment compensation board, housing administration agency and Social Security
Administration. (m) Additional record sources: (See Appendix A.)

Policies and practices for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are maintained on magnetic tape, discs, punched
cards, flat paper, lists, card files, forms, folders, binders, microfilm
and microfiche.

Retrievability: Records are indexed by any combination of name,
birth date, Social Security Number, or identification number.

 Safeguards Access Controls will not be less than provided for by the
Physical and Document Security Handbook, IRM 1(64).1. This
is also in conformance with existing CSC regulations.

Retention and disposal: All records are disposed of in conformance
with established retention schedules, IRM 1(15)-39-301, General
Records Schedule.

System manager(s) and address: Director, Personnel Division, and
Chief, Personnel Branch, appropriate office (see IRS Appendix A);
Executive Secretary, Executive Resources Board (for executive
resource sources).

Notification procedure: Individuals seeking to determine if the
system of records contains a record pertaining to themselves may inquire
in accordance with instructions appearing at 31 CFR Part 1, Subpart
C, Appendix B. Inquiries should be addressed to: District
Director for each District whose records are to be searched; Service
Center Director for each Service Center whose records are to be
searched; Director, National Computer Center (for computer center
employees only); Director, Data Center (for data center employees
only); Regional Commissioner for each Regional Office whose rec-
ords are to be searched; Director, Personnel Division, National
Office; Assistant Commissioner (Resource Management), National
Office; Regional Commissioner for each Regional Office whose rec-
ords are to be searched; Director, Disclosure Litigation Division for
records in the National Office of Chief Counsel; or other appropriate official. (See
Appendix A). Inquiries should include name, date of birth, social
security number and POD.

Record access procedures: Individuals seeking access to any record
contained in the system of records or seeking to contest its content,
may inquire in accordance with instructions appearing at 31 CFR
Part I, Subpart C, Appendix B. Inquiries should be addressed to the
appropriate official listed above. Former IRS employees who wish to
gain access to their records should direct such a request in writing,
including their name, date of birth, and Social Security Number, to:
National Personnel Records Center, General Services Administration,
111 Winnebago St., St. Louis, Missouri 63118.

Contesting record procedures: See Access.

Record source categories: Information in this system of records
either comes from the individual to whom it applies or is derived
from information he supplied, except information provided
by agency officials.

Treasury/IRS 36.005

System name: Medical Records.—Treasury/IRS.

System location: (1) Applicants and current IRS employees: Na-
tional, Regional and District Offices, POD's, the National Computer
Center and the Data Center (See Appendix A.). (2) Former IRS employees:
National Personnel Records Center, 111 Winnebago
Street, St. Louis, Missouri 63118. Records may also be maintained in
the National Regional and District Offices, POD's, Service Centers,
the National Computer Center and the Data Center (See Appendix A.).

Categories of individuals covered by the system: (1) Applicants for
IRS employment. (2) Applicants rejected on medical grounds. (3)
Applicants for disability retirement under the Civil Service Retire-
ment Law. (4) IRS employees. (5) Former IRS employees.

Categories of records in the system: (1) Applications for IRS em-
ployment containing information relating to an individual's medical qualifications to hold a position in the IRS. (2) Applications rejected on
medical grounds. Information relating to an applicant's rejection for
a position because of medical reasons. (3) Disability retirement
records. Information relating to an individual's capability (physical
and mental) to satisfactorily perform the duties of the position he or
she holds or held. (4) Health unit medical records (Federal civilian
employees). Information relating to an employee's participation in an
occupational health services program. (5) Qualification examinations
(Federal employees). Information relates to pre-employment, or peri-
odic re-qualification medical examinations to assure that the incum-
bent are qualified (physically and mentally) to satisfactorily perform
the duties of the position held. (6) Alcohol/drug employee assistance
records. Information relating to a medical examination to determine an individual's
physical or mental condition with respect to ability to satisfactorily
perform the duties of the position held. (7) Alcohol/drug employee assistance
records. Information relating to the Alcoholism, Drug Abuse, and Employee Assistance Programs—Public Laws 91-
616 and 92-255 as amended by Public Law 93-282 in regard to
confidentiality of patient records. Information relating to the Occu-
pational Health Program (5 USC 7901).

Routine uses of records maintained in the system, including catego-
ries of users and the purposes of such uses: (a) Information may be
provided to other Federal agencies responsible for other Federal
benefits programs administered by the Office of Workmen's Compen-
sation Programs; Retired Military Pay Centers; Veterans Administra-
tion; Social Security Administration; Office of Personnel Manage-
ment; Private contractors engaged in providing benefits under Federal
contracts. (b) Disclosure of information may be made to the Department of Justice in connection with an
allegation of a violation of federal law or
potential violation of federal law, whether civil, criminal or regulatory in
nature. (c) Disclosure may be made to Federal, state, or local agen-
cies or other appropriate official; the issuance of a security clearance, the letting of a contract or the issuance of a license, grant or other benefit. (d) Disclosure may be made to the Public Health Service. (e) Disclosure may be
made to an individual's private physician where medical consider-
atations in order to assess an individual's fitness for duty or to
assure that the incumbent is fit for duty. (f) Disclosure may be made to
an agency designated by the individual. (g) Disclosure may be made to hospitals and similar institutions or organizations participating
in blood donor activities. (k) Additional routine uses are listed in
Appendix AA. (l) Disclosure of Alcoholism, Drug Abuse, and Employee Assistance records are limited under Public Laws 91-616, 92-255,
and 93-282.

Policies and practices for storing, retrieving, accessing, retaining, and
disposing of records in the system:

Storage: Records are maintained on magnetic tape, discs, punched
cards, flat paper, lists, card files, forms, folders, binders, microfilm
and microfiche.

Retrievability: Records are indexed by name, social security
number, date of birth, and/or claim number.
inquiries to: The National Personnel Records Center, 111 Winnebago Street, St. Louis, Missouri 63118. Individuals requesting information about this system of records should provide their full name, date of birth, social security number, name and address of office in which currently or formerly employed in the Federal service, and annuity account number, if any has been assigned.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its contents may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above.

Contesting record procedures: See Access above.


Treasury/IRS 36.008

System name: Recruiting, Examining and Placement Records,—Treasury/IRS.

System location: National, Regional and District Offices, POD's, The National Computer Center and The Data Center, (See Appendix A).

Categories of individuals covered by the system: Applicants for IRS employment, current and former employees, and employees of Federal agencies. Information contained in the records includes military service, date of birth, birthplace, SSN, home address. Records may also be maintained on suitability determinations, employee participation in special employment placement and defense programs, for purposes of consideration for placement in positions for which an applicant has applied and is qualified. Routine use is limited to those persons who are compile d in connection with the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Requests for information contained in this system of records or seeking to contest its content, may be made to the appropriate official as listed above. This system of records may not be accessed for purposes of inspection or for contest of content of Treasury Forms 4825 (Evaluation of Candidates for Initial Executive Placement) and Treasury Form 4245 (Report of Managerial Potential) prepared prior to September 27, 1975.

Contesting record procedures: See Access.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he or she supplied, except reports from medical personnel. Records are made known to applicants and vouchers supplied by references the applicant lists.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 36.009

System name: Retirement, Life Insurance and Health Benefits Records System,—Treasury/IRS.

System location: National, Regional and District Offices, POD's, Service Centers, the National Computer Center, and the IRS Data Center, (See Appendix A.). For former employees: Records Division, Bureau of Retirement, Insurance and Occupational Health, U.S. Civil Service Commission, Boyertown, Pennsylvania.

Categories of individuals covered by the system: (1) IRS employees who are covered by the Civil Service Retirement System. (2) IRS employees who have either declined or are covered by the Federal Employees' Group Life Insurance Program or the Federal Employees Health Benefits Program.

Categories of records in the system: (1) Documentation of Federal service creditable under the Civil Service Retirement System. (2) Documentation of coverage or non-coverage of coverage under the Federal Employees' Group Life Insurance Program, and the Federal Employees Health Benefits Program. (3) Documentation of claim for refund of annuity benefits under the Civil Service Retirement System. (4) Documentation of claim for survivor annuity or death benefits under Civil Service Retirement System. (5) Medical records supporting claims for disability retirement under the Civil Service
hiring or retention of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit to a Federal agency, in response to its request, in connection with the pertinent information, such as licenses, maintaining civil, criminal, or other relevant enforcement or other charges of law, whether civil, criminal or regulatory in nature, to any other appropriate agency, whether Federal, State or local, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (9) Information in this system is used to request information from a Federal, state or local agency for the purpose of properly administering Federal Personnel Systems in accordance with applicable laws, Executive Orders and regulations. (10) Disclosure may be made to an agency designated employee representative when such representative is required under Office of Personnel Management regulations. (11) Disclosure may be made to hospitals and similar institutions to verify an employee’s coverage in the Federal Employees Health Benefits Program. (12) Additional routine uses in Appendix AA.

System manager(s) and address: Director, Personnel Division, and Chief, Personnel Branch, appropriate office. Director, Administrative Services Division (Office of Chief Counsel), National and Regional Councils (See IRS Appendix A for Locations). Notification procedure: (1) Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Requests should be addressed to: District Director for each District whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Employee Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Employee Service Center Director (for the Office of Personnel Management records only); Regional Commissioner for each Regional Office whose records are to be searched; Director, Personal Division, National Office; Assistant Commissioner (Resource Management), National Office; Regional Counsel for each region whose records are to be searched; Director, Disclosure Litigation Division for records in the National Office of Chief Council; or other appropriate official. (See IRS Appendix A for Locations.) (2) If the individual is retired from Federal service he should direct inquiries to: Director, Bureau of Retirement, Insurance, and Occupational Health, Office of Personnel Management, 1900 H Street, N.W., Washington, D.C. 20415. (3) If the individual is not retired, but has been separated from Federal service, he should direct inquiries to: National Personnel Records Center, 111 Winnebago Street, St. Louis, Missouri 63118.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may do so in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above.

Costs: See Access. Individuals requesting information in this system of records should provide their full name, date of birth, social security number, if assigned, and the POD in which currently or formerly employed.

Record source categories: The information in this system is obtained from the following sources: (1) The individual whom the information is about. (2) GAO Pay, Leave and Allowance Records System. (3) OPM Personnel Management Records System. (4) GSA National Personnel Records Center. (5) OPM Medical Records System. (6) Federal civilian retirement systems other than Civil Service Retirement System. (7) Military records system records. (8) Office of Workers’ Compensation Programs. (9) Veterans Administration Pension Benefits Programs. (10) Social Security Old Age, Survivor and Disability Insurance and Medicare Programs.

Treasury/IRS 38.001 System name: General Training Records—Treasury/IRS.

System location: National, Regional and District Offices, Service Centers National Computer Center, Data Center and posts of duty (See Appendix A).

Categories of individuals covered by the system: IRS employees who have participated in or who may be scheduled for training activities (students, instructors, program managers, etc.). Other Federal Government employees, non-Government individuals who have participated in or assisted with training programs (students, instructors, course developers, interpreters, etc.).

Categories of records in the system: Variety of records containing information about an individual's participation in individual training courses such as: rosters, student registrations, nomination forms, course evaluations, instructor lists, individual development plans, counseling records, examination materials, payment records, and other recordings of training necessary for reporting and evaluative purposes. Some records within this system may also be contained in TR/IRS 36.003, General Personnel Records.

Authority for maintenance of the system: Chapter 41, Title 5 USC; EO 11348; and Executive Order 459. Routine uses of records contained in the system, including categories of users and the purposes of such use: Information in these records is used or a record may be used to: (a) Provide input for the automated Training CPDF at the Office of Personnel Management and for the Authorized Training Center personnel file at IRS; (b) Prepare statistical and narrative reports as required by the Department of Treasury, IRS officials or other government agencies as may have need of general training information; (c) Evaluate and determine effectiveness of training programs; (d) Monitor student progress and determine individual training needs; (e) Assist managerial personnel by providing easily accessible training histories of their employees; (f) Provide data for determining efficient staffpower utilization; (g) Assist employees, when they are attending a training session, by disclosing to qualified medical personnel "emergency information" voluntarily supplied by students on course registration forms. Routine disclosure of information contained in this system may be made available to the Department of...
Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional uses see Appendix A.A.

Policies and practices for storing, retrieving, accessing, retaing, and disposing of records in the system:

Storage: Records may be maintained on computer tapes, index cards, forms, or flat paper.

Retrievability: Computer records are indexed by social security account numbers, course titles, dates of training, location of training and by specific employee information (e.g. name, title, grade, etc.); other records are indexed by name and course title.

Safeguards: Individual computer records are available to those persons at CSC, Treasury or IRS who are directly involved with the training function.

Retention and disposal: All records are disposed of in accordance with established retention schedules, IRM 115(59-301), General Records Schedule. Emergency information on registration forms is immediately destroyed when training is completed.

System manager(s) and address: Director, Training and Development Division, and Chief, Training and Development Branch, Manager, OIC, at the National Office; Chiefs, Training and Development Branches at Regional and District Offices, and Service Centers; training managers at computer center and data center (See Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched, see System Manager(s) and address.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return; (2) taxpayer's books and records; (3) taxpayers or potential criminal prosecution or from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Recycling procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return; (2) taxpayer's books and records; (3) Informants and third party information; (4) city, state governments; (5) other federal agencies; (6) examinations of related taxpayers; (7) examinations of other taxpayers; (8) taxpayer's representative.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.025

System name: Appraisal and Valuation Files-Treasury/IRS.

System location: Jurisdictional District Office where the individual resides or Service Center where return was examined. (See IRS Appendix A.)

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of Jurisdictional District Office or service center (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return; (2) taxpayer's books and records; (3) taxpayers or potential criminal prosecution or from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return; (2) taxpayer's books and records; (3) taxpayers or potential criminal prosecution or from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Recycling procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return; (2) taxpayer's books and records; (3) taxpayers or potential criminal prosecution or from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Recycling procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return; (2) taxpayer's books and records; (3) taxpayers or potential criminal prosecution or from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Recycling procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District Office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Contesting record procedures: See Access above.
Categories of records covered by the system: Taxpayers whose tax returns are under the jurisdiction of the Examination Division; Examining Officer.

Categories of records in the system: Tax return information from the Master File, Tax return status and location changes, Examination Closing information on examined and non-examined tax returns, examining officer's name including related internal management information.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: Computer; Microfilm; Paper.
- Retrieval: Social Security number, taxpayer's name, examining officer's name.
- Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Computer: Agreed cases are eliminated by data base after tax liability is agreed to. "A" Register Microfilm: six years or earlier. Group control card forms 5345 or 5354 (for processing control purposes) three years or earlier.

System manager(s) and address: Official describing policies and practices—Director, Examination Division, National Office. Officials maintaining the system—Each jurisdictional (taxpayer's place of residence) District Director; Each jurisdictional (the Service Center where taxpayer's tax return was filed) IRS Service Center. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of tax liability or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Tax returns, (2) Requests from taxpayer, (3) Requests from Collection Division for prompt examination.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

TREASURY/IRS 42.021
System name: Compliance Programs and Projects Files—TREASURY/IRS
System location: Initiated and maintained in National Office, Regional Offices, or Jurisdictional District or service center where taxpayer resides. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers that may be involved in tax evasion schemes or areas of non-compliance grouped by industry, occupation or financial transactions, i.e., return preparers, political contributions, corporate kickbacks.

Categories of records in the system: Records pertaining to individuals in projects.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: paper records, machine-sensible data media and microfilm.
- Retrievability: by taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Until information is associated with return or two years, whichever occurs first.

System manager(s) and address: Official describing policies and practices—Director, Examination Division. Officials maintaining the system—Director of Jurisdictional District or service center. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of tax liability or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Tax returns, (2) Requests from taxpayer, (3) Requests from Collection Division for prompt examination.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

TREASURY/IRS 42.016
System name: Classification and Examination Selection Files—TREASURY/IRS
System location: Jurisdictional District Office or related service center where individual resides. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals whose returns are classified for examination.

Categories of records in the system: Listing of individuals or returns and sub-files consisting of financial information and other information considered in classification and selection of an individual's return for examination—individual's request for examination, missing return information, change in accounting method or period, etc.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: paper documents, computer printouts.
- Retrievability: by taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Until information is associated with return or two years, whichever occurs first.

System manager(s) and address: Official describing policies and practices—Director, Examination Division. Officials maintaining the system—Director of Jurisdictional District or service center. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of tax liability or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Tax returns, (2) Requests from taxpayer, (3) Requests from Collection Division for prompt examination.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

TREASURY/IRS 42.021
System name: Compliance Programs and Projects Files—TREASURY/IRS
System location: Initiated and maintained in National Office, Regional Offices, or Jurisdictional District or service center where taxpayer resides. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers that may be involved in tax evasion schemes or areas of non-compliance grouped by industry, occupation or financial transactions, i.e., return preparers, political contributions, corporate kickbacks.

Categories of records in the system: Records pertaining to individuals in projects.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- Storage: paper records, machine-sensible data media and microfilm.
- Retrievability: by taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Until information is associated with return or two years, whichever occurs first.

System manager(s) and address: Official describing policies and practices—Director, Examination Division. Officials maintaining the system—Director of Jurisdictional District or service center. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of tax liability or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Tax returns, (2) Requests from taxpayer, (3) Requests from Collection Division for prompt examination.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.
ated with the examination of returns will be destroyed at the completion of the program or project.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District or service center. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of disclosure if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose needs need not be recorded.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.027

System name: Data on Foreign Corporations—Treasury/IRS.

System location: IRS Data Center, Detroit, Michigan; National Office Examination Division, Washington, D.C.; District Offices; Regional Offices. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals required to file Form 959, United States Information Return with Respect to the Organization or Reorganization of a Foreign Corporation and Acquisition of its stock.

Categories of records in the system: Names of individuals filing on foreign holdings.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape in Data Center; Paper at other listed locations, machine-readable data media and microfilm.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Three years or earlier.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division, National Office. Officials maintaining the system—District Directors; Regional Commissioners; Director, Examination Division, National Office; Director, Data Center, Detroit, Michigan. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the System of Records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each Service Center or district office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By taxpayer's name, social security number, and employer's identification number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each Service Center or district office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each district whose records are to be accessed.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained until tax year examined is completed or period permissible under statute of limitations then shredded.
Treyasury/IRS 42.017

System name: International Enforcement Program Files—Treasury/IRS.

System location: Jurisdictional District Office where individual resides. (See IRS Appendix A).

Categories of individuals covered by the system: Any individual having substantial foreign business activities.

Categories of records in the system: Listing of individual, summary of income expenses, financial information as to foreign operations, acquisition of foreign stock, controlling interest of a foreign corporation, organization of foreign corporation, etc.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, micro-film, magnetic tape.

Retrievability: Individual’s name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 4(16)4.

Retention and disposal: Updated periodically and retained as long as individual has substantial foreign business activities.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Service Center where the individual filed tax returns.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Service Center where the individual filed tax returns.

Retirement and disposal: Disposal after returns are matched or contested. (See IRS Appendix B). Inquiries should be addressed to the District Director for each District whose records are to be searched.

Retirement and disposal: Disposal after returns are matched or until next file year is produced.

System management(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Director.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Service Center where the individual filed tax returns.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Service Center Director for each Service Center whose records are to be accessed or contested.

Record source categories: Information obtained from taxpayer’s return.

Treyasury/IRS 42.013

System name: Project Files for the Uniform Application of Laws as a result of technical determinations and court decisions. Treasury/IRS.

System location: Jurisdictional district office where taxpayer resides. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals grouped as to project, i.e., individual shareholders of a corporation where a determination having a tax effect has been made.

Categories of records in the system: Listing of individuals and their income tax information.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents.

Retrievability: by taxpayer’s name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 4(16)4.

Retention and disposal: Retained until project is completed.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: (1) Shareholder records. (2) Individual’s tax returns. (3) Examination of related taxpayer.

Treyasury/IRS 42.023

System name: Request and Submittal File for Technical Advice, Assistance, Determination or Coordination—Treasury/IRS.

System location: Jurisdictional District Office where individual resides. (See IRS Appendix A).

Categories of individuals covered by the system: Individual whose return contains questioned or unprecedented technical areas.

Categories of records in the system: Narrative fully explaining or interpreting the technical areas in question.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents.

Retrievability: taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(10)41.

Retention and disposal: Six years, nine months from date of last DLN or as specified in the Records Control Schedule IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: (1) Revenue agent who examined taxpayer's return. (2) Taxpayer who is not being examined or is initiating a request while under examination. (3) Taxpayer's representative.

System name: Tax Shelter Program Files—Treasury/IRS.

System location: Jurisdictional District offices (not necessarily carried out in every district). (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers that may be abusing the tax laws through the use of tax shelters.

Categories of records in the system: Tax information relating to the tax shelter for use in determining abuses of tax laws.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, machine-readable media and microfilm.

Retrievability: taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(10)41.

Retention and disposal: retained until individual projects are completed.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records, which affect the determination of a tax assessment or the balance due, should utilize existing procedures for determining substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Individual's tax return. (2) Related taxpayer's.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper.
Retrievability: By name of Appeals officer as to paper storage; by office city as to automated product.
Safeguards: IRS personnel on need to know basis.
Retention and disposal: Dispose after 2 years.
System manager(s) and address: Chief, Appeals Office (See IRS Appendix A).
Notification procedure: See System Manager above.
Record access procedures: See System Manager above.
Contesting record procedures: See System Manager above.
Record source categories: Appeals Officer.

Treas/IRS 46.002
System name: Case Management and Time Reporting System, Criminal Investigation Division—Treas/IRS.
System location: National, Regional, and District Offices, Data Center, Service centers (See IRS Appendix A).
Categories of individuals covered by the system: Subjects of Criminal Investigation Division Investigations, Special Agents, and U.S. District Court Judges.
Categories of records in the system: Personal and financial information developed in open and closed criminal tax investigations, including schedules, listings, memorandums, reports, news articles, inventory controls, income tax returns, assessment records, summaries of case activities, time reports, collateral investigation reports and workpapers, court actions, analyses of sentencing practices, and related materials.
Authority for maintenance of the system: 5 USC 301; 26 USC 7602; 26 USC 7801, 7802.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information contained in this system of records may be disclosed to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice.
Routine uses of records maintained in this system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper records in binders; Magnetic tape; Computer printouts.
Retrievability: Name and Case Number.
Safeguards: Controls will not be less than provided by the “Access Physical and Document Security Handbook,” IRM 1(16)41.
Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.
System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Regional Commissioners; District Directors; Director, Criminal Investigation Division; Director, Data Center, Directors, Service centers. (See IRS Appendix A.)
Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.
Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.
Contesting record procedures: See Access above.
Record source categories: This system of records contains investigative material compiled for law enforcement purposes whose sources need not be reported.
Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.
Director for each District whose records are to be searched. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. (See IRS Appendix A.)

Contesting record procedures: See Access above.

Record source categories: District Office Personnel; Service Center Personnel.

Treasury/IRS 46.005

System name: Electronic Surveillance File, Criminal Investigation Division—Treasury/IRS.

System location: National Office (see IRS Appendix A).

Categories of individuals covered by the system: Subjects of electronic surveillance. Individuals who have been subjects of queries by other agencies.

Categories of records in the system: Information relating to conduct of electronic surveillance.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: Names, addresses, and telephone numbers.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41. Retention and disposal: Permanent; None authorized.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Director, Criminal Investigation Division. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed, for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.008

System name: Treasury/IRS Criminal Investigation Division, Information and Correspondence Files, Criminal Investigation Division—Treasury/IRS.

System location: National Office, District Offices, Regional Offices, Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Subjects of correspondence which has been referred to or processed by the Criminal Investigation Division. Other individuals of interest to, or who have had contact with, the Criminal Investigation Division. Individuals potentially dangerous to Service personnel. Service personnel who have been threatened or who have provided armed escort.

Categories of records in the system: Correspondence to and from other agencies and individuals. Reports; identifying data; Fiduciary reports.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, magnetic tape, and microfilm.

Retrievability: By name.


System manager(s) and address: Official prescribing policies and practices—District Directors, Service Center Directors, Regional Commissioners. Officials maintaining the system—Same. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.007

System name: Information Indexing System, Criminal Investigation Division—Treasury/IRS.

System location: District Offices, Data Center, and Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Potential subjects of criminal tax investigations.

Categories of records in the system: Financial records, newspaper articles, reports from other agencies, and similar leads.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, magnetic tape, and microfilm.

Retrievability: Name.


System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—District Directors, Service Center Directors, Data Center Director. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.009

System name: Information Items, Criminal Investigation Division—Treasury/IRS.

System location: District Offices and Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers about whom the Internal Revenue Service has received information alleging a violation of laws within IRS jurisdiction.

Categories of records in the system: Copies of income tax returns, special agent's reports, revenue agent's reports, reports from police and other investigative agencies, memorandum of interview, questionnaire, other statements, affidavits, collateral request and replies, information items, newspaper and magazine articles and other published data, financial information from public records, case initiating documents and other similar and related documents.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Tape, paper, and microfilm.
Retrievability: Name.

Safeguards: Controls will not be less than provided for by the “Access Physical and Document Security Handbook”, IRM 1(16)41.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Service Center Directors, District Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.
Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper, magnetic tape, microfilm.
Retrievability: Name and Social Security Number. However, retrievability exists only for those projects for which indexing procedures have been provided. Most project files do not have a capacity for retrieval by individual identity.

Safeguards: Controls will not be less than provided for by the “Access Physical and Document Security Handbook”, IRM 1(16)41.
Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Regional Commissioners; District Directors; and Director, Criminal Investigation Division. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.
Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper, file cabinet.
Retrievability: By Name.

Safeguards: Controls will not be less than provided for by the “Access Physical and Document Security Handbook”, IRM 1(16)41.
Retention and disposal: Indefinite retention.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division, National Office. Officials maintaining the system—Director, Criminal Investigation Division, National Office. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.
Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.
Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.016

System name: Secret Service Details, Criminal Investigation Division—Treasury/IRS.

System location: District Offices, Service Centers, Regional Offices, National Office. (See IRS Appendix A).

Categories of individuals covered by the system: Special Agents.

Categories of records in the system: Lists of names, and Correspondence.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, file cabinet.

Retrievability: Name, number.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: Not established.

System manager(s) and address: Official prescribing policies and practices, Director, Criminal Investigation Division, National Office, Officials maintaining the system, District Directors, Service Center Directors, Regional Commissioners, Director, Criminal Investigation Division. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.022

System name: Treasury Enforcement Communications System (TECS), Criminal Investigation Division—Treasury/IRS.

System location: National Office.

Categories of individuals covered by the system: Fugitives; Subjects of open Special Enforcement Program investigations; Subjects of open Narcotics Traffickers Program investigations; Subject of interest to Special Enforcement Program; individuals on whom both Taxpayer Delinquent Accounts have been issued and notice of Federal Tax Liens filed.

Categories of records in the system: Name, Date of Birth, Social Security Number, Address, Identifying details.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disc.

Retrievability: By name or social security number.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: Cancellation after 2 years.

System manager(s) and address: Official prescribing policies and practices, Director, Criminal Investigation Division, National Office. Officials maintaining the system, Director, Criminal Investigation Division, National Office.

Record source categories: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 48.001

System name: Disclosure Records. Disclosure—Treasury/IRS.

System location: National Office, National Computer Center, Data Center, Service centers and District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: (1) Subjects of requests for disclosure initiated by the Department of Justice, including individuals or persons pursuant to the violation of Federal laws, persons who have initiated legal actions against the Federal Government, persons identified as strike force targets or related to organized crime activities, persons under investigation for national security reasons believed by the Internal Revenue Service to be violations of Federal laws other than the Internal Revenue Code.

(2) Persons under investigation by other executive departments or congressional committees for whom tax information has been requested pursuant to 26 U.S.C. 6103. (3) Persons who are parties to criminal or civil non-tax litigation in which the testimony of IRS employees has been requested. (4) Persons who have applied for Federal employment or presidential appointments for which preemployment tax checks have been requested and applicants for Department of Commerce "E" Awards. (5) Requesters for access to records pursuant to 26 U.S.C. 6103, the Freedom of Information Act, 5 U.S.C. 552a, and initiators of requests for access, amendment or other action pursuant to the Privacy Act of 1974, 5 U.S.C. 552a. (6) Individuals identified by or initiating correspondence or inquiries processed or controlled by the Disclosure function or relating to the foregoing subjects.

Categories of records in the system: Requests for records, information or testimony, responses to such requests, supporting documentation, processing records, copies of items provided or withheld, control records and related files. Department of Justice, and IRS replies to such requests. (2) Copies of related authorizations to IRS officials pursuant to such disclosure and some inquiries and their responses. (3) Copies of individuals' tax information, memoranda for file, notations of telephone calls, file search requests, etc.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). Categories of records in the system: Lists of names, and Correspondence.

Retrievability: Name or social security number.

Retrieval: All necessary for access to the Business Master File.
Safeguards Safeguards will not be less than provided for by the Physical and Document Security Handbook, IRM 1(15)59, Records Disposition Handbook.

Retention and disposal: In accordance with IRM 1(15)59, Records Disposition Handbook.

System manager(s) and address: Official prescribing policies and practices—Director, Disclosure Operations Division. Officials maintaining the system—Director, Disclosure Operations Division, National Office; Regional Commissioners, District Directors, Service Center Director, and official receiving or servicing requests for records. (See IRS Appendix A).

Notification procedure: Requesters seeking to determine if accountable disclosures about them have been made should request an accounting of disclosures pursuant to 5 U.S.C. 552a(c) and 26 U.S.C. 6103(p)(3) of the District Director for the area in which they reside. Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for the area of residence or to the official believed to be maintaining the record of interest (See Appendix A). This system contains some records of law enforcement activities which may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: See notification procedure above, portion of this system of records may not be accessed for purposes of inspection, for purpose of content of records, or for troubleshooting.

Contesting record procedures: See notification procedure and record access procedure above. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act (See 26 U.S.C. 7803(c)).

Record source categories: Requests for disclosure, records being evaluated and processed for disclosure and related information from other systems of records. Portions of this system may contain investigatory material compiled for law enforcement purposes whose sources need not be reported.

System exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 48.007 System name: Economic Stabilization Program Files—Treasury/IRS.

System location: National Office, Regional Offices, District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals acting as sole proprietorships or partners involved in any pursuit subject to the Economic Stabilization Program, and Congressmen, Government officials, and private individuals.

Categories of records in the system: Case files for the period 1971 through 1974 originating from the investigation of complaints alleging violation of the Stabilization program or in granting or denying of exceptions/requests. Congressional, public officials', and private individuals' correspondence pertaining to stabilization activities for the period 1971 through 1974 which is not part of a case file.

Authority for maintenance of the system: 5 USC 301.

Routine use of records maintained in the system, including categories of users and purposes of such use: The Economic Stabilization Program Terminated on June 30, 1974. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Records are stored in cartridges in servicing Federal Records Centers. Retrieval—indexed or filed by name. Safeguards—Federal Record Center security with access restricted to Internal Revenue Service employees. Retention and Disposal—Records are disposed of in accordance with Records Disposition Handbook, IRM 1(15)59-113 and IRM 1(15)59-213.

System manager(s) and address: Director, Disclosure Operations Division, National Office; Regional Offices and District Offices. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Letters from the public, applications from individuals, investigative reports, disclosure statements, determination letters, legal appeals and notifications of decisions, and queries for information, interpretations and rulings originating either from Congressmen, public officials, or private individuals.

Treasury/IRS 48.008 System name: Defunct Special Service Staff File being maintained because of Congressional directive, Disclosure-Treasury/IRS.

System location: National Office. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals suspected of violating the Internal Revenue laws.

Categories of records in the system: Internal Revenue Service Master File printouts; returns and field reports; information from other law enforcement government investigative agencies; Congressional Reports, and news media articles.


Routine use of records maintained in the system, including categories of users and purposes of such use: This file is no longer being used by the Internal Revenue Service. The Special Service Staff was abolished August 13, 1973. Disclosure of information contained in this System of Records may be made to the Department of Justice in connection with actual or potential litigation. Access to the System is limited to Congressional Committees and individuals making Freedom of Information requests pertaining to themselves.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Same.

Paper records maintained alphabetically by individual and organization maintained in vault at IRS National Office.

Retrievability: By alphabet.

Safeguards: Access controls are in conformance with provisions of the physical and Document Security Handbook, IRM 1(15)61. No IRS official has access to these records except for retrieval purposes in connection with Congressional Freedom of Information inquiries and litigation cases.

Retention and disposal: Records are being maintained solely for Congressional Committees at their request. When the Congressional Committees have completed their inquiries, the records will be destroyed except for those documents pertaining to pending litigation. Those records will be destroyed upon direction by the Court having jurisdiction over the documents.

System manager(s) and address: Director, Disclosure Operations Division, National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the System of Records contains a record pertaining to themselves may address inquiries to the Director, Disclosure Operations Division, National Office. (See IRS Appendix A for location.)

Record access procedures: Individuals seeking access to any System of Records may inquire in accordance with the instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director, Disclosure Operations Division, National Office. (See IRS Appendix A for location.)

In addition, this System may contain some records provided by other agencies which are exempt from the Access and Contest provisions of the Privacy Act as published in the Notices of the Systems of Records for those agencies.

Contesting record procedures: See Access above.

Record source categories: News media articles, taxpayers' returns and records, informant and third party information, other Federal agencies and examinations of related or other taxpayers.

System exempted from certain provisions of the act: Information from an exempt system of records duplicated in this system of records retains the exempt status.

Treasury/IRS 49.001 System name: Collateral and Information Requests System—Treasury/IRS.

System location: The central files for this system are maintained at the Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20223. A corresponding system of records is separately maintained by the foreign posts located in: (1) Bonn, Germany; (2) Sydney, Australia; (3) Caracas, Venezuela; (4) Johannesburg, Republic of South Africa; (5) Nassau, Bahamas; (6) London, England; (7) Manila, Philippines; (8) Mexico City, Mexico; (9) Ottawa, Canada; (10) Paris, France; (11) Rome, Italy; (12) Sao Paulo, Brazil; (13) Singapore and (14) Tokyo, Japan. Inquiries concerning this system of records maintained by the foreign posts should be addressed to the Director for International Operations.

Categories of individuals covered by the system: United States Citizens, Resident Aliens, Nonresident Aliens.
Categories of records in the system: Record of interviewing witnesses regarding financial transactions of taxpayers; employment data; bank and brokerage house records; probate records; property valuations; public documents; payments of foreign taxes; inventories of assets; business books and records. These records relate to tax investigations conducted by the U.S. Internal Revenue Service where some aspects on an investigation must be pursued in foreign countries pursuant to the Foreign Assistance Act of 1961 and any other applicable treaties between the United States and foreign governments. The records also include individual case files of taxpayers on whom information (as is pertinent to carrying out the provisions of the convention or preventing fraud or fiscal evasion in relation to the activities of the subject of this convention) is exchanged with foreign tax officials of treaty countries.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

- **Storage:** Paper Records.
- **Retrievability:** By Taxpayer Name.
- **Safeguards:** Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.
- **Retention and disposal:** Dispose after 3 years.
- **System manager(s) and address:** Director of International Operations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

> Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

---

**Treasury/IRS 49.002**

**System name:** Competent Authority and Index Card Retrieval System—Treasury/IRS

**System location:** Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

**Categories of individuals covered by the system:** U.S. citizens, resident aliens, nonresident aliens whose tax matters come under the jurisdiction of the U.S. competent authority in accordance with pertinent provisions of tax treaties with foreign countries.

**Categories of records in the system:** Individual case files of taxpayers who request relief from double taxation or any other assistance that is pertinent to carrying out the provisions of income tax treaties.

**Authority for maintenance of the system:** 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802, and applicable treaties.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** Paper Records.
- **Retrievability:** By taxpayer name.
- **Safeguards:** Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.
- **Retention and disposal:** Dispose after 10 years.
- **System manager(s) and address:** Director, Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Notification procedure: Portions of this system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

**System name:** Treasury/IRS 49.003

**System location:** Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

**Categories of individuals covered by the system:** Taxpayers who submitted financial statements when interviewed overseas by examination division employees.

**Categories of records in the system:** Financial Statements.

**Authority for maintenance of the system:** 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

- **Storage:** Paper Records.
- **Retrievability:** By taxpayer's name.
- **Safeguards:** Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.
- **Retention and disposal:** Dispose after 2 years.
- **System manager(s) and address:** Director of International Operations.

**Notification procedure:** Individuals seeking to determine if the system contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries may be addressed to the Director of International Operations, 1325 K St., N.W., Washington, D.C. 20225.

**Record access procedures:** Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations.

**Contesting record procedures:** See Access above.

**Record source categories:** Overseas examination division employees.

---

**Treasury/IRS 49.007**

**System name:** Overseas Compliance Projects System

**System location:** The central files for this system are maintained at the Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225. A corresponding system of records is separately maintained by the foreign posts located in: (1) Bonn, Germany; (2) Sydney, Australia; (3) Caracas, Venezuela; (4) Johannesburg, Republic of South Africa; (5) Nassau, Bahamas; (6) London, England; (7) Manila, Philippines; (8) Mexico City, Mexico; (9) Ottawa, Canada; (10) Paris, France; (11) Rome, Italy; (12) Sao Paulo, Brazil; (13) Singapore and (14) Tokyo, Japan. Inquiries concerning this system of records maintained by the foreign posts should be addressed to the Director of International Operations.

**Categories of individuals covered by the system:** United States Citizens, Resident Aliens, Nonresident Aliens.

**Categories of records in the system:** Documents and factual data relating to: (1) personal expenditures or investments not commensurate with known income and assets; (2) receipt of significant unreported income; (3) improper deduction of significant capital or personal living expenses; (4) failure to file required returns or pay tax due; (5) omission of assets or improper deduction or exclusion of items from estate and gift tax returns.

**Authority for maintenance of the system:** 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**
Storage: Paper Records.
Retrievability: By Taxpayer Name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.
Retention and disposal: Dispose after 3 years.
System manager(s) and address: Director of International Operations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 49.008
System name: Taxpayer Service Correspondence System—Treasury/IRS.
System location: This system is separately maintained by each one of the 14 overseas posts of the Office of International Operations, located in: (1) Bonn, Germany; (2) Sydney, Australia; (3) Caracas, Venezuela; (4) Johannesburg, Republic of South Africa; (5) Kuala Lumpur, Malaysia; (6) London, England; (7) Manila, Philippines; (8) Mexico City, Mexico; (9) Ottawa, Canada; (10) Paris, France; (11) Rome, Italy; (12) Sao Paulo, Brazil; (13) Singapore and (14) Tokyo, Japan. Inquiries concerning this system of records maintained by the foreign posts should be addressed to the Director of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Categories of individuals covered by the system: United States Citizens, Resident Aliens, Nonresidential Aliens.

Categories of records in the system: Correspondence from taxpayers, foreign post personnel and Office of International Operations headquarters offices in Washington, D.C.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper Records.
Retrievability: By Taxpayer Name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.
Retention and disposal: Dispose after 2 years.
System manager(s) and address: Director of International Operations.

Notification procedure: Individuals seeking to determine if the system contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations. Taxpayers seeking to adjudge records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Applicants requesting a determination letter.

System location: National Office See IRS Appendix A.

Categories of individuals covered by the system: Applicants requesting a determination letter.

Safeguards: Security will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41; and Retention and disposal: As specified in the Records Disposition Handbook, IRM 1(15)59-104 and IRM 1(15)59-203.
System manager(s) and address: District Director who has jurisdiction over the plan. See IRS Appendix A.

Notification procedure: Same as above.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Applicants requesting a determination letter.

System location: National Office See IRS Appendix A.

Categories of individuals covered by the system: Applicants requesting a determination letter.

Safeguards: Security will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41; and Retention and disposal: As specified in the Records Disposition Handbook, IRM 1(15)59-104 and IRM 1(15)59-203.
System manager(s) and address: District Director who has jurisdiction over the plan. See IRS Appendix A.

Notification procedure: Same as above.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Applicants requesting a determination letter.
Contesting record procedures. See above.

Record source categories. Individuals requesting rulings or information and field offices requesting technical advice or assistance.

Treasury/IRS 50.003


System location: National Office. See IRS Appendix A.

Categories of individuals covered by the system: Individual subjects of letter ruling requests, technical advice requests, etc., where a "Report of Significant Matter in Technical," has been prepared because of the cause of the presence of a matter significant to tax administration.

Categories of records in the system: Copies of "Reports of Significant Matter in Technical."

Authority for maintenance of the system: 26 U.S.C. 7801, 7802, and 7805.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103, 26 CFR 404.6103 and 26 U.S.C. 6104 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system of records contains investigatory material compiled for criminal law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.002

System name: Bribery Investigation Files, Inspection-Treasury/IRS.

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of former employees of the Treasury Department, and Taxpayers and non-IRS persons whose alleged criminal actions may affect the integrity of the Service.

Categories of records in the system: A report of investigation including exhibits, affidavits, transcripts, and documentation concerning requests and approval for consensual telephone and consensual non-telephone monitoring. A report of legal action concerning the results of prosecution. Prior criminal record of subject.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system of records contains investigatory material compiled for criminal law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.
Categories of records in the system: (a) Document citing the complaint of the alleged criminal or administrative violation. (b) Investigative report, including statements, affidavits and any other pertinent documents supporting the investigative report, which will be attached as an exhibit. (c) Report of legal action if case is accepted by U.S. Attorney for prosecution. (d) Report of action by management personnel if case is referred for administrative adjudication.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Documentation Security Handbook, IRM 1(16)41. Accessible to Inspectors, personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection) - Treasury/IRS. (See IRS Appendix A).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Categories of records in the system: (a) Document citing the complaint of the alleged criminal or administrative violation. (b) Investigative report, including statements, affidavits and any other pertinent documents supporting the investigative report, which will be attached as an exhibit. (c) Report of legal action if case is accepted by U.S. Attorney for prosecution. (d) Report of action by management personnel if case is referred for administrative adjudication.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. (d) For additional routine uses see Appendix AA.
Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.007
System name: Miscellaneous Information File, Inspection—Treas-
U.S. Code: see 26 U.S.C. 6103

Category of records in the system: Complaint type information regarding IRS employees which is not, in itself, specific or significant enough to initiate an investigation when received. Allegations of bribery and gratuities by taxpayers and/or their representatives which are not by themselves specific or significant enough to initiate an investigation when received. Any information received or developed by Inspection that has a bearing on IRS actions when received. Allegations of misconduct by tax practitioners or enrolled persons, or tax preparers which are not by themselves specific or significant enough to initiate an investigation when received. Information received by Inspection pertaining to alleged violations enforced by other agencies or Divisions of IRS, copies of which are released to those respective agencies or divisions. Photographs and descriptive data of some IRS employees and of persons arrested by Inspection. Information concerning lost or stolen Government documents, property, credentials or IRS employee personal property lost or stolen. Additional routine uses see Appendix AA.

Safeguards: Not less than provided for 26 U.S.C. 6103 and CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(10).41. Also, accessible to Inspection personnel on a need-to-know basis, of all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15).59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Many of the records in this system may be exempt under subsections J and/or K of the Privacy Act of 1974 and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification section.

Contesting record procedures: Same as notification above.

Record source categories: Department of Treasury, Personnel and Records, Other Federal agencies, Taxpayers/Complainants, State and local agencies, Tax returns, Newspapers, Person acquainted with the individual under investigation, Subjects of the investigation, and Personal observations of the investigator.
Record access procedures: Same as notification section.

Contesting record procedures: See notification above.


Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasuries IRS 60.008

System name: Security, Background and Character Investigations Files, Inspection—Treasury/IRS

System location: National Office and Regional Inspector's Office. (See IRS Appendix A).


Categories of records in the system: (a) Employment Application Forms (b) Reports of National Agency Checks (prior reports and records from FBI, Treasury Files, Civil Service Commission, Defense Central Index of Investigations, House Committee on Internal Security, and Coast Guard Intelligence. (c) Employee's Tax Account History (d) Results of Employees Examination (e) Employment Financial Statement. (f) Investigation. (g) Report of action taken. (h) Investigation of background and security clearance procedures or when the subject is under investigation. (i) Employment. (j) Employee's Financial Statement. (k) Employee's Tax Account History. (l) IRS Non-Employee Adjudication of any derogatory information developed during the investigation.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may also be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection Personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Record Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Some of the records in this system may be exempt and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification above.

Contesting record procedures: Same as notification above.


Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasuries IRS 60.009

System name: Special Inquiry (Complaint) Investigation Files, Inspection—Treasury/IRS.

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Employees or former employees of the Treasury Department and the Internal Revenue Service. Non-employees whose alleged criminal actions may affect the integrity of the Internal Revenue Service.

Categories of records in the system: A documented complaint from some source alleging criminal or administrative misconduct affecting Internal Revenue Service integrity. A report of investigation to resolve the complaint, including exhibits of IRS or public documents reviewed during the investigation.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may also be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Record Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Many of the records in this system may be exempt under subsections J and/or K and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification above.

Contesting record procedures: Same as notification above.

Record source categories: Taxpayers (Complainants, Witnesses, Confidential Informants), Federal, State and Local Government Agencies' personnel and records (Police, court, property, etc.), Newspapers or periodicals, Department of the Treasury personnel and records, Financial institutions, and Other Private Business records.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasuries IRS 60.010

System name: Tort Investigation Files, Inspection—Treasury/IRS.

System location: National Office and Regional Inspector's Office. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of the Department of the Treasury and non-Federal persons involved in accidents on property under Department of the Treasury jurisdiction or with Department of the Treasury employees.
Categories of records in the system: A report of investigation including exhibits such as SF-91, Operator's Report of Motor Vehicle Accident; SF-91A, Investigation Report of Motor Vehicle Accident; SF-92A, Report of Accident Other Than Motor Vehicle; SF-94, Statement of Witness; Optional Form 26, Data Bearing Upon Scope of Employment of Motor Vehicle Operator. In addition, attached as exhibits might be a Police Report of the accident; copies of insurance policies of the involved drivers; photographs of the scene or vehicles after the accident; Treasury Department vehicle maintenance record, medical records.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosures may be made during judicial processes. Routine disclosure may be made to other agencies to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or to those individuals employed or engaged by the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(164). Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Record Control Schedule 105, IRM 1(159).

System manager(s) and address: Assistant Commissioner (Inspection) (See IRS Appendix A).

Notification procedure: Some of the records in this system may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as notification above.

Contesting record procedures: Same as notification above.

Record source categories: Department of Treasury personnel and records, Federal, State and local police agencies, Witnesses, Subjects involved in the investigation, Hospitals (medical records), Doctors (medical records and personal observations), Personal observations of the investigating automobile repair facilities, Insurance Companies, Attorneys, Federal, state and local driver license records, and Federal and State vehicle registration records.


System location: Primary-Internal Revenue Service Data Center, Secondary-(a) Internal Revenue Service, Statistics Division, (b) Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers selected for an annual statistical sample.

Categories of records in the system: Sources of income, exemptions deductions, income tax, and tax credits, as reported on Forms 1040 and 1040A. The records are used to prepare and publish each year statistics, aggregated so as to prevent disclosure of taxpayer identity.

Authority for maintenance of the system: 26 U.S.C. 6108.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The statistical output records (i.e., those from which the statistics are directly derived) are maintained on magnetic tape. The input records are maintained as paper documents or copies thereof. The input records are of two types: (1) Copies of U.S. Individual Income Tax Returns (primary input records) and (2) Edit sheets (intermediate input records) which contain information manually abstracted from the primary records.

Retrievability: Each magnetic tape record is identified by social security number and a unique Disposition Locator Number assigned by the Internal Revenue Service. Those with sole proprietorship income, in addition, contain employer identification number, if reported by the taxpayer. Each primary input record and, for years before 1972, each intermediate input record are identified by name and address.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(164).

Retention and disposal: Input records disposed after 40 years. Output records retained permanently.

System manager(s) and address: Assistant Commissioner (Planning and Analysis), National Office. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.


Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 80.001

System name: Art File, Assistant Commissioner (TECHNICAL)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Famous or noted artists whose works have been evaluated.

Categories of records in the system: Commissioner's Art Panel decisions on values of works of art by named artists and appraisal documentation.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(164). Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Record Control Schedule 105, IRM 1(159).

System manager(s) and address: Assistant Commissioner (Technical)-Treasury/IRS.

Notification procedure: Some of the records in this system may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as notification above.

Contesting record procedures: Same as notification above.

Record source categories: Appraisal documentation and Art Panel decisions.

Treasury/IRS 80.003

System name: Correspondence Control and Records, Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Individual subjects of letter rulings, technical advice, and other correspondence from the Office of the Assistant Commissioner (Technical).

Categories of records in the system: Cards containing taxpayer names, date of correspondence, issue, and related information, and related letter ruling, technical advice, and other correspondence files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103) and as necessary to report apparent violations of law to appropriate law enforcement agencies. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, Cards retained indefinitely; related files destroyed or refiled over varying numbers of years as specified in the Records Control Schedule, IRM 1(15)29-110.

System manager(s) and address: Assistant Commissioner (Technical), National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instruction appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individual seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Individual subjects of letter rulings, technical advice, and other correspondence; field office personnel.

System name: Reference Index Digest Cards, Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Potential experts and fee appraiser files, Assistant Commissioner, Taxpayer Identification Number, where a “Report of Significant Matter in Technical” has been prepared because of the presence of a matter significant to Tax Administration.

Categories of records in the system: Copies of “Reports of Significant Matter in Technical”.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, Retained indefinitely.

System manager(s) and address: Assistant Commissioner (Technical), National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Individual subjects of letter rulings, technical advice, and other correspondence; field office personnel.

System name: Reports of Significant Matters (Form M-5945), Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (Certain Division and Branch Offices) (See IRS Appendix A).

Categories of individuals covered by the system: Individual subjects of letter rulings, technical advice, and other correspondence; field office personnel.

Categories of records in the system: Copies of “Reports of Significant Matter in Technical”.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, varied.

System manager(s) and address: Assistant Commissioner (Technical), National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individual seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Contesting record procedures: See Access above.

Record source categories: Varied, generally from the named individual, often unnoticed.
Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Notifications should be addressed to the Assistant Commissioner (Technical), National Office.

Contesting record procedures: See Access above.

Record source categories: Individual subjects of letter ruling requests, technical advice requests, and other correspondence field office personnel, where a "Report of Significant Matter in Technical" has been prepared.

Treasury/IRS 90.001
System name: Chief Counsel Criminal Tax Case Files. Each Regional Counsel Office, each District Counsel Office, and the National Office maintain one of these systems. The information in this notice applies to all 53 systems—Treasury/IRS.

System location: The addresses of the National Office, each Regional Counsel Office and each District Counsel Office are listed in the Appendix, IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers and related parties against whom tax-related criminal investigations have been made. (2) Taxpayers and related parties under investigation for criminal tax-related violations who have corresponded with the Regional Counsel's Office. (3) Taxpayers and related parties on whom advice has been requested concerning investigation for tax-related offenses. (4) Potential witnesses in criminal tax investigations. (5) Investigative subjects in connection with Organized Crime Drive, Strike Force, Narcotics Project, or HUD project.

Categories of records in the system: (1) Internal Control Records. (2) Legal and administrative files including investigative reports. (3) Correspondence files. (4) Information on potential witnesses including expert witnesses. (5) Special project files such as Organized Crime Drive, Strike Force Project and HUD project.

System manager(s) and address: Director, Disclosure Litigation Division, Internal Revenue Service, Office of Chief Counsel, 111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons who communicate with the Service regarding disclosure matters or who are involved with a disclosure issue involving the Service.

Authority for maintenance of the system: (1) Legal Case and Administrative Case Files, (2) Internal Control Records.

Authority for maintenance of the system: Treasury/IRS 90.002 System name: Chief Counsel Disclosure Litigation Division Case Files—Treasury/IRS.

System location: Disclosure Litigation Division, Internal Revenue Service, Office of Chief Counsel, 111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons who communicate with the Service regarding disclosure matters or who are involved with a disclosure issue involving the Service.

Categories of records in the system: (1) Legal Case and Administrative Case Files, (2) Internal Control Records.

Authority for maintenance of the system: Treasury/IRS 90.002 System name: Chief Counsel Disclosure Litigation Division Case Files—Treasury/IRS.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Records are locked up during nonworking hours and during periods when the work area is vacant. Access is strictly controlled and limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Except for files retained for their research value, Regional and District Counsel legal files are generally retired to the Federal Records Center 2 years after they are closed and disposed of 20 years after the case is closed. National Office non-precedent cases are transferred to the National Archives 20 years after the case is closed. Other records are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer necessary.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. The Director of the Criminal Tax Division is the system manager of the National Office system. The addresses are in the Appendix. See IRS Appendix A.

Notification procedure: This system of records may not be accessible for purposes of determining if the system contains a record pertaining to a particular individual as it is exempt under 5 U.S.C. 552a(j) (2).

Record access procedures: This system of records may not be accessible for purposes of inspection or contest of content of records as it is exempt under 5 U.S.C. 552a(j) (2).

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Authority for maintenance of the system: Treasury/IRS 90.001 System name: Chief Counsel Criminal Tax Case Files—Treasury/IRS.

System location: Disclosure Litigation Division, Internal Revenue Service, Office of Chief Counsel, 111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons who communicate with the Service regarding disclosure matters or who are involved with a disclosure issue involving the Service.

Categories of records in the system: (1) Legal Case and Administrative Case Files, (2) Internal Control Records.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, state, local and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, state, local and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Notification procedure: Most of the records in this system may not be accessed for purpose of inspection or for content of records as the records are exempt under 5 U.S.C. 552(a)(6) and/or (k) (2) (b) of the Privacy Act. An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries should be addressed in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purpose of inspection or for content of records as the records are exempt under 5 U.S.C. 552(a)(6) and/or (k) (2). Access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Persons who communicate with the agency regarding disclosure matters, Department of Treasury Employees, State, local, and foreign governments, Other Federal agencies, Witnesses, Informants, Parties to disputed matters of fact or law.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.003

System name: Chief Counsel General Administrative Systems. Each of the 7 Regional Counsel Offices and 45 District Counsel Offices, each of the 9 Divisions in the National Office, the Office of the Chief Counsel, and the Offices of the Deputy Chief Counsels (General, Litigation and Technical) and maintain a General Administrative System. This notice applies to all 58 of these systems.—Treasury/IRS.

System location: The location of these systems are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: (1) Past, present and prospective employees of the Chief Counsel’s Office. (2) Tax Court Witnesses.

Categories of records in the system: (1) Employee records other than Official Personnel Files of the Office of Personnel Management and the Merit System Protection Board. (2) Time and Attendance Cards. (3) Financial Records such as Travel Expenses, Notary Public Fees, Moving Expenses, Expenses of Tax Court Witnesses and Miscellaneous Expenses. (4) Employee Recruiting Records.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) An apparent violation of the law will be referred to the appropriate law enforcement authorities for investigation and prosecution or for civil court action, or regulatory order. (3) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (4) To the Office of Personnel Management and the Merit System Protection Board for appropriate personnel actions. (5) A record from this system of records may be disclosed to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the making of a contract, or the issuance of a license, grant, or other benefit. (6) A record from this system of records may disclose information to a federal agency, in response to its request, connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency’s decision on the matter. (7) For additional routine uses see IRS Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are generally retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made for new employees. All facility access is controlled and access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Each Regional Counsel is the system manager of the system in his or her Region. Each Division Director is the system manager of the system in his or her Division. The Chief Counsel and the Deputy Chief Counsels (Litigation, General and Technical) are the system managers of the system in each of their Offices. See IRS Appendix A.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel or the Director of the Disclosure Litigation Division in the case of records in the National Office. The addresses are listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel or the Director of the Disclosure Litigation Division in the case of records in the National Office. The addresses are listed in the Appendix.

Information leading to the identity of a confidential source is exempt pursuant to 5 U.S.C. 552(a)(5).

Contesting record procedures: See Access above.

Record source categories: Employees, Department of Treasury Personnel, Tax Court Witnesses, Office of Personnel Management and Merit System Protection Board, Other Federal/State, local, and foreign governments, References, Former employers.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.004

System name: Chief Counsel General Legal Services Case Files. Each of the seven Regional Counsel Offices and the National Office may maintain a General Legal Services Case Files System. The information in this notice applies to all eight systems.—Treasury/IRS.

System location: The addresses of the National Office and each Regional Counsel Office are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: Persons involved in litigation, actions, investigations or cases falling within the jurisdiction of the General Legal Services function including persons—(1) who have caused service of subpoenas, summonses, or other judicial process directed to an officer or employee of the Treasury Department in his official capacity. (2) who are parties in personnel matters, as well as discrimination and labor management relations matters, of the Internal Revenue Service, Chief Counsel’s Office or, in some instances, other agencies in the Treasury Department. (3) who are parties in practitioner actions under the jurisdiction of the Director of Practice or the Joint Board of Actuaries. (4) who are parties in procurement matters and under the Federal Claims Collection Act. (5) who are parties in litigation or administrative claims involving alleged violations of The United States Constitution, the Federal Tort Claims Act, the Military Personnel and Civilian Employee Compensation Act, relief of accountable officers for loss of Government funds, rewards, acts of officers or employees acting within the scope of their employment, or official acts of officers or employees not directly relating to Federal tax issues but relating to the Internal Revenue Service. (6) who are parties in miscellaneous matters referred to the General Legal Services Division. (7) who are the subject of investigations made by the Internal Security Division if the case is referred to the General Legal Services Division. (8) who have filed petitions for the remission or mitigation of forfeitures or who are otherwise directly involved as parties in forfeiture matters, whether official or administrative. (9) who are officials of the Internal Revenue Service and Chief Counsel’s Office required to file a Financial Disclosure Statement under the Ethics in Government Act of 1978. (10) who are or have corresponded regarding a matter under consideration within the General Legal Services Division.
Categories of records in the system: (1) Legal Case and Administrative Case Files. (2) Internal Control Cards. (3) Correspondence Files.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purposes of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant theretoe, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant theretoe. (3) To the Director of Practice and Joint Board of Accountants in practitioner disciplinary matters. (4) To the Office of Personnel Management in personnel, discrimination and labor management matters. (5) To arbitrators, the Federal Labor Relations Authority, including the Office of the General Counsel of that authority, the Federal Mediation and Conciliation Service in labor management matters. (6) To the Merit Systems Protection Board in Personnel, Discrimination, and Labor Management matters. (7) To the Equal Employment Opportunity Commission in personnel, discrimination, and labor management matters. (8) To the Special Counsel of the Merit Systems Protection Board in Personnel, Discrimination, and Labor Management matters. (9) To the General Counsel of the Services Administration in property management matters. (10) To the Inspector General for the purposes of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (11) To the Administrative Assistant of the Executive Resources Board as to which confidentiality has been waived by the individual. (12) To members of Congress for the purposes of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (7) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (8) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant theretoe, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant theretoe. (9) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply. If more than one person is involved in a given case then it is generally retrievable only by the first named person.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Legal files are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed. Other records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Each Regional Counsel is the system manager of the system in his or her Region. The Director of the General Legal Services Division is the system manager of the system in his or her Region. The addresses of the National Office, each Regional Counsel Office and each District Counsel Office are listed in the Appendix; see IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers or other individuals involved in matters pertaining to the General Litigation function including: (A) Taxpayers with outstanding tax liabilities or with potential outstanding tax liabilities. (B) Persons from whom information is being sought (summons). (C) Persons requesting information disclosure. (D) Present or former Internal Revenue Service employees who are being or may be sued in connection with their duties or who have been called upon to testify in private litigation. (E) Persons who are or may be liable to the United States on non-tax claims. (F) Persons who have submitted offers in compromise of federal taxes. (2) Persons who have corresponded regarding a matter under consideration within the General Litigation function.

Categories of records in the system: (1) Legal and Administrative Files. (2) Internal Control Records. (3) Offer in Compromise Files. (4) Correspondence Files.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To other Federal agencies holding funds of taxpayers for purposes of collecting a liability owed by the taxpayer. (2) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (3) State and local taxing authorities for purposes of enforcing federal tax laws. (4) To the Department of the Treasury, the state and local taxing authorities for purposes of collection of federal taxes. (5) Appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts or other appropriate agencies to the extent necessary to obtain records in their possession. (11) To members of Congress for the purposes of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (12) To the Administrative Assistant of the Executive Resources Board as to which confidentiality has been waived by the individual. (1) To the Inspector General for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, to federal, foreign, and state government agencies to the extent necessary to obtain records in their possession.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Legal files are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed. Other records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. The Director of the General Litigation Division is the system manager of the National Office system. The addresses are in the Appendix.
Notification procedure: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records. Records are exempt from provisions of the Privacy Act if (1) they are exempt from the Freedom of Information Act; (2) they are exempt from 5 U.S.C. 552a(d) (5) and/or (6) and (or) (2); (3) they are exempt from 5 U.S.C. 552a(k) and/or (k) (2). Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. 

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records. Records are exempt from provisions of the Privacy Act if (1) they are exempt from the Freedom of Information Act; (2) they are exempt from 5 U.S.C. 552a(d) (5) and/or (k) (2). Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of this Privacy Act.

Treasury/IRS 90.007
System name: Chief Counsel Interpretative Division Case Files—Treasury/IRS.
System location: Interpretative Division, Internal Revenue Service, Office of Chief Counsel, 111 Constitution Avenue, N.W., Washington, D.C. 20224; Employee Plans and Exempt Organizations Division, same address.
Categories of individuals covered by the system: Taxpayers concerning whom legal issues have been referred to the Interpretative Division or the Employee Plans and Exempt Organizations Division for opinion.
Categories of records in the system: (1) Legal Case and Administrative Files and Advisory Legal Opinions, (2) General Counsel Memorandums, (3) Office Memorandums, (4) Internal Control Records.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of assisting in an action or proceeding in which the Internal Revenue Service is a party. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To the Social Security Administration to consult about a matter involving legal issues concerning the imposition of Social Security taxes. (4) To the Department of Labor or the Pension Benefit Guaranty Corporation concerning the Employee Retirement Income Security Act. (5) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (6) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (7) For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper records.
Retrievability: Records are retrievable by the name of the person to whom they apply.
Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have

Retention and disposal: General Counsel Memorandums, Office Memorandums, and Internal Control Records are retained indefinitely and disposed of when no longer useful. Legal files are transferred to the National Archives 25 years after the case is closed.

System manager(s) and address: Director, Interpretative Division, Internal Revenue Service, Office of the Chief Counsel, 111 Constitution Avenue, N.W., Washington, D.C. 20224; Director, Employee Plans and Exempt Organizations Division, same address.


Categories of records in the system: (1) Persons who have corresponded to the Service, the Department of the Treasury, the White House or Members of Congress regarding a matter in which the Legislation and Regulations Division or the Employee Plans and Exempt Organizations Division was asked to draft a reply. (2) Persons on whose behalf private relief bills were introduced in Congress involving tax related matters.

Categories of records in the system: (1) Correspondence Files, (2) Private Relief Bill Legal Files.
Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of assisting in an action or proceeding in which the Internal Revenue Service is a party. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To the Social Security Administration to consult about a matter involving legal issues concerning the imposition of Social Security taxes. (4) To the Department of Labor or the Pension Benefit Guaranty Corporation concerning the Employee Retirement Income Security Act. (5) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (6) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (7) For additional routine uses see Appendix AA.
Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:
Storage: Paper records.
Retrievability: Correspondence files are retrievable by the name of the individual who initiated the correspondence. Private relief bill files are retrievable by the H.R. or S. number for each Congress.
Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have
System name: Chief Counsel Tax Litigation Case Files. Each Regional Counsel Office, each District Counsel Office, and the National Office maintain one of these systems. The information in this notice applies to all 53 systems-Treasury/IRS.

System location: The addresses of the National Office, each Regional Counsel Office, and each District Counsel Office are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers who have filed petitions with the Tax Court or suits for refunds of Federal taxes. (2) Taxpayers upon whom the issuance of a statutory notice is or was contemplated whose case has been referred to the Tax Litigation function. (3) Taxpayers who are the subject of formal or informal advisory opinions during the investigative stage of the case or whose case is about or is likely to be about to become part of the Tax Act.

Categories of records in the system: (1) Legal Case and Administrative Case Files. (2) Internal Control Records. (3) Correspondence Files.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of seeking or obtaining an advisory opinion or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether actual or general statute or particular program statute, or by regulation, rule or order issued pursuant thereto. The relevant records in the systems of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, foreign and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional Routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrieval: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Except for files retained for their research value, Regional and District Counsel legal files are generally retained to the Federal Records Center two years after they are closed and disposed of 10 years after being closed. National Office legal files are retired to the Federal Records Center 5 years after the case is closed. National Office non-privileged cases are disposed of 20 years after the case is closed. Other records are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. The Director of the Disclosure Litigation Division is the system manager of the National Office system. The addresses are in the Appendix, see IRS Appendix A.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2). An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Regional Counsel of the Region in which the records are located or to the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Inquiries must be made in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2). Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or to the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, other Federal agencies, state, local, and foreign governments, witnesses, informants.

Treasury/IRS 90.009.

System name: Chief Counsel Tax Litigation Case Files. Each Regional Counsel Office, each District Counsel Office, and the National Office maintain one of these systems. The information in this notice applies to all 53 systems-Treasury/IRS.

System location: The addresses of the National Office, each Regional Counsel Office, and each District Counsel Office are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers who have filed petitions with the Tax Court or suits for refunds of Federal taxes. (2) Taxpayers upon whom the issuance of a statutory notice is or was contemplated whose case has been referred to the Tax Litigation function. (3) Taxpayers who are the subject of formal or informal advisory opinions during the investigative stage of the case or whose case is about or is likely to be about to become part of the Tax Act.

Categories of records in the system: (1) Legal Case and Administrative Case Files. (2) Internal Control Records. (3) Correspondence Files.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of seeking or obtaining an advisory opinion or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether actual or general statute or particular program statute, or by regulation, rule or order issued pursuant thereto. The relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, foreign and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional Routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.
Safeguards: Records are kept in a secured area. Access is limited to authorized personnel. Users of the system must show identification and sign a register each time the room is used. Background checks are made on employees.

Retention and disposal: Records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a (d) (5) and/or (k) (2).

An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part I, Subpart C, Appendix B. (See IRS Appendix A.)

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a (d) (5) and/or (k) (2).

Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries are subject to the restrictions appearing at 31 C.F.R. Part I, Subpart C, Appendix B.

Taxpayers seeking to adjudge records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Department of Treasury personnel; Department of Justice personnel; taxpayers and their representatives; other Federal agencies; witnesses; informants; state, local, and foreign governments; parties to litigation; agencies charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.011

System name: Employee Recruiting Files Maintained by the Administrative Services Division—Treasury/IRS.

System location: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons who have applied for attorney positions with the Chief Counsel's Office, both National Office and field and persons who have applied for non-attorney positions in the National Office only.

Categories of records in the system: Applicant Files and lists of eligible applicants, Internal Control Records.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (4) To the Office of Personnel Management and Merit System Protection Board for appropriate personnel actions. (5) A record from this system of records may be disclosed to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an investigation concerning the reporting, the reporting of an investigation of an employee, the issuing of a security clearance, the retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency, to the extent that it is relevant and necessary to the requesting agency. (7) For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel in the company of authorized personnel.

Retention and disposal: The records are stored when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Individuals seeking to determine if the system contains records pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the appendix. Inquiries are subject to the restrictions appearing at 31 C.F.R. Part I, Subpart C, Appendix B.

Contesting record procedures: See Access above.

Record source categories: Applicable to Department of Treasury Personnel, Civil Service Commission, Other Federal Agencies, State, local, and foreign governments, References, Former employees.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.012

System name: Internal Control Records for Chief Counsel Legal Fils—Treasury/IRS.

System location: Administration Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Taxpayers who file petitions for refund, requests for revenue rulings and those who have had other matters pending before the Office of Chief Counsel.

Categories of records in the system: (1) Docket Cards. (2) Closed Card Records. (3) Drop Cards and Charge-Out Records. (4) Federal Record Center File Binder Index.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To the Department of Justice to inform them of the location of a legal case file. For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: The records are stored when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.
Notification procedure: An individual who wishes to determine whether the system contains any records pertaining to himself may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Individuals seeking access to any record or seeking to contest its content, may inquire in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Contesting record procedures: See Access above.

Record source categories: Department of Treasury Personnel, Taxpayers and other individuals who initiate documents submitted to the Service.

Treasuty/IRS 90.013
System name: Legal case files of the Chief Counsel, Deputy Chief Counsel (General, Litigation and Technical) and their Staffs. Treasury/IRS.


Categories of individuals covered by the system: Persons whose cases at one time involved important issues or unusual circumstances which were brought to the attention of the above persons.

Categories of records in the system: Legal case files which include internal control records of such case files of both the persons currently holding the above positions and those who previously held such positions.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred to as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, to federal, foreign, and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the same private law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records pertaining to individuals are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Records are kept indefinitely and disposed of when no longer useful.

System manager(s) and address: Chief Counsel, Internal Revenue Service, 111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for correction of content of the records as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Taxpayers seeking to adjust records which affect the determination of a tax or assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasuty/IRS 90.014
System name: Management Files Maintained by Administrative Services Division and the Deputy Chief Counsel (General) other than the Office of Personnel Management's Official Personnel Files—Treasury/IRS.

System location: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Current and former employees of Chief Counsel's Office, both National Office and field.

Categories of records in the system: Contains some materials which are duplicated materials contained in the Official Personnel File, or is payroll data duplicated in the master payroll records and include material such as transfer and reassignment requests, correspondence, evaluations, personnel listings, retirement eligibility, home and office address and telephone numbers, information regarding employees' participation in training programs, resumes, information on participation in Health Maintenance Program, employees' suggestions, awards, lists of material typed by the Transcription Center according to the name of the attorney for whom it was typed, loans from Chief Counsel's Assistance Fund, records of keys and identification cards issued to employees, lists of individuals given special duties such as being a floor marshal, information on loss suffered by employees who are theft victims, list of long distance calls not made on FTS, list of RFOs issued, list of parking permits, records of requests for use of GSA vehicles, case assignments, and financial information on employees.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred to as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (4) To the Office of Personnel Management and Merit System Protection Board for appropriate personnel actions. (5) A record from this system of records may be disclosed to a federal, state or local agency managing civil, criminal or other actions or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring, promotion, or retention of an employee, the issuance of security clearances, or the levying of a civil or the issuance of a license or other benefit. (6) A record from this system of records may be disclosed to a federal agency, in response to its request, in connection
System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224.

Notifications procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Contesting record procedures: See Access above.

Record source categories: Material to which reference information pertains.

Treasury/IRS 90.016

System name: Reports and Information Retrieval Activity Computer and Microfilm Records—Treasury/IRS.


Categories of individuals covered by the system: (1) Taxpayers who have initiated suits for refund in district courts or the Court of Claims. (2) Taxpayers who have filed suits with the United States Tax Court. (3) Taxpayers who have requested rulings from the Service concerning the collection of taxes on estate or trust income or the application of applicable laws to the estate or trust. (4) Taxpayers who have been involved in litigation concerning the collection of taxes. (5) Taxpayers whose cases were the subject of technical advice, memoranda to the solicitor general and similar advisory memoranda.


Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action, seeking legal advice, or for research purposes. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) Routine disclosure may be made to the extent provided by law or regulation (including 42 U.S.C. 6103 and any regulation promulgated thereunder). (4) For additional routine uses see Appendix A.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Skeletal legal files and indexes to the narrative material or microfilm excluding the General Litigation Division's Actions on Decisions are stored on magnetic tape. Actions on Decisions, Case Abstracts, Technical Advice and Advisory Memoranda are stored on microfilm. Input documents are on paper.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Magnetic tape and microfilm retained indefinitely and disposed of when no longer useful. Input documents are retained for one year.
Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552(a)(5) and/or (k)(2).

Individuals seeking access to any record which is not exempt or seeking to contest its content may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division whose address is listed in the Appendix, see Appendix A.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of the records as the records are exempt under 5 U.S.C. 552a(d)(5) and/or (k)(2).

Individuals seeking access to any record which is not exempt or seeking to contest its content may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division whose address is listed in the Appendix.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District whose records are to be searched, or such other official to whom the initial correspondence may have been referred. This system may contain records which are exempt from the notification provisions of the Privacy Act, Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested, or such other official to whom the initial correspondence may have been referred. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

TREASURY/IRS
APPENDIX A

Inquiries under Treasury/IRS systems should be addressed to the office whose records are to be searched. The titles and addresses of the Systems Managers are as follows:

NATIONAL OFFICE INTERNAL REVENUE SERVICE
Assistant to the Commissioner (Equal Opportunity)
Assistant to the Commissioner (Public Affairs)
Assistant Commissioner (Compliance)
Assistant Commissioner (Data Services)
Assistant Commissioner (Employee Plans and Exempt Organizations)
Assistant Commissioner (Inspection)
Assistant Commissioner (Planning and Research)
Assistant Commissioner (Resources Management)
Assistant Commissioner (Taxpayer Services and Returns Processing)
Director, Disclosure Operations Division
Chief Counsel

The address for all of the above Systems Managers is as follows:
1111 Constitution Avenue, N.W.
Washington, D.C. 20224

REGIONAL OFFICE INTERNAL REVENUE SERVICE
Central Region:
Regional Commissioner, Internal Revenue Service, 550 Main Street, Cincinnati, Ohio 45202.
Regional Inspector, Internal Revenue Service, 550 Main Street, Room 8508, Cincinnati, Ohio 45202.
District Director, Internal Revenue Service, 550 Main Street, Cincinnati, Ohio 45202.
District Director, Internal Revenue Service, 1240 East 9th Street, Cleveland, Ohio 44115.
District Director, Internal Revenue Service, 2483 Patrick V. McNamara Building, 477 Michigan Avenue, Detroit, Michigan 48226.
District Director, Internal Revenue Service, Federal Office Building, 575 N. Pennsylvania Street, Indianapolis, Indiana 46204.
District Director, Internal Revenue Service, Federal Office Building, 425 Juliana Street, Parkersburg, West Virginia 26101.
District Director, Internal Revenue Service Center, Central Region, 201 W. Second St., Covington, Ky 41010.
Mid-Atlantic Region:
Regional Commissioner, Internal Revenue Service, 2 Penn Center Plaza, Rm. 500 Philadelphia, Pennsylvania 19102.
District Director, Internal Revenue Service, Federal Office Building, 575 N. Pennsylvania Street, Indianapolis, Indiana 46204.
District Director, Internal Revenue Service, U.S. Post Office Building, 601 West Broadway, Louisville, Kentucky 40202.
District Director, Internal Revenue Service, 425 Julian Street, Parkersburg, W. Virginia 26101.
Director, Internal Revenue Service Center, Central Region, 201 W. Second St., Covington, Ky 41019.

Mid-Atlantic Region:
Regional Commissioner, Internal Revenue Service, 2 Penn Center Plaza, Rm. 500 Philadelphia, Pennsylvania 19102.
Regional Inspector, Internal Revenue Service, W. J. Green Federal Bldg., 600 Arch Street, Rm. 42284 Philadelphia, Pennsylvania 19106.
District Director, Internal Revenue Service, Federal Building, 31 Hopkins Plaza, Baltimore, Maryland 21201.
District Director, Internal Revenue Service, 970 Broad Street, Newark, New Jersey 07102.
District Director, Internal Revenue Service, 600 Arch Street, Philadelphia, Pennsylvania 19106.
District Director, Internal Revenue Service, 100 Liberty Avenue, Pittsburgh, Pennsylvania 15222.
District Director, Internal Revenue Service, Federal Building, 465 S. Fourth Avenue, Richmond, Virginia 23240.
District Director, Internal Revenue Service, 844 King Street, Wilmington, Delaware 19801.
Director, Internal Revenue Service Center, Mid-Atlantic Region, 11601 Roosevelt Boulevard, Philadelphia, Pennsylvania 19115.

Midwest Region:
Regional Commissioner, Internal Revenue Service, One North Wacker Drive, Chicago, Illinois 60606.
Regional Inspector, Internal Revenue Service, Rm. 1646, 35 East Wacker Drive, Chicago, Illinois 60601.
District Director, Internal Revenue Service, 115 Fourth Avenue, S.E., Aberdeen, South Dakota 57401.
District Director, Internal Revenue Service, 230 South Dearborn Street, Chicago, Illinois 60604.
District Director, Internal Revenue Service, Federal Building, 210 Walnut Street, Des Moines, Iowa 50309.
District Director, Internal Revenue Service, Federal Building & Post Office, 653 Second Avenue, North, Fargo, North Dakota 58102.
District Director, Internal Revenue Service, Federal Office Building & Court House 517 East Wisconsin Avenue, Milwaukee, Wisconsin 53202.
District Director, Internal Revenue Service Federal Office Building, 106 South 15th Street, Omaha, Nebraska 68102.
District Director, Internal Revenue Service, U.S. Court and Custom House, 1114 Market Street, St. Louis, Missouri 63101.
District Director, Internal Revenue Service, Federal Building and Court House, 316 North Robert Street, St. Paul, Minnesota 55101.
District Director, Internal Revenue Service, 325 West Adams, St. Petersburg, Illinois 62704.
Director, Internal Revenue Service Center, Midwest Region, 2306 East Bannister Road, Kansas City, Missouri 64170.

North-Atlantic Region:
Regional Commissioner, Internal Revenue Service, 90 Church Street, New York, New York 10007.
Regional Inspector, Internal Revenue Service, 26 Federal Plaza (14th Floor), New York, New York 10007.
District Director, Internal Revenue Service, 68 Sewell Street, Augusta, Maine 04330.
District Director, Internal Revenue Service, John F. Kennedy Federal Building, Government Center, Boston, Massachusetts 02203.
District Director, Internal Revenue Service, Leo W. O'Brien Federal Building, Clinton Avenue and North Pearl Street, Albany, New York 12207.
District Director, Internal Revenue Service, 120 Church Street Station, New York, New York 10007.
District Director, Internal Revenue Service, 35 Tillary Street, Brooklyn, New York 11201.
District Director, Internal Revenue Service, 111 W. Huron Street, Buffalo, New York 14202.
District Director, Internal Revenue Service, 11 Elmwood Avenue, Burlington, Vermont 05401.
District Director, Internal Revenue Service, 450 Main Street, Hartford, Connecticut 06115.
District Director, Internal Revenue Service, Federal Building, 80 Daniels Street, Portsmouth, New Hampshire 03801.
District Director, Internal Revenue Service, 130 Broadway, Providence, Rhode Island 02903.
Director, Internal Revenue Service Center, North-Atlantic Region, 310 Lowell Street, Andover, Massachusetts 01812.
Director, Internal Revenue Service Center, North-Atlantic Region, 1840 Waverly Avenue, Hollisville, New York 11799.

Southeast Region:
Regional Commissioner, Internal Revenue Service, 275 Peachtree Street, N.E., Atlanta, Georgia 30303.
Regional Inspector, Internal Revenue Service, 4th Floor, 221 Courland Street, N.E., Atlanta, Georgia 30303.
District Director, Internal Revenue Service, 275 Peachtree Street, N.E., Atlanta, Georgia 30303.
District Director, Internal Revenue Service, 2121—8th Avenue, N.W., Wash ington, D.C. 20530.
District Director, Internal Revenue Service, 901 Sumter Street, Columbus, South Carolina 29021.
District Director, Internal Revenue Service, 320 Federal Place, Greensboro, North Carolina 27401.
District Director, Internal Revenue Service, 301 North Lamar Street, Jackson, Mississippi 39202.
District Director, Internal Revenue Service, Federal Office Building, 400 West Bay Street, Jacksonville, Florida 32202.
District Director, Internal Revenue Service, 801 Broadway, Nashville, Tennessee 37202.
Director, Internal Revenue Service Center, Southeast Region, 4800 Buford Highway, Chamblee, Georgia 30006.
Director, Internal Revenue Service Center, Southeast Region, 3110 Democrat Road, Memphis, Tennessee 38110.

Southwest Region:
Regional Commissioner, Internal Revenue Service, 7839 Churchill Way, LB-70, Dallas, Texas 75251.
Regional Inspector, Internal Revenue Service, 7839 Churchill Way, LB-41, Dallas, Texas 75251.
District Director, Internal Revenue Service, 517 Gold Avenue, S.W., Albuquerque, New Mexico 87101.
District Director, Internal Revenue Service, 300 E. 8th Street, Austin, Texas 78701.
District Director, Internal Revenue Service, 308 W. 21st Street, Cheyenne, Wyoming 82001.
District Director, Internal Revenue Service, 1100 Commerce Street, Dallas, Texas 75242.
District Director, Internal Revenue Service, 610 Arapahoe Street, Denver, Colorado 80225.
District Director, Internal Revenue Service, 700 W. Capitol, Little Rock, Arkansas 72201.
District Director, Internal Revenue Service, 500 Camp Street, New Orleans, Louisiana 70130.
District Director, Internal Revenue Service, 200 N.W. 4th Street, Oklahoma City, Oklahoma 73102.
District Director, Internal Revenue Service, 412 S. Main Street, Wichita, Kansas 67202.
District Director, Internal Revenue Service Center, Southwest Region, 3651 S. Interregional Highway, Austin, Texas 78740.

Western Region:
Regional Commissioner, Internal Revenue Service, 525 Market Street, San Francisco, California 94104.
Regional Inspector, Internal Revenue Service, 160 Fine Street, Suite 500, San Francisco, California 94111.
District Director, Internal Revenue Service, 310 K Street, Anchorage, Alaska 99501.
District Director, Internal Revenue Service, 550 West Fort Street, Box 041, Boise, Idaho 83724.
District Director, Internal Revenue Service, Federal Building, 516 North Park, Helena, Montana 59601.
District Director, Internal Revenue Service, P.J.K.K. Federal Bldg., 300 Ala Moana, Honolulu, Hawaii 96850.
District Director, Internal Revenue Service, 300 N. Los Angeles Street, Los Angeles, California 90012.
District Director, Internal Revenue Service, 2120 N. Central Avenue, Phoenix, Arizona 85004.
District Director, Internal Revenue Service, 1220 S.W. 3rd Ave, Portland, Oregon 97204.
District Director, Internal Revenue Service, 300 Booth Street, Reno, Nevada 89509.
District Director, Internal Revenue Service, 465 S. 4th East, Salt Lake City, Utah 84111.
District Director, Internal Revenue Service, 450 Golden Gate Avenue, San Francisco, California 94102.
District Director, Internal Revenue Service, 915 S. Second Street, Seattle, Washington 98174.
Director, Internal Revenue Service Center, Western Region, 1160 W. 1200 South Street, Ogden, Utah 84401.
System name: Treasury/USSS 00.001

Categories of individuals covered by the system: (a) Individuals who are now or were Secret Service employees; (b) Individuals, contractors, and vendors, etc., who are presently doing or previously did business with the Secret Service; (c) Claimants against the Secret Service under the Federal Employees Claims Act.

Authority for maintenance of the system: The authority of the Administrative Operations Information System is contained in various Executive Orders, Treasury Orders and delegations; Title 40 and 41 of the U.S. Code and other rules and regulations where applicable; 5 U.S.C. 301; 44 U.S.C. 3101.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Administrative Operations Information System are as follows: (a) Disclosures to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) To maintain administrative control of equipment assigned to Secret Service employees; (d) Referal of information to U.S. Treasury, OMB, GSA, Department of Justice and other Federal, state, and local governments regarding purchases, contracts, and anticipated purchases and contracts of the Secret Service; (e) To provide administrative services for the Secret Service and maintain administrative records as required by law; (f) To use in the adjudication of any claim for or against the Secret Service; (g) Referal to individuals, contractors, vendors, etc., for the purpose of inquiries relating to or confirmation of orders and purchases; (h) Records maintained in the Administrative Operations Information System indicating a violation or potential violation of law, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (i) Records maintained in the Administrative Operations Information System indicating a violation or potential violation of law, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (i) Disclosures to the United States Secret Service or to other government agencies in the course of the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (j) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (k) Disclosures to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (l) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Administrative Operations Information System are contained in file folders located in Headquarters, 1800 G Street, N.W., Washington, D.C. 20223, and in Secret Service field offices (see United States Secret Service Appendix A).

Retrievability: The Administrative Operations Information System is indexed alphabetically by name either in a master file index or the physical files containing records. Access to the master file index is limited to employees responsible for records management and operational employees who have need for such information, each of whom holds a top secret security clearance.

Safeguards: (1) The file jackets and indices containing the records comprising the Administrative Operations Information System located in the U.S. Secret Service Headquarters are secured by alarms and other internal security devices in locked rooms with guards on duty. Access is limited to employees responsible for records management and operational employees who have a need for such information, each of whom holds a top secret security clearance. (2) The file jackets and the master indices comprising the Administrative Operations Information System in Secret Service field offices are located in located filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The file jackets and master indices comprising the Administrative Operations Information System are retained indefinitely. Disposal is by burning or shredding.

System manager(s) and address: Assistant Director, Office of Administration, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to the Chief, Bureau of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information contained in the records for the purposes of the procedures for the obtaining of information contained in the records should contact: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contacting record procedures: See access above.

Record source categories: (a) Individuals who are presently or were Secret Service employees; (b) Individuals, contractors, companies, contractors, etc., previously engaged or presently engaged in business with the Secret Service; (c) Claimants.

System name: Treasury/USSS 00.002

Categories of individuals covered by the system: (a) Individuals who have been or are currently the subject of a criminal investigation by the U.S. Secret Service in connection with the performance by that agency of its authorized criminal investigative functions; (b) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have a need for such information, each of whom holds a top secret security clearance; (c) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (d) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (e) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (f) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (g) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (h) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (i) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (j) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (k) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (l) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (m) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (n) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (o) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (p) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (q) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (r) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (s) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (t) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (u) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (v) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (w) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (x) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (y) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance; (z) Individuals who are payees, registered owners or endorsers of stolen or lost securities or currency, who have need for such information, each of whom holds a top secret security clearance.

Federal Register / Vol. 45, No. 57 / Friday, March 21, 1980 / Notices 18861
and victims of crimes who have been identified by the Secret Service in the conduct of criminal investigations or by information supplied by other law enforcement agencies, government units, and the general public.

Categories of records in the system: (a) Records containing information compiled for the purpose of identifying individual criminal offenders and alleged offenders and consisting only of identifying data and notations of arrest, the nature and disposition of criminal charges, sentencing, confinement, release, and parole and probation status; (b) Records compiled for the purpose or potential of a criminal investigation, including reports of informants and investigators, and associated with an identifiable individual; (c) Records containing reports identifiable with an individual compiled at various stages of the laws enforcement process, comprising the Criminal Investigation Information System located at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C., and in file jackets located in field offices geographically dispersed throughout the United States, Puerto Rico and one foreign country. (See below, United States Secret Service Appendix A).

Authorities for maintenance of the system: The criminal investigative authority of the United States Secret Service is contained in 18 U.S.C. 3056 and Treasury Department Order requiring investigative and security functions to the U.S. Secret Service by virtue of the authority vested in the Secretary of the Treasury pursuant to Reorganization Plan Number 2.

Routine uses of records maintained in the system: For the purpose of developing information on subjects involved in Secret Service criminal investigations and assisting other law enforcement agencies in the investigation and prosecution of violations of the criminal laws which those agencies are responsible for enforcing: (a) Identification of Federal, state, and local law enforcement, foreign and domestic, where such referral is considered reasonably necessary for the purpose of furthering Secret Service efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; (b) Identification of Federal, state, and local law enforcement authorities, foreign and domestic, where there is a showing of reasonable necessity to obtain such information to accomplish a valid law enforcement purpose; (c) Referral to employees and officials of financial and commercial business firms and to private individuals of identifying information pertaining to actual or suspected criminal offenders where such referral is considered reasonably necessary for the purpose of furthering Secret Service efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; (d) Records and files of an investigative nature, in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service and the Treasury Security Force holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Criminal Investigation Information System is as follows: (1) Judicial cases, 20 years; (2) Non-judicial criminal investigative cases, non-judicial check and bond forgery cases, 5 years; (3) Non-judicial check claim and bond forgery cases, 5 years; (4) Administrative files of an investigative nature, 5 years; (5) Other files and records the disposition of which is not otherwise specified, 5 years; (6) Financial obligations for other distribution centers and operational files and related documents, 2 years; (7) Arrest History Forms, Indefinite; (8) Investigation Control Forms, Indefinite; (9) Arrest History Forms, Indefinite; (10) Disposal of records contained in the Criminal Investigation Information System is by burning.

System manager(s) and address: Assistant Director, Office of Investigations, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: In accordance with the provisions of 5 U.S.C. 552a(a) and (b), the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a(e)(4)(G).

Record access procedures: In accordance with the provisions of 5 U.S.C. 552a(j) and (k), the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a(e)(4)(H).

Contesting record procedures: See access above.

Record source categories: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a(e)(4)(I).

Systems exempted from certain provisions of the act: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.


Categories of individuals covered by the system: (a) Individuals who are now, or were previously, Secret Service employees; (b) Individuals, contractors, vendors, etc., who are presently doing business with or previously did business with the Secret Service; (c) Individuals who are involved in or were previously involved in tort claims with the Secret Service; (d) Individuals who are or previously were involved in payments (accounts receivable) with the Secret Service; (e) Individuals who have been recipients of awards.

Categories of records in the system: (a) Records containing information compiled for the purpose of pay, travel, property damage, expenses incurred other than travel, and retirement annuities and taxes; (b) Records containing information of accounts receivable and payable, involving Secret Service employees and other persons; (c) Records containing information of tort claims dealing with Secret Service property, concerning payment and accounts receivable; (d) Records containing information on the expenditures, anticipated expenditures, and budget studies of the Secret Service; (e) Records of time and attendance of work.


Routine use of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Financial Management Information System are as follows: (a) Referral to the Internal Revenue Service, U.S. Treasury, GAO, Civil Service and other Federal agencies dealing with the payment and collection of monies concerning Secret Service employees; (b) Referral to the Internal Revenue Service, U.S. Treasury, Department, Civil Service, GAO and other Federal agencies dealing with the payment, collection and audit of monies concerning persons who have financial dealings with the Secret Service; (c) To establish a means of budget projections and studies for anticipated expenses to be incurred; to record the actual expenses claimed; to analyze any unliquidated obligations at the end of the fiscal year; (d) To establish a means of responding to questions by Secret Service employees regarding their financial dealings; (e) To establish and maintain a means of gaining statistical information needed to answer inquiries from other Federal, state and local governments and Congress; (f) To establish a reporting system to Treasury, Office of Management & Budget, and the General Accounting Office for Secret Service expenditures; (g) To establish a means of payments to contractors and vendors for purchases made by Secret Service; (h) Disclosure to those officers and employees of the Secret Service and the Department who have a need for such information; (i) Protection, the performance of their duties; (j) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (k) Records maintained in the Financial Management Information System identified as having civil, criminal or regulatory nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility for investigating or prosecuting such violation or enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (l) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures in connection with the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning, the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, the issuance of a license, grant or other benefit; (m) Disclosures to a Federal agency, in response to its request, in connection with the hiring of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (n) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: All records comprising the Financial Management Information System of the U.S. Secret Service are contained in files dispersed throughout the United States, Puerto Rico, and one foreign country, (see location section of this notice and United States Secret Service Appendix A), and Secret Service Protective Divisions. Portions of the indices and information contained in the Financial Management Information System are programmed into a computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.

Retrievability: The Financial Management Information System is indexed by name and/or numbers in the Headquarters office and by names in the U.S. Secret Service field offices. (1) Disclosure of microfilm and/or microfiche located at Headquarters, Washington, D.C., Secret Service field offices geographically dispersed throughout the United States, Puerto Rico, and one foreign country, (see location section of this notice and United States Secret Service Appendix A), and Secret Service Protective Divisions. Portions of the indices and information contained in the Financial Management Information System are programmed into a computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.

Safeguards: (1) The file jackets, indices and computer containing the records systems located at U.S. Secret Service Headquarters are secured by alarms and other internal security devices in locked rooms with guards on duty for a 24 hour basis; (2) Access to the records is available only to employees responsible for records management, operational employees who have a need for such information, each of whom hold a top secret security clearance; (3) The file jackets and/or indices comprising the Financial Management Information System in U.S. Secret Service field offices and protective divisions are located in locked rooms when Secret Service employees are not on duty. Access is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Financial Management Information System is as follows: Financial Management Division automated accounting systems, Paris Field Office file and paid files are retained for six years; (2) Accounts receivable systems maintained for four years unless they are not limited to employees of the Secret Service holding top secret security clearances.

System manager(s) and address: Assistant Director, Office of Administration, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether this system contains a record pertaining to him should address his inquiry to: Staff Assistant, Freedom of Information, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information and Privacy Office, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.
Contesting record procedures: See access above.

Record source categories: Individuals or organizations requesting information pursuant to the Freedom of Information Act.

Treasury/USSS 00.005
System name: Treasury/USSS—Legal Counsel Record System.


Categories of records in the system: (a) Copies of administrative claims involving employees of the United States Secret Service; (b) Any individual who is involved in litigation against the United States Secret Service; (c) Individuals who have filed a request for remission of forfeiture of seized equipment that was seized from them during an investigation and/or arrest by the United States Secret Service.

Categories of records in the system: (a) Information relating to the preparation of reproductions of obligations of the United States including bonds, checks, coins, coupons, currencies (local and foreign), fractional notes, postage stamps (U.S. and foreign), postal money orders, and postmarks.

Authority for maintenance of the system: Office of Legal Counsel, United States Secret Service.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosures to those attorneys and employees of the Department of Justice at their request; (c) Petitions for remission of forfeiture of seized equipment; (d) Records concerning requests for information regarding the use of reproductions of obligations of the United States; (f) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant and necessary enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a license, the letting of a contract, or the issuance of a license, grant or other benefit.

Policies and practices for storing, retrieving, accessing, retainering, and disposing of records in the system: All files and indices are held indefinitely. Disposal is by destruction.

System manager(s) and address: Office of Legal Counsel, United States Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record source categories: Individuals or organizations requesting information pursuant to the Freedom of Information Act.

Treasury/USSS 00.004
System name: Freedom of Information Request System—Treasury/USSS.


Categories of individuals covered by the system: Individuals or organizations who have requested information under the Freedom of Information Act.

Categories of records in the system: (a) Correspondence relating to requests for information; (b) Documents relevant to appeals and law suits under the Freedom of Information Act.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to the public or for general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (b) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal, and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (f) For additional routine uses see Appendix AA.


Record source categories: Individuals or organizations requesting information pursuant to the Freedom of Information Act.

Treasury/USSS 00.005
System name: Treasury/USSS—Legal Counsel Record System.


Categories of records in the system: (a) Copies of administrative claims involving employees of the United States Secret Service; (b) Any individual who is involved in litigation against the United States Secret Service; (c) Individuals who have filed a request for remission of forfeiture of seized equipment that was seized from them during an investigation and/or arrest by the United States Secret Service.

Categories of records in the system: (a) Information relating to the preparation of reproductions of obligations of the United States including bonds, checks, coins, coupons, currencies (local and foreign), fractional notes, postage stamps (U.S. and foreign), postal money orders, and postmarks.

Authority for maintenance of the system: Office of Legal Counsel, United States Secret Service.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those attorneys and employees of the Department of Justice at their request; (c) Petitions for remission of forfeiture of seized equipment; (d) Records concerning requests for information regarding the use of reproductions of obligations of the United States; (f) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant and necessary enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a license, the letting of a contract, or the issuance of a license, grant or other benefit.

Policies and practices for storing, retrieving, accessing, retainering, and disposing of records in the system: All files and indices are held indefinitely. Disposal is by destruction.

System manager(s) and address: Office of Legal Counsel, United States Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record source categories: Individuals or organizations requesting information pursuant to the Freedom of Information Act.

Retrievability: The Legal Counsel Record System is indexed chronologically for administrative claims, petitions for remission and requests for access to records. Access to the system: The physical files containing litigation records is by an alphabetized listing of the case names.

Safeguards: The file jackets containing records comprising the Legal Counsel Record System located at U.S. Secret Service Headquarters, Office of Legal Counsel, are secured in a locked room with guards on duty on an around-the-clock basis. Access to the records is available only to employees responsible for record management and operational employees who have a need for such information, each of whom holds a top secret security clearance.

Retention and disposal: The retention schedule of records comprising the Legal Counsel Record System is as follows: (1) All judicial case records are retained for a period of 20 years; (2) Administrative claims, petitions for remission, and requests for information are retained indefinitely. Any disposal is by shredding and/or burning.

System manager(s) and address: Legal Counsel, U.S. Secret Service, 1800 G Street, N.W., Room 842, Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether the system contains records pertaining to him should address his inquiry to: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contestig record procedures: See access above.

Record source categories: (a) Administrative claims are filed by those individuals who believe that they have a claim against the U.S. Secret Service; (b) Individuals who are involved in legal proceedings against the U.S. Secret Service. All litigation reports are initiated by Office of Legal Counsel; (c) Individuals who are organizations who have petitioned for remission of forfeiture of seized equipments; (d) Requests for information regarding the use of reproductions from Secret Service field offices, the general public, and from professional organizations.

Trenty/USSS 00.006

System name: Non-Criminal Investigation Information System—Treasury/USSS.


Categories of individuals covered by the system: (a) Individuals who are applicants for employment with the U.S. Secret Service and other bureaus of the Department of the Treasury; (b) Individuals who are employees of the U.S. Secret Service and other bureaus of the Department of the Treasury holding security clearances granting access to classified documents and records; (c) Individuals who have filed administrative claims with the Secret Service and other bureaus of the Department of the Treasury under the Federal Tort Claims Act or who have been involved in automobile accidents or other incidents involving employees of the Secret Service and other bureaus of the Department of the Treasury resulting in tort claims against such individuals; (d) Individuals involved in investigations required in the administration of the Government Loans in Shipment Act, the Gold Reserve Act, and the Silver Purchase Act; (e) Individuals who are employees of the Secret Service and other bureaus of the Department of the Treasury who have been accused of misconduct in the performance of their duties, or who have been the subject of a complaint involving the performance of their official functions; (f) Individuals who are the subject of investigations supplied information to investigative agencies involved in criminal investigations relating to the performance by the Secret Service of its statutory and regulatory functions.

Categories of records in the system: (a) Records containing investigatory material compiled for law enforcement purposes, including but not limited to, reports of investigators relating to claims under the Federal Tort Claims Act, Government Loans in Shipment Act; the Gold Reserve Act and the Silver Purchase Act, and complaints or reports of conduct or malfeasance; (b) Records containing reports or statement of investigators, witnesses, complainants, claimants and correspondents associated with identifiable individuals.

Authority for maintenance of the system: The non-criminal investigatory authority of the U.S. Secret Service is contained in 18 U.S.C. 3065; Executive Order 10450 and Treasury Order 82 (revised January 17, 1973); and Treasury Order 173-1.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Non-Criminal Investigation Information System are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Reference or disclosure to those officers and employees of the Secret Service and the Department of the Treasury resulting in tort claims against such individuals; (d) Individuals involved in investigations relating to the performance of the Department of the Treasury resulting in tort claims under the Federal Tort Claims Act; Government Loans in Shipment Act; the Gold Reserve Act and the Silver Purchase Act and the Government Loan in Shipment Act; and employee misconduct; (e) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (f) Disclosures for the purpose of confirming and/or determining suitability, eligibility, or qualifications for Federal civilian employment or access to classified information; and for the purposes of furthering the efforts of the Secret Service to investigate the activities of individuals related to or involved in non-criminal civil and administrative investigations; (g) Disclosures to another agency or to an instrumentality of any governmental jurisdiction within or under the control of the United States for the purpose of determining suitability, eligibility, or qualifications for employment with or access to classified information; (h) Disclosures in the Non-Criminal Investigation Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general, particular, or prize statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (i) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of obtaining, or in a proceeding involving a violation of any governmental jurisdiction with or under the control of the United States, to the extent that such is necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit; (j) Disclosures to a Federal agency in response to its request, in connection with the application, or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (k) Disclosures to those officers and employees of the Department of the Treasury and other Federal, state, local or foreign agencies involved in the administration of the Freedom of Information Act and the Privacy Acts of the United States, Puerto Rico, and one foreign country. (See Location Section of this notice and United States Secret Service Appendix A.) Portion of these records and information contained in the Non-Criminal Investigation Information System, as well as portions of the computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.
Retrivia: The Non-Criminal Investigation Information System is indexed alphabetically by name in the Headquarters, Inspection, field master, and computerized indices. Access to the physical files containing the records is by case number obtained from the alphabetized name indices.

Safeguards: (1) The file jackets and indices containing the records comprising the Non-Criminal Investigation Information System located at U.S. Secret Service Headquarters and the Office of Inspection are secured by alarms and other internal security devices. Records are kept in locked filing cabinets and in locked rooms with guards on duty around-the-clock basis. Access to the records is available only to employees responsible for record management and operational employees who have a need for such information, each of whom holds a top secret security clearance; (2) The file jackets and the master field indices comprising the Non-Criminal Investigation Information System in Secret Service field offices are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Non-Criminal Investigation Information System is as follows: (1) All judicial case records are retained for a period of twenty years; (2) Applicant security and background investigation records of Secret Service employees are retained for thirty years after retirement or separation from service; (3) Applicant investigation records relating to employees of Bureau of the Treasury Department other than the Secret Service, are retained for three years; (4) All other records, the disposition of which are not otherwise specified, are retained for five (5) years. Disposal: Disposal of records contained in the Non-Criminal Investigation Information System is by burning.

System manager(s) and address: Assistant Director, Office of Investigations, 1800 G Street, N.W., Washington, D.C. 20223.

Notify the: In accordance with the provisions of 5 U.S.C. 552a (a), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a (a) (4). (G)

Record access procedures: In accordance with the provisions of 5 U.S.C. 552a (a) of the Johnson Protective Division, U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a (a) (4). (H)

Contesting procedures: See access above.

Record source categories: In accordance with the provisions of 5 U.S.C. 552a (a), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a (a) (4). (I)

Systems exempted from certain provisions of the act: In accordance with the provisions of 5 U.S.C. 552a(j) and (k), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.

Treasurer/USSS 00.007

System name: Protection Information System—Treasury/USSS.


Categories of individuals covered by the system: (a) Individuals who have been or are currently the subject of a criminal investigation by the U.S. Secret Service or other law enforcement agency for the violation of certain crimes relating to the safety of persons or the security of properties; (b) Individuals who are the subjects of investigative records and reports supplied to the Secret Service by Federal, state, and local law enforcement agencies, foreign and domestic; other governmental agencies; private institutions, and members of the general public in connection with the performance by that agency of its authorized protective functions; (c) Individuals who are the subjects of non-criminal protection and background investigations by the Secret Service or other law enforcement agencies where the evaluation of such individuals indicates a need for such investigations; (d) Individuals who have been arrested in ingress and egress to areas secured by the Secret Service, the Executive Protective Service, or to areas in close proximity to persons protected by the Secret Service, including but not limited to invitees, passholders, tradesmen, law enforcement, maintenance and service personnel; (e) Individuals who have attempted or solicited unauthorized entry into areas secured by the Secret Service; individuals who have sought an audience or contact with persons protected by the Secret Service or who have been involved in incidents or events which relate to the protective functions of the Secret Service; (f) Individuals who are witnesses, protectees, complainants, informants, suspects, defendants, fugitives, released prisoners, and correspondents who have been identified by the Secret Service or by other law enforcement agencies, governmental units, private institutions, and members of the general public in connection with the performance by the Secret Service of its authorized protective functions.

Categories of records in the system: (a) Records containing information compiled for the purpose of identifying individual criminal offenders and alleged offenders and consisting only of identifying data and notations of arrest, the nature and disposition of criminal charges, sentencing, confinement, release, and parole and probation status; (b) Records containing information compiled for the purpose of a criminal investigation, including reports of informants and investigations and associated with an identifiable individual; (c) Records containing reports relative to an individual compiled at various stages of the process of enforcement of certain criminal laws from arrest or indictment through release from supervision; (d) Records containing information supplied by other Federal, state, and local law enforcement agencies, foreign and domestic; other governmental agencies; private institutions and persons concerning individuals who, because of their activities, personality traits, criminal or mental history, or history of social deviancy, may be of interest to the Secret Service in connection with the performance by that agency of its protective functions; (e) Records containing information compiled for the purpose of identifying and evaluating individuals who may constitute a threat to the safety of persons or the security of areas secured by the Secret Service, and/or the Executive Protective Service; (f) Records containing information relating to incidents and events, such as attempted intrusions into secured areas, or activities of mentally disturbed persons demonstrating a potential harm to persons protected by the Secret Service; (g) Records containing information compiled for the purpose of background investigations of individuals, including but not limited to, passholders, tradesmen, maintenance and service personnel, who have sought appearances in the vicinity of persons protected by the Secret Service, or from close proximity to persons protected by the Secret Service and/or the Executive Protective Service; (h) Records containing correspondence, records of telephone calls, visits to and efforts to contact persons protected by the Secret Service, or by or who may be in close proximity to persons protected by the Secret Service and/or the Executive Protective Service;
Justice and other Federal, state and local governmental agencies having a protective function for the use of attorneys, magistrates, and judges in their official capacity and for the use of the United States in the exercise of its duty on an administrative and judicial basis of prosecuting, sentencing, and determining the parole and probation status of criminal offenders or suspected criminal offenders; and for civil and criminal investigative purposes of the Executive Protective Service protective functions; (d) Referral to personnel of other Federal state and local law enforcement agencies, foreign and domestic, for the purpose of developing information on subjects involved in protecting the President or the Vice President; (e) Components of the Protection Information System are located in Secret Service field offices geographically dispersed throughout the D.C. quarters, disposing of other routine uses see Appendix AA.

The agency's decision on the matter, the extent that the information is relevant and necessary to the investigation of an employee, the letting of a contract or the issuance of a security clearance, the reporting of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of other pertinent information, such as current licenses, if issued pursuant thereto; (d) Disclosures for the purpose of furthering Secret Service efforts to evaluate information pertaining to actual or suspected criminal offenders or other persons considered to be of protective interest for the purpose of investigating the activities of those persons considered to be of protective interest; (f) Referral to personnel of Federal, state, and local law enforcement agencies and other governmental agencies, foreign and domestic, where there is a showing of a reasonable need to accomplish a valid enforcement purpose; (g) Referral to personnel of private institutions and to private individuals of identifying information pertaining to actual or suspected criminal offenders or other individuals considered to be of protective interest for the purpose of furthering Secret Service efforts to investigate the validity such information; for the purpose of furthering Secret Service efforts to investigate the activities of those persons considered to be of protective interest; (i) Determination by that agency, the FPIS maintained in the Protection Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order. Pursuant thereto, Disclosures to Federal, state, and local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (k) Disclosures to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (l) Disclosures relating to criminal and civil proceedings to the news media in accordance with the guidelines contained in 28 C.F.R. 50.2; (m) For additional routine uses see Appendix AA.

Policies and practices affecting, retrieving, accessing, retaining, and disposing of records in the system:


Retrieval: The system is indexed alphabetically by name in the Headquarters, field master, protective units and computerized indices. Access to the physical files containing records is by case number obtained from the alphabetized name indices.

Safeguards: (1) The file jackets and indices containing the records comprising the Protection Information System located at the U.S. Secret Service Headquarters, in the protective units, the U.S. Secret Service Uniformed Division, the Treasury Security Force, and the Technical Security Division of the Secret Service are secured by alarms and other internal security devices in locked rooms with guards. Personnel having access to the records is available only to employees responsible for record management and operational employees who have a need for such information, each of whom holds a top secret security clearance. 2. The file jackets and the file master field indices comprising the Protective Information System in Secret Service field offices are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Protection Information System is as follows: (1) All judicial case records are retained for a period of twenty years. In cases where investigations are being made or quasi-investigations are conducted in protective intelligence cases, the retention period is computed from the date of the final checkup or quarterly investigation. In the event of a protective intelligence subject's death, the file is destroyed immediately. Otherwise the checkup and quarterly investigative files are maintained for a period of twenty years; (2) All other protective intelligence case records including protective surveys and nonjudicial protective intelligence cases are retained for a period of five years; (3) Administrative records and files on all types of physical protective activities are retained for a period of ten years; (4) Disposal of records contained in the Protective Information System is by burning.

System manager(s) and address: Assistant Director, Office of Protective Research, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: In accordance with the provisions of 5 U.S.C. 552(a) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with the provisions of 5 U.S.C. 552(c) (4) (C).

Record access procedures: In accordance with the provisions of 5 U.S.C. 552(a) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with the provisions of 5 U.S.C. 552(a) (4) (C).

Contesting record procedures: See above.

Record source categories: In accordance with the provisions of 5 U.S.C. 552(a) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with the provisions of 5 U.S.C. 552(a) (4) (l).

System exempted from certain provisions of the act: In accordance with the provisions of 5 U.S.C. 552a(q) and (k), the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.

Treasury/USSS 00.008

System name: Public Affairs Record System—Treasury/USSS.


Categories of individuals covered by the system: (a) Persons who are private citizens who correspond requesting information relating to the Secret Service; (b) Persons who are members of the news media, authors and publishers requesting information about the Secret Service; (c) Members of Congress who make inquiries with the Secret Service; (d) Persons who are recipients of the Director's Honor Award, who have received recognition from or assisted the Secret Service.

Categories of records in the system: (a) Records of inquiries received from the general public, the communication media and the press relative to Secret Service activities; (b) Records of awards presented by the Secret Service; (c) Records of Congressional inquiries and correspondence.


Routine use of records maintained in the system, including categories of users and the purposes of each use: The routine use of the records contained in the Public Affairs Records System is as follows: (a) To maintain a record of responses made to the general public, the news media, members of Congress, and other individuals who correspond with the Service; (b) To maintain a record of awards and honors given by the Secret Service and in accordance with the Freedom of Information Act (5 U.S.C. 552a) (q) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.

Treasury/USSS 00.008

System name: Public Affairs Record System—Treasury/USSS.


Categories of individuals covered by the system: (a) Persons who are private citizens who correspond requesting information relating to the Secret Service; (b) Persons who are members of the news media, authors and publishers requesting information about the Secret Service; (c) Members of Congress who make inquiries with the Secret Service; (d) Persons who are recipients of the Director's Honor Award, who have received recognition from or assisted the Secret Service.

Categories of records in the system: (a) Records of inquiries received from the general public, the communication media and the press relative to Secret Service activities; (b) Records of awards presented by the Secret Service; (c) Records of Congressional inquiries and correspondence.


Routine use of records maintained in the system, including categories of users and the purposes of each use: The routine use of the records contained in the Public Affairs Records System is as follows: (a) To maintain a record of responses made to the general public, the news media, members of Congress, and other individuals who correspond with the Service; (b) To maintain a record of awards and honors given by the Secret Service and in accordance with the Freedom of Information Act (5 U.S.C. 552a) (q) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.
tion or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or regulation or order pursuant thereto; (f) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or procuring, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (g) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, the issuance of a license, grant or other benefit; (h) Disclosures to Federal agencies, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (f) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Records comprised of the Public Affairs Record System are maintained on cards located at U.S. Secret Service, Headquarters, 1500 G Street, N.W., Washington, D.C. 20205.

Record source categories: (a) Individuals who have received awards or honors from the Secret Service; (b) Members of Congress who correspond with the Service; (c) Individuals who, have received awards or honors from the Secret Service; (d) Individuals who now or were Secret Service employees, officers of the U.S. Secret Service Uniformed Division or the Treasury Security Force; (e) Officers of the U.S. Secret Service Uniformed Division or the Treasury Security Force.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Records comprising the Public Affairs Record System are maintained on cards located at the Secret Service Office of Training, 1310 L Street, N.W., Washington, D.C. 20005, Main Treasury Building, 1500 Pennsylvania Avenue, N.W., and Beltville Training Center, Beltsville, Maryland.

Retrieval procedures: Records of the Training Information System are filed alphabetically and access to the record card is by name.

Safeguards: The Training Information System record cards located at the U.S. Secret Service Office of Training are secured in locked rooms with alarms when Secret Service employees are not on duty. Access to the records is available only to employees responsible for management of the records and operational employees who have a need for such information, each of whom hold a top secret security clearance.

Retention and disposal: Records in this System are currently maintained indefinitely.

System manager(s) and address: Assistant to the Director, Office of Training, U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Access to records procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information & Privacy Act Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: (a) Individuals who are now or were Secret Service employees, officers of the U.S. Secret Service Uniformed Division and the Treasury Security Force officers; (b) Individuals from other Federal, state and local law enforcement agencies.

Appendix A—U.S. Secret Service field offices

Federal Office Building, 517 Gold Avenue, S.W., Albuquerque, New Mexico 87103, 505—766—3306.

601 Rockwell Avenue, Cleveland, Ohio 44114, 216—522—4365.

Equitable Building, 100 Peachtree Street, N.E., Atlanta, Georgia 30301, 404—526—6111.

950 South Stadium Road, Columbus, South Carolina 29201, 803—765—5446.

Federal Office Building, 300 East 5th Street, Austin, Texas 78701, 512—397—5103.

85 Marconi Boulevard, Columbus, Ohio 43215, 614—469—7370.

Federal Office Building, 31 Hopkins Plaza, Baltimore, Maryland 21201, 301—696—2200.

1100 Commerce Street, Dallas, Texas 75202, 214—749—3961.

66 Bagby Drive, Birmingham, Alabama 35205, 205—254—1144.

1660 Lincoln Street, Denver, Colorado 80203, 303—837—3027.

5 Technology Square, Cambridge, Massachusetts 02139, 617—222—2728.


Federal Building, 111 West Huron Street, Buffalo, New York 14202, 716—842—3542.

El Paso National Bank Building, East Main Drive at Mesa, El Paso, Texas 79901, 915—543—7546.

18 USC 3056 is the statute that establishes Secret Service criminal and protective jurisdiction and training is conducted pursuant to that statute and in accordance with Treasury and Civil Service Regulations relating to training.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Training Information System are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Treasury who have a need for information in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) The system is maintained to assist in performing administrative functions of the Office of Training and is used to prepare class directories, class rosters, program evaluation reports and statistical reports, and maintain records of performance; (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Records comprising the Training Information System are maintained at the Secret Service Office of Training, 1310 L Street, N.W., Washington, D.C. 20005, Main Treasury Building, 1500 Pennsylvania Avenue, N.W., and Beltville Training Center, Beltsville, Maryland.

Retrieval procedures: Records of the Training Information System are filed alphabetically and access to the record card is by name.

Safeguards: The Training Information System record cards located at the U.S. Secret Service Office of Training are secured in locked rooms with alarms when Secret Service employees are not on duty. Access to the records is available only to employees responsible for management of the records and operational employees who have a need for such information, each of whom hold a top secret security clearance.

Retention and disposal: Records in this System are currently maintained indefinitely.

System manager(s) and address: Assistant to the Director, Office of Training, U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.


Contesting record procedures: See access above.

Record source categories: (a) Individuals who are now or were Secret Service employees, officers of the U.S. Secret Service Uniformed Division and the Treasury Security Force officers; (b) Individuals from other Federal, state and local law enforcement agencies.
System name: Consumer Complaint Letter File—Treasury/Comptroller


Categories of individuals covered by the system: Persons who file complaints against banks.

Categories of records in the system: This system contains a series of computer printouts setting forth the name, address, bank complained of, date of incoming complaint letter, attorney assigned to examine said complaint and the nature of the resolution.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Files are utilized for processing and following up on complaints to determine whether the individual has previously filed a complaint with a system or person. Additional routine uses are provided in Appendix.

Retrieval procedures: Submit requests to the Director, Public Affairs, 490 L’Enfant Plaza, SW, Washington, D.C. 20229.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: Persons filing complaints against national banks.

System name: Federal Bureau of Investigation Report Card Index—Treasury/Comptroller


Categories of individuals covered by the system: Persons who have been involved in or associated with unlawful activity respecting national banking associations.

Categories of records in the system: This system consists of alphabetical indices of the names of individuals involved in or associated with unlawful activity respecting national banks and a cross reference to pertinent Federal Bureau of Investigation Reports serving thereto.

Authority for maintenance of the system: This system is established, maintained and utilized pursuant to 12 U.S.C. sections 481, 21, 1819, and 1829, 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are utilized as a cross reference for the purpose of making determinations with respect to potential or existing violations of law. Additional routine uses are provided in Appendix.

Retrieval procedures: Submit requests to the Director, Public Affairs at the above address.

Retrieval: The records are retrieved by the name of the individual.
Safeguards: Records are maintained by the Office in files with security procedures consistent with the sensitivity of the data.

Retention and disposal: Currently there are no provisions for disposal of records contained in this system.

System manager(s) and address: Director, Enforcement Division, Law Department, Comptroller of the Currency, 490 L’Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L’Enfant Plaza, SW, Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Systems exempted from certain provisions of the act: Criminal Investigative records maintained elsewhere in the Federal Register by the Department of Justice.

Treasury/Comptroller 00.012

Categories of individuals covered by the system: Persons making request under the Freedom of Information Act.

Categories of records in the system: List of names of persons making request under the Freedom of Information Act.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal control and reference regarding Freedom of Information Act. For additional routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: Indexed alphabetically by name.

Safeguards: Unlocked file cabinet.

Retention and disposal: Perpetually.

System manager(s) and address: Director, Public Affairs, 490 L’Enfant Plaza, SW, Washington, DC 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system submitted a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L’Enfant Plaza, SW, Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: Persons making requests under Freedom of Information Act.

Treasury/Comptroller 00.013
System name: Enforcement and Compliance Information System.

Categories of individuals covered by the system: Individuals and entities involved in actual or suspected fraudulent activities.

Categories of records in the system: These records contain information identifying the individual, describing the (alleged) crime, giving the name and address of the individual, giving the National Bank Examiner (if any), reporting the known or suspected crime(s) and giving the dollar amount involved in the known or suspected crime(s). The name of the individual is the only identifier used.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in these records may be used:

a. To provide the Department of Justice with periodic reports which indicate the number, place and individual identity of outstanding potential criminal violations of the law which have been referred to that Department.

b. To provide the Enforcement and Compliance Division of the Law Department of the Comptroller of the Currency and its Regional Offices with periodic reports indicating the current status of potential criminal violations of the law which have been referred to the Department of Justice, other law enforcement agencies and state authorities for possible prosecution.

c. To provide the Comptroller of the Currency’s Office with statistical information and thereby enable it to respond to general information requests from the Congress.

d. To enable the Comptroller of the Currency to determine whether proposed shareholders, bank directors, officers or other employees of existing banks, new banks and banks, the controlling ownership of which is changing, have been convicted of a criminal offense involving dishonesty or breach of trust which might endanger the bank whose stock they wish to purchase or for which they wish to work.

Other routine uses are set forth in Department of the Treasury Appendix: Additional Routine Uses, 43 FR 42724.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records will be maintained in general correspondence files, in card files and on computer discs.

Retrievability: All records will be indexed by bank location and bank name. In addition, records on computer discs will be indexed by name of individual, known or suspected criminal code provision violated and amount of money involved.

Safeguards: Correspondence files are stored in the Comptroller's Conference room and may only be retrieved by authorized personnel. Card files will be stored in lockable file cabinets. Computer discs will be accessible only to authorized personnel.

Retention and disposal: Records will be retained no longer than fifty years.

System manager(s) and address: Director, Enforcement and Compliance Division, Law Department, Comptroller of the Currency, Administrator of National Banks, 490 L’Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: This system is exempt from notification requirements under 5 U.S.C. 552(a)(2) of the Act as a criminal enforcement system.

Record access procedures: Same as Notification above.

Contesting record procedures: Same as Notification above.

Record source categories: Examinations of national banks by national bank examiners; investigations performed by attorneys in the Enforcement and Compliance Division, and notifications from the Department of Justice, other Federal law enforcement agencies, and State law enforcement authorities.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/Comptroller 00.014

Categories of individuals covered by the system: Employee of Comptroller of the Currency.

Categories of records in the system: Compilation of material for a determination regarding security clearance.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In the Personnel Management Division, the routine uses are associated with the employee's position. Used only by authorized security-cleared employees within the division. Investigations are used to properly grant clearances. For additional routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked steel file cabinets and a safe with combination lock.

Retrievability: Filed in alphabetical order by geographical location.

Safeguards: Combination locks are changed on a regular basis and are accessible only to authorized personnel.
Retention and disposal: Materials are retained for one month after employee leaves and the records are disposed by shredding.

System manager(s) and address: Director of Personnel, Comptroller of the Currency, 490 L’Enfant Plaza, S.W., Washington, DC 20219.

Notification procedure: Individuals wishing to be notified if they are named in or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) The identity of the Comptroller of the Currency or a past or present member of its staff who is a party or otherwise involved in an official capacity; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L’Enfant Plaza, S.W., Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Systems exempted from certain provisions of the act: Portions of this system are exempted elsewhere in the Federal Register due to the investigative nature of the record.

Treasury/Comptroller 00.221
System name: Treasury/Comptroller—Professional Qualifications Records for Municipal Securities Principals and Municipal Securities Representatives.


Categories of individuals covered by the system: Persons who are or seek to be associated with a municipal securities dealer which is a national or District of Columbia bank, or a department, division, or subsidiary of any such bank, in the capacity of municipal securities principals or municipal securities representatives.

Categories of records in the system: These records contain identifying information, detailed educational and occupational histories, certain professional qualifications examination information, disciplinary histories, and information concerning the termination of employment of individuals covered by the system. Identifying information includes names, address history, date and place of birth, and may include social security number.


Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in these records may be subject to the following uses:

(a) Referral to the appropriate authority, whether Federal, State, local, or foreign, or to the appropriate self-regulatory organization, of such information as may indicate a violation of potential violation of law, regulation or rule.

(b) Referral to appropriate courts, magistrate, or administrative law judge of such information as may be relevant to proceedings before any such court or judicial officer.

(c) Disclosure of such information as may aid in the resolution of any action or proceeding:

(1) In which the Federal securities or banking laws are at issue; or

(2) In which the propriety of any disclosure of information contained in the system is at issue; or

(3) To which the Comptroller of the Currency or a past or present member of its staff is a party or otherwise involved in an official capacity.

(d) Disclosure to a Federal, State, local, or foreign governmental authority, or to a self-regulatory organization, of such information as may be necessary to obtain from such authority or organization additional information concerning the qualifications of an individual covered by the system.

(e) Disclosure of such information as may be necessary to respond to a request from a Federal, State, local, or foreign governmental authority, or from a self-regulatory organization, for information needed in connection with the issuance of a license, granting of a benefit, or similar action needed in connection with the issuance of a license, granting of a benefit, or similar action.

(f) Disclosure of such information as may be necessary to respond to any Congressional inquiry undertaken at the request of an individual covered by the system.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and on computer memory discs.

Retrievability: Records are indexed by name of individual.

Safeguards: File folders are stored in lockable metal cabinets and computer memory discs are accessed only by authorized personnel.

Retention and disposal: Records may be maintained indefinitely. Disposal is by normal methods.

System manager(s) and address: Deputy Comptroller for Banking Operations, Comptroller of the Currency, 490 L’Enfant Plaza East SW., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Same as notification procedure.

Contesting record procedures: Same as notification procedure.

Record source categories: Those individuals and municipal securities dealers described in the section entitled Categories of Individuals Covered by the System provide the bulk of the information in the system. Additional input is provided by Federal, State, local, and foreign governmental authorities, and by self-regulatory organizations, which regulate the securities industry.

Treasury/CC 300
System name: Administrative Personnel System


Categories of records in the system: Employment related administrative records. This system contains in house control type information about employees. The type of records contained within this system are: list of individuals who are issued drivers’ licenses, building passes, credit or identification cards, parking permits, accountable property and travel documents; lists of individuals who perform special duty duties (building wardens, relief teams, safety officers, and civil defense officers); reports about individuals who are involved in accidents while employed.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing to obtain more detailed information should write to the Director, Public Affairs, 490 L’Enfant Plaza, S.W., Washington, D.C. 20219.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: A record in this system may be disclosed as a routine use to: (1) The Civil Service Commission (CSC) for the purpose of complying with regulations issued by the CSC; (2) The General Services Administration (GSA) for the purpose of complying with regulations issued by the GSA; and (3) The Department of Labor for the purpose of investigation with regard to labor disputes and work related injuries. (See Appendix for additional routine uses.)

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, magnetic tape, punch cards, micro-film lists and departmental directories.

Retrievability: Records are retrieved primarily by name (filed alphabetically by category of records); secondary identifiers are used to assure accuracy (date of birth, social security number or employee identification number).

Safeguards: Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: Personnel type records are retained for 30 days after termination. All other records are retained and destroyed in accordance with published disposition and retention schedules. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L’Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L’Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Same as notification procedure.

Contesting record procedures: Same as notification procedure.

Record source categories: Those individuals and municipal securities dealers described in the section entitled Categories of Individuals Covered by the System provide the bulk of the information in the system. Additional input is provided by Federal, State, local, and foreign governmental authorities, and by self-regulatory organizations, which regulate the securities industry.
Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: The information in these records was provided by or verified by the subject of the record, employers and co-workers.

Categories of records in the system: Present and past employees.

System name: Fiscal Personnel System

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Categories of records in the system: This system is typically identified as payroll or disbursement type records and contains information about employees including, but not limited to, the following:
- award, allowance, salary, and fund advancement justification and disbursement records;
- payroll deductions for taxes, life and health insurance, financial institutions, retirement funds and charitable organizations;
- military service awards and recognitions;
- insurance, financial institutions, retirement funds and charitable organizations;
- work evaluations; and
- education, employment, medical and military histories; locality and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities, and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Retention and disposal: Personnel type records are retained for varying periods of time. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Record access procedures: Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided by or verified by the subject of the record, employers and co-workers.

Categories of records in the system: Employment related biographical and performance material. This category of records is typically identified as personnel type records and contains data about employees including applicants. The type records found within this category include work evaluations; education, employment, medical and military histories; locality and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities, and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Retrievability: Records are retrieved primarily by name (filed alphabetically by category of records). Secondary identifiers are used to assure accuracy (date of birth, social security number or employee identification number).

Safeguards: Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: Personnel type records are retained for 30 days after termination, at which time they are transferred to the General Services Administration or destroyed. Some records are retained for varying periods of time. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Record access procedures: Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided by or verified by the subject of the record, employers and co-workers.

Categories of records in the system: Appeal and Grievance Records. This category of records is typically identified as personnel type records and contains data about employees including applicants. The type records found within this category include work evaluations; education, employment, medical and military histories; locality and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities, and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, date of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided by or verified by the subject of the record, employers and co-workers.

Categories of records in the system: Employment related biographical and performance material. This category of records is typically identified as personnel type records and contains data about employees including applicants. The type records found within this category include work evaluations; education, employment, medical and military histories; locality and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities, and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Retrievability: Records are retrieved primarily by name (filed alphabetically by category of records). Secondary identifiers are used to assure accuracy (date of birth, social security number or employee identification number).

Safeguards: Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: Personnel type records are retained for 30 days after termination, at which time they are transferred to the General Services Administration or destroyed. Some records are retained for varying periods of time. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Record access procedures: Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided by or verified by the subject of the record, employers and co-workers.

Categories of records in the system: Appeal and Grievance Records. This category of records is typically identified as personnel type records and contains data about employees including applicants. The type records found within this category include work evaluations; education, employment, medical and military histories; locality and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities, and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, date of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided by or verified by the subject of the record, employers and co-workers.

Categories of records in the system: Employment related biographical and performance material. This category of records is typically identified as personnel type records and contains data about employees including applicants. The type records found within this category include work evaluations; education, employment, medical and military histories; locality and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities, and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations, and Executive Orders. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.
Authority for maintenance of the system: Treasury Department Order No. 13 established the Division of Savings Bonds under the office of the Fiscal Assistant Secretary, April 1941.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Sales Office of the Savings Bonds Division, Department of the Treasury, maintains in its system of records detailed information pertaining to each of the specific categories listed in Categories of Individuals. Routine use of the various files enables Personnel to have ready access to applicants for hiring purposes, placement and to aid in assessing the need for employee training and promotion. Necessary information about an individual in that capacity is also provided to the Federal Personnel Offices where a Division employee is under consideration for a position in another Federal Agency. Also, other records carry accrual information that permits annual reviews on an employee's performance. All information is used solely for the purpose for which it was collected which serves as a general internal source bank. The Budget and Fiscal section of the Savings Bonds Division maintains in its record system data pertaining to each of the identifiable categories listed in Categories of Individuals. This information permits the Section to make employee payroll reconciliations, budget forecasts, check and bond issuance verifications, internal audits, prepare earning statements and document payments of employee travel claims. Disclosures may be made to a Congressional Office from the record of an individual in response to an inquiry from the Congressional Office made at the request of that individual. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: All records in Personnel, with the exception of card files, are stored in metal correspondence files, indexed by individual names and numbers. Files are maintained in separate and secure offices according to the nature or content of data. Files are accessible to Personnel employees and are locked at the close of each day. Records pertaining to personal historical data are maintained for two years and are then sent to the Federal Records Center. The records of a few nature are destroyed after the two-year period. Storage of financial information is maintained on computer printouts supplied by Bureau of the Mint. Time and attendance reports and travel vouchers of employees are stored in separate files and are accessible by name and social security number. All payroll and time and attendance records are retained between audit periods (normally two years) and then destroyed by shredding. Travel vouchers are maintained on file for three years after audit and then sent to the Federal Records Center.

System manager(s) and address: Director of Administration, Department of the Treasury, Savings Bonds Division, 1111 20th Street, N.W., Washington, D.C. 20226.

Notification procedures: Records that are housed by the Savings Bonds Division are not of a nature that would warrant specific guidelines for accessibility. An individual may request access to his record or any information pertaining to him by merely notifying the office or officer in charge. The individual, however, must be under the supervision of a Savings Bonds officer during the entire time he has this information in his possession.

Record access procedures: See System Manager and Notification above.

Contesting record procedures: See System Manager and Notification above.

Record source categories: Data accumulated in the record system at Savings Bonds are gathered from the individual and/or from sources directly connected with the volunteer's appointment.

System name: Savings Bonds Sales Record System—Treas/USSSBD.

System location: Savings Bonds Division, Department of the Treasury, 1111 20th Street, N.W., Washington, D.C. 20226; and/or Regional Offices.

Categories of individuals covered by the system: Blue Ribbon Target Cards, Blue Ribbon Target Status Control Sheets, Field Call Reports, Itineraries, Net Saver Reports, Payroll Savings Reporting Procedures Forms, Progress Summaries, Time and Attendance Records, Training Records, Work Plans, Site Reports, County Chairman, State and Volunteer Fund Lists, “Take stock in America” Records, Telephone calls, Volunteer Bio's.

Categories of records in the system: Routine lists and administrative data associated with sales.

Authority for maintenance of the system: Treasury Department Order No. 13 established the Division of Savings Bonds under the office of the Fiscal Assistant Secretary, April 1941.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Sales Office of the Savings Bonds Division houses records of individual sales plans and program plans and regional reports for the purpose of coordinating plans for smoother operation of field work. Final plans are disseminated to field and/or volunteer offices for implementation. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Records of this nature are filed in large notebooks and are indexed according to individual names, regions, states and states. Notebooks are placed on shelves and do not warrant tight security. High priority records are kept for long periods as references, others are destroyed after a two year period.

System manager(s) and address: Director of Sales, Department of the Treasury, Savings Bonds Division, 1111 20th Street, N.W., Washington, D.C. 20226.

Notification procedures: Records that are housed by the Savings Bonds Division are not of a nature that would warrant specific guidelines for accessibility. An individual may request access to his record or any information pertaining to him by merely notifying the office or officer in charge. The individual, however, must be under the
supervision of a Savings Bonds officer during the entire time he has this information in his possession:

- Record access procedures: See Notification and System Manager.
- Contesting record procedures: See Notification and System Manager.
- Record source categories: Data accumulated in the sales record system at Savings Bonds are gathered from the individual and/or from sources directly connected with the employee's appointment.

DEPARTMENT OF THE TREASURY
Appendix AA
Additional Routine Uses

In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating—or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

A record maintained by this agency to carry out its functions may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of license, grant or other benefit, provided that disclosure is compatible with the purpose for which such record was collected.

A record maintained by this agency to carry out its functions may be disclosed as a routine use to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter, provided that disclosure is compatible with the purpose for which such record was collected.

A record maintained by this agency to carry out its functions may be disclosed in response to a court subpoena, to appropriate parties engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony when necessary to courts, magistrates or administrative tribunals, to parties and their attorneys for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, whether in connection with civil, criminal, or regulatory proceedings.

A record maintained by this agency to carry out its functions which relates to civil and criminal proceedings may be disclosed to the news media in accordance with guidelines contained in 28 C.F.R. 50.2.

A record maintained by this agency to carry out its functions may be disclosed to foreign governments in accordance with formal or informal international agreements.

A record maintained by this agency to carry out its functions may be disclosed to unions recognized as exclusive bargaining representatives under the Civil Service Reform Act of 1978, 5 U.S.C. 7111 and 7114.

A record maintained by this agency to carry out its functions may be disclosed to third parties during the course of an investigation to the extent necessary to obtain information pertinent to the investigation.

A record maintained by this agency to carry out its functions may be disclosed to a congressional office in response to an inquiry from the congressional office made at the request of the individual to whom the record pertains.

[FR Doc. 80-8493 Filed 3-20-80; 8:45 am]
BILLING CODE 4510-25-P
CETA Prime Sponsors; Intent To Reallocate Funds
DEPARTMENT OF LABOR
Employment and Training Administration

CETA Prime Sponsors: Intent To Reallocate Funds
AGENCY: Employment and Training Administration, Labor.

SUMMARY: Pursuant to 20 CFR 676.47, the Department of Labor announces its intent to reallocate funds in the following amounts from the CETA prime sponsors indicated below. The purpose of this notice is to provide 30 days notice to all interested parties of the Department's intent to reallocate these funds.

EFFECTIVE DATE: March 21, 1980.


SUPPLEMENTARY INFORMATION: A review of the following prime sponsors' actual Titles II–D and VI operations, compared to their Fiscal Year 1980 planned operations as of February 29, 1980, indicates that these prime sponsors are substantially below plan and are not effectively utilizing the funds available to them. Therefore, the Department is notifying these prime sponsors that it intends to reallocate excess funds which have accumulated due to the underperformance.

The estimated amount to be reallocated from each prime sponsor is indicated below. The prime sponsor will be given 30 days to reply to the notice of intent to reallocate and to correct identified deficiencies. The Governor of the appropriate States will also be given 30 days in which to comment on the proposed reallocations.

Signed at Washington, D.C., this 13th day of March 1980.

Charles B. Knapp,
Deputy Assistant Secretary for Employment and Training.
Part VII

Department of Justice

Law Enforcement Assistance Administration

Juvenile Justice and Delinquency Prevention, Requests for Comments on the Proposed LEAA Guideline: Capacity Building
DEPARTMENT OF JUSTICE

Law Enforcement Assistance Administration.

Requests for Comments on the Proposed LEAA Guideline: Capacity Building

AGENCY: Law Enforcement Assistance Administration (LEAA), Justice.

ACTION: Request for Public Comment.

SUMMARY: Notice is hereby given that the Office of Juvenile Justice and Delinquency Prevention, Law Enforcement Assistance Administration, pursuant to the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, 42 U.S.C. 5601, et. seq., proposes to issue an additional National Priority Program and Discretionary Program Announcement, published in the Federal Register on February 15, 1980 (45 FR 10702). It will not in any way impact upon the programs or regulations presently set out in that announcement or affect the eligibility of those individuals applying for previously announced programs.

SUPPLEMENTARY INFORMATION: The Office of Juvenile Justice and Delinquency Prevention (OJJDP) is inviting interested persons to comment on the proposed guideline and will consider all such written comments before the final publication of this guideline. The period for public comment on this proposed guideline is 30 days. This 30 day external review period was approved by Homer F. Broome, Acting Administrator of LEAA, because it is in the best interest of the public to provide for award of grants before October 1, 1980. After development of the final guideline, which is expected to be published in the Federal Register in Spring 1980, it is anticipated that interested persons will have approximately 45 days to develop concept papers.

This notice and opportunity to submit written views and comments is pursuant to Executive Order No. 12044, Improving Government Regulations, to ensure that interested organizations, agencies, and individuals have an opportunity to review the proposed guideline. Interested persons are invited to submit written comments or suggestions to Ms. Roberta Dorn, Office of Juvenile Justice and Delinquency, 633 Indiana Avenue, NW, Room 422, Washington, DC 20531, on or before April 24, 1980, Miss Dorn's telephone number is 202/724-7755.

This notice is a component of OJJDP's efforts to improve the juvenile justice system and to develop and support programs which will provide alternatives to incarceration for detained or adjudicated delinquents; provide services for delinquents and other youth to help prevent delinquency; improve the capability of public and private agencies and organizations to provide services for delinquents and other youth to help prevent delinquency; facilitate the adoption of the recommendations of the Advisory Committee and the Institute as set forth pursuant to Section 247; and have an opportunity to review the proposed guideline. Interested persons are invited to submit written comments or suggestions to Ms. Roberta Dorn, Office of Juvenile Justice and Delinquency, 633 Indiana Avenue, NW, Room 422, Washington, DC 20531, on or before April 24, 1980, Miss Dorn's telephone number is 202/724-7755.

Ira M. Schwartz, Administrator, Office of Juvenile Justice and Delinquency Prevention.

Office of Juvenile Justice and Delinquency Prevention Program Announcement; Capacity Building

A. Purpose

Pursuant to Section 224(a) of the Juvenile Justice and Delinquency Prevention Act (JJDPA) of 1974, as amended, the Office of Juvenile Justice and Delinquency Prevention (OJJDP) is sponsoring a program designed to increase the capacity of State and local governments, public and private youth-serving agencies, and indigenous neighborhood organizations or community groups, to prevent delinquency, develop and utilize alternative to the juvenile justice system, and improve the administration of juvenile justice. This Capacity Building Program consists of two separate and distinct components, each of which is described below.

B. Program Components

1. Innovative Techniques

a. Component Description. OJJDP is interested in receiving concept papers which propose to develop, maintain or expand the activities outlined in Section 224(a) of the Juvenile Justice and Delinquency Prevention Act of 1974, as amended. These activities include:

(1) Develop and implement new approaches, techniques, and methods with respect to juvenile delinquency programs;

(2) Develop and maintain community-based alternatives to traditional forms of institutionalization;

(3) Develop and implement effective means of diverting juveniles from the traditional juvenile justice and correctional system, including restitution projects which test and validate selected arbitration models, such as neighborhood courts or panels, and increase victim satisfaction while providing alternatives to incarceration for detained or adjudicated delinquents;

(4) Improve the capability of public and private agencies and organizations to provide services for delinquents and other youth to help prevent delinquency;

(5) Facilitate the adoption of the recommendations of the Advisory Committee and the Institute as set forth pursuant to Section 247;

(6) Develop and implement, in coordination with the Commissioner of Education, model programs and methods to keep students in elementary and secondary schools and to prevent unwarranted and arbitrary suspensions and expulsions and to encourage new approaches and techniques with respect to the prevention of school violence and vandalism;

(7) Develop and support programs stressing advocacy, alternatives aimed at improving services to youth impacted by the juvenile justice system;

(8) Develop, implement, and support, in conjunction with the Secretary of Labor, other public and private agencies and organizations and business and industry programs for youth employment;

(9) Improve the juvenile justice system to conform to standards of due process;

(10) Develop and support programs designed to encourage and enable State legislatures to consider and further the purposes of this Act, both by amending State laws where necessary, and devoting greater resources to those purposes; and

(11) Develop and implement programs relating to juvenile delinquency and learning disabilities.

b. Procedures for Reviewing Concept Papers. Concept papers must propose strategies for employing one or more of the techniques contained in Paragraph (a) above. Concept papers will be reviewed competitively by the staff of OJJDP on a semi-annual basis. Each concept paper will be rated according to the following criteria, each of which will have equal weight:

1. Significance of the problem to be addressed.

2. Clarity of stated goals, objectives and a plan for implementation.

3. Qualifications of the proposer and capability to conduct the project.

4. Extent to which the planned program outcomes are measurable.

5. Extent to which the program concept and strategy introduces new ideas and/or techniques and their originality.

6. Assessment of need for implementation or maintenance of the project.

7. Relationship of the activity to the established priorities of OJJDP.

For those concept papers which receive the highest overall ratings, proposers will be invited by OJJDP to submit a formal discretionary grant application. Such invitations, however, do not indicate a commitment by OJJDP to fund the project.

c. Eligible Applicants. Public and private agencies, organizations, institutions, and individuals are eligible to apply for funds under this component.

d. Duration and Size of Grants. OJJDP intends to set aside approximately $4 million for this component for award in the first six-month funding cycle. Grants will be awarded for a two-year period in
an amount not to exceed $300,000 per year.

2. Neighborhood or Community Projects— a. Component Description. OJJDP is interested in receiving concept papers from indigenous neighborhood organizations and community groups which propose strategies for preventing delinquency and providing youth services in communities with high rates of youth unemployment, school dropout and juvenile delinquency. The purpose of this component is to identify and support promising program concepts whose primary target population is high risk and minority youth, and their families. Examples of programmatic concepts which OJJDP considers appropriate under this component include, but are not limited to, gang intervention; neighborhood youth activities which also involve the children's parents, block clubs which focus on the resolution of youth-related problems, and youth coalition projects such as the St. Joseph County, Indiana youth participation program.

b. Procedures for Reviewing Concept Papers. All concept papers submitted for this component should include a brief description of the applicant agency, organization or group and the qualifications of staff to implement the project. In addition, concept papers should briefly indicate the characteristics of the project clients, including the age, racial/ethnic characteristics, economic level, and official delinquency rates of the target jurisdiction.

Concept papers must propose strategies for addressing juvenile delinquency within neighborhoods and communities. Concept papers will be reviewed semi-annually by OJJDP staff. Each concept paper will be rated according to the following criteria, each of which will have equal weight:

1. Significance of the juvenile delinquency problem in the target community.
2. Clarity of stated goals, objectives, and a plan for implementation.
3. Extent to which the concept paper focuses on primary community or family groups.
4. Capability of the proposer to conduct the project.
5. Extent to which the planned program outcomes are measurable.
6. Extent to which the concept paper includes youth as advisors, planners or participants in the development of the project.
7. Relationship of the proposer to the community.
8. Assessment of need for implementation or maintenance of the project.

For those concept papers which receive the highest overall ratings, proposers will be invited by OJJDP to submit a formal discretionary grant application. Such invitations, however, do not indicate a commitment by OJJDP to fund the project.

c. Eligible Applicants.

Indigenous neighborhood organizations and community groups are eligible to apply for funds under this component. All applicants must be incorporated non-profit organizations. Small neighborhood groups which intend to participate in a coalition model with an incorporated applicant, need not be incorporated themselves.

d. Duration and Size of Grants.

OJJDP intends to set aside approximately $2 million for this component for award in the first six-month funding cycle. Grants will be awarded for a two-year period and will range from $50,000 to $150,000 per year.

C. Submission Procedures

This Announcement is for the first semi-annual Capacity Building cycle. This Announcement will be republished to notify interested persons of the requirements and due dates for the second Capacity Building cycle.

For the first cycle, one original and two copies of each concept paper must be delivered or mailed to OJJDP no later than 8:45 AM on Friday, March 21, 1980. All concept papers which are mailed must be postmarked no later than this date. Concept papers should not exceed ten (10) typed single-space pages with one additional page for a brief budget projection. The authors of approved concept papers will be notified by OJJDP of its desire to receive a formal project application. At that time, potential applicants will be notified of all application submission requirements. The selection criteria which will be applied to formal applications will generally be the same as those cited in this announcement; however, they will be more detailed. Any new criteria that are applied to applications will specifically refer to cost considerations and adherence to standard LEAA application requirements.

All concept papers must clearly specify under which of the two program components the concept paper is being submitted. For further information, potential proponents may call or write OJJDP. Please contact:


FR Doc. 80-6672 Filed 3-20-80; 8:45 am]
BILLING CODE 4410-14-M
Part VIII

Department of Health, Education, and Welfare

Office of Human Development Services

Announcement of Availability of Grant or Cooperative Agreement Funds for Crosscutting Research and Demonstration Program
DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Office of Human Development Services

[Program Announcement No.13847-801]

Cooperative Research and Demonstration Projects

AGENCY: Office of Human Development Services, HEW.

SUBJECT: Announcement of Availability of Grant or Cooperative Agreement Funds for the HDS Crosscutting Research and Demonstration Program.

SUMMARY: The Office of Human Development Services (HDS), Office of Planning, Research, and Evaluation (OPRE) announces that competing applications will be accepted for new research and demonstration grants and cooperative agreements authorized by Sections 1110 and 1115 in Title XI of the Social Security Act, as amended. These grants and cooperative agreements implement the third year of the Crosscutting Research and Demonstration Program which was established in October 1977 through the issuance of the HDS Research, Demonstration, and Evaluation (RD&E) Guidance for Fiscal year (FY) 1978. This Guidance set aside new R&D funds from the HDS Program Administrations—Aging; Children, Youth, and Families; Native Americans; Public Services and Rehabilitation Services (now designated the National Institute for Handicapped Research and being administratively relocated into the new Department of Education; the Developmental Disabilities Office will remain in HDS)—for implementing research and demonstration activities of a cross-program nature. In FY 1979 crosscutting R&D projects were again funded from a consolidated pool of funds from four R&D programs. Three other programs—Administration on Aging, National Center for Child Abuse and Neglect and Vocational Rehabilitation—which were restricted from transferring funds by FY 1978 amendments, directly funded some projects related to crosscutting priorities. However, for FY 1980 Congress specifically increased the appropriation for Sections 1110 and 1115 in Title XI of the Social Security Act to cover crosscutting R&D activities. Consequently, all new priority and investigator initiated crosscutting research and demonstrations will be funded from this source in FY 1980.

DATES: The closing date for the receipt of applications May 20, 1980.

Scope of this Program Announcement

This program announcement discusses research and demonstration funding areas, including both priority and investigator initiated projects, for FY 1980 which support OPRE’s crosscutting R&D goals. There will be additional program announcements in FY 1980 authorized under Sections 1110 and 1115 in Title XI of the Social Security Act by the following HEW agencies: Administration for Public Services, Office of Human Development Services; Health Care Financing Administration; and Social Security Administration.

Program Purpose

Grants and cooperative agreements funded by OPRE are for domestic and international research or demonstration projects which cut across the subject areas and target populations of HDS program administrations. These grants and cooperative agreements aid in effecting coordination of planning between private and public service agencies; and improving administration and effectiveness of programs administered or assisted under the Social Security Act, or related programs for the aged, developmentally disabled, children, youth, families and Native Americans. Cooperative agreements are discussed under Financial Assistance, Section 5 of the FY 1980 Application Process instructions in this announcement.

Program Goals and Objectives

The thrust of OPRE’s crosscutting research and demonstration program is to encourage the analysis of issues from a broad problem or generic perspective rather than within the boundaries established by categorical legislation. The purpose of the program is to provide information on issues which have implications for more than one HDS program in the areas of management, delivery of services and intergovernmental roles and responsibilities to meet the needs of HDS target populations—low income individuals and families, aged, children, youth, developmentally disabled and Indians. These issues include:

1. Issues involving multiprogram or political jurisdiction based analysis of service strategies or performance of functions.
2. Issues requiring tests or assessment of integrated program models.
3. Issues arising from emerging national problems which do not fall within the purview of a particular program.
4. Issues relating to the assumptions about human behavior and/or development underlying HDS legislation and programs.
5. Issues included to the need for improving the efficiency of human services program administration at Federal, State and local levels.

Program Priorities for Funding

Applications are solicited in FY 1980 for projects which address the previously stated OPRE crosscutting objectives. In response to these objectives, OPRE has specified the priority projects described in detail in the Application Kit. These priority projects are identified by a number in parentheses after each project title. This number must be used on all applications and correspondence which relate to the application. Prospective applicants should utilize the more detailed material in the kit before preparing proposals. Investigator initiated proposals, which respond to OPRE’s crosscutting objectives, may also be submitted. These applications will be designated nonpriority and subject to the same panel review process as priority projects. Such proposals should offer a significant technical contribution and show strong relevance to the crosscutting R&D program’s goals and objectives. They will compete against all of the other nonpriority proposals and a limited number may be funded from a set-aside for investigator initiated projects subject to funds availability.

Priority Projects

"Assessment, Documentation and Transfer of Local Government Programs for Enhanced Use of Voluntary, Business and Other Alternatives to Public Financing of Services" (OPRE-80-001).

The purpose of this project is to assess, document and facilitate the transfer of certain natural experiments involving local governments which were designed to deal with social welfare problems through measures minimizing increased public expenditures. The types of natural experiments which the grantee is expected to assess, document, and where appropriate, design and test transfers are programs which: 1) effectively utilize volunteers in such aspects of public programs as public information, legal, service delivery and monitoring; 2) rely on advocacy on behalf of target populations in relation to other systems including taxes, housing, education, employment, transportation, health and communication; 3) enlist business techniques, evaluation, technical assistance to provide cities assistance.
with long-range financial planning, use of technology, training, and modifications of facilities planning and utilization of facilities; and 4) community action to avoid court ordered solutions to problems of deinstitutionalization, discrimination, and access. Questions which the project may address are: How can alternative activities (i.e., use of volunteers, business skills, coordinated public, private and natural client pathways, natural helping networks and advocacy activities) be identified and stimulated? How is increased usage of volunteers promoted in cities and counties? What can local government officials do to stimulate self-help groups? How can business skills be applied within public organizations to increase the efficiency and effectiveness of public services? How can local government officials organize services so as to maximize emphasis upon the natural helping network? Through increased advocacy and service coordination, can less costly means of service provision be defined? Finally, are any of these various approaches amenable to technology transfer? How much and what kind of technical assistance would be necessary for the recipient locality?

"Quality Assurance With a Focus on Applications of Experience in Health Systems to Social Services Programs" (OPRE-80-002).

This project is to analyze findings in the health sector on the costs and the effects of quality assurance programs, to examine comparable situations in the social services sector, to determine the appropriateness and feasibility of transferring health sector mechanisms to the social services area, and assess the adequacy of the knowledge base which led to the development of the Federal or State mandates of specific quality assurance measures in human development service programs.

This project will review successes and failures in the health care and social services areas and will determine whether some of the more developed health care quality assurance programs include mechanisms or techniques which can be transferred to the social services area. Some of the questions which must be addressed include: What is the documented and comparative impact of health assurance mechanisms such as licensing, facilities standards, requirement for program composition, required operational procedures, accreditation, etc.? To what extent are incentives being used or could be used as an alternative technique for quality assurance? What are the aspects of human development programs which provide parallel opportunities for application of such quality assurance techniques? What are the factors which need to be considered in preparing for transfer of health systems quality assurance techniques to human development programs—such as licensing preferable? Should professional review teams be multidisciplinary? What impact does organizational structure have on quality assurance programs? What can the social services area learn from the mandated relationships between PSROs and Medicare Fiscal Intermediaries and Carriers, State Medicaid Agencies, End Stage Level Disease Medical Review Boards, State Governor’s Offices, and Health Systems Agencies? Can successes in these areas be applied to social services human development services?

"Research Development in Historically Black Institutions of Higher Education" (OPRE-80-003).

The thrust of this project is to develop the capabilities of Historically Black Colleges (HBCs) to access and compete successfully for HHS’s discretionary funds. This will be achieved through a multipronged effort directed at:

1. Assessing processes by which HBC’s obtain information about HHS programs.
2. Analyzing how HBCs participate in the grant and contract processes.
3. Describing HBCs which have been successful and unsuccessful in the grants and contracts process.

This effect would document in detail the above with the following expected results:

1. Provide assistance to historically black institutions in expanding their capabilities for competing successfully for non-traditional funds (e.g., research and demonstration).
2. Increase research and demonstration activities by HBCs, relative to human service areas.
3. Provide assistance to historically black institutions as a national reservoir of knowledge and skills equipped to respond to the Federal grants and contracts process.

The long range goal would be for HBCs to have a permanent mechanism for accessing HHS’s discretionary funding process.


The purpose of the proposed project is to examine the emerging role of State governments in promoting nonservice policy approaches to social welfare problems by State and local governments and to assess the effectiveness and impact of these approaches. The nonservice approaches include: modification of regulations, tax policy change, administrative reform, collaboration with the private sector, promotion of self-help, and use of advocacy. The fundamental research questions concern three elements of State-level nonservice approaches which are to be examined in the proposed multi-State project, namely: (1) to what degree do State laws and regulations constrain local power to implement nonservice approaches in addressing social welfare problems; (2) what is the extent, process, effectiveness and impact of State use of nonservice tools on social welfare issues? In addition, the project is expected to identify the factors which influence the efficacy of each nonservice approach and would impact on its replication in other environments.

Eligible Applicants

Section 1110 Grants and Cooperative Agreements

Under this announcement any State, public, or nonprofit organization or agency may apply for an 1110 grant or cooperative agreement.

Section 1115 Grants and Cooperative Agreements

Under Section 1115, applications for grants or cooperative agreements may be made only by a State agency designated as the single State agency for a Social Security Act Title XX program. OPRE encourages State and local community multiprogram human service agencies, foundations, and universities to develop joint applications, thereby promoting a comprehensive approach to resolving the complex issues involved in developing and administering human service programs.

Available Funds

The Office of Planning, Research, and Evaluation expects to award approximately $700,000 in FY 1980 for new grants and cooperative agreements funded under Sections 1110 and 1115 of Title XI of the Social Security Act, as amended. A new grant or cooperative...
agreement is the initial award made in support of a project requested in an application. It is expected that about four new domestic and international grants or cooperative agreements will be awarded pursuant to this announcement. Generally, the projects will be supported for periods from one to three years. If additional funds become available, they will be used for investigator initiated projects. Although the average award is expected to be $125,000, the size of awards may range from $75,000 to $200,000. Awards averaged $85,000 in FY and $105,000 in FY 1979.

Project start-up dates will vary from July 15, 1980 through September 30, 1980. The funds provided in the initial grant award or notice of financial assistance will sustain the Federal share of the budget for the first year of the project. Support for any time remaining in the project period is dependent upon funds available and satisfactory performance.

In fiscal year 1978 and FY 1979, approximately 175 research applications and 93 demonstration applications respectively were accepted by OPRE for review and evaluation. For both years, there were three major thrusts for the crosscutting R&D effort: 1) the relationship between employment and support services; 2) the planning, coordination, delivery, quality assurance and administration of human services at the local level; and 3) the issue of relation of health activities to human services. Twenty-six (26) R&D grant awards were made in FY 1978 and five (5) in FY 1979.

**Applicant Share of the Project**

**Section 1110**

Applicants receiving financial assistance to conduct projects are expected to contribute some portion of the project costs for each year for which funding is requested. Five (5) percent is generally considered acceptable. No Section 1110 grant or cooperative agreement award will cover 100 percent of project costs.

**Section 1115**

Special Federal project grant or cooperative agreement funds received under Section 1115 are available to be used as the single State agency matching funds to obtain regular Federal share funds. It should be noted that except for training components of a project, the regular Federal share funds must come from the Social Security Act, Title XX allotment. Applicants are encouraged to contribute some portion of the project costs for each budget category requiring Federal funds. One (1) percent is generally considered acceptable.

**Fiscal Year 1980 Application Process**

1. **Availability of FY 1980 Application Forms.** Application kits which contain the prescribed forms and supplemental descriptive project information for FY 1980 projects are available from: Ms Mary Jane Cronin, Chief, Domestic Research Group, Division of Research, Office of Planning, Research, and Evaluation, Office of Human Development Services, Room 3744 HEW North Building, 330 Independence Avenue, S.W. [Attention 13847–701], Washington, D.C. 20201, telephone: 202/472/7239. All written requests should contain a self-addressed mailing label to facilitate a prompt response.

2. **Application Submission.** In order to be considered for a Social Security Act, Title XI, Section 1110 or Section 1115 grant or cooperative agreement, all applications must be submitted on standard forms provided for this purpose by OPRE. The application shall be executed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms of the award. Any signed application and two copies, including all cover letters and attachments, are required.

All applications should contain a self-addressed envelope to facilitate prompt notification of an award decision.

As part of the project title (Standard Form 424 page 1, Item 7), the applicant must clearly indicate whether the application submitted is in response to a priority project identified in this announcement, and must reference the unique project identifier (OPRE–80–1, OPRE–80–2, etc.) for which the application is to compete. All applications not developed in response to an OPRE priority should be labeled as investigator initiated. Applications lacking a specific OPRE project identifier, i.e., OPRE–80–1, will be considered investigator initiated and will compete accordingly.

3. **A–95 Notification Process.** Notice is not required to A–95 State and areawide clearinghouses, as the program is exempt from the requirement.

4. **Application Consideration.** The Director, Office of Planning, Research, and Evaluation, determines the final action to be taken on each application. Applications which do not conform to this announcement or are not complete will not be accepted. The applicants will be notified accordingly. Letters of support or other supplementary materials should be submitted with the application. For priority projects, supplementary materials received after the closing date will not be appended to the application.

Applications for FY 1980 priority projects which are received after the closing date will be considered investigator initiated, held for the next competitive review and competed accordingly. Investigator initiated projects may be submitted at any time. Those received after the closing date will be held until the next competitive review.

All accepted applications are subjected to a competitive review and evaluation conducted by a panel of qualified persons independent of OPRE. Subsequent to the panel review, highly rated applications will also be reviewed by Program Administrations and the Regional Office staff. The results of the competitive review combined with the program and specialist comments will assist the Director's decision.

5. **Financial Assistance.** The Director, Office of Planning, Research, and Evaluation makes financial assistance awards consistent with the purposes of the Social Security Act and this program announcement within the limits of Federal funds available. The official document is the Notice of Financial Assistance Awarded. The Notice sets forth in writing to the recipient the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period which the support covers, the total project period and the total recipient participation, if appropriate.

In accordance with the Federal Grant and Cooperative Agreement Act of 1977 (P.L. 95–244), OPRE will utilize the cooperative agreement as its basic assistance instrument when substantial involvement by OPRE is anticipated in the project activity. The cooperative relationship being contemplated will be reflected in the Notice of Financial Assistance Awarded terms and conditions. Examples of terms are:

a. HDS involvement in the selection of key recipient personnel. In some cases, this might mean that HDS would reserve the right to interview potential staff prior to hiring.

b. HDS review and approval of work to be performed through subgrants or contracts.

c. HDS review and approval of one stage of work prior to initiating any work on the subsequent stage, i.e., HDS approval of a survey instrument.

d. HDS and recipient collaboration in the project performance, including provision of specified work directions or redirection which would limit recipient discretion in the scope of services, organizational structure or staffing.
e. HDS option to immediately halt an activity, e.g., HDS stopping project activities until the demonstration site is open and operational.

Criteria for Application Review and Evaluation

Competing applications will be reviewed and evaluated against the criteria stated below.

1. Clarity of purpose, objectives, and statement of problem, relatedness to specific crosscutting priorities defined in this program announcement. (Maximum score 15 points).

2. Extent to which the proposal exhibits thorough knowledge of pertinent previous work and relates the proposed research to that work. (Maximum score 15 points).

3. Adequacy of research design and methodology, including (for demonstration projects) the evaluation plan. (Maximum score 30 points).

4. Adequacy of the work plan; adequacy of the organizational structure; evidence of commitment by organizations and agencies whose support is essential to the conduct of the proposed project. (Maximum score 20 points).

5. Qualifications of the staff; previous organizational experience. (Maximum score 5 points).

6. Adequacy of utilization plan, including identification of potential user groups and means of transferring information to such groups. (Maximum score 10 points).

7. The budget is given in detail with justifications and explanations. Estimated costs are reasonable considering anticipated results. (Maximum 5 points).

Closing Dates for Receipt of Applications

The closing date for receipt of applications for projects, both priority and field initiated, identified in this program announcement is (60 days from date of publication.) Applications may be mailed or hand delivered to: Receiving Office, Division of Grants and Contracts Management, Office of Human Development Services, HEW, 200 Independence Avenue, S.W., Room 341–F.1 Hubert Humphrey Building, Attention: 13647–801, Washington, D.C. 20201.

Applications must be received at the above address by the closing date. Hand delivered applications are accepted during normal working hours of 9 a.m. to 5 p.m., Monday through Friday. Applications will be considered to be received on time if they are either postmarked (first class mail), or received by the deadline, unless they arrive too late to be considered by the independent review panel.

(Directory of Federal Domestic Assistance Number 13647, Office of Human Development Services, Cooperative Research or Demonstration Projects)

Approved: March 14, 1980.

Jerry Turem
Director, Office of Planning, Research, and Evaluation.

Dated: March 14, 1980.

Warren Master,
Acting Assistant Secretary for Human Development Services.

[F.R. Doc. 80–2745 Filed 3–20–80; 8:45 am]

BILLING CODE 4110–22–M
Part IX

Department of Justice

Office of the Attorney General

Voting Rights; Proposed Procedures for Administration of Section 5
DEPARTMENT OF JUSTICE  
Office of the Attorney General  
28 CFR Part 51  

Procedures for the Administration of Section 5 of the Voting Rights Act of 1965; Proposed Revision of Procedures  

AGENCY: Department of Justice.  
ACTION: Proposed rule.  

SUMMARY: The Attorney General is considering a proposal to revise the procedures for the Administration of Section 5 of the Voting Rights Act of 1965, 28 CFR Part 51, 36 FR 18186 (Sept. 10, 1971). Revision of the guidelines is needed as a result of experience under them since 1971 and as a result of the Voting Rights Act Amendments of 1975. Interested persons are invited to participate in the making of the proposed revised guidelines by submitting written comments.  

DATE: All comments received on or before May 20, 1980 will be considered. It is proposed that such revised guideline be effective upon publication in final form.  

ADDRESS: Comments should be sent to the Assistant Attorney General Civil Rights Division, Department of Justice, Washington, D.C. 20530.  

FOR FURTHER INFORMATION CONTACT: David H. Hunter, Attorney, Voting Section, Civil Rights Division, Department of Justice, Washington, D.C. 20530.  

SUPPLEMENTARY INFORMATION: The proposed revised guidelines record the delegation of authority for Section 5 determinations to the Assistant Attorney General, Civil Rights Division and the authorization of the Chief of the Voting Section to act on behalf of the Assistant Attorney General (§ 51.3), specify the date for each covered jurisdiction after which changes affecting voting must be precleared, under Section 5 (§ 51.4 and Appendix), make clear the position of the Attorney General that all changes affecting voting within a covered jurisdiction must be precleared (see United States v. Board of Commissioners of Sheffield, Alabama, supra) (§ 51.15), clarify the application of section 5 to changes ordered by Federal courts (see Wise v. Lipscomb, 439 U.S. 356 (1978), and East Carroll Parish School Board v. Marshall, 425 U.S. 649 (1976) (§ 51.16), establish standards for the withdrawal of a submission (§ 51.23), clarify what information is needed for a determination (§§ 51.24, 51.25 & 51.26), clarify the procedures and standards for expedited consideration (§ 51.32), explain the situations in which a determination on the merits cannot be made (§ 51.33), clarify the procedures to be followed when insufficient information is provided to enable the Attorney General to make a determination (see Georgia v. United States, 411 U.S. 529, 539-41 (1973), and United States v. Uvalde County, 455 F. Supp. 101 (W.D. Tex. 1977), affirmed, 439 U.S. 1039 (1979) (§§ 51.35, 51.36 & 51.38), explain the practice of the Attorney General when a subcommittee materializes a pending submission or makes a second related submission (§ 51.37) or when a submitting authority fails to complete a submission (§ 51.38), commit the Attorney General to respond to all submissions within the 60-day period and explain the consequences of a failure to respond (§ 51.41), explain the possibility of a reconsideration within the 60-day period of a decision not to object to a submitted change (§ 51.42), simplify and clarify the procedures and standards for the reconsideration of an objection (§§ 51.43(b), 51.44 & 51.45), add a notice requirement when an objection is reconsidered at the insistence of the Attorney General (§ 51.46), incorporate the decision of the Supreme Court that decisions of the Attorney General under Section 5 are not subject to judicial review (see Morris v. Gressette, 432 U.S. 491 (1977)) (§ 51.47), describe present practice with respect to the maintenance of and access to records concerning submissions (§ 51.48), and include a list of all jurisdictions in which the preclearance requirement of Section 5 applies (Appendix).  

Interested persons are invited to participate in the making of the proposed revised guidelines by submitting written comments.  

The table that follows indicates which section of the 1971 guidelines, if any, corresponds to each section of the proposed guidelines. However, it should be noted that at least some change has been made in every section.
§ 51.48 Absence of judicial review.
§ 51.47 Reconsideration of objection at the Decision after reconsideration.
§ 51.46 Conference.
§ 51.45 Failure of the Attorney General to respond.
§ 51.44 Conference.
§ 51.43 Notification of decision to object.
§ 51.42 Reexamination of decision not to object.
§ 51.41 Failure of the Attorney General to respond.

§ 51.40 Notification of decision not to object. [Reserved]

Subpart B—Procedures for Submission to the Attorney General

§ 51.39 Standards for determination by the Attorney General.
§ 51.38 Failure to complete submissions.
§ 51.37 Supplementary submissions.
§ 51.36 Obtaining information from others.
§ 51.35 Obtaining information from the submitting authority.
§ 51.34 Release of information concerning submissions.
§ 51.33 Disposition of inappropriate submissions.
§ 51.32 Expedited consideration.
§ 51.31 Notice to registrants concerning submissions.

Subpart C—Contents of Submissions

§ 51.30 Establishment and maintenance of registry of interested individuals and groups.

Subpart D—Communications from Individuals and Groups

§ 51.29 Communications concerning voting suits.
§ 51.28 Action on communication from individuals and groups.
§ 51.27 Communication concerning voting changes.

Subpart E—Processing of Submissions

§ 51.26 Notice to registrants concerning submissions.
§ 51.25 Required contents.
§ 51.24 General.

Subpart F—Sanctions

§ 51.23 Form of petition.
§ 51.22 Disposition of petition.

Subpart G—Petition to Change Procedures

§ 51.21 Who may petition.

§ 51.20 Form of submissions.
§ 51.19 Time of submissions.
§ 51.18 Premature submissions.
§ 51.17 Party and jurisdiction responsible for making submission.
§ 51.16 Contended changes.
§ 51.15 Request for notification concerning voting litigation.

Subpart G—Content of Submissions

§ 51.14 Enabling legislation and contingent or nonuniform requirements.
§ 51.13 Recurrent practices.
§ 51.12 Scope of requirement.
§ 51.11 Scope of requirement.
§ 51.10 Right to bring suit.

Subpart H—Sanctions

§ 51.9 Requirement of action for declaratory judgment or submission to Attorney General.

Appendix—Jurisdictions covered.


Subpart A—General Provisions

§ 51.51 Purpose.

Section 5 of the Voting Rights Act of 1965, as amended, 42 U.S.C. 1973c, prohibits the enforcement in any jurisdiction covered by Section 4(b) of the Act, 42 U.S.C. 1973(b), of any voting qualification or prerequisite to voting, or standard, practice, or procedure with respect to voting different from that in force or effect on the date used to determine coverage under Section 4(b) and includes, inter alia, the examples given in § 51.12.

§ 51.52 Form of petition.

Who may petition.

§ 51.53 Disposition of petition.


(b) "Attorney General" means the Attorney General of the United States or the delegate of the Attorney General.

(c) "Vote" and "voting" are used, as defined in the Act, to include "all action necessary to make a vote effective in any primary, special, or general election, including but not limited to, registration, listing pursuant to this Act, or other action required by law prerequisite to voting, casting a ballot, and having such ballot counted properly and included in the appropriate totals of votes cast with respect to candidates for public or party office and propositions for which votes are received in an election." Section 14(c)(3).

(d) "Change affecting voting" means any voting qualification, prerequisite to voting, or standard, practice, or procedure with respect to voting different from that in force or effect on the date used to determine coverage under Section 4(b) and includes, inter alia, the examples given in § 51.12.

(e) "Political subdivision" is used, as defined in the Act, to refer to "* * * any county or parish, except that where registration for voting is not conducted under the supervision of a county or parish, the term shall include any other subdivision of a State which conducts registration for voting." Section 14(c)(2).

(f) "Covered jurisdiction" is used to refer to a State, where the determination referred to in § 51.4 has been made on a statewide basis, and to a political subdivision, where the determination has not been made on a statewide basis.

(g) "Preclusion" is used to refer to the obtaining of the declaratory judgment described in Section 5 or to the failure of the Attorney General to interpose an objection pursuant to Section 5.

(b) "Submission" is used to refer to the written presentation to the Attorney General by an appropriate official of any change affecting voting.

(i) "Submitting authority" means the jurisdiction on whose behalf a submission is made.

(j) "Language minority" or "language minority group" is used, as defined in the Act, to refer to persons who are American Indian, Asian American, Alaskan Natives, or of Spanish heritage.

§ 51.53 Delegation of authority.

The responsibility and authority for determinations under Section 5 have been delegated by the Attorney General to the Assistant Attorney General, Civil Rights Division. For responses other than objections or reconsiderations of objections the Chief of the Voting Section is authorized to act on behalf of the Assistant Attorney General.

§ 51.54 Date used to determine coverage; list of covered jurisdictions.

(a) The requirement of Section 5 takes effect upon publication in the Federal Register of the requisite determinations of the Director of the Census and the Attorney General under Section 4(b). These determinations are not reviewable in any court. Section 4(b).

(b) Section 5 requires the preclearance of changes affecting voting made since
the date used for the determination of coverage. For each covered jurisdiction that date is one of the following: November 1, 1988; November 3, 1968; or November 1, 1972. A list of covered jurisdictions, together with the applicable date used to determine coverage, is contained in the appendix to this part. Any additional determinations of coverage will be published in the Federal Register.

§ 51.5 Termination of coverage.
A covered jurisdiction may terminate the application of Section 5 by obtaining the declaratory judgment described in Section 4(a) of the Act.

§ 51.6 Political subunits.
All political subunits within a covered jurisdiction (e.g., counties, cities, school districts) are subject to the requirements of Section 5.

§ 51.7 Political parties.
Certain activities of political parties are subject to the preclearance requirements of Section 5. A change affecting voting, even though it appears to be minor or indirect, even though it ostensibly expands voting rights, or even though it is designed to remove the elements that caused objection by the Attorney General in a prior submission change, must meet the Section 5 preclearance requirement. Changes with respect to the conduct of primary elections at which party nominees, delegates to party conventions, or party officials are chosen are subject to the preclearance requirements of Section 5.

§ 51.8 Computation of time.
(a) The Attorney General shall have 60 days in which to interpose an objection to a submitted change affecting voting.
(b) Except as specified in §§51.35, 51.37, and 51.41 the 60-day period shall commence upon receipt by the Department of Justice of a submission.
(c) The 60-day period shall mean 60 calendar days, with the day of receipt of the submission not counted. If the final day of the period shall fall on a Saturday, Sunday, or legal holiday, the period shall be extended until the close of the next full business day in which to interpose an objection. The date of the Attorney General's response shall be the date on which it is mailed to the submitting authority.

§ 51.9 Requirement of action for declaratory judgment or submission to the Attorney General.
Section 5 requires that, prior to enforcement of any change affecting voting, the jurisdiction that has enacted or seeks to administer the change must either (1) obtain a declaratory determination from the U.S. District Court for the District of Columbia that denial or abridgment of the right to vote on account of race, color, or membership in a language minority group is not the purpose and will not be the effect of the change or (2) make to the Attorney General a proper submission of the change to which no objection is interposed. It is unlawful to enforce a change affecting voting without obtaining preclearance under Section 5. The obligation to obtain such preclearance is not relieved by unlawful enforcement.

§ 51.10 Right to bring suit.
Submission to the Attorney General does not affect the right of the submitting authority to bring an action in the U.S. District Court for the District of Columbia for a declaratory judgment that the change affecting voting does not have the prohibited discriminatory purpose or effect.

§ 51.11 Scope of requirement.
Any change affecting voting, even though it appears to be minor or indirect, even though it ostensibly expands voting rights, or even though it is designed to remove the elements that caused objection by the Attorney General to a prior submitted change, must meet the Section 5 preclearance requirement.

§ 51.12 Examples of changes.
Changes affecting voting include, but are not limited to, the following examples:
(a) Any change in qualifications or eligibility for voting.
(b) Any change concerning registration, balloting, and the counting of votes and any change concerning publicity for or assistance in registration or voting.
(c) Any change with respect to the use of a language other than English in any aspect of the electoral process.
(d) Any change in the boundaries of voting precincts or in the location of polling places.
(e) Any change in the constituency of an official or the boundaries of a voting unit (e.g., through redistricting, annexation, deannexation, incorporation, reapportionment, changing to at-large elections from district elections, or changing to district elections from at-large elections).
(f) Any change in the method of determining the outcome of an election (e.g., by requiring a majority vote for election or the use of a designated post or place system).
(g) Any change affecting the eligibility of persons to become or remain candidates, to obtain a position on the ballot in primary or general elections, or to become or remain holders of elective offices.
(h) Any change in the eligibility and qualification procedures for independent candidates.
(i) Any change in the term of an elective office or an elected official or in the offices that are elective (e.g., by shortening the term of an office, changing from election to appointment or staggering the terms of offices).
(j) Any change affecting the necessity or methods for offering issues and propositions for approval by referendum.

§ 51.13 Recurrent practices.
Where a jurisdiction implements a practice or procedure periodically or upon certain established contingencies, a change occurs (1) the first time such a practice or procedure is implemented by the jurisdiction, (2) when the manner in which such a practice or procedure is implemented by the jurisdiction is changed, or (3) when the rules for determining when such a practice or procedure will be implemented are changed. The failure of the Attorney General to object to a recurrent practice or procedure constitutes preclearance of the future use of the practice or procedure if its recurrent nature is clearly stated or described in the submission or is expressly recognized in the final response of the Attorney General on the merits of the submission.

§ 51.14 Enabling legislation and contingent or nonuniform requirements.
(a) The failure of the Attorney General to interpose an objection to legislation (1) that enables or permits political subunits to institute a voting change or (2) that requires or enables political subunits to institute a voting change upon some future event or if they satisfy certain criteria does not exempt the political subunit itself from the requirement to obtain preclearance when it seeks or is required to institute the change in question, unless implementation by the subunit is explicitly included and described in the submission of such parent legislation.
(b) Such legislation includes, for example, (1) legislation authorizing counties, cities, or school districts to institute any of the changes described in § 51.12, (2) legislation requiring a political subunit that chooses a certain form of government to follow specified election procedures, (3) legislation requiring or authorizing political
§ 51.15 Distinction between changes in procedure and changes in substance.

The failure of the Attorney General to interpose an objection to a procedure for instituting a change affecting voting does not exempt the substantive change from the preclearance requirement. For example, if the procedure for the approval of an annexation is changed from city council approval to approval in a referendum, the preclearance of the new procedure does not exempt an annexation accomplished under the new procedure from the preclearance requirement.

§ 51.16 Court-ordered changes.

Changes affecting voting that are specifically ordered by a Federal court as a result of the court's equitable jurisdiction over an adversary proceeding are not subject to the preclearance requirement of Section 5. However, subsequent changes necessitated by the court order but decided upon by the jurisdiction are subject to the preclearance requirement. For example, although a court-ordered districting plan may not be subject to the preclearance requirement, changes in voting precincts and polling places made necessary by the new plan remain subject to Section 5.

§ 51.17 Request for notification concerning voting litigation.

A jurisdiction subject to the preclearance requirement of Section 5 that becomes involved in any litigation concerning voting is requested promptly to notify the Assistant Attorney General, Civil Rights Division, Department of Justice, Washington, D.C. 20530. Such notification will not be considered a submission under Section 5.

Subpart B—Procedures for Submission to the Attorney General

§ 51.18 Form of submissions.

Submissions may be made in letter or any other written form.

§ 51.19 Time of submissions.

Changes affecting voting should be submitted as soon as possible after they become final.

§ 51.20 Premature submissions.

The Attorney General will not consider on the merits any proposal for a change affecting voting submitted prior to final enactment or administrative decision. However, with respect to a change for which approval by referendum, a State court or a Federal agency is required, the Attorney General may make a determination concerning the change prior to such approval if the change is not subject to alteration in the final approving action and if all other action necessary for approval has been taken.

§ 51.21 Party and jurisdiction responsible for making submissions.

Changes affecting voting shall be submitted by the chief legal officer or other appropriate official of the submitting authority or by any other authorized person on behalf of the submitting authority. When one or more counties or other political subunits within a State will be affected, the State may make a submission on their behalf. Where a State has been covered as a whole, State legislation (except legislation of local applicability) or other changes undertaken or required by the State shall be submitted by the State.

§ 51.22 Address for submissions.

Changes affecting voting shall be mailed or delivered to the Assistant Attorney General, Civil Rights Division, Department of Justice, Washington, D.C. 20530. The envelope and first page of the submission shall lie clearly marked: Submission under Section 5 of the Voting Rights Act.

§ 51.23 Withdrawal of submissions.

If while a submission is pending the submitted change is repealed, altered, or declared invalid or otherwise becomes unenforceable, the jurisdiction may withdraw the submission. In other circumstances, a jurisdiction may withdraw a submission only if it shows good cause for such withdrawal.

Subpart C—Contents of Submissions

§ 51.24 General.

(a) The source of any information contained in a submission should be identified.

(b) Where an estimate is provided in lieu of more reliable statistics, the submission should identify the name, position, and qualifications of the person responsible for the estimate and should briefly describe the basis for the estimate.

(c) Submissions should be no longer than is necessary for the presentation of the appropriate information and materials.

(d) A submitting authority that desires the Attorney General to consider any information supplied as part of an earlier submission may incorporate such information by reference by stating the date and subject matter of the earlier submission and identifying the relevant information.

(e) Where information requested by this subpart is relevant but not known or available, or is not applicable, the submission should so state.

§ 51.25 Required contents.

Each submission should contain the following information or documents to enable the Attorney General to make the required determination pursuant to Section 5 with respect to the submitted change affecting voting:

(a) A copy of any ordinance, enactment, order or regulation embodying a change affecting voting. However, legal descriptions of boundaries need not be included.

(b) If the change affecting voting is not readily apparent on the face of the document provided under paragraph (a) or is not embodied in a document, a clear statement of the change explaining the difference between the submitted change and the prior law or practice, or explanatory materials adequate to disclose to the Attorney General the difference between the prior and proposed situation with respect to voting.

(c) The name, title, address, and telephone number of the person making the submission.

(d) The name of the submitting authority and the name of the jurisdiction responsible for the change, if different.

(e) If the submission is not from a State or county, the name of the county and State in which the submitting authority is located.

(f) Identification of the person or body responsible for making the change and the mode of decision (e.g., act of State legislature, ordinance of city council, administrative decision by registrar).

(g) A statement identifying the statutory or other authority under which the jurisdiction undertakes the change and a description of the procedures the jurisdiction was required to follow in deciding to undertake the change.

(h) The date of adoption of the change affecting voting.

(i) The date on which the change is to take effect.

(j) A statement that the change has not yet been enforced or administered, or an explanation of why such a statement cannot be made.

(k) Where the change will affect less than the entire jurisdiction, an explanation of the scope of the change.

(l) A statement of the reasons for the change.
(m) A statement of the anticipated effect of the change on members of racial or language minority groups.

(n) A statement identifying any past or pending litigation concerning the change or related voting practices.

(o) A statement that the prior practice has been precleared (with the date) or is not subject to the preclearance requirement and a statement that the procedure for the adoption of the change has been precleared (with the date) or is not subject to the preclearance requirement, or an explanation of why such statements cannot be made.

(p) Other information that the Attorney General determines is required for an evaluation of the purpose or effect of the change. Such information may include items listed in §51.28 and is most likely to be needed with respect to redistricting, annexations, and other complex changes. In the interest of time such information should be furnished with the initial submission relating to voting changes of this type. When such information is required, but not provided, the Attorney General shall notify the submitting authority in the manner provided in §51.35.

§51.26 Supplemental contents.

Review by the Attorney General will be facilitated if the following information is provided in addition to that required by §51.25 for any change for which the listed information may be pertinent.

(a) Demographic information. (1) Total and voting age population of the affected area before and after the change, by race and language group. If such information is contained in publications of the U.S. Census, a statement to that effect is sufficient.

(2) The number of registered voters for the affected area before and after the change, by race and language group.

(3) Any estimates of population, by race and language group, made in connection with the adoption of the change.

(b) Maps. Where any change is made that revises the constituency that elects a particular office, a map or maps of the area to be affected, containing the following information:

(1) The prior boundaries of the voting unit or units.

(2) The new boundaries of the voting unit or units.

(3) Any other changes in the voting unit boundaries or in the geographical makeup of the constituency since the time that coverage under Section 4(b) began. If such changes have already been submitted, a reference to the date and subject of the prior submissions is sufficient.

(4) Location of racial and language minority groups within the prior units.

(5) Location of racial and language minority groups within the new units.

(6) Any natural boundaries or geographical features that influenced the selection of boundaries of the preexisting or new units.

(7) Location of prior and new polling places.

(c) Election returns. Where a particular office is involved or affected, information or materials showing the number of candidates, by race and language group, who have run for such office in recent primary or general elections and the results, by voting precinct, of elections in which there were racial or language minority candidates.

(d) Language usage. Where a change is made affecting the use of the language of a language minority group in the electoral process, information that will enable the Attorney General to determine whether the change is consistent with the minority language requirements of the Act. The Attorney General’s interpretation of the minority language requirements of the Voting Rights Act of 1965, as amended by the Voting Rights Act Amendments of 1975, is contained in Interpretative Guidelines: Implementation of the Provisions of the Voting Rights Act Regarding Language Minority Groups, 28 CFR Part 55.

(e) Publicity and participation. For submissions involving controversial or potentially controversial changes, evidence of public notice, of the opportunity for the public to be heard, and of the opportunity for interested parties to participate in the decision to adopt the proposed change and an account of the extent to which such participation, especially by minority group members, in fact took place. Examples of materials demonstrating public notice or participation include:

(1) Copies of newspaper articles discussing the proposed change.

(2) Copies of public notices that described the proposed change and invite public comment or participation in hearings or that announce submission to the consideration of the Attorney General and statements regarding where such public notices appeared (e.g., newspaper, radio, or television, posted in public buildings, sent to identified individuals or groups).

(3) Minutes or accounts of public hearings concerning the proposed change.

(4) Statements, speeches, and other public communications concerning the proposed change.

(5) Copies of comments from the general public.

(6) Excerpts from legislative journals containing discussion of a submitted enactment, or other materials revealing its legislative purpose.

(f) Minority group contacts. For submissions from jurisdictions having a significant minority population, the names, addresses, telephone numbers, and organizational affiliation (if any) of racial or language minority group members who can be expected to be familiar with the proposed change or who have been active in the political process.

Subpart D—Communications From Individuals and Groups

§51.27 Communications concerning voting changes.

Any individual or group may send to the Attorney General information concerning a change affecting voting in a jurisdiction to which Section 5 applies.

(a) Communications may be in the form of a letter stating the names, addresses, and telephone number of the individual or group, describing the alleged change affecting voting and setting forth evidence regarding whether the change has or does not have a discriminatory purpose or effect, or simply bringing to the attention of the Attorney General the fact that a voting change has occurred.

(b) The communications should be mailed to the Assistant Attorney General, Civil Rights Division, Department of Justice, Washington, D.C. 20530. The envelope and first page should be marked: Comment under Section 5 of the Voting Rights Act.

(c) Comments by individuals or groups concerning any change affecting voting may be sent at any time; however, individuals and groups are encouraged to comment as soon as they learn of the change.

(d) Department of Justice officials and employees shall comply with the request of any individual that his or her identity not be disclosed to any person outside the Department, to the extent permitted by the Freedom of Information Act, 5 U.S.C. 552. In addition, whenever it appears to the Attorney General that disclosure of the identity of an individual who provided information regarding a change affecting voting...
“would constitute a clearly unwarranted invasion of personal privacy” under 5 U.S.C. 552(b)(6), the identity of the individual shall not be disclosed to any person outside the Department.

(e) When an individual or group desires the Attorney General to consider information that was supplied in connection with an earlier submission, it is necessary to identify the earlier submission and the relevant information.

§ 51.28 Action on communications from individuals or groups.

(a) If there has already been a submission received of the change affecting voting brought to the attention of the Attorney General by an individual or group, any evidence from the individual or group shall be considered along with the materials submitted and materials resulting from any investigation.

(b) If such a submission has not been received, the Attorney General shall advise the appropriate jurisdiction of the requirement of Section 5 with respect to the change in question.

§ 51.29 Communications concerning voting suits.

Individuals and groups are urged to notify the Assistant Attorney General, Civil Rights Division, of litigation concerning voting in jurisdictions subject to the requirement of Section 5.

§ 51.30 Establishment and maintenance of registry of interested individuals and groups.

The Attorney General shall establish and maintain a Registry of Interested Individuals and Groups, which shall contain the name and address of any individual or group that wishes to receive notice of Section 5 submissions. Information relating to this registry and to the requirements of the Privacy Act of 1974, 5 U.S.C. 552a et seq., is contained in Justice/CRT-004, 43 FR 44676 (Sept. 28, 1978).

Subpart E—Processing of Submissions

§ 51.31 Notice to registrants concerning submissions.

Regular notice of submissions that have been received will be given to the individuals and groups who have registered for this purpose under § 51.30.

§ 51.32 Expedited consideration.

(a) When a submitting authority is required under State law or local ordinance or otherwise finds it necessary to implement a change within the 60-day period following submission, it may request that the submission be given expedited consideration. The submission shall explain why such consideration is needed and provide the date by which a determination is required.

(b) Jurisdictions should endeavor to plan for changes in advance so that expedited consideration will not be required and should not routinely request such consideration. When a submitting authority demonstrates good cause for expedited consideration the Attorney General will attempt to make a decision by the date requested. However, the Attorney General cannot guarantee that such consideration can be given.

(c) Notice of the request for expedited consideration will be given to interested parties registered under § 51.30.

§ 51.33 Disposition of inappropriate submissions.

The Attorney General will make no response on the merits with respect to an inappropriate submission but will notify the submitting authority of the inappropriateness of the submission. Such notification will be made as promptly as possible and no later than the 60th day following receipt and will include an explanation of the inappropriateness of the submission. Inappropriate submissions include the submission of changes that do not affect voting (see, e.g., § 51.12), the submission of standards, practices, or procedures that have not been changed (see, e.g., §§ 51.4, 51.13), the submission of changes that affect voting but are not subject to the requirement of Section 5 (see, e.g., § 51.16), premature submissions (see § 51.20), and submissions by jurisdictions not subject to the requirement of Section 5 (see §§ 51.4, 51.5).

§ 51.34 Release of information concerning submissions.

The Attorney General shall have the discretion to call to the attention of the submitting authority or any interested individual or group information or comments related to a submission.

§ 51.35 Obtaining information from the submitting authority.

(a) If a submission does not satisfy the requirements of § 51.25, the Attorney General shall request such further information as is necessary from the submitting authority and advise the submitting authority that the 60-day period will not commence until such information is received by the Department of Justice. The request shall be made as promptly as possible after receipt of the original inadequate submission and no later than the 60th day following its receipt.

(b) If, after a request for further information is made pursuant to this section, the information requested becomes available to the Attorney General from a source other than the submitting authority, the Attorney General shall promptly notify the submitting authority and interested individuals and groups.

§ 51.36 Obtaining Information from others.

(a) The Attorney General may at any time request relevant information from governmental jurisdictions and from interested groups and individuals and may conduct any investigation or other inquiry that is deemed appropriate in making a determination.

(b) If a submission does not contain evidence of adequate notice to the public, and the Attorney General believes that such notice is essential to a determination, steps will be taken by the Attorney General to provide public notice sufficient to invite interested or affected persons to provide evidence as to the presence or absence of a discriminatory purpose or effect. The submitting authority shall be advised when any such steps are taken.

§ 51.37 Supplementary submissions.

When a submitting authority provides documents and information materially supplementing a submission (or a request for reconsideration of an objection) or, before the expiration of the 60-day period, makes a second submission such that the two submissions cannot be independently considered, the 60-day period for the original submission will be calculated from the receipt of the supplementary information or the second submission.

§ 51.38 Failure to complete submissions.

If after 60 days the submitting authority has not provided further information in response to a request made pursuant to § 51.35(a), the Attorney General, absent extenuating circumstances and consistent with the burden of proof under Section 5 described in § 51.39(e), may object to the change, giving notice as specified in § 51.43.

§ 51.39 Standards for determination by the Attorney General.

(a) Section 5 provides for submission to the Attorney General as an alternative to the seeking of a declaratory judgment from the U.S. District Court for the District of Columbia. Therefore, the Attorney General shall make the same
determination that would be made by the court in an action for a declaratory judgment under Section 5: whether the submitted change has the purpose or will have the effect of denying or abridging the right to vote on account of race, color, or membership in a language minority group.

(b) Guided by the relevant judicial decisions, the Attorney General shall base a determination on a review of material presented by the submitting authority, relevant information provided by individuals or groups, and the results of any investigation conducted by the Department of Justice.

(c) If the Attorney General determines that a submitted change does not have the prohibited purpose or effect, no objection shall be interposed to the change.

(d) If the Attorney General determines that a submitted change has the prohibited purpose or effect, an objection shall be interposed to the change.

(e) The burden of proof on a submitting authority when it submits a change to the Attorney General is the same as it would be if the change was the subject of a declaratory judgment action in the U.S. District Court for the District of Columbia. Therefore, if the evidence as to the purpose or effect of a change is conflicting and the Attorney General is unable to determine that the submitted change does not have the prohibited purpose or effect, an objection shall be interposed to the change.

§ 51.40 Notification of decision not to object.

(a) The Attorney General shall within the 60-day period allowed notify the submitting authority of a decision to interpose no objection to a submitted change affecting voting.

(b) The notification shall state that the failure of the Attorney General to object does not bar subsequent litigation to enjoin the enforcement of the change.

(c) A copy of the notification shall be sent to any party who has commented on the submission or has requested notice of the Attorney General's action thereon.

§ 51.41 Failure of the Attorney General to respond.

It is the practice and intention of the Attorney General to respond to each submission within the 60-day period. However, the failure of the Attorney General to make any response within the 80-day period constitutes preclearance of the submitted change, provided the submission is addressed as specified in § 51.22 and is appropriate for a response on the merits as described in § 51.33.

§ 51.42 Reexamination of decision not to object.

After notification to the submitting authority of a decision to interpose no objection to a submitted change affecting voting has been given, the Attorney General may reexamine the submission if, prior to the expiration of the 60-day period, information indicating the possibility of the prohibited discriminatory purpose or effect is received. In this event, the Attorney General may interpose an objection provisionally and advise the submitting authority that examination of the change in light of the newly raised issues will continue and that a final decision will be rendered as soon as possible.

§ 51.43 Notification of decision to object.

(a) The Attorney General shall within the 60-day period allowed notify the submitting authority of a decision to interpose an objection. The reasons for the decision shall be stated.

(b) The submitting authority shall be advised that the Attorney General will reconsider an objection upon a request by the submitting authority. In appropriate cases the Attorney General may request the submitting authority to give local public notice of the request for reconsideration.

(c) The submitting authority shall be advised further that notwithstanding the objection it may institute an action in the U.S. District Court for the District of Columbia for a declaratory judgment that the change objected to by the Attorney General does not have the prohibited discriminatory purpose or effect.

(d) A copy of the notification shall be sent to any party who has commented on the submission or has requested notice of the Attorney General's action thereon.

§ 51.44 Conference.

(a) A submitting authority that has requested reconsideration of an objection pursuant to § 51.43(b) may request a conference to produce information or legal argument in support of reconsideration.

(b) Such a conference shall be held at a location determined by the Attorney General and shall be conducted in an informal manner.

(c) When a submitting authority requests such a conference, individuals or groups that commented on the change prior to the Attorney General's objection or that seek to participate in response to any notice of a request for
reconsideration shall be notified and given the opportunity to confer.

(d) The Attorney General shall have the discretion to hold separate meetings to confer with the submitting authority and other interested groups or individuals.

(e) Such conferences will be open to the public or to the press only at the discretion of the Attorney General and with the agreement of the participating parties.

§ 51.45 Decision after reconsideration. Where reconsideration has been requested pursuant to § 51.43(b), an objection shall be withdrawn if the submitting authority can produce information or legal argument that satisfies the Attorney General that the change does not have the purpose and will not have the effect of discriminating on account of race, color, or membership in a language minority group. The Attorney General shall notify the submitting authority of the decision to continue or withdraw the objection, giving the reasons for the decision, within 60 days of the receipt of information or legal argument supporting the request for reconsideration, provided that the Attorney General shall have at least 15 days following any conference that is held in which to decide. A copy of the notification shall be sent to any party who has commented on the submission or request for reconsideration or has requested notice of the Attorney General's action thereon.

§ 51.46 Reconsideration of objection at the instance of the Attorney General. Where there appears to have been a substantial change in operative fact or relevant law an objection may be reconsidered, if it is deemed appropriate, at the instance of the Attorney General. Notice of such a decision to reconsider shall be sent to the submitting authority, to any party who commented on the submission or requested notice of the Attorney General's action thereon, and to individuals and groups listed in the Registry of Interested Individuals and Groups. The Attorney General shall decide whether to withdraw or to continue the objection only after such persons have had a reasonable opportunity to comment but not later than the 60th day following notice of the decision to reconsider. The objection shall be withdrawn if the Attorney General is satisfied that the change does not have the purpose and will not have the effect of discriminating on account of race, color, or membership in a language minority group. The Attorney General shall notify the submitting authority of the decision to continue or withdraw the objection, giving the reasons for the decision. A copy of the notification shall be sent to any party who has commented on the submission or the reconsideration or has requested notice of the Attorney General's action thereon.

§ 51.47 Absence of judicial review. The decision of the Attorney General not to object to a submitted change or to withdraw an objection is not reviewable.

§ 51.48 Records concerning submissions. (a) Section 5 files: The Attorney General shall maintain a Section 5 file for each submission, containing the submission, related written materials, correspondence, memoranda, investigative reports, notations concerning conferences with the submitting authority or any interested individual or group, and copies of any letters from the Attorney General concerning the submission.

(b) Objection files: Brief summaries regarding each submission and the general findings of the Department of Justice investigation and decision concerning it will be prepared when a decision to interpose, continue, or withdraw an objection is made. Files of these summaries, arranged by jurisdiction and by the date upon which such decision is made, will be maintained.

(c) Computer file: Records of all submissions and of their dispositions by the Attorney General shall be electronically stored and periodically retrieved in the form of computer printouts.

(d) The contents of the above-described files shall be available for inspection and copying by the public during normal business hours at the Civil Rights Division, Department of Justice, Washington, D.C. Materials that are exempt from inspection under the Freedom of Information Act, 5 U.S.C. 552(b), may be withheld at the discretion of the Attorney General. Communications from individuals who have requested confidentiality or with respect to whom the Attorney General has determined that confidentiality is appropriate under § 51.27(d) shall be available only as provided by § 51.27(d).

Applicable fees, if any, for the copying of the contents of these files are contained in the Department of Justice regulations implementing the Freedom of Information Act, 28 CFR 18.9.
Subpart F—Sanctions

§ 51.49 Enforcement by the Attorney General.

(a) The Attorney General is authorized to bring civil actions for appropriate relief against violations of the Act's provisions, including Section 5. See Section 12(d).

(b) Certain violations may be subject to criminal sanctions. See Sections 12(a) and (c).

§ 51.50 Enforcement by private parties.

Private parties have standing to enforce Section 5.

Subpart G—Petition To Change Procedures

§ 51.51 Who may petition.

Any jurisdiction or interested individual or group may petition to have these procedural guidelines amended.

§ 51.52 Form of petition.

A petition under this subpart may be made by informal letter and shall state the name, address, and telephone number of the petitioner, the change requested, and the reasons for the change.

§ 51.53 Disposition of petition.

The Attorney General shall promptly consider and dispose of a petition under this subpart and give notice of the disposition, accompanied by a simple statement of the reasons, to the petitioner.

Appendix—Jurisdictions covered under Section 4(b) of the Voting Rights Act, as amended.

The preclearance requirement of Section 5 of the Voting Rights Act, as amended, applies in the following jurisdictions. The date in parentheses is the date that was used to determine coverage for the jurisdiction it follows.

Alabama (statewide) (Nov. 1, 1964)
Alaska (statewide) (Nov. 1, 1972)
Arizona (statewide) (Nov. 1, 1972)
Arkansas (statewide) (Nov. 1, 1964)
California (the following counties only)
Carson City (Nov. 1, 1968)
Chico (Nov. 1, 1968)
Colorado (the following county only)
El Paso (Nov. 1, 1972)
Connecticut (the following towns only)

Groton Town (Nov. 1, 1968)
Mansfield Town (Nov. 1, 1968)
Southbury Town (Nov. 1, 1968)
Florida (the following counties only)
Collier County (Nov. 1, 1972)
Hardee County (Nov. 1, 1972)
Hendry County (Nov. 1, 1972)
Hillsborough County (Nov. 1, 1972)
Monroe County (Nov. 1, 1972)
Georgia (statewide) (Nov. 1, 1964)
Hawaii (the following county only)
Honolulu County (Nov. 1, 1964)
Iowa (the following county only)
Emerson County (Nov. 1, 1968)
Louisiana (statewide) (Nov. 1, 1964)
Massachusetts (the following townships only)
Amherst Town (Nov. 1, 1968)
Ayer Town (Nov. 1, 1968)
Belchertown (Nov. 1, 1966)
Bourne Town (Nov. 1, 1968)
Harvard Town (Nov. 1, 1968)
Sandwich Town (Nov. 1, 1968)
Shirley Town (Nov. 1, 1968)
Sunderland Town (Nov. 1, 1968)
Huron Town (Nov. 1, 1968)
Massachusetts (the following counties only)
Cambridge (Nov. 1, 1968)
New York (the following counties only)
Bronx County (Nov. 1, 1968)
New York County (Nov. 1, 1968)
North Carolina (the following counties only)
Anson County (Nov. 1, 1964)
Beaufort County (Nov. 1, 1964)
Bertie County (Nov. 1, 1964)
Bladen County (Nov. 1, 1964)
Camden County (Nov. 1, 1964)
Canew Town (Nov. 1, 1964)
Chowan County (Nov. 1, 1964)
Cleveland County (Nov. 1, 1964)
Graven County (Nov. 1, 1964)
Cumberland County (Nov. 1, 1964)
Edgecombe County (Nov. 1, 1964)
Franklin County (Nov. 1, 1964)
Gaston County (Nov. 1, 1964)
Gates County (Nov. 1, 1964)
Granville County (Nov. 1, 1964)
Greene County (Nov. 1, 1964)
Guilford County (Nov. 1, 1964)
Harnett County (Nov. 1, 1964)
Hertford County (Nov. 1, 1964)
Hoke County (Nov. 1, 1964)
Jackson County (Nov. 1, 1972)
Lee County (Nov. 1, 1964)
Lenoir County (Nov. 1, 1964)
Martin County (Nov. 1, 1964)
Nash County (Nov. 1, 1964)
Northampton County (Nov. 1, 1964)

Appendix

New York (the following counties only)

- The following Arizona counties were covered individually through the use of earlier dates.)

Apache County (Nov. 1, 1968)
Cochise County (Nov. 1, 1968)
Coconino County (Nov. 1, 1968)
Mohave County (Nov. 1, 1968)
Navajo County (Nov. 1, 1968)
Pima County (Nov. 1, 1968)
Pinal County (Nov. 1, 1968)
Santa Cruz County (Nov. 1, 1968)
Yuma County (Nov. 1, 1964)
California (the following counties only)
Kings County (Nov. 1, 1972)
Merced County (Nov. 1, 1972)
Monterey County (Nov. 1, 1968)
Yuba County (Nov. 1, 1968)
Colorado (the following county only)
El Paso (Nov. 1, 1972)
Connecticut (the following towns only)

The preclearance requirement of Section 5 of the Voting Rights Act, as amended, applies in the following jurisdictions. The date in parentheses is the date that was used to determine coverage for the jurisdiction it follows.

Alabama (statewide) (Nov. 1, 1964)
Alaska (statewide) (Nov. 1, 1972)
Arizona (statewide) (Nov. 1, 1972)
(The following Arizona counties were covered individually through the use of earlier dates.)

Apache County (Nov. 1, 1968)
Cochise County (Nov. 1, 1968)
Coconino County (Nov. 1, 1968)
Mohave County (Nov. 1, 1968)
Navajo County (Nov. 1, 1968)
Pima County (Nov. 1, 1968)
Pinal County (Nov. 1, 1968)
Santa Cruz County (Nov. 1, 1968)
Yuma County (Nov. 1, 1964)
California (the following counties only)
Kings County (Nov. 1, 1972)
Merced County (Nov. 1, 1972)
Monterey County (Nov. 1, 1968)
Yuba County (Nov. 1, 1968)
Colorado (the following county only)
El Paso (Nov. 1, 1972)
Connecticut (the following towns only)

Groton Town (Nov. 1, 1968)
Mansfield Town (Nov. 1, 1968)
Southbury Town (Nov. 1, 1968)
Florida (the following counties only)
Collier County (Nov. 1, 1972)
Hardee County (Nov. 1, 1972)
Hendry County (Nov. 1, 1972)
Hillsborough County (Nov. 1, 1972)
Monroe County (Nov. 1, 1972)
Georgia (statewide) (Nov. 1, 1964)
Hawaii (the following county only)
Honolulu County (Nov. 1, 1964)
Iowa (the following county only)
Emerson County (Nov. 1, 1968)
Louisiana (statewide) (Nov. 1, 1964)
Massachusetts (the following townships only)
Amherst Town (Nov. 1, 1968)
Ayer Town (Nov. 1, 1968)
Belchertown (Nov. 1, 1966)
Bourne Town (Nov. 1, 1968)
Harvard Town (Nov. 1, 1968)
Sandwich Town (Nov. 1, 1968)
Shirley Town (Nov. 1, 1968)
Sunderland Town (Nov. 1, 1968)
Huron Town (Nov. 1, 1968)
Massachusetts (the following counties only)
Cambridge (Nov. 1, 1968)
New York (the following counties only)
Bronx County (Nov. 1, 1968)
New York County (Nov. 1, 1968)
North Carolina (the following counties only)
Anson County (Nov. 1, 1964)
Beaufort County (Nov. 1, 1964)
Bertie County (Nov. 1, 1964)
Bladen County (Nov. 1, 1964)
Camden County (Nov. 1, 1964)
Canew Town (Nov. 1, 1964)
Chowan County (Nov. 1, 1964)
Cleveland County (Nov. 1, 1964)
Graven County (Nov. 1, 1964)
Cumberland County (Nov. 1, 1964)
Edgecombe County (Nov. 1, 1964)
Franklin County (Nov. 1, 1964)
Gaston County (Nov. 1, 1964)
Gates County (Nov. 1, 1964)
Granville County (Nov. 1, 1964)
Greene County (Nov. 1, 1964)
Guilford County (Nov. 1, 1964)
Harnett County (Nov. 1, 1964)
Hertford County (Nov. 1, 1964)
Hoke County (Nov. 1, 1964)
Jackson County (Nov. 1, 1972)
Lee County (Nov. 1, 1964)
Lenoir County (Nov. 1, 1964)
Martin County (Nov. 1, 1964)
Nash County (Nov. 1, 1964)
Northampton County (Nov. 1, 1964)
Onslow County (Nov. 1, 1964)
Pasquotank County (Nov. 1, 1964)
Perquimans County (Nov. 1, 1964)
Person County (Nov. 1, 1964)
Pitt County (Nov. 1, 1964)
Robeson County (Nov. 1, 1964)
Rockingham County (Nov. 1, 1964)
Scotland County (Nov. 1, 1964)
Union County (Nov. 1, 1964)
Vance County (Nov. 1, 1964)
Washington County (Nov. 1, 1964)
Wayne County (Nov. 1, 1964)
Wilson County (Nov. 1, 1964)
South Carolina (statewide) (Nov. 1, 1964)
South Dakota (the following counties only)
Shannon County (Nov. 1, 1972)
Todd County (Nov. 1, 1972)
Texas (statewide) (Nov. 1, 1972)
Virginia (statewide) (Nov. 1, 1964)
Wyoming (the following county only)
Campbell County (Nov. 1, 1968)