6. State whether this statement includes all foreign branches of the Bank, if any; and, if not, give the name of any branch not included. (See Instruction 7.)

---------- ----------
(City) (State)

(Date)

(Name of Bank)

[CORPORATE SEAL] By----------
(Signature of Officer)

(Title)

Attest:

(Signature of Officer)

(Title)

INSTRUCTIONS FOR FORM U-3A3-1

1. *Use of Form—Time of Filing.*—Form U-3A3-1 is prescribed for use by banks exempted from any provisions of the Public Utility Holding Company Act of 1935 by virtue of Rule 3A3-1. Unless the Commission shall otherwise by order prescribe, statements on such form shall be filed within thirty days after, and shall cover the three months' period ending on, the last day of February, May, August, and November in each year, except that the statement for the quarter ending February 29, 1936, may be filed not later than April 30, 1936.

2. *Number of Copies.*—Only one original need be filed. If acknowledgment is desired, a duplicate should also be filed.

3. *Exhibit A Referred to in Items 2 and 3 of Statement.*—Exhibit A should be on paper approximately 8½ by 13 inches in size, except that it may be larger if folded to not more than such size. The left margin should be at least 1½ inches wide, and it should not be bound to the statement otherwise than on the left side. Its form shall be as follows:

EXHIBIT A

Referred to in Items 2 and 3 of attached statement for quarter ending on the last day of ----------, 193----.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
Name of Issuer and Description of Voting Securities (by classes)	Number of Voting Securities Specified in Item 2	Number of Voting Securities Specified in Item 3	Total of Columns 2 and 3	Total number of Voting Securities Outstanding	Percentage of Amount in Column 5 Represented by Amount in Column 4

4. *Meaning of Terms.*—For purposes of this Form and Instructions:

The terms "public-utility company", "holding company", "voting securities", and other terms have the meanings set forth in the Act, except that the term "public-utility company" shall not include a company exempted as such or declared not to be such pursuant to Section 2 (a) (3) or Section 2 (a) (4) of the Act; and the term "holding company" shall not include a company exempted or declared not to be a holding company pursuant to Section 2 (a) (7).

Securities held by a subsidiary of the Bank, such as a foreign branch, a company organized to handle slow loans, and similar subsidiaries, shall be deemed to be held "directly or indirectly" by the Bank, but this term shall not require inclusion in the statement of securities issued by subsidiaries of holding companies merely because securities issued by such holding companies are required to be reported.

A bank shall be deemed to be a "mere custodian" when it holds securities solely: (a) in safekeeping for customers, (b) as registrar, transfer agent, loaning agent for others, or in like capacities, (c) as a depositary under a plan of reorganization, deposit agreement, voting trust agreement, escrow agreement, or other similar document which does not give the bank authority to vote such securities except as specifically directed by others, or (d) bona fide in transit.

5. *Limitations on Responsibility of Bank.*—The filing of a statement on this Form shall be deemed a representation by the Bank that it has made reasonable efforts to ascertain whether companies whose securities it owns or holds are public-utility companies or holding companies as defined in the Act, but the Bank shall not be responsible for failure to include in the statement data as to its holdings in any company whose name or general reputation does not indicate a probability that it is such a company and which is not known or believed to be such a company by such of the officers of the Bank as might reasonably be supposed to acquire such information in the performance of their duties. For the purpose of determining the total amount of voting securities which any company has outstanding, the Bank shall be entitled to rely on any standard manual of financial data or other source of information which it may reasonably suppose to be substantially accurate.

The inclusion in the statement of any security shall not be deemed an admission that the Bank does in fact own, control, or hold with power to vote, such security within the meaning of Section 2 (a) (7) (A) of the Act. The inclusion of the securities of any company shall not be deemed an expression of an opinion by the Bank that such company is in fact subject to the provisions of the Act.

6. *Participating Loans.*—All securities held as collateral for any loan extended in conjunction or participation with others, as specified in paragraph (b) of Item 2 of the statement, should be separately shown in the statement and the proportionate interest of the Bank in such loan should be indicated in a footnote.

7. *Foreign Branches.*—Where, owing to the distance of any foreign branch, the Bank cannot conveniently include information as to such branch in this statement, the Bank shall indicate this omission in answer to Item 6 of the statement and, within the time designated for the filing of the next quarterly statement, shall file a "Supplementary Statement" on this Form in which, with appropriate explanatory remarks, the particulars shall be given respecting such foreign branch. The Bank need not file such "Supplementary Statement" if the inclusion therein of any additional voting securities (a) would not make any change in the information set forth in items 1, 4, and 5, or require the inclusion of any classes of voting securities in addition to those listed in "Exhibit A" in answer to items 2 and 3 of this statement and (b) would not, in respect of any particular class of voting securities set forth in "Exhibit A" in answer to items 2 and 3, increase by 5 or more the percentage thereof set forth in the last column of the said "Exhibit A."

8. *Explanations.*—In addition to the indicated material the statement may include any remarks or explanatory comment which the Bank desires to give in connection with any item.

9. *Public Disclosure.*—The Bank may object, pursuant to the provisions of Rule 22B-1, to public disclosure of any information contained in the statement.

[SEAL] FRANCIS P. BRASSOR, *Secretary.*

[F. R. Doc. 33—Filed March 18, 1936; 1:00 p. m.]

Friday, March 20, 1936 *No. 5*

PRESIDENT OF THE UNITED STATES.

CONTRIBUTIONS TO AMERICAN RED CROSS FOR FLOOD RELIEF

By the President of the United States of America

A PROCLAMATION

Flood waters raging throughout eleven states have driven 200,000 people from their homes, with every indication that

this number may be materially increased within the next twenty-four hours. In this grave emergency the homeless are turning to our great national relief agency, the American Red Cross, for food, clothing, shelter and medical care.

To enable the Red Cross to meet this immediate obligation and to continue to carry the burden of caring for these unfortunate men, women and children until their homes are restored and they can return to normal living conditions, it is necessary that a minimum relief fund of three million dollars be raised as promptly as possible.

As President of the United States and as President of the American Red Cross, I am, therefore, urging our people to contribute promptly and most generously so that sufficient funds may be available for the relief of these thousands of our homeless fellow citizens. I am confident that in the face of this great need your response will be as immediate and as generous as has always been the case when the Red Cross has acted as your agent in the relief of human suffering.

In witness whereof, I have hereunto set my hand and caused the seal of the United States to be affixed.

Done at the City of Washington this 19 day of March, in the year of our Lord nineteen hundred and thirty-six and of the Independence of the United States of America the one hundred and sixtieth.

[SEAL]

FRANKLIN D ROOSEVELT

By the President:

WILLIAM PHILLIPS,
Acting Secretary of State.

[No. 2161]

[F. R. Doc. 39—Filed, March 19, 1936; 3:33 p. m.]

EXECUTIVE ORDER

AMENDMENT OF EXECUTIVE ORDER NO. 7164, OF AUGUST 29, 1935, PRESCRIBING RULES AND REGULATIONS RELATING TO STUDENT-AID PROJECTS AND TO EMPLOYMENT OF YOUTH ON OTHER PROJECTS UNDER THE EMERGENCY RELIEF APPROPRIATION ACT OF 1935

Amendment to Regulation No. 7

By virtue of and pursuant to the authority vested in me by the Emergency Relief Appropriation Act of 1935, approved April 8, 1935 (Public Res. 11, 74th Cong.), sections 1 and 3 of regulation no. 7, prescribed by Executive Order No. 7164, of August 29, 1935, are hereby amended to read as follows:

1. *Definition of student-aid projects.* Student-aid projects are hereby defined to be projects financed, in whole or in part, from funds appropriated by the Emergency Relief Appropriation Act of 1935, which provide financial assistance to needy young people in amounts which will permit them to continue their education in primary schools, high schools, colleges, or graduate schools, in exchange for part-time work upon useful projects.

3. *Amount of aid.* Disbursement of student-aid funds shall conform to the following requirements:

(*a*) No primary-school or high-school students shall be paid more than $6 per month for the school year.

(*b*) No college student shall be paid more than $20 per month for the school year, and the average of all payments made to college students during the school year shall not exceed $15 per month per student.

(*c*) No graduate student shall be paid more than $40 per month for the school year, and the average of all payments made to graduate students during the school year shall not exceed $30 per month per student.

FRANKLIN D ROOSEVELT

THE WHITE HOUSE,
March 18, 1936.

[No. 7319]

[F. R. Doc. 38—Filed, March 19, 1936; 2:32 p. m.]

DEPARTMENT OF THE INTERIOR.

National Bituminous Coal Commission.

[General Order No. 16]

AN ORDER REQUIRING ALL PRODUCERS OF COAL TO SUBMIT COST AND PRICE REALIZATION DATA FOR THE LAST QUARTER OF 1935

Pursuant to authority contained in an Act of Congress entitled "Bituminous Coal Conservation Act of 1935," the Commission in regular meeting assembled hereby orders as follows:

1. Each producer having any mine within any District as defined in the Bituminous Coal Conservation Act of 1935 or by General Order No. 15 issued by the Commission February 8, 1936, shall within fifteen (15) days from the date of this Order file with the Statistical Bureau of said District Board, acting herein as an agent of the Commission, complete reports showing cost of production of coal at such mine as defined in said Act, and realization prices derived from the sale of said coal, all as more fully set forth and specified in Cost Forms Nos. 1a and 2a heretofore approved by the said Commission, such reports to include all coal produced during the period from October 1, 1935, to December 31, 1935, both dates inclusive. Such reports shall be filed in duplicate and shall be received and held by said Statistical Bureau as confidential information of the producer upon the conditions and for the purposes specified in said Act. Upon receipt of such reports, such Statistical Bureau shall forthwith assemble the data as to cost of production and price realization therein set forth and shall make compilations thereof, and shall, on or before the 6th day of April 1936, submit such compilations to said District Board which shall thereupon, on or before the 11th day of April 1936, file such compilations with the Commission in Washington, D. C.

2. The Secretary of the Commission shall forthwith mail a copy of this Order to the Secretary of each District Board who shall immediately prepare copies of said Order and make distribution thereof to each known producer having any mine within said District, and shall thereafter make proof of such distribution by affidavit duly filed with the Commission at Washington, D. C. It shall be the further duty of the Secretary of each of said Boards to mail or cause to be mailed to each such known producer copies of Cost Forms Nos. 1a and 2a as approved by this Commission to enable such producer to comply with the requirements of this Order.

Dated this 17th day of March 1936.

[SEAL]

C. F. HOSFORD, Jr., *Chairman.*
GEORGE EDWARD ACRET,
WALTER H. MALONEY,
C. E. SMITH,
PERCY TETLOW
Commissioners.

[F. R. Doc. 35—Filed, March 19, 1936; 12:42 p.m.]

SECURITIES AND EXCHANGE COMMISSION.

United States of America—Before the Securities and Exchange Commission

At a regular session of the Securities and Exchange Commission held at its office in the City of Washington, D. C., on the 19th day of March A. D. 1936.

Commissioners: James M. Landis, Chairman; George C. Mathews; Robert E. Healy; J. D. Ross; William O. Douglas.

[File No. 30-2]

IN THE MATTER OF THE APPLICATION OF MONTANA-DAKOTA UTILITIES CO.

ORDER AUTHORIZING HEARING AND DESIGNATING OFFICER TO CONDUCT PROCEEDINGS

An application having been duly filed with this Commission, by Montana-Dakota Utilities Co., pursuant to Section 5 (d) of the Public Utility Holding Company Act of 1935.

It is ordered, that the matter be set down for hearing on the 26th day of March, 1936, at 10:00 o'clock in the forenoon of that day at Room 1103, Securities and Exchange Building, 1778 Pennsylvania Avenue, NW., Washington, D. C.; and

It is further ordered, that Edward C. Johnson, an officer of the Commission, be, and he hereby is, designated to preside at such hearing, and authorized to adjourn said hearing from time to time, to administer oaths and affirmations, subpoena witnesses, compel their attendance, take evidence, and require the production of any books, papers, correspondence, memoranda, or other records deemed relevant or material to the inquiry, and to perform all other duties in connection therewith authorized by law; and

It is further ordered, that any interested state, state commission, state securities commission, municipality, or other political subdivision of a state, or any representative of interested consumers or security holders, or any other person, desiring to be admitted as a party in this proceeding or to offer evidence in this matter, shall give notice of such intention to the Commission, such notice to be received by the Commission not later than March 24, 1936.

Upon the completion of the taking of testimony in this matter, the officer conducting said hearing is directed to close the hearing and make his report to the Commission.

By the Commission.

[SEAL] FRANCIS P. BRASSOR, *Secretary*.

[F. R. Doc. 37—Filed, March 19, 1936; 12:53 p. m.]

[Release No. 535 (Class A)]

SECURITIES EXCHANGE ACT OF 1934

AMENDMENT OF RULE NB2

The Securities and Exchange Commission, finding that the transactions described in Rule NB2, as hereinafter amended, are not comprehended within the purpose of subsection (b) of Section 16 as set forth in said subsection, hereby amends said Rule by:

1. Inserting immediately after the word "partner", in clause (2) of paragraph (c), the words "employee, appointee, nominee, or representative,";

2. Inserting immediately before the words "or beneficial owner" in clause (3) of paragraph (c), the words ", employee, appointee, nominee, representative"; and

3. Inserting a new paragraph immediately following paragraph (c), to read as follows:

As used in paragraph (a) of this rule, the term "issuer" shall include, in addition to an "issuer" within the meaning of Section 3 (a) (8), any person directly or indirectly controlling or controlled by the issuer, or any person under direct or indirect common control with the issuer. As used in this rule, the term "issue" shall include, in addition to an issue of securities issued by an issuer within the meaning of Section 3 (a) (8), securities purchased with a view to distribution by the participants in any single distribution from any person directly or indirectly controlling or controlled by the issuer thereof, or from any person under direct or indirect common control with the issuer.

The Rule, as amended, reads as follows:

RULE NB2. *Exemption from Section 16 (b) of Certain Distributing and Underwriting Transactions.*—Any transaction of purchase and sale of a security shall be exempt from the provisions of Section 16 (b), to the extent prescribed in this rule, as not comprehended within the purpose of said subsection, upon condition that:

(a) The person effecting such transaction purchases such security with a view to the distribution thereof, from a person (1) who is the issuer thereof, or (2) who is participating in good faith in the distribution of the same issue of securities and whose ownership of such security has been acquired within six months, directly or solely through other such participants, from the issuer;

(b) Such transaction is effected by a person who is otherwise engaged in the business of buying and selling securities for his own account, through a broker or otherwise, as a part of a regular business; and

(c) If the person effecting such transaction is either (1) an officer or director of the issuer, (2) a firm of which such officer or director is a partner, employee, appointee, nominee, or representative, or (3) a corporation or other person in respect of which such officer or director is an officer, director, employee, appointee, nominee, representative, or beneficial owner, directly or indirectly, of more than 10 per centum of any class of equity security, then other persons who are not specified in clauses (1), (2), or (3) of this paragraph (c) must have participated in the purchase of such security (or other securities of the same issue) with a view to the distribution thereof, on terms identical with those on which such specified persons have participated and to an extent at least equal to the aggregate participation of all such specified persons.

As used in paragraph (a) of this rule, the term "issuer" shall include, in addition to an "issuer" within the meaning of Section 3 (a) (8), any person directly or indirectly controlling or controlled by the issuer, or any person under direct or indirect common control with the issuer. As used in this rule, the term "issue" shall include, in addition to an issue of securities issued by an issuer within the meaning of Section 3 (a) (8), securities purchased with a view to distribution by the participants in any single distribution from any person directly or indirectly controlling or controlled by the issuer thereof, or from any person under direct or indirect common control with the issuer.

The exemption of a transaction pursuant to this rule with respect to the participation therein of one party thereto shall not render such transaction exempt with respect to participation of any other party therein unless such other party also meets the requirements of paragraphs (a), (b), and (c) of this rule.

Paragraphs numbered 1 and 2 of the above amendment shall become effective upon April 20, 1936, but shall not apply to distributions begun prior to that date.

Paragraph numbered 3 of the above amendment shall become effective immediately.

[SEAL] FRANCIS P. BRASSOR, *Secretary*.

[F. R. Doc. 36—Filed, March 19, 1936; 12:53 p.m.]

Saturday, March 21, 1936 *No. 6*

TREASURY DEPARTMENT.

Public Debt Service.

UNITED STATES SAVINGS BONDS OF SERIES B

MARCH 18, 1936.

Department Circular No. 554, dated December 16, 1935, is hereby amended:

1. By inserting after the words "Treasurer of the United States, Washington, D. C.", where they occur in the first and sixth paragraphs the words "or to any Federal Reserve bank."

2. By changing the period at the end of the footnote on page 1 to a semicolon, and adding the following: "if issued by a Federal Reserve bank they will bear the dating stamp of that bank."

[SEAL] WAYNE C. TAYLOR,
Acting Secretary of the Treasury.

[F. R. Doc. 48—Filed, March 20, 1936; 11:34 p. m.]

FEDERAL TRADE COMMISSION.

Commissioners: Charles H. March, Chairman; Garland S. Ferguson, Jr., Ewin L. Davis, William A. Ayres, Robert E. Freer.

[File No. 117-113]

IN THE MATTER OF APPLICATION FOR TRADE PRACTICE CONFERENCE FOR THE RADIO RECEIVING SET MANUFACTURE INDUSTRY

NOTICE OF OPPORTUNITY TO BE HEARD

Opportunity is hereby extended by the Federal Trade Commission to any and all persons affected by or having an interest in the proposed trade practice rules for the Radio Receiving Set Manufacturing Industry to present to the Commission their views upon the same, including sugges-